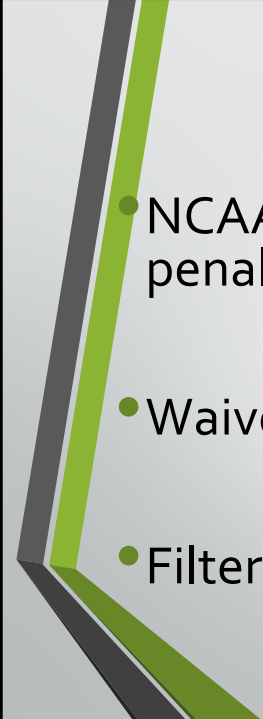


NCAA Division I
Academic Performance Program

Penalty Structure, Waiver Process
and Filters

Cycle-Two Data Reviews

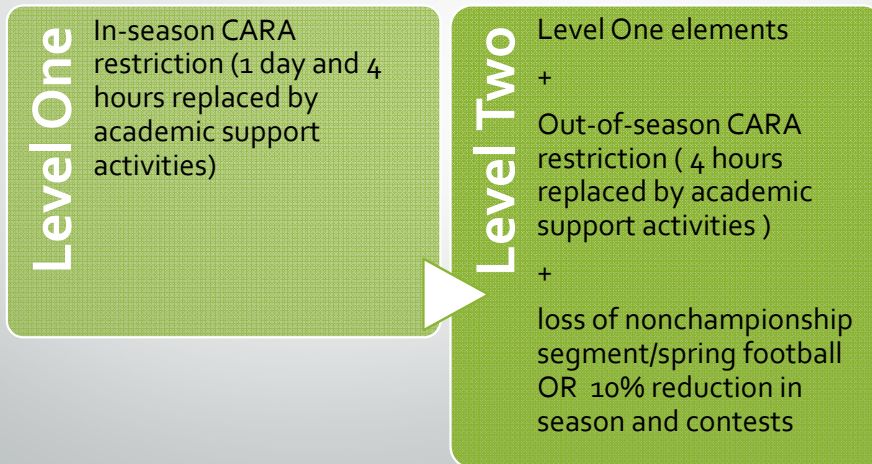
Katy Yurk and Binh Nguyen



What's New?

- NCAA Division I Academic Performance Program penalty structure.
- Waiver process.
- Filters for limited-resource institutions.

APP Penalty Structure



APP Waiver Process



- One process for all levels of APP penalties and loss of access to postseason competition.

APP Waiver Process

- Letter from the chancellor/president must accompany waiver request.
- One signature page for both waiver request and confirmation of data accuracy.
- Teams no longer required to submit a request unless they want relief (former Level-Three process).
- Level-Three in-person hearings eliminated.

APP Filters for Limited-Resource Institutions

Three filters:

1. 920 multiyear APR;
2. 940 two-year APR; or
3. 930 single-year APR and meets one of five improvement tests.

Filters can be used twice over the next five years; limited-resource institutions must decide if it will try to use the filters after submitting its data.

Filters require a NCAA Division I Academic Progress Rate Improvement Plan that meets enhanced criteria established by the NCAA Division I Committee on Academics.

Deciding When to Use a Filter

- Things to consider:
 - Is the team able to use the mission filter to avoid loss of access to postseason competition this year?
 - Is next year a “better” year to use the filter?
 - What chance does the team have for an APP waiver?
 - Is the team facing first-time loss-of-access to postseason competition?
 - Does the data show sustainable improvement?

APR Improvement Plan Criteria for Limited-Resource Institutions to Use Filters

- Plan development team must include the highest ranking academic authority (e.g., provost).
- Staff may request a videoconference with the plan development team and the chancellor/president.

APR Improvement Plan Criteria for Limited-Resource Institutions to Use Filters

- Statement from the chancellor/president explaining plan implementation.
- Progress report on any long-term goals.
- All essential initiatives tied to critical issues must be implemented.

Education Programming for Limited-Resource Institutions

- Phase one focus is on certifying eligibility.
- Needs assessment on-line survey is active now.
- Focus groups to follow.
 - Want target audience to participate - what education is needed and what is the best way to get it.
- Roll out planned for December/January.

Cycle-Two APP Data Reviews

APP Data Reviews

- Cycle One began in 2006.
- Process established by the NCAA Division I Committee on Academic Performance to confirm cohort composition and the correct awarding of eligibility and retention points.
- All Division I institutions received review of APR or GSR or both between 2006 and 2015.
- Committee on Academics confirmed they wanted to continue the process with Cycle Two.

Foundational Principles

- To ensure the accuracy of APP data used for identifying institutions and teams of excellence or deficiency under the APP.
- To ensure that Division I institutions are accurately certifying SAs for NCAA purposes.
- To ensure that all Division I institutions and any Division II and Division III institutions that sponsor a Division I sport receive some level of review each ten-year cycle.

Summary of Issues

- Forty percent of institutions reviewed during past three data review cycles have had some issue with certification of eligibility.
- Issues included:
 - Misapplication of some portion of progress-toward-degree legislation.
 - Certification form incorrect or incomplete.
 - Incomplete or inaccurate policies and procedures.
- Of those with issues, 20% of institutions required a campus visit.

CERTIFICATION ISSUES

Year	Number of Data Reviews	Eligibility Issues	PTD Issues	Certification Form Inaccurate	Policies & Procedures Inaccurate	Required Campus Visit
2014	45	16 (36%)	11 (24%)	7 (16%)	10 (22%)	3 (7%)
2014-15	38	16 (42%)	8 (21%)	8 (21%)	9 (24%)	3 (8%)
2015	23	13 (57%)	9 (39%)	5 (22%)	9 (39%)	3 (13%)
TOTAL	106	45 (40%)	28 (26%)	20 (19%)	29 (27%)	9 (8%)

Requested Documentation

- Squad lists.
- Financial aid documentation (e.g., screen shots from student information system).
- Documentation to demonstrate SA met criteria for exception.
 - 2.6 Transfer Adjustment – Tracer form confirming full-time enrollment at four-year institution, National Student Clearinghouse report.
 - Professional Athletics Adjustment – Roster confirming SA was on roster.

Requested Documentation

- Academic certification forms demonstrating a review of each element of the NCAA progress-toward-degree requirements with data (versus a “yes/no”).
- Official transcripts (provided by registrar’s office).
- Transcripts from previous institutions (for SAs who enrolled as transfers).
- Continuing eligibility policies and procedures.
- APP data collection and submission of policies and procedures.

Questions?