

Penalty Guidelines
Version No. 6 (July 12, 2012)

<u>Violation Level I</u>	<u>Violation Level II</u>	<u>Competition Penalties: Postseason Ban</u> *Competition penalties may be used singularly or in combination		
Aggravation		2 to 4 years		
Standard	Aggravation	1 to 2 years		
Mitigation	Standard	0 to 1 year		
	Mitigation	0		
<u>Violation Level I</u>	<u>Violation Level II</u>	<u>Financial Penalties: Fine (Percent of total budget for sport program)</u>	<u>Financial Penalties: Negate revenue from sport program for years in which violations occurred</u>	<u>Financial Penalties: Reduce or eliminate NCAA monetary distribution for sports sponsorship and/or grants-in-aid</u>
Aggravation		\$5,000 plus 3 to 5%	Impose this penalty if greater than percent of budget fine + \$5,000.	Alternative financial penalty.
Standard	Aggravation	\$5,000 plus 1 to 3%		Alternative financial penalty.
Mitigation	Standard	\$5,000 plus 0 to 1%		Alternative financial penalty.
	Mitigation	\$5,000*		Alternative financial penalty.
		* A minimum \$5,000 financial penalty will be imposed to ensure the penalty will be at least as significant as the fine imposed for a Level III violation.		
<u>Violation Level I</u>	<u>Violation Level II</u>	<u>Scholarship Reductions of Involved Sport(s) Program(s)*</u>		
Aggravation		25 to 50%	* For cases in which financial aid overages have occurred, a minimum 2-for-1 reduction in financial aid awards shall apply up to at least 20% of the team financial aid limit.	
Standard	Aggravation	12.5 to 25%		
Mitigation	Standard	0 to 12.5%		
	Mitigation	0 to 5%		

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<u>Violation Level I</u>	<u>Violation Level II</u>	<u>Show-Cause Order</u>	<u>Restrictions</u>	
Aggravation		5 to 10 years	All athletically related duties	
Standard	Aggravation	2 to 5 years	All or partial coaching and recruiting duties (including game suspensions)	
Mitigation	Standard	1 to 2 years	All or partial coaching and recruiting duties (including game suspensions)	
	Mitigation	0 to 1 years	All or partial coaching and recruiting duties (including game suspensions)	
<u>Violation Level I</u>	<u>Violation Level II</u>	<u>Head Coach Restrictions (game suspensions via show cause for 11.1.2.1)</u>		
Aggravation		50 to 100% of season		
Standard	Aggravation	30 to 50% of season		
Mitigation	Standard	0 to 30% of season		
	Mitigation	0 to 10% of season		
<u>Violation Level I</u>	<u>Violation Level II</u>	<u>Recruiting Visit Restrictions</u>	<u>Recruiting Communication Restrictions</u>	<u>Off-Campus Recruiting Restrictions</u>
Aggravation		<p>25 to 50%</p> <p>14- to 26-week ban on unofficial visits (No scheduled unofficial visits and no complimentary tickets.)</p> <p>25 to 50% cuts in official paid visits (Based on the average number provided during the previous 4 years.)</p> <p>Football: 15 to 28 visits (need to account for unused visits from the previous year, if any).</p> <p>Basketball: 4 to 6 visits.</p> <p>Baseball: 7 to 13 visits.</p>	<p>25 to 50%</p> <p>14- to 26-week ban on communication with all prospects</p>	<p>25 to 50%</p> <p>Sports with no limits:</p> <p>14- to 26-week ban on all contacts and evaluations</p> <p>25 to 50% cuts in Recruiting Person Days (RPD) or Evaluation Days (ED)</p> <p>MBB: 34 to 65 (RPD)</p> <p>WBB: 26 to 50 (RPD)</p> <p>MFB: 11 to 21 Fall; 44 to 84 Spring (ED)</p> <p>WSB: 13 to 25 (ED)</p> <p>WVB: 21 to 40 (ED)</p>

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Standard	Aggravation	<p style="text-align: center;">12.5 to 25 %</p> <p>7- to 13-week ban on unofficial visits (No scheduled unofficial visits and no complimentary tickets.)</p> <p>12.5 to 25% cuts in official paid visits (Based on the average number provided during the previous 4 years.)</p> <p>Football: 8 to 14 visits (need to account for unused visits from the previous year, if any). Basketball: 2 to 3 visits. Baseball: 4 to 7 visits.</p>	<p style="text-align: center;">12.5 to 25 %</p> <p>7- to 13-week ban</p>	<p style="text-align: center;">12.5 to 25 %</p> <p>No limit sports: 7- to 13-week ban</p> <p>MBB: 17 to 33 (RPD) WBB: 13 to 25 (RPD) MFB: 6 to 11 Fall; 22 to 42 Spring (ED) WSB: 7 to 13 (ED) WVB: 11 to 20 (ED)</p>
Mitigation	Standard	<p style="text-align: center;">0 to 12.5%</p> <p>0 to 6-week ban on unofficial visits (No scheduled unofficial visits and no complimentary tickets.)</p> <p>0 to 12.5% cuts in official paid visits (Based on the average number provided during the previous 4 years.)</p> <p>Football: 0 to 7 visits (need to account for unused visits from the previous year, if any). Basketball: 0 to 2 visits. Baseball: 0 to 4 visits.</p>	<p style="text-align: center;">0 to 12.5%</p> <p>0 to 6-week ban</p>	<p style="text-align: center;">0 to 12.5%</p> <p>No limit sports: 0 to 6-week ban</p> <p>MBB: 0 to 17 (RPD) WBB: 0 to 13 (RPD) MFB: 0 to 6 Fall; 0 to 21 Spring (ED) WSB: 0 to 7 (ED) WVB: 0 to 10 (ED)</p>

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	Mitigation	0 to 5% 0 to 3-week ban on unofficial visits (No scheduled unofficial visits and no complimentary tickets.) 0 to 5% cuts in official paid visits (Based on the average number provided during the previous 4 years.) Football: 0 to 3 visits Basketball: 0 to 1 visit Baseball: 0 to 2 visits	0-5% 0 to 3-week ban	0 to 5% No limit sports: 0 to 3-week ban MBB: 0 to 7 (RPD) WBB: 0 to 5 (RPD) MFB: 0 to 3 Fall; 0 to 9 Spring (ED) WSB: 0 to 3 (ED) WVB: 0 to 4 (ED)
<u>Violation Level I</u>	<u>Violation Level II</u>	<u>Probation</u>		
Aggravation		6 to 10 years		
Standard	Aggravation	2 to 6 years		
Mitigation	Standard	0 to 2 years		
	Mitigation	0 years		
NCAA/10/12/12/JRL:ajw				