Level III/Secondary cases result in penalties

Cases are not made public, but members can find a summary of violations in Requests/Self-Reports Online (RSRO)

Types of violations include:
- Early scholarship offers
- Game-day simulations
- Exceeding the allowable number of contacts with a prospect
- Off-campus contact with a prospect during a dead recruiting period
- Personalized recruiting aids
- Collective phone call and text violations

*Depending on the facts of the case, these violations can be processed as Level I or II/major violations.

Coaching Suspensions as of May 1, 2018

- Division I Level III Head Coach Suspensions: 41
- Other Head and Assistant Coach Suspensions: 462

Types of violations include:
- Impermissible off-campus contacts with prospects (e.g., "bumps")
- Allowing ineligible student-athletes to compete
- Allowing student-athletes who have not been certified by the Eligibility Center to compete
- Providing impermissible extra benefits
- Impermissible communication with potential transfer student-athletes

Penalties for Level III/Secondary Violations Each Year

A Level III/Secondary violation is isolated or limited in nature, can be inadvertent in nature, and only provides a minimal recruiting, competitive or other advantage.

95% of Level III/Secondary cases result in penalties.
INSIDE THE INFRACTIONS PROCESS:
LEVEL III/SECONDARY VIOLATIONS

Recruiting Penalties for Level III/Secondary Violations

42 percent of all Level III/Secondary violations are recruiting violations

99% of all Level III/Secondary cases involving recruiting result in penalties

Types of penalties include:
- Reducing the number of contacts with a certain prospect
- Reducing the number of evaluations with a certain prospect
- Prohibiting contact (in-person, telephone, written, etc.) with a specific prospect or all prospects for a period of time
- Reducing the recruiting-person days, evaluation days, contact period or evaluation period
- Limiting the number of coaches who can recruit off campus
- Suspension of head and/or involved coach for one or more games

Financial Penalties
A financial penalty is standard for Level III/Secondary violations involving student-athletes competing while ineligible or before eligibility certification.

<table>
<thead>
<tr>
<th>Division</th>
<th>Fine Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>DI</td>
<td>$216,500</td>
</tr>
<tr>
<td>DII</td>
<td>$79,250</td>
</tr>
<tr>
<td>DIII</td>
<td>$31,850</td>
</tr>
</tbody>
</table>

2017 Calendar Year

Divisions I and III Fines
Money goes to the Student Assistance Fund

Division II Fines
Money is donated to the Make-A-Wish Foundation

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INSIDE THE INFRACTIONS PROCESS:
LEVEL III/SECONDARY VIOLATIONS FOR CALENDAR YEAR 2017

Cases Processed by Division

5,208 Cases

<table>
<thead>
<tr>
<th>Division</th>
<th>Cases</th>
</tr>
</thead>
<tbody>
<tr>
<td>Division I</td>
<td>3,519</td>
</tr>
<tr>
<td>Division II</td>
<td>1,290</td>
</tr>
<tr>
<td>Division III</td>
<td>399</td>
</tr>
</tbody>
</table>

Five Most Common Types of Violations

1. Recruiting Bylaw 13: 2,411
2. Amateurism and eligibility Bylaw 12: 750
3. Awards, benefits and expenses for enrolled student-athletes Bylaw 16: 744
4. Academic and general eligibility requirements Bylaw 14: 695
5. Playing and practice seasons Bylaw 17: 689

Six Sports with the Most Violations

- Women's Basketball: 16%
- Men's Basketball: 18%
- Women's Volleyball: 12%
- Baseball: 11%
- Softball: 10%
- Football: 33%

These numbers represent the six sports most frequently involved. The enforcement staff handles violations for all sports.

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