Infractions Program – Bylaw 19.01.1

Mission
• Uphold integrity and fair play among NCAA members, and prescribe appropriate and fair penalties if violations occur.
• Ensure that institutions and student-athletes abiding by NCAA rules are not disadvantaged by their commitment to compliance.

Fundamentals
• Committed to *fairness of procedures* and *timely resolution* of infractions cases.
• The ability to investigate allegations and penalize infractions is critical to the *common interests of the membership* and the *preservation of its enduring values*. 


Enforcement Staff

• Staff backgrounds:
  ➢ 56% have a law degree.
  ➢ 39% were student-athletes.
  ➢ 19% were college coaches.
  ➢ 42% have worked in the membership.
  ➢ 24% have worked at the NCAA for more than 10 years.

• Department structure.

• Staff turnover.
## Enforcement Data

<table>
<thead>
<tr>
<th>Case Type</th>
<th>Number of Cases Processed</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>2014</td>
</tr>
<tr>
<td>Major (I/II)</td>
<td>22</td>
</tr>
<tr>
<td>Secondary (III)</td>
<td>5,000</td>
</tr>
</tbody>
</table>

* Implementation of RSRO
Five Biggest Misunderstandings of Enforcement

1. That we are responsible for the bylaws.
2. That we are responsible for outcomes and penalties.
3.
4.
5.
Five Biggest Misunderstandings of Enforcement

1. That we are responsible for the bylaws.
2. That we are responsible for outcomes and penalties.
3. That allegations are brought haphazardly.
4. That allegations are brought selectively.
5. That the process must be secretive.
Infractions Process

* CONSISTS OF QUALIFIED INDIVIDUALS FROM NCAA SCHOOLS AND THE PUBLIC
<table>
<thead>
<tr>
<th>Change</th>
<th>Status</th>
<th>Impact</th>
</tr>
</thead>
<tbody>
<tr>
<td>Four-level violation structure</td>
<td>Adopted October 2012.</td>
<td>Focuses on conduct breaches that seriously undermine or threaten the integrity of the NCAA constitution.</td>
</tr>
<tr>
<td>Enhanced leadership accountability and consequences</td>
<td>Adopted October 2012.</td>
<td>Fosters a culture of responsibility, upholding the values of intercollegiate athletics.</td>
</tr>
<tr>
<td>Increased membership of Committee on Infractions</td>
<td>Adopted October 2012.</td>
<td>Allows cases to be heard more quickly and efficiently with less burden on individual committee members.</td>
</tr>
<tr>
<td>New penalty structure considering aggravating and mitigating circumstances</td>
<td>Adopted October 2012.</td>
<td>Creates consistent penalties focusing on the severity of the violation and addressing advantages gained as a result of rule-breaking.</td>
</tr>
<tr>
<td>New definitions of institutional integrity, shared responsibility and institutional control</td>
<td>Under review by membership.</td>
<td>Produces clearly stated expectations for all entities involved in Division I athletics.</td>
</tr>
</tbody>
</table>
Hot Topics and New Developments

- Academic Misconduct
- Lack of Institutional Control
- Changes in Enforcement:
  - Quality Control Group
  - Case Management Team
  - Academic Integrity Unit
  - Investigative Task Force
  - Campus Placement Program
  - Operational Improvements
  - Customer Service Emphasis
  - Onboarding and Training
  - Operating Procedures (Div. I)
  - EWG Reforms (Div. I)
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