**Inside the Infractions Process:**  
**Level III/Secondary Violations**

Penalties for Level III/Secondary Violations Each Year  
A Level III/Secondary violation is isolated or limited in nature, can be inadvertent in nature, and only provides a minimal recruiting, competitive or other advantage.

**95%**  
Level III/Secondary cases result in penalties  
Cases are not made public, but members can find a summary of violations in Requests/Self-Reports Online (RSRO)

**Coaching Suspensions as of May 1, 2017**

<table>
<thead>
<tr>
<th>Division I Level III Head Coach Suspensions</th>
<th>Other Head and Assistant Coach Suspensions</th>
</tr>
</thead>
<tbody>
<tr>
<td>32</td>
<td>365</td>
</tr>
</tbody>
</table>

**Types of violations include:**  
- Early scholarship offers  
- Game-day simulations  
- Exceeding the allowable number of contacts with a prospect  
- Off-campus contact with a prospect during a dead recruiting period  
- Personalized recruiting aids  
- Collective phone call and text violations  

**Types of violations include:**  
- Impermissible off-campus contacts with prospects (e.g., “bumps”)  
- Allowing ineligible student-athletes to compete  
- Allowing student-athletes who have not been certified by the Eligibility Center to compete  
- Providing impermissible extra benefits  
- Impermissible communication with potential transfer student-athletes

*Depending on the facts of the case, these violations can be processed as Level I or II/major violations.*
INSIDE THE INFRACTIONS PROCESS:
LEVEL III/SECONDARY VIOLATIONS

Recruiting Penalties for Level III/Secondary Violations

45 percent of all Level III/Secondary violations are recruiting violations

99% of all Level III/Secondary cases involving recruiting result in penalties

Types of penalties include:
- Reducing the number of contacts with a certain prospect
- Reducing the number of evaluations with a certain prospect
- Prohibiting contact (in-person, telephone, written, etc.) with a specific prospect or all prospects for a period of time
- Reducing the recruiting-person days, evaluation days, contact period or evaluation period
- Limiting the number of coaches who can recruit off campus
- Suspension of head and/or involved coach for one or more games

Financial Penalties
A financial penalty is standard for Level III/Secondary violations involving student-athletes competing while ineligible or before eligibility certification.

<table>
<thead>
<tr>
<th>Division</th>
<th>Fines (2016 Calendar Year)</th>
</tr>
</thead>
<tbody>
<tr>
<td>DI</td>
<td>$256,500</td>
</tr>
<tr>
<td>DII</td>
<td>$88,000</td>
</tr>
<tr>
<td>DIII</td>
<td>$31,750</td>
</tr>
</tbody>
</table>

Divisions I and III Fines
Money goes to the Student Assistance Fund

Division II Fines
Money is donated to the Make-A-Wish Foundation
Cases Processed by Division

- Division I: 3,447 cases
- Division II: 1,310 cases
- Division III: 328 cases

Five Most Common Types of Violations

1. Recruiting (Bylaw 13) - 2,281 cases
2. Playing and practice seasons (Bylaw 17) - 739 cases
3. Academic and general eligibility requirements (Bylaw 14) - 712 cases
4. Amateurism and eligibility (Bylaw 12) - 700 cases
5. Awards, benefits and expenses for enrolled student-athletes (Bylaw 16) - 657 cases

Six Sports with the Most Violations

- Football: 33%
- Men's Basketball: 19%
- Women's Basketball: 17%
- Women's Volleyball: 12%
- Women's Soccer: 10%
- Baseball: 10%

These numbers represent the six sports most frequently involved. The enforcement staff handles violations for all sports.