AGENDA
The National Collegiate Athletic Association
Division III Presidents Council

Centennial Ballroom Salon B
Los Angeles, California

October 24-25, 2017

Tuesday, October 24
3 to 6 p.m.

1. Welcome and announcements. (Jeff Docking)

2. General information. (Docking)
   a. Roster. [Supplement No. 1]
   b. Subcommittee assignments. [Supplement No. 2]

3. Division III Philosophy Statement and Strategic Positioning Platform. [Supplement Nos. 3a and 3b] (Dan Dutcher)

* 4. Board of Governors update. [Supplement No. 4a] (Mark Emmert/Donald Remy/Jackie Campbell/Joni Comstock)
   a. Social decision tree. [Supplement No. 4b]
   b. Sexual violence prevention policy. [Supplement No. 4c]
   c. Committee to Promote Cultural Diversity and Equity. [Supplement No. 4d]
   d. Student-Athlete Engagement Committee.
   e. Alcohol and championship policy. [Supplement No. 4e]
   f. Division I – Commission on College Basketball. [Supplement No. 4f]

5. Division III Strategic Planning and Finance Committee. (Al Cureton/Dan Dutcher/Louise McCleary)
   a. 2016-17 final budget-to-actual. [Supplement No. 5a]
   b. 2017-18 budget-to-actual. [Supplement No. 5b]
   c. Future projections. [Supplement No. 5c]

6. Discuss Presidents/Chancellors Advisory Group membership policy. [Supplement No. 6] (Dutcher)

* 7. Sport Science Institute updates. (Brian Hainline/John Parsons)
   a. Uniform standards of care process. [Supplement No. 7a]
   b. SSI strategic priorities timeline. [Supplement No. 7b]
   c. Substance Abuse Prevention and Intervention Tool Kit. [Supplement No. 7c]
8. 2017 Division III Graduation Rates. [Supplement No. 8] (Eric Hartung)

9. 2018 Division III Membership Survey. [Supplement No. 9] (Hartung)

Wednesday, October 25
Joint Divisional Breakfast/Meeting at 7:30 a.m.
[Centennial Ballroom Salon C]

Division III Presidents Council Meeting 9 to 11 a.m.
[Centennial Ballroom Salon B]

10. Reconvene and announcements. (Docking)

11. Minutes, summaries and agendas. (Docking)
   a. Summary of summer 2017 Quarterly Meeting. [Supplement No. 10]
   b. Administrative Committee actions. [Supplement Nos. 11a and 11b]


   a. Review and approve proposal groupings and voting method. [Supplement No. 13a] (Jeff Myers/Chris Brown)
   b. Review proposed legislation, governance structure positions and speaker assignments. [Supplement No. 13b] (Myers/Brown)
      • Consider amendment-to-amendment – SAAC composition proposal. [Supplement No. 13c will be posted at a later date.]
   c. Presidential outreach regarding key proposals. (Dutcher)


15. 2018 NCAA Division III Convention - Logistics. (McCleary)
   a. Convention registration process. [Supplement No. 15a]
   b. Review schedule. [Supplement No. 15b]

16. Governmental Relations report. [Supplement No. 16 – Information only]
17. Future meetings. (Docking)
      • January 18 – 7:30 to 9 a.m. – Joint PC/MC/SAAC breakfast.
      • January 18 – 9:15 to 11:15 a.m. – Presidents Council meeting.
      • January 18 – 11:30 to 1 p.m. – Chancellors/Presidents Forum and Luncheon.
   b. April 24-25, 2018 – Indianapolis.
      • April 24 – 5:45 to 9 p.m. – Presidents Council dinner/meeting.
      • April 25 – 7:30 to 9 a.m. – Joint presidential breakfast meeting.
      • April 25 – 9 a.m. to 12:30 p.m. – Presidents Council meeting.
   c. August 7-8, 2018 – Indianapolis.
      • August 7 – 5:45 to 9 p.m. – President’s Advisory Group meeting.
      • August 8 – 7:30 to 9 a.m. – Joint presidential breakfast meeting.
      • August 8 – 9 a.m. to 12:30 p.m. – Presidents Council meeting.
   d. October 23-24, 2018 – Metropolitan State University of Denver.
      • Scheduled to be determined.

18. Other Business. (Docking)

19. Adjournment.

@ Denotes key action items.

* Denotes key discussion topics.
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Amott, Teresa [January 2018]
- Nominations Subcommittee
- BOG Commission to Combat Campus Sexual Violence

Cevallos, Javier [January 2021]
- Diversity and Inclusion Working Group

Cooper, Mary Beth [January 2019]
- Nominations Subcommittee

Cureton, Alan, vice chair [January 2018]
- Administrative Committee
- Board of Governors
- Strategic Planning and Finance Committee, chair
- Board of Governors Finance and Audit

Docking, Jeffrey, chair [January 2019]
- Administrative Committee
- Board of Governors
- PC/MC Joint Legislative Steering Committee
- Board of Governors’ Executive Committee

Dorsey, Stuart [January 2018]
- Nominations Subcommittee

Drugovich, Margaret [January 2020]
- Strategic Planning and Finance Committee
- LGBTQ Working Group

Foley, Thomas [January 2018]
- Nominations Subcommittee

Fritz, William [January 2020]
- Convention-Planning Subcommittee

Henderson, Sue [January 2019]
- PC/MC Joint Legislative Steering Committee
- Strategic Planning and Finance Committee

Hirsh, Sharon [January 2019]
- Convention-Planning Subcommittee

Huntington, Robert [January 2019]
- Strategic Planning and Finance Committee

Lindgren, Robert [January 2019]
- Nominations Subcommittee

Murden McClure, Tori [January 2021]
- Nominations Subcommittee

Murray, Kathleen [Effective 11/1/17] NEW
- Nominations Subcommittee

Nunez, Elsa [January 2020]
- Nominations Subcommittee

Pantic, Zorica [January 2018]
- Nominations Subcommittee
- Infractions Appeals

Shank, Matthew [January 2021]
- Convention-Planning Subcommittee
- PC/MC Joint Legislative Steering Committee
DIVISION III PHILOSOPHY STATEMENT

Colleges and universities in Division III place the highest priority on the overall quality of the educational experience and on the successful completion of all students’ academic programs. They seek to establish and maintain an environment in which a student-athlete’s athletics activities are conducted as an integral part of the student-athlete’s educational experience, and an environment that values cultural diversity and gender equity among their student-athletes and athletics staff.

(a) Expect that institutional presidents and chancellors have the ultimate responsibility and final authority for the conduct of the intercollegiate athletics program at the institutional, conference and national governance levels;

(b) Place special importance on the impact of athletics on the participants rather than on the spectators and place greater emphasis on the internal constituency (e.g., students, alumni, institutional personnel) than on the general public and its entertainment needs;

(c) Shall not award financial aid to any student on the basis of athletics leadership, ability, participation or performance;

(d) Primarily focus on intercollegiate athletics as a four-year, undergraduate experience;

(e) Encourage the development of sportsmanship and positive societal attitudes in all constituents, including student-athletes, coaches, administrative personnel and spectators;

(f) Encourage participation by maximizing the number and variety of sport offerings for their students through broad-based athletics programs;

(g) Assure that the actions of coaches and administrators exhibit fairness, openness and honesty in their relationships with student-athletes;

(h) Assure that athletics participants are not treated differently from other members of the student body;

(i) Assure that student-athletes are supported in their efforts to meaningfully participate in nonathletic pursuits to enhance their overall educational experience;

(j) Assure that athletics programs support the institution’s educational mission by financing, staffing and controlling the programs through the same general procedures as other departments of the institution. Further, the administration of an institution’s athletics program (e.g., hiring, compensation, professional development, certification of coaches) should be integrated into the campus culture and educational mission;
(k) Assure that athletics recruitment complies with established institutional policies and procedures applicable to the admission process;

(l) Exercise institutional and/or conference autonomy in the establishment of initial and continuing eligibility standards for student-athletes;

(m) Assure that academic performance of student-athletes is, at a minimum, consistent with that of the general student body;

(n) Assure that admission policies for student-athletes comply with policies and procedures applicable to the general student body.

(o) Provide equitable athletics opportunities for males and females and give equal emphasis to men’s and women’s sports;

(p) Support ethnic and gender diversity for all constituents;

(q) Give primary emphasis to regional in-season competition and conference championships; and

(r) Support student-athletes in their efforts to reach high levels of athletics performance, which may include opportunities for participation in national championships, by providing all teams with adequate facilities, competent coaching and appropriate competitive opportunities.

The purpose of the NCAA is to assist its members in developing the basis for consistent, equitable competition while minimizing infringement on the freedom of individual institutions to determine their own special objectives and programs. The above statement articulates principles that represent a commitment to Division III membership and shall serve as a guide for the preparation of legislation by the division and for planning and implementation of programs by institutions and conferences.
The college experience is a time of learning and growth – a chance to follow passions and develop potential. For student-athletes in Division III, this happens most importantly in the classroom and through earning an academic degree. The Division III experience provides for passionate participation in a competitive athletics environment, where student-athletes push themselves to excellence and build upon their academic success with new challenges and life skills. And student-athletes are encouraged to pursue the full spectrum of opportunities available during their time in college. In this way, Division III provides an integrated environment for student-athletes to take responsibility for their own paths, follow their passions and find their potential through a comprehensive educational experience.

About one-quarter of all students at Division III institutions participate in athletics. Division III institutions develop student-athlete potential through a holistic educational approach that includes rigorous academics, competitive athletics and opportunity to pursue other interests.

Student-athletes do not receive any monetary incentive (athletics scholarship) to play sports in college. They play for the love and passion of the game and to push themselves to be their best, creating a primary focus on learning and achievement of their degree. The division minimizes the necessary commitment and their own passions.

Division III institutions offer athletics for the educational value and benefit to the student-athlete, not for the purposes of revenue generation or entertainment. Participation in athletics provides valuable "life lessons" for student-athletes (teamwork, discipline, perseverance, leadership, etc.), which often translate into them becoming better students and more responsible citizens.

Student-athletes are integrated on campus and treated like all other members of the general student-body, keeping them focused on being a student first. Academics are the primary focus for student-athletes. Shorter practice and playing seasons, no red-shirting and regional competition minimize time away from their academic studies and keep student-athletes on a path to graduation. Participation in athletics provides valuable "life lessons" for student-athletes (teamwork, discipline, perseverance, leadership, etc.), which often translate into them becoming better students and more responsible citizens.

Division III institutions develop student-athlete potential through a comprehensive educational approach.

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AGENDA
National Collegiate Athletic Association
Board of Governors

UCLA Meyer and Renee Luskin Conference Center, Los Angeles, CA  October 24, 2017  8 a.m. to 12 p.m.

1. Welcome and announcements. (President Bud Peterson)

2. Consent Agenda.1 (Action Item)
   a. Reports of the NCAA Board of Governors August 8, 2017, meeting and October 6, 2017, teleconference. [Supplement Nos. 1a and 1b]
   b. Roster for the NCAA Board of Governors Committee to Promote Cultural Diversity and Equity. [Supplement No. 2]

3. NCAA president’s report. (Information) (President Mark Emmert)

4. NCAA policy regarding the availability of alcohol at NCAA championships. (Action Anticipated)

5. Board of Governors engagement in social issues. (Action Anticipated) (Donald Remy) [Supplement Nos. 3-a and 3-b]

6. NCAA Board of Governors Finance and Audit Committee report. (Chancellor Philip DiStefano)
   a. 2016-17 year-end fiscal update. (Information)

7. Law, Policy and Governance Strategic Discussion.
   a. Sports Science Institute/Committee on Competitive Safeguards and Medical Aspects of Sports. (Information) (Brian Hainline) [Supplement No. 5]
   b. Government relations. (Information) [Supplement No. 6]
   c. Legal and litigation update. (Information) (Scott Bearby) [Supplement No. 7]

1 Consent agenda items: The Chair has determined that the following items are routine or noncontroversial items not requiring discussion or independent action. These items therefore will be presented as one agenda item. Possible action by a member of the Board of Governors: (1) Seek Chair or staff clarification prior to the October meeting; (2) Request that an item be removed for further discussion. (3) Approve all or remaining items in the consent package in one motion.
8. Update on NCAA strategic planning process. *(Information)* (President Glen Jones)

9. NCAA Board of Governors’ Executive Committee report. *(Information)* (President Bud Peterson)

10. Executive Session.

11. Adjournment.
Is this an issue of significant national scope and serious import that directly impacts the student-athlete experience or the conduct of member institutions in the context of intercollegiate athletics and higher education?

Yes

Is the issue/event inconsistent with NCAA Constitution 2 (Principles for Conduct of Intercollegiate Athletics), Association values or NCAA Board of Governors Policies?

Yes

Is there an NCAA process/program charged with addressing this issue?

Yes

Is there another entity better equipped or charged with addressing the issue, including related subissues?

Yes

If the NCAA chooses not to act (defers to other entity), is there organizational risk to consider?

Yes

NCAA does not act.

No

Board of Governors considers options for action.

No

NCAA does not act.

No

Board of Governors considers recommendation.

Yes

Allow established process/program/committee to address issue and forward recommendation to Board of Governors.**

No

Is there a disparate or detrimental impact on student-athletes, staff and/or fans?

Yes

NCAA does not act.

No

Is there an NCAA process/program/committee charged with addressing this issue?

Yes

Is this the best qualified entity to address this issue?

Yes

No

** Time sensitive issues may require immediate consideration and action by the Board of Governors.
Preamble.

The NCAA is a voluntary membership organization dedicated to promoting and developing its core values of academics, well-being and fairness among the 1,100 member schools and more than 450,000 student-athletes who participate in college sports. Sexual discrimination, sexual harassment and sexual violence violate human decency and the Association's core values.

Association's Efforts in Sexual Violence Prevention.

The Association has been actively engaged in addressing sexual violence prevention through proactive membership and societal engagement measures since 2010. In 2010, the NCAA Executive Committee (now NCAA Board of Governors) issued a directive to the NCAA Committee on Sportsmanship and Ethical Conduct to support the membership in addressing sexual violence on campus. The directive was followed-up with the 2011 Summit on Violence Prevention. In 2012, the NCAA sponsored a think tank, which led to the production of the comprehensive 2014 guide titled "Addressing Sexual Assault and Interpersonal Violence." In August 2014, the Executive Committee passed a resolution that specifies that appropriately addressing sexual violence is integral to responsible intercollegiate athletics programs. Specifically, the resolution states that addressing sexual violence: (1) Is consistent with the values and principles articulated in the NCAA Constitution; (2) Is mandated by state and federal laws; and (3) Must be part of a collaborative effort with campus policies. Following the resolution, a newly formed inter-disciplinary task force produced the "Sexual Violence Prevention Toolkit" in 2016, which is endorsed by 12 Higher Education organizations, five NCAA committees and three national organizations. Also in 2016, the NCAA convened the Higher Education Summit on Sexual Assault and Interpersonal Violence which included representatives from higher education associations, NCAA Association-wide committees and subject matter experts. In August of that year, the Board of Governors appointed a Commission to Combat Campus Sexual Violence, for which the commission defined the aspirational culture for colleges and universities as:

A positive and thriving athletics team culture that revolves around respect and empathy for all, fostering a climate in which all feel that they are respected, valued and contributing members of their teams, athletics programs and institutions; and creating an environment in which students (athletes and nonathletes alike) feel safe and secure, both emotionally and physically, and are free of fears of retaliation or reprisal. The positive culture exuded by a member institution's NCAA teams is the catalyst for a positive culture across an entire campus.

In keeping with this aspirational culture, the commission recommended the Board of Governors adopt an Association-wide policy to reinforce previous efforts of the Association in addressing campus sexual violence and this document represents the Board of Governors’ adoption of such policy.
Overarching Principles.

1. Intercollegiate athletics departments should be fully knowledgeable about and integrated in overall campus policies and processes addressing sexual violence prevention and acts of sexual violence, particularly those related to adjudication and resolution of matters related to sexual violence.

2. Intercollegiate athletics departments should review annually the most current Checklist Recommendations of the NCAA Sexual Violence Prevention Toolkit, using it as a guide with resources to conduct ongoing, comprehensive education for student-athletes, coaches and athletics administrators.

3. Intercollegiate athletics programs should utilize their platform to serve as leaders on campus through engagement in and collaboration on efforts to support campus-wide sexual violence prevention initiatives. This includes involving student-athletes in prevention efforts in meaningful ways across the campus, including encouraging use of leadership roles on campus to support such efforts.

Each university chancellor/president, director of athletics and Title IX coordinator* must attest annually that:

1. The athletics department is fully knowledgeable about, integrated in, and compliant with institutional policies and processes regarding sexual violence prevention and proper adjudication and resolution of acts of sexual violence.

2. The institutional policies and processes regarding sexual violence prevention and adjudication, and the name and contact information for the campus Title IX coordinator,* are readily available within the department of athletics and provided to student-athletes.

3. All student-athletes, coaches and staff have been educated on sexual violence prevention, intervention and response, to the extent allowable by state law and collective bargaining agreements.

Further, the athletics department will cooperate with college or university investigations into reports and matters related to sexual violence involving student-athletes and athletics department staff in a manner compliant with institutional policies for all students.

*For international members, the Title IX coordinator or institutional staff member with comparable responsibilities.
NCAA BOARD OF GOVERNORS
COMMITTEE TO PROMOTE CULTURAL DIVERSITY AND EQUITY
CHARTER
As of August 2017

1. **Charge.** The NCAA Board of Governors Committee to Promote Cultural Diversity and Equity has been established by the NCAA Board of Governors to review, endorse and make recommendations regarding diversity and inclusion matters that impact the Association.

2. **Composition.** The Committee to Promote Cultural Diversity and Equity shall be comprised of the following nine members:
   a. Six presidents/chancellors with two members representing each of the three divisions. (At least two of the presidents/chancellors shall be members or former members of the Board of Governors.)
   b. Three at large members:
      1. An athletics administrator.
      2. An individual whose primary job responsibilities include addressing diversity and equity initiatives and fostering inclusion across multiple constituencies.
      3. A student-athlete.

3. **Duties and Responsibilities of the Committee.** The committee shall review and react to recommendations from the NCAA Committee on Women’s Athletics and the NCAA Minority Opportunities and Interests Committee, receive information and explanations of Association-wide activities, review and react to topics referred to it by the Board of Governors, and provide comment to the Board of Governors on Association-wide matters of interest.

4. **Election/Terms of Office.**
   a. **Election.** The members shall be appointed by the Board of Governors.
   b. **Terms.** Members shall serve a three-year term.
   c. **Committee Chair.** The committee chair shall be a president/chancellor elected by the committee.

5. **Meeting Frequency and Flexibility.** The committee will meet in-person a minimum of once a year. All other committee meetings will be conducted by teleconference or videoconference as necessary.
Championships In Stadium Beer and Wine Sales Pilot Program

Board of Governors Meeting – August 2017
Pilot Program-Year Two

- Positive fan response
- Favorable participant feedback
- Reduction of incidents in parking areas/outside of stadium
- Incremental revenue
Pilot Program-Year Two

- Collaboration with TEAM Coalition
  - Educational materials and best practice tip sheets
  - Fan Code of Conduct
  - Emphasize responsible alcohol consumption at the events

- Increased security personnel and preparation at each venue
Pilot Program-Year Two

Program implemented at the following championships.

- FCS Football
- Men’s and Women’s Lacrosse
  (including DII & DIII men’s lacrosse)
- Women’s College World Series (year 2)
- Men’s College World Series (year 2)
Pilot Program-Year Two

2016-17 Feedback

- **Attendee Feedback**
  - High awareness and favorable impact on fan experience
- **Participant Feedback**
  - 18 teams responding; 100% indicated no impact on their experience
- **Law Enforcement Reports**
  - Number of incidents:
    - Men’s and Women’s College World Series were consistent with last year, and significantly less than years prior to selling beer/wine.
    - FCS Football same as previous year
    - Men’s and Women’s Lacrosse less than previous year
  - Citations: down significantly over all five championships
- **Revenue**
  - NCAA net: $1,138,200
Pilot Program-Year Two

Recommendation for action by the BOG

• Approve 3rd year of pilot program and include
  • 2017 DI Women’s Volleyball – Kansas City, Missouri
  • 2018 DI Wrestling – Cleveland, Ohio
  • 2018 DI Men’s Ice Hockey (Frozen Four) – St. Paul, Minnesota

AND

• To refer to the Division I Board of Directors and Divisions II and III Presidents Councils to support the appropriate legislative measures to ensure the pilot program is effectuated consistent with the division’s legislative requirements
THANK YOU
NCAA COMMISSION ON COLLEGE BASKETBALL CHARTER
As of October 2017

1. **Charge.** The Commission on College Basketball has been established by the NCAA Board of Governors, Division I Board and President to fully examine critical aspects of Division I men’s basketball. The Commission is strongly encouraged to identify bold legislative, policy and structural modifications to improve the integrity of our processes and the well-being of our student athletes. Further, the Boards stand ready and are committed to implement appropriate meaningful and lasting changes. Specifically, the commission will focus on three areas:

   a. The relationship of the NCAA national office, member institutions, student-athletes and coaches with outside entities, including:

      (1) Apparel companies and other commercial entities, to establish an environment where they can support programs in a transparent way, but not become an inappropriate or distorting influence on the game, recruits or their families.

      (2) Non-scholastic basketball, with a focus on the appropriate involvement of college coaches and others.

      (3) Agents or advisors, with an emphasis on how students and their families can get legitimate advice without being taken advantage of, defrauded or risking their NCAA eligibility.

   b. The NCAA’s relationship with the NBA, and the challenging effect the NBA’s so-called “one and done” rule has had on college basketball, including how the NCAA can change its own eligibility rules to address that dynamic.

   c. Creating the right relationship between the universities and colleges of the NCAA and its national office to promote transparency and accountability. The commission will be asked to evaluate whether the appropriate degree of authority is vested in the current enforcement and eligibility processes, and if the collaborative model provides the investigatory tools, cultural incentives and structures to ensure exploitation and corruption cannot hide in college sports.

2. **Composition.** The Commission on College Basketball will be composed of the following members:

   **Dr. Condoleezza Rice (chair)**
   Former Provost, Stanford University
   66th U.S. Secretary of State

   **Mary Sue Coleman**
   President
   Association of American Universities

   **General Martin E. Dempsey, U.S. Army, Retired**
   Chairman
   USA Basketball
3. **Duties and Responsibilities of the Commission.** The commission will gather information and expert opinions for making transformative recommendations to the DI Board of Directors and Board of Governors on the needed legislation, policies, actions and structure(s) to protect the integrity of college sports, with a focus on Division I men’s basketball. The goal is for the commission to
complete its work with a report to the boards for action at their April 2018 meetings.

4. **Appointment/Terms of Office.**

   a. **Appointments.** The Commission chair and members are appointed by the NCAA President.

   b. **Term.** Members will serve an initial six-month term.

5. **Meeting Frequency and Flexibility.** The Commission will have at least four meetings by March 2018, with periodic briefings to the executive and administrative committees of the Boards and a final briefing to the full Boards in April 2018. Meetings can occur by teleconference, videoconference, or in-person.
### 2014-15 - 2015-16 - 2016-17

#### Revenue:

<table>
<thead>
<tr>
<th>Category</th>
<th>Budget</th>
<th>Actual</th>
<th>Difference</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Division III 3.18% Revenue Allocation</td>
<td>27,117,000</td>
<td>29,639,760</td>
<td>2,522,760</td>
<td>-87.5%</td>
</tr>
<tr>
<td>Division III Other Revenue</td>
<td>-</td>
<td>100,000</td>
<td>-100,000</td>
<td>-100%</td>
</tr>
<tr>
<td>Total Revenue</td>
<td>27,117,000</td>
<td>29,739,770</td>
<td>2,622,770</td>
<td>-91.6%</td>
</tr>
</tbody>
</table>

#### Expenses:

<table>
<thead>
<tr>
<th>Category</th>
<th>2014-15</th>
<th>2015-16</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Men's Championships</td>
<td>10,225,600</td>
<td>10,301,887</td>
<td>2.7%</td>
</tr>
<tr>
<td>Women's Championships</td>
<td>1,250,900</td>
<td>1,065,040</td>
<td>14.7%</td>
</tr>
<tr>
<td>Basketball</td>
<td>2,150,000</td>
<td>1,957,760</td>
<td>-9.5%</td>
</tr>
<tr>
<td>Basketball Anniversary</td>
<td>-</td>
<td>200,000</td>
<td>100%</td>
</tr>
<tr>
<td>Cross Country</td>
<td>-</td>
<td>50,000</td>
<td>100%</td>
</tr>
<tr>
<td>Field Hockey</td>
<td>410,500</td>
<td>430,000</td>
<td>4.6%</td>
</tr>
<tr>
<td>Golf</td>
<td>311,000</td>
<td>328,608</td>
<td>5.9%</td>
</tr>
<tr>
<td>Ice Hockey</td>
<td>373,000</td>
<td>346,000</td>
<td>-8.3%</td>
</tr>
<tr>
<td>Lacrosse</td>
<td>686,000</td>
<td>624,848</td>
<td>-9.2%</td>
</tr>
<tr>
<td>Rowing</td>
<td>294,000</td>
<td>341,172</td>
<td>16.4%</td>
</tr>
<tr>
<td>Soccer</td>
<td>1,182,000</td>
<td>1,354,242</td>
<td>14.4%</td>
</tr>
<tr>
<td>Softball</td>
<td>1,369,000</td>
<td>1,345,242</td>
<td>-1.7%</td>
</tr>
<tr>
<td>Swimming &amp; Diving</td>
<td>508,000</td>
<td>531,712</td>
<td>4.5%</td>
</tr>
<tr>
<td>Tennis</td>
<td>624,000</td>
<td>604,484</td>
<td>-3.2%</td>
</tr>
<tr>
<td>Track, Indoor</td>
<td>592,000</td>
<td>602,000</td>
<td>1.7%</td>
</tr>
<tr>
<td>Track, Outdoor</td>
<td>778,000</td>
<td>686,324</td>
<td>-12.5%</td>
</tr>
<tr>
<td>Volleyball</td>
<td>963,000</td>
<td>963,713</td>
<td>0.7%</td>
</tr>
<tr>
<td>Other</td>
<td>-</td>
<td>3,214</td>
<td>100%</td>
</tr>
<tr>
<td>Total Women's Championships</td>
<td>9,785,400</td>
<td>9,347,083</td>
<td>-4.4%</td>
</tr>
</tbody>
</table>

#### Year-to-date:

- **2016-17**: 10,301,887
- **2015-16**: 10,225,600
- **2014-15**: 10,225,600

#### Budget vs. Actual:

- **2016-17**: 29,695,153, 32,547,992, 2,852,839
- **2015-16**: 28,543,863, 30,247,544, 1,703,681
- **2014-15**: 27,117,000, 29,739,770, 2,622,770

**Legend:**
- Budget
- Actual
- Difference
- Percentage
## 2016-17 Division III Budget-to-Actual (Thru August 2017)

(continued)

### PRELIMINARY

| Expenses (continued): | 2014-15 | 2015-16 | 2016-17
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Budget</td>
<td>Actual</td>
<td>Difference</td>
</tr>
<tr>
<td>Non-Championships Expenses</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Strategic Initiative Conference Grants</td>
<td>2,490,900</td>
<td>2,478,140</td>
<td>12,761</td>
</tr>
<tr>
<td>Other Division III Strategic Initiatives</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Women &amp; Minority Intern Program</td>
<td>890,000</td>
<td>834,721</td>
<td>55,279</td>
</tr>
<tr>
<td>Strategic Alliance Matching Grant</td>
<td>600,000</td>
<td>615,230</td>
<td>(15,230)</td>
</tr>
<tr>
<td>Student-Athlete Leadership Conference</td>
<td>356,000</td>
<td>271,929</td>
<td>84,071</td>
</tr>
<tr>
<td>Division III Identity Program</td>
<td>600,500</td>
<td>285,998</td>
<td>314,502</td>
</tr>
<tr>
<td>III Diversity Initiatives</td>
<td>30,000</td>
<td>31,392</td>
<td>18,608</td>
</tr>
<tr>
<td>360 Proof (formerly Drug Education and Research)</td>
<td>600,000</td>
<td>311,192</td>
<td>288,808</td>
</tr>
<tr>
<td>ADR Institute</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>FAR Institute</td>
<td>85,000</td>
<td>79,960</td>
<td>5,040</td>
</tr>
<tr>
<td>Campus-based Student-Athlete Leadership Programs</td>
<td>80,000</td>
<td>61,946</td>
<td>18,054</td>
</tr>
<tr>
<td>Annual Convention</td>
<td>50,000</td>
<td>44,388</td>
<td>5,612</td>
</tr>
<tr>
<td>New AD and Commissioner Orientation</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>NADIIIAA Partnership</td>
<td>51,000</td>
<td>51,551</td>
<td>(551)</td>
</tr>
<tr>
<td>Division-wide Sportmanship Initiative</td>
<td>15,000</td>
<td>13,250</td>
<td>1,750</td>
</tr>
<tr>
<td>Co-SIDA Partnership</td>
<td>44,000</td>
<td>39,500</td>
<td>4,500</td>
</tr>
<tr>
<td>Division III Event Cancellation Insurance</td>
<td>109,000</td>
<td>109,000</td>
<td>-</td>
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<tr>
<td>Special Olympics Partnership</td>
<td>35,000</td>
<td>28,000</td>
<td>7,000</td>
</tr>
<tr>
<td>SWA Enhancement Grant Program [NACWA/HERS]</td>
<td>26,000</td>
<td>29,699</td>
<td>(3,699)</td>
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<tr>
<td>Academic Reporting Honorarium</td>
<td>25,000</td>
<td>24,208</td>
<td>792</td>
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<tr>
<td>Conference Commissioners Meeting</td>
<td>15,000</td>
<td>12,257</td>
<td>2,743</td>
</tr>
<tr>
<td>Other Working Groups</td>
<td>21,000</td>
<td>24,435</td>
<td>(3,435)</td>
</tr>
<tr>
<td>Additional Spring In-Person SAAC Meeting</td>
<td>35,000</td>
<td>18,184</td>
<td>16,816</td>
</tr>
<tr>
<td>Miscellaneous Division III Initiatives</td>
<td>25,000</td>
<td>7,761</td>
<td>17,239</td>
</tr>
<tr>
<td>Sickle Cell Trait Testing Reimbursement Program</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Exploratory/Provisional Membership</td>
<td>-</td>
<td>6,366</td>
<td>(6,366)</td>
</tr>
<tr>
<td>Non-Championships Expense</td>
<td>6,203,400</td>
<td>5,379,107</td>
<td>824,293</td>
</tr>
<tr>
<td>Overhead Allocation</td>
<td>920,000</td>
<td>920,000</td>
<td>-</td>
</tr>
<tr>
<td>Total Non-Championships Expenses</td>
<td>7,123,400</td>
<td>6,299,107</td>
<td>824,293</td>
</tr>
<tr>
<td>Total Division III Expenses</td>
<td>27,459,400</td>
<td>26,293,076</td>
<td>1,166,324</td>
</tr>
<tr>
<td>Excess Revenue over Expense</td>
<td>(342,400)</td>
<td>3,446,694</td>
<td>3,469,194</td>
</tr>
</tbody>
</table>
### Revenue:

<table>
<thead>
<tr>
<th></th>
<th>Budget</th>
<th>Year-to-date</th>
<th>Year-to-date</th>
<th>Year-to-date</th>
<th>Year-to-date</th>
<th>Budget</th>
<th>Year-to-date</th>
<th>Year-to-date</th>
<th>Year-to-date</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Actual</td>
<td>Difference</td>
<td>Actual</td>
<td>Charter</td>
<td></td>
<td>Actual</td>
<td>Difference</td>
<td>Actual</td>
</tr>
<tr>
<td>Division III 3.18% Revenue Allocation</td>
<td>28,543,863</td>
<td>30,140,829</td>
<td>1,596,966</td>
<td>3,638,651</td>
<td>N/A</td>
<td>29,695,153</td>
<td>32,276,861</td>
<td>2,581,708</td>
<td>3,685,921</td>
</tr>
<tr>
<td>Division III Other Revenue</td>
<td>-</td>
<td>106,715</td>
<td>106,715</td>
<td>N/A</td>
<td>N/A</td>
<td>-</td>
<td>77,485</td>
<td>77,485</td>
<td>N/A</td>
</tr>
<tr>
<td>Total Revenue</td>
<td>28,543,863</td>
<td>30,247,544</td>
<td>1,703,681</td>
<td>3,638,651</td>
<td>N/A</td>
<td>29,695,153</td>
<td>32,354,364</td>
<td>2,659,193</td>
<td>3,685,921</td>
</tr>
</tbody>
</table>

### Expenses:

#### Championship Expenses

**Men's Championships**

- **Basketball**
  - Revenue: 1,891,000
  - Expenses: 1,963,071
  - Difference: (72,071)

- **Cross Country**
  - Revenue: 514,000
  - Expenses: 560,320
  - Difference: (46,320)

- **Football**
  - Revenue: 1,820,000
  - Expenses: 1,906,585
  - Difference: (86,585)

- **Golf**
  - Revenue: 541,000
  - Expenses: 561,541
  - Difference: (20,541)

- **Ice Hockey**
  - Revenue: 316,000
  - Expenses: 340,417
  - Difference: (24,417)

- **Lacrosse**
  - Revenue: 446,000
  - Expenses: 480,824
  - Difference: (34,824)

- **Soccer**
  - Revenue: 1,046,000
  - Expenses: 1,011,694
  - Difference: 24,306

- **Swimming & Diving**
  - Revenue: 513,000
  - Expenses: 531,072
  - Difference: (18,072)

- **Tennis**
  - Revenue: 615,000
  - Expenses: 631,301
  - Difference: (16,301)

- **Track, Indoor**
  - Revenue: 569,000
  - Expenses: 625,267
  - Difference: (56,267)

- **Track, Outdoor**
  - Revenue: 771,000
  - Expenses: 795,214
  - Difference: (24,214)

- **Volleyball**
  - Revenue: 167,000
  - Expenses: 180,281
  - Difference: (13,281)

- **Wrestling**
  - Revenue: 381,000
  - Expenses: 391,535
  - Difference: (10,535)

**Total Men's Championships**: $3,614,000, 10,272,481, 341,519 (5.13%), 1,096,235 (52.7%), 1,110,363, 10,876,223, 234,140 (13.92%), 1,450,866, 12,308,900 (68.35%), 13,277,256 (105.6%)  

**Women's Championships**

- **Basketball**
  - Revenue: 1,304,000
  - Expenses: 1,350,348
  - Difference: (46,348)

- **Basketball Anniversary**
  - Revenue: 200,000
  - Expenses: 107,364
  - Difference: 92,636

- **Cross Country**
  - Revenue: 561,000
  - Expenses: 581,323
  - Difference: (20,323)

- **Field Hockey**
  - Revenue: 431,000
  - Expenses: 447,830
  - Difference: (16,830)

- **Golf**
  - Revenue: 321,000
  - Expenses: 333,377
  - Difference: (12,377)

- **Ice Hockey**
  - Revenue: 286,000
  - Expenses: 296,004
  - Difference: (10,004)

- **Lacrosse**
  - Revenue: 727,000
  - Expenses: 746,444
  - Difference: (19,444)

- **Rowing**
  - Revenue: 305,000
  - Expenses: 317,709
  - Difference: (12,709)

- **Soccer**
  - Revenue: 1,227,000
  - Expenses: 1,236,436
  - Difference: (9,436)

- **Softball**
  - Revenue: 1,418,000
  - Expenses: 1,453,315
  - Difference: (35,315)

- **Swimming & Diving**
  - Revenue: 525,000
  - Expenses: 543,662
  - Difference: (18,662)

- **Tennis**
  - Revenue: 647,000
  - Expenses: 664,143
  - Difference: (17,143)

- **Track, Indoor**
  - Revenue: 617,000
  - Expenses: 626,450
  - Difference: (9,450)

- **Track, Outdoor**
  - Revenue: 807,000
  - Expenses: 823,161
  - Difference: (16,161)

- **Volleyball**
  - Revenue: 999,000
  - Expenses: 1,031,544
  - Difference: (32,544)

**Total Women's Championships**: $3,225,000, 9,226,440, 1,148,560 (86.8%), 83,818 (4.7%), 10,478,746, 9,454,752, 1,023,994 (5.2%), 418,213, 11,193,550 (3.61%), 11,189,934 (-5.6%)
### Expenses (continued):

<table>
<thead>
<tr>
<th></th>
<th>2015-16 Budget</th>
<th>2015-16 Actual</th>
<th>Difference</th>
<th>2016-17 Budget</th>
<th>2016-17 Actual</th>
<th>Difference</th>
<th>2017-18 Budget</th>
<th>2017-18 Actual</th>
<th>Difference</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Non-Championship Expenses</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Strategic Initiative Conference Grants</td>
<td>2,541,000</td>
<td>2,521,326</td>
<td>19,674</td>
<td>2,541,002</td>
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<tr>
<td>Women &amp; Minority Intern Program</td>
<td>890,000</td>
<td>811,812</td>
<td>78,188</td>
<td>785,400</td>
<td></td>
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<tr>
<td>Strategic Alliance Matching Grant</td>
<td>600,000</td>
<td>547,997</td>
<td>52,003</td>
<td>542,406</td>
<td></td>
<td></td>
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<tr>
<td>Student-Athlete Leadership Conference</td>
<td>365,000</td>
<td>353,250</td>
<td>11,750</td>
<td></td>
<td></td>
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<tr>
<td>Division III Identity Program</td>
<td>446,000</td>
<td>293,460</td>
<td>152,540</td>
<td>26,619</td>
<td></td>
<td></td>
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<tr>
<td>DIII Diversity Initiatives</td>
<td>231,000</td>
<td>97,018</td>
<td>133,982</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Division-wide Sportsmanship Initiative</td>
<td>50,000</td>
<td>-</td>
<td>50,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>360 Proof (formerly Drug Education and Research)</td>
<td>200,000</td>
<td>81,294</td>
<td>118,706</td>
<td>23,533</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>ADR Institute</td>
<td>80,000</td>
<td>95,286</td>
<td>(15,286)</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td>Miscellaneous Division III Initiatives</td>
<td>10,000</td>
<td>5,802</td>
<td>4,198</td>
<td>5,057</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>New AD and Commissioner Orientation</td>
<td>50,000</td>
<td>78,469</td>
<td>(28,469)</td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>DIII FAR Institute</td>
<td>80,000</td>
<td>82,365</td>
<td>(2,365)</td>
<td>6,760</td>
<td></td>
<td></td>
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<tr>
<td>Campus-based Student-Athlete Leadership Programs</td>
<td>80,000</td>
<td>80,023</td>
<td>(23)</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>NADHIBA Partnership</td>
<td>52,000</td>
<td>42,196</td>
<td>9,804</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Annual Convention</td>
<td>70,000</td>
<td>42,443</td>
<td>27,557</td>
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<tr>
<td>Co-SIDA Partnership</td>
<td>44,000</td>
<td>39,500</td>
<td>4,500</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<td></td>
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<tr>
<td>Division III Event Cancellation Insurance</td>
<td>55,000</td>
<td>44,953</td>
<td>10,047</td>
<td>41,463</td>
<td></td>
<td></td>
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<tr>
<td>Academic Reporting Honorarium</td>
<td>25,000</td>
<td>24,282</td>
<td>781</td>
<td></td>
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<tr>
<td>Special Olympics Partnership</td>
<td>35,000</td>
<td>37,489</td>
<td>(2,489)</td>
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<tr>
<td>SWA Enhancement Grant Program (NACWAA/NERS)</td>
<td>28,000</td>
<td>29,200</td>
<td>(1,200)</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Additional Spring In-Person SAAC Meeting</td>
<td>15,000</td>
<td>19,077</td>
<td>(4,077)</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Conference Commissioners Meeting</td>
<td>20,000</td>
<td>9,460</td>
<td>10,540</td>
<td></td>
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<td></td>
<td></td>
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<tr>
<td>Other Working Groups</td>
<td>21,000</td>
<td>19,084</td>
<td>1,916</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Co-SIDA DII Day</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>DIII Administrator and Commissioner Meeting</td>
<td>-</td>
<td>3,407</td>
<td>(3,407)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Sickle Cell Trait Testing Reimbursement Program</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>Exploratory/Provisional Membership</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Non-Championships Expense</strong></td>
<td>5,988,000</td>
<td>5,359,191</td>
<td>628,809</td>
<td>3,973,149</td>
<td></td>
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<td></td>
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<td></td>
</tr>
<tr>
<td>Overhead Allocation</td>
<td>957,000</td>
<td>990,000</td>
<td>(33,000)</td>
<td>877,250</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total Non-Championships Expenses</strong></td>
<td>6,945,000</td>
<td>6,349,191</td>
<td>595,809</td>
<td>4,850,399</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total Division III Expenses</strong></td>
<td>28,267,000</td>
<td>26,185,112</td>
<td>2,081,888</td>
<td>6,030,451</td>
<td></td>
<td></td>
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<td></td>
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<tr>
<td><strong>Excess Revenue over Expense</strong></td>
<td>276,863</td>
<td>4,062,432</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<td></td>
</tr>
</tbody>
</table>

W/01 Presidents Council\2017 Meetings\October\up_05b_2017-18 budget-to-actual_2 at "DII B to A (NonChamp)" tab
Updated: 10/18/2017 2:23 PM

1
## DIII Future Projections

### Assumptions:
- Game operations increase by X% each fiscal year based on FY2009-10 thru FY2015-16 average increases. Actual growth rate is 4.5% annually.
- Committee expenses increase by X% each fiscal year based on FY2011-12 thru FY2015-16 average increases. Actual growth rate is 2.1% annually.
- Reduction in Team Transportation expense estimate using 3-year average of actuals plus inflation rate noted below for FY2017-18.
- Team Transportation increases by X% each fiscal year based on cost per travel analysis for FY2007-08 thru FY2015-16.
- Non-reeating, realized gains on investments due to liquidation for $200M one-time distribution and grants-in-aid settlement of $200M for 2016-17.
- Reduction in DIII revenue related to investments due to smaller association-wide investment portfolio.
- Additional membership dues assessment is reflected as $0 in 2017-18 due to one-year deferral on implementation (approximately $519,000 if collected).
- Maintain 75%/25% ratio of championships to non-championships spending thru 2024 with draw on reserve to cover certain champs overruns during the period.

### The National Collegiate Athletic Association

### Division III Budget Projections

<table>
<thead>
<tr>
<th>Revenue:</th>
<th>Division III 3.18% Revenue Allocation</th>
<th>Additional Revenue from Membership Dues Increase</th>
<th>Division III Other Revenue</th>
<th>Total Revenue</th>
</tr>
</thead>
<tbody>
<tr>
<td>2016-17</td>
<td>$32,276,861</td>
<td>$13,155,829</td>
<td>$31,922,829</td>
<td>$65,355,522</td>
</tr>
<tr>
<td>2017-18</td>
<td>$32,883,829</td>
<td>$34,466,829</td>
<td>$519,000</td>
<td>$82,969,658</td>
</tr>
<tr>
<td>2018-19</td>
<td>$32,883,829</td>
<td>$34,466,829</td>
<td>$519,000</td>
<td>$82,969,658</td>
</tr>
<tr>
<td>2019-20</td>
<td>$32,883,829</td>
<td>$34,466,829</td>
<td>$519,000</td>
<td>$82,969,658</td>
</tr>
<tr>
<td>2020-21</td>
<td>$32,883,829</td>
<td>$34,466,829</td>
<td>$519,000</td>
<td>$82,969,658</td>
</tr>
<tr>
<td>2021-22</td>
<td>$32,883,829</td>
<td>$34,466,829</td>
<td>$519,000</td>
<td>$82,969,658</td>
</tr>
<tr>
<td>2022-23</td>
<td>$32,883,829</td>
<td>$34,466,829</td>
<td>$519,000</td>
<td>$82,969,658</td>
</tr>
<tr>
<td>2023-24</td>
<td>$32,883,829</td>
<td>$34,466,829</td>
<td>$519,000</td>
<td>$82,969,658</td>
</tr>
</tbody>
</table>

### Projected Revenue Increase

| 2016-17 | 5.1% | -5.1% | 2.4% | 2.4% | 2.1% | 0.4% | 0.2% |
| 2017-18 | 5.1% | -5.1% | 2.4% | 2.4% | 2.1% | 0.4% | 0.2% |
| 2018-19 | 5.1% | -5.1% | 2.4% | 2.4% | 2.1% | 0.4% | 0.2% |
| 2019-20 | 5.1% | -5.1% | 2.4% | 2.4% | 2.1% | 0.4% | 0.2% |
| 2020-21 | 5.1% | -5.1% | 2.4% | 2.4% | 2.1% | 0.4% | 0.2% |
| 2021-22 | 5.1% | -5.1% | 2.4% | 2.4% | 2.1% | 0.4% | 0.2% |
| 2022-23 | 5.1% | -5.1% | 2.4% | 2.4% | 2.1% | 0.4% | 0.2% |
| 2023-24 | 5.1% | -5.1% | 2.4% | 2.4% | 2.1% | 0.4% | 0.2% |

### Expenses:

| 2016-17 | $4,475,376 | $4,607,790 | $4,767,483 | $4,911,022 | $5,018,353 | $5,210,101 | $5,366,484 |
| 2017-18 | $4,475,376 | $4,607,790 | $4,767,483 | $4,911,022 | $5,018,353 | $5,210,101 | $5,366,484 |
| 2018-19 | $4,475,376 | $4,607,790 | $4,767,483 | $4,911,022 | $5,018,353 | $5,210,101 | $5,366,484 |
| 2019-20 | $4,475,376 | $4,607,790 | $4,767,483 | $4,911,022 | $5,018,353 | $5,210,101 | $5,366,484 |
| 2020-21 | $4,475,376 | $4,607,790 | $4,767,483 | $4,911,022 | $5,018,353 | $5,210,101 | $5,366,484 |
| 2021-22 | $4,475,376 | $4,607,790 | $4,767,483 | $4,911,022 | $5,018,353 | $5,210,101 | $5,366,484 |
| 2022-23 | $4,475,376 | $4,607,790 | $4,767,483 | $4,911,022 | $5,018,353 | $5,210,101 | $5,366,484 |
| 2023-24 | $4,475,376 | $4,607,790 | $4,767,483 | $4,911,022 | $5,018,353 | $5,210,101 | $5,366,484 |

Projections for FY2017-18 and beyond are based on the following assumptions:

- Supplemental championships spending is earmarked for individual/team ground transportation and returning travel party sizes to 2013-14 levels. This supplemental spending would be evaluated first for elimination in the event of an operating deficit.

Mandated reserve is 50% of the annual DIII revenue allocation in cash beginning in fiscal year 2017-18. The division also holds a separate event cancellation insurance policy with a $5M limit.

Notes:
1. Mandated reserve is 50% of the annual DIII revenue allocation in cash beginning in fiscal year 2017-18. The division also holds a separate event cancellation insurance policy with a $5M limit.
2. Supplemental championships spending is earmarked for individual/team ground transportation and returning travel party sizes to 2013-14 levels. This supplemental spending would be evaluated first for elimination in the event of an operating deficit.
3. Division III includes in-year-year amount (light blue highlight).
Division III Presidents/Chancellors Advisory Group

Rationale for Establishment of Division III Presidents/Chancellors Advisory Group

The Division III Presidents/Chancellors Advisory Group (PAG) was established in response to the desire of the Division III Presidents Council to more directly and effectively engage presidents and chancellors in governance discussions at the national, conference and institutional levels.

Current Position of this Advisory Group within the Governance Structure

The Division III Presidents/Chancellors Advisory Group is not a legislated entity within the Division III governance structure. This allows the opportunity to develop a meeting structure and schedule that best benefits the Division III presidents/chancellors, conferences and the Division III Presidents Council.

Goals and Objectives of the Division III Presidents/Chancellors Advisory Group

The main objectives of the Division III Presidents/Chancellors Advisory Group are:

☐ To enhance the level of understanding by presidents/chancellors in the NCAA governance process related to key issues facing Division III.

☐ To enhance the leadership of presidents/chancellors in the governance of intercollegiate athletics at the national, conference/independent and institutional levels. Special emphasis will be placed on key legislative proposals and policies and strategic direction.

☐ To enhance the integration of athletics programs within the educational mission of Division III schools and conferences. Special emphasis will be placed on strategic initiatives implemented through the new Division III Conference Grant Program.

☐ To better recognize and accommodate the differing models of conference governance and expectations of leadership by chancellor/presidents within Division III.

☐ To establish a pool of candidates for potential service on the Presidents Council or Management Council.

☐ Although not mandated, vacancies on the Division III Presidents Council are generally filled from the PAG.
Structure of the Division III Presidents/Chancellors Advisory Group

Composition

The Division III Presidents/Chancellors Advisory Group consists of one chancellor or president from each Division III voting conference not currently represented on the Presidents Council or by a chancellor/president on the Management Council. The group also includes two representatives from the Association of Division III Independents. Appropriate efforts shall be made to ensure the diversity of the group. As a minimal goal, group membership should include at least one person who is an ethnic minority and at least two persons of each gender; a single member shall not be considered to meet both minimums.

During its August 2012 meeting, the Presidents Council approved a new policy to permit a president from the same conference to be appointed to the PAG if that conference already has a president serving on the Presidents/Management Councils and has a second president who is interested in serving in a leadership capacity (especially a president from a group historically underrepresented in the governance structure).

Appointment

Each commissioner of conferences not currently represented by a president/chancellor on the Presidents or Management Councils will be asked to identify a president/chancellor to serve on the Advisory Group.

Duties

The Division III Presidents/Chancellors Advisory Group advises and provides input to the eighteen members of the Division III Presidents Council, and facilitates communication from the Council to president/chancellor colleagues in their respective conferences/group of independents.

Term of Office

Representatives to the group serve a minimum of two years, and a maximum of three years. If a representative assumes responsibility for a term midyear, that individual shall still complete his or her term in conjunction with an NCAA Convention, and shall not exceed three years of service. Each Division III conference and the Association of Division III Independents are authorized to determine the exact term of office of their respective Advisory Group member.
Further, after completing a term of office, members of the Advisory Group may not serve again for two years. The term of office shall be annually reported to the Division III Presidents Council. Alternates or designees may be invited to attend the meeting, if necessary.

**Frequency of Meetings and Conference Calls**

- In-person meetings will be held in August and January given the Division III legislative cycle. For 2017-18, the in-person meetings will be August 8, 2017, January 18, 2018 and August 7, 2018.

- The August in-person meeting shall be held the day before the Division III Presidents Council meeting. The January in-person meeting shall coincide with the annual presidential luncheon at the NCAA Convention.

- Conference calls could be held before the October or April Presidents Council meeting and as required during other times of the year. Conference calls would be no longer than one hour in length.

**Methods of Communication**

Communication will occur predominantly through e-mail following the quarterly Management Council meetings but before the Presidents Council meetings so that information and input from the Division III Presidents/Chancellors Advisory Group can be shared with the Division III Presidents Council members. These policies will continue to evolve in order to implement the most efficient and effective means of communication.
# NCAA Sport Science Institute and Committee for Competitive Safeguards and Medical Aspects of Sport

## Strategic Priorities Timeline

**NOTE:** all dates are estimates and may change in response to external factors

<table>
<thead>
<tr>
<th>Strategic Priority</th>
<th>Initiative</th>
<th>Summary</th>
<th>Anticipated Deliverable</th>
<th>Estimated Timeline</th>
</tr>
</thead>
</table>
| Athletics Health Care Administration | NATA-NCAA Summit on the Organizational and Administrative Aspects of Athletic Health Care in College / University Settings | Will result in an interassociation consensus statement or summary report about key organizational and administrative aspects of athletics health care delivery. This document will be intended to contribute to an NCAA member school’s ability to meet evolving interassociation health and safety standards for college student-athletes. No public documents were produced during the meeting. | Interassociation recommendations* | Event date: Jan. 2017  
Document drafting: through November 2017  
Membership review: January 2018  
CSMAS review and endorsement: June, 2018  
BOG review and endorsement: August, 2018  
Final deliverable: September, 2018 |
| Concussion | Task Force on Football Data | A closed meeting to review emerging data from the CARE Consortium and NCAA ISP and to identify a tentative agenda for the 3rd Safety in College Football Summit anticipated in February 2019. | Draft agenda for Third Safety in College Football Summit, anticipated for February 2019 | Anticipated event date: February 2018  
Document drafting: N/A  
Membership review: N/A  
CSMAS review and endorsement: N/A  
BOG review and endorsement: N/A  
Final deliverable: N/A |
| Data-driven Decisions | Concussion Safety Protocol Review Process | CSMAS identified and referred to this item to the BOG as a Uniform Standard of Care Issue | Identification and implementation of strategies to bring uniformity to concussion safety protocol review process to all three divisions | Issue Referral to BOG: June 2017  
BOG Action: August 2017  
Implementation date: January 2019 (timeline reflects possible legislative component) |
|-----------------------|------------------------------------------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------------|
| Data-driven Decisions | Institutional Performance Program | Implementation of a mandatory health and safety survey (DI) | N/A | Survey completion: September, 2017  
CSMAS final survey approval: September, 2017  
Survey distribution: Late November thru Early January, 2018  
Analysis by research department: January – March, 2018  
Upload to IPP program: March – May, 2018  
Result to DI Membership: May, 2018 |
| Data-driven Decisions | Integrated Technology in Coaching and Athletic Health Care | SSI will host a meeting to discuss issues arising for both coaching and the delivery of athletic health care from the use of wearable technologies (e.g., global positioning systems; heart rate monitors). | To Be Determined | Anticipated Event date: June, 2018  
Document drafting:  
Membership review:  
CSMAS review and endorsement:  
BOG review and endorsement:  
Final deliverable: |
| Data-driven Decisions | Doping & Substance Abuse | SSI will host a discussion focused on pain management for the collegiate athlete. | Interassociation recommendations | Anticipated Event date: April, 2018  
Document drafting:  
Membership review:  
CSMAS review and endorsement: |
| Task Force to Advance Mental Health Best Practice Strategies | SSI will host a task force that will serve as a follow-up to the 2013 Mental Health Task Force. The 2017 task force will identify strategies and resources that support the implementation of the Mental Health Best Practices and identify models of mental health care and measures of effectiveness for the previously-published best practices. Divisional representatives will be invited to participate. Summary report will be provided to SVPC in January 2018. | Educational tools† | Event date: November 9-10, 2017  
Document drafting: November – March, 2018  
Membership review: April, 2018  
CSMAS review and endorsement: June, 2018  
BOG review and endorsement: August, 2018  
Final deliverable: October, 2019 |
| Overuse, Sleep, and Performance Task Force on Sleep & Wellness | SSI hosted a task force on sleep and wellness May 1-2 2017, with representatives from scientific, higher education and sports medicine organizations to review current data and discuss existing best practices related to the sleep and wellness of student-athletes. | Educational tools† | Event date: May 1-2, 2017  
Document drafting: February - May, 2018  
Membership review: March 2018  
CSMAS review and endorsement: June 2018  
BOG review and endorsement: August 2018  
Final deliverable: September, 2018  
Peer-review journal article Article submission: January, 2018 |

*Will result in uniform standards of care for the Association; †Outcomes will be educational in nature, and will serve as a resource for member schools
SUBSTANCE ABUSE PREVENTION AND INTERVENTION

An Athletics Tool Kit
The NCAA Sport Science Institute is committed to providing college athletes with the best environment for safety, excellence and wellness. As a part of this commitment, the SSI recognizes the importance of educating student-athletes about the risks associated with substance abuse.

Guided by the latest prevention science and deterrence strategies, and with the collaboration of athletics stakeholders and substance abuse prevention experts, this tool kit is designed to support athletics administrators, in partnership with campus colleagues, reduce substance abuse and promote healthy choices that enhance athletic performance and lifelong health.

Thank you for joining us in this important effort and for contributing to a campus environment that supports a positive experience for college athletes.

Brian Hainline, M.D.
Chief Medical Officer, NCAA

This resource for athletics administrators was advanced and fostered through the contributions of the many dedicated individuals and organizations working to contribute to a campus environment that supports healthy choices, fair competition and a positive experience for college athletes. The following organizations support this resource as a comprehensive approach for athletics departments to effectively address substance abuse prevention efforts in collaboration with broader campus efforts.

Higher education associations and sports medicine organizations that support the tool kit are listed below:

ACE — American Council on Education
ACHA — American College Health Association
ACPA — College Student Educators International
ACUHO-I — Association of College and University Housing Officers — International
AMSSM — American Medical Society for Sports Medicine — “Affirmation of Value” designation
ASCA — Association of Student Conduct Administration
FARA — Faculty Athletics Representatives Association
IACLEA — International Association of Collegiate Law Enforcement Administrators
N4A — The National Association of Academic Advisors for Athletics
NASPA — Student Affairs Administrators in Higher Education
NATA — National Athletic Trainers’ Association
NIRSA — Leaders in Collegiate Recreation
NPC — National Panhellenic Conference
Order of Omega
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Introduction

In collaboration with athletics stakeholders and campus substance abuse prevention experts, and guided by the latest prevention science and deterrence strategies, the NCAA Sport Science Institute has designed this tool kit to support athletics administrators in collaboration with their campus colleagues in efforts to reduce substance abuse and to promote healthy choices, fair competition and a positive environment for college athletes.

This resource identifies key principles shared by successful prevention programs and identifies 10 strategies as part of a comprehensive approach for athletics administrators to use to address substance abuse prevention, intervention and treatment. The tool kit was developed with consideration given to the following contextual frameworks:


In July 2013 and April 2014, the NCAA Sport Science Institute engaged a task force of experts working in the fields of Olympic drug testing, drug education and anti-doping research and prevention science. These experts focused their efforts on the ethical considerations related to doping and performance drug use, the motivations for recreational drug use, the latest prevention science and deterrence strategies to reduce doping and substance abuse, and best practices to promote healthy choices to enhance athletic performance and life success. (See Appendix D for a list of task force members.)

With regard to alcohol and other recreational drugs, recommendations from those meetings included:

- Investigate and match interventions to the motivations for use; provide education and support to student-athletes for underlying issues that may drive drug use.
- Move from information dissemination to effective educational programming.
- Link deterrence efforts to athletic performance.
- Prepare student-athletes to intervene effectively and safely with teammates and friends who are in distress or who are engaging in harmful behaviors.
- Engage coaches in messaging, policy development and education, including messages about expectations, health and performance issues, and intervention programs.
- Develop relationships and collaborate with campus professionals to identify mental health and addiction issues, and to refer student-athletes to treatment services.
- Employ skill-based education that includes more social interaction (for example, effective resistance skills).
- Look to successful campaigns that have reduced drunk driving behaviors and smoking, and apply those same principles to reduce substance abuse, with messages that reinforce intentional leadership and peer-to-peer interventions (for example, “Friends don’t let friends …”).
- Address campus and departmental factors that contribute to or deter use; engage stakeholders across campus in developing policy and coordinating effective educational programming and messaging.
- Consider how sponsorship relationships may undermine campus prevention efforts.
- Address the culture that encourages athletes to “push through no matter what,” which could lead them to ingest alcohol or take cannabis and/or medications to mask pain.
- Engage circles of influence to include coaches, parents and other campus constituents.

- Continue to research why student-athletes participate in high-risk drinking.
2. The College Effect

“The college effect” asserts that when students come to campus, they’re exposed to environments that enable and promote high-risk drinking and drug use behaviors. This, coupled with the misperception that most students are engaged in high-risk behaviors, drive actual behavior. Misperceptions often are reinforced in various media, and unfortunately, by well-intentioned campus administrators. New students are susceptible to these factors that increase risk for use and abuse and may be more likely to engage in unhealthy behaviors to achieve a misplaced sense of belonging through shared behaviors. This tool kit intends to overcome “the college effect.”

3. Student-Athlete Risk and Protective Factors

Athletics departments need to take a comprehensive approach to addressing risk and protective factors, including through policy, effective educational programs and social and environmental factors. The nature and culture of athletics and of student-athletes provides for both protective and risk factors, and these can be leveraged to support healthy choices. Athletics departments can emphasize those protective factors that value teamwork, leadership, performance, compliance and value of sport to reinforce decisions that support success. Alternatively, athletics departments need to pay attention to those factors existing in the athletics culture that might undermine positive individual choices, such as group think, team dynamics, external controls, winning at all costs and an overemphasized athletics identity. Team discussions that recognize and address these factors promote an individual’s critical thinking about personal choices.

4. Athletics Department Role and Responsibilities

The NCAA recognizes that alcohol abuse may occur in conjunction with athletics events. Further, the NCAA recognizes that athletics can be a partner with campus prevention efforts. Programs that target the larger environment and the broader student body, rather than solely student-athletes, support all students in healthy choices. Collaboration between the athletics department and other campus departments involved in alcohol education is a vital element of that environment and provides athletics departments with support for education efforts delivered to student-athletes and coaches.
Promoting a culture of prevention in athletics

There is a growing evidence base for effective programs that prevent substance abuse by college student-athletes, and athletics staff are increasingly aware of the importance of implementing evidence-based programs. This is a critical step toward the development of a “culture of prevention,” an indicator that athletics departments have adopted a guiding philosophy to address student-athlete substance use by implementing a preventative, rather than a reactive, approach.

A culture of prevention in college athletics is supported by solid structural foundations, including supportive alcohol and drug policies; adoption and implementation of programs supported by scientific evidence; coordination of prevention efforts with appropriate campus resources/organizations; training intended to engage student-athletes, staff and coaches; and commitment to providing adequate resources (for example, funding) and to sustaining the system in the long term. The primary goal of the college athletics department in this respect is to move toward a culture of prevention by increasing its readiness to engage with campus efforts to implement novel, evidence-based, creative and comprehensive programs.

Successful prevention programs aimed at decreasing student-athlete substance abuse and related consequences share critical key principles that should frame athletics department efforts. Substance abuse prevention programs should be:

- **Comprehensive:** Efforts should consist of multiple components in multiple settings to address relevant risk and protective factors. Cohesive strategies should include consistent messaging and reinforce consistent standards and norms across multiple levels and contexts (for example, team meetings, practice, large groups, media and community-wide messaging).
- **Repeated:** Programming should engage student-athletes repeatedly over time. One-time programs or sessions are rarely effective for changing behavior. Research shows that the benefits to prevention programs diminish without follow-up programming.
- **Relevant:** Programming should be relevant and appropriate to the athletics culture and practices.
- **Collaborative:** Programming should be developed or selected in collaboration with relevant prevention and
health promotion practitioners, key stakeholders and leaders in the athletics and campus community.

- **Promoting positive relationships**: Programming should foster strong, stable, positive relationships (for example, between coaches and student-athletes).

- **Evidence-based**: Program strategies should have scientific or logical rationale. Prevention programs should be designed to enhance protective factors and reverse or reduce risk factors.

- **Emphasized**: Student-athletes, coaches, etc., should be exposed to enough of the programming for it to have the desired effect of decreasing student-athlete substance abuse.

- ** Appropriately timed**: Program activities should happen at a time (for example, beginning of the academic year or before the sport season) that can have maximum impact in a participant’s life (for example, student-athlete, coach, athletics administrator, parent).

- **Outcome evaluated**: Substance abuse prevention programming should be evaluated consistently to assess both the effectiveness of specific program components and the overall impact over time.

The socio-ecological model of behavior change demonstrates the relationship between individual behaviors and influences in the surrounding environment. Impacting individual behaviors requires attention to the team dynamics, departmental environment and policies, and those elements within the community in which individuals socialize. An adaptation of this model for collegiate athletics is illustrated below:
Checklists for comprehensive alcohol and other drug prevention

The Coalition of Higher Education Associations for Substance Abuse Prevention (see Appendix C) has endorsed a comprehensive approach to alcohol and other drug prevention. For athletics, this approach comprises the following strategies:

1. Student-athlete needs assessment and data analysis.
2. Campus resource inventory.
3. Departmental policy review and dissemination.
5. Evidence-based educational programming.
6. Student-athlete engagement.
7. Coach engagement.
8. Faculty engagement.
10. Treatment services and recovery support.

The following checklists provide athletics administrators with tools to guide their efforts in addressing substance abuse prevention, intervention and treatment. It is recommended that these checklists be shared with senior student-affairs officers, and those who work closely with prevention staff, to support athletics department efforts and those of the campus.
1 Student-Athlete Needs Assessment and Data Analysis

Assessment helps define the needs of the target audience and determines what strategies and approaches are indicated to decrease alcohol and other drug abuse on and around campus. Athletics departments can conduct their own surveys, look to broader campus survey information or rely on national data to better understand student substance use.

- Survey student-athletes on alcohol, tobacco and other drug use.
- Share the summary of substance use with senior staff and campus prevention services to direct next steps in prevention programming.

Sources of assessment and evaluation data include:

- The NCAA Survey of Substance Use of College Student-Athletes provides nationally representative data by division, sport and gender on substance use and motivation. Institutions that do not conduct institutional surveys can look to this data to understand trends in student-athlete substance use.
- The Core Survey to identify student perceptions, attitudes and behaviors relative to alcohol, tobacco, marijuana and a variety of other substances.
- The American College Health Association’s National College Health Assessment examines student attitudes, perceptions and behavior relative to alcohol and other drugs, stress, anxiety, depression, sexual behavior, suicidal ideation, violence and safety.
- Campus police and judicial reports, hospital transports, etc.

See Appendix A for more information about the tools listed above.

2 Campus Resource Inventory

Institutions of higher education are required by the Drug-Free Schools and Communities Act to closely examine their prevention efforts on a biennial basis, called the Biennial Review. This review helps campuses to identify strengths, challenges and gaps in their prevention programming. Athletics departments can partner with campus prevention departments both to provide information for the Biennial Review and to benefit from efforts to assess what types of services are available to athletics and student-athletes.

- Cooperate with campus efforts to conduct environmental audits to help identify campus-wide efforts that support substance use education, assessment, early intervention and gaps in policy, comprehensive programming and resources.

RESOURCE AVAILABLE FOR DIVISION III AND SMALL COLLEGES

NCAA Division III and NASPA Small Colleges and Universities Division institutions have access to a free, high-risk alcohol use prevention platform, 360 Proof, which can assist schools in implementing the Substance Abuse Prevention Tool Kit. 360 Proof focuses on the connection between student behavior and the campus environment and includes tools to operationalize the tool kit recommendations, tailored to the specific needs and resource constraints of smaller colleges. (For more information about 360 Proof, see Appendix A.)
Policy review ensures clear and appropriate guidelines for alcohol and other drug use that are widely disseminated and consistently enforced. Senior staff should be provided a copy of the social drug use policy and the prevention plan to gain their support and endorsement. A full review should be conducted through a legal, budgetary and societal lens to allow for full vetting, buy-in and implementation.

- Conduct an annual athletics department policy review to ensure that policies:
  - Are in line with the institution’s vision, mission and values.
  - Address the needs and responsibilities of all staff, coaches and student-athletes.
  - Include guidance from primary athletics health care providers (team physicians and athletic trainers) for best practices in therapeutic pain management.

- Include senior staff, legal counsel, primary athletics health care providers, student-athlete representatives and faculty athletics representatives in policy review.

- Disseminate and clearly communicate institution, department and team policy for transparency and compliance.

**Collaboration and Compliance**

Campus partnerships increase buy-in, provide expertise and coordinate prevention messages, programming and services.

- Connect with campus prevention services to ensure that institutional policy information is shared with athletics.

- Support campus and community alliances that bring together diverse individuals, agencies and associations to collaborate and share responsibilities for developing communities and environments that encourage responsible low-risk alcohol and other drug use.

- Assign athletics representatives to campus coalitions/task forces.

- Communicate athletics policies, programs and needs to the Faculty Senate through the faculty athletics representative liaison.

- Work closely with primary athletics health care providers to ensure appropriate pain management.
Evidence-Based Educational Programming

Educational programs should have evidence of intended impact that meets the objectives identified through the needs assessment, and should address those factors that increase risk for student-athletes, including drinking games, pre-gaming (drinking before social events), celebrations, injury and other stressors.

☐ Implement educational programs that have been evaluated for effectiveness. (See Appendix A.)

Effective educational programming includes the following elements:

- Increases students’ knowledge regarding the effects and the potential safety, health, social, academic and legal consequences of alcohol and other drug misuse.
- Increases awareness regarding the signs and symptoms of alcohol and other drug abuse and dependency.
- Assists students in evaluating their expectations regarding, and motivations for, high-risk drinking and other drug use.
- Provides training in stress management, resistance skills and media literacy.
- Defines and encourages low-risk responsible drinking.
- Assists students in exploring alternatives to drinking and other drug use for socializing, destressing and celebrating.
- Assists students in knowing how to help a friend in trouble.
- Corrects misperception regarding norms for alcohol and other drug use at their institution.
- Provides students with information about campus and community alcohol and other drug prevention, student assistance, and wellness and health promotion resources.

Student-Athlete Engagement

Students should be included in the process of planning, implementing and evaluating alcohol and recreational drug use prevention strategies. Faculty, staff and others should empower and encourage students to become advocates for responsible alcohol use and should support student-led prevention initiatives.

☐ Support the establishment/advancement of peer education/mentoring programs to engage students in supporting healthy behaviors.

☐ Implement bystander intervention training so students can intervene safely and effectively in cases of pending danger or distress. (For more information, see the Step UP! resource listed in Appendix A.)

☐ Engage student organizations as partners in prevention.

☐ Consider taking a team to the APPLE Training Institute, which conducts substance abuse prevention and health promotion programming for student-athletes and athletics department administrators.
Coach Engagement

Coaches matter, and coaches need information and guidance to effectively support team and departmental policies that promote and reinforce healthy student-athlete behaviors.

- Include coaches in substance abuse educational programs for student-athletes.
- Hold an all-coaches meeting to discuss institutional policies and best practices.
- Include coaches as part of an APPLE prevention team.
- Offer resources through 360proof.org/coaches.
- Provide coaches with basic training in how to identify a substance abuse concern and make a referral for intervention.

Resources are available at samhsa.gov and at coachesassist.org.

Faculty Engagement

Faculty serve as an important link to resources and services for students they believe are having difficulty with alcohol and other drugs.

- Include a faculty athletics representative in policy review, integration of substance abuse education and the broader discussion within the faculty.
- Provide faculty an opportunity to experience basic training in how to identify a substance abuse concern and make a referral for intervention.

Resources are available at samhsa.gov.

Faculty can:
- Incorporate alcohol and drug prevention education into the classroom.
  - Include a prevention statement on class syllabi.
  - Infuse alcohol and other recreational drug messaging in course content.
- Provide expertise needed to develop effective alcohol and drug prevention programs, including evaluation of such.
Screening, Early Intervention and Referral Protocols

Screening facilitates early identification of behavioral concerns and more successful intervention and positive outcomes for health and performance. Broader campus screening programs can be used to educate groups and provide indications for further screening. Individual screening sessions are confidential, face-to-face sessions with professionals to provide further exploration of indicated concerns. Clinical providers will determine an appropriate level of care based on the student’s individual needs.

- Consider substance abuse screening for incoming and upperclassmen student-athletes. (See Appendix B for Interassociation Mental Health Best Practices Checklist.)
- Partner with campus screening events.
- Collaborate with primary athletics health care providers and campus experts to consider the use of established screening tools/programs and effective referrals. (See Appendix B: Interassociation Mental Health Best Practices Checklist for establishing routine and emergency referral protocols.)
- Rehearse an emergency action plan for acute intoxication or drug overdose.

Treatment Services and Recovery Support

Student-athlete health is best served by engaging the full complement of available resources, which can include resources within campus health and counseling services and within the surrounding community. Identifying the resources that are available and establishing and sustaining strong professional working relationships are critical elements for ensuring an appropriate response to student-athletes’ needs.

- Incorporate campus and community-based treatment information in student-athlete resource information.
- Explore and support campus-based programs for student-athletes in recovery.
APPENDIX A

Evidence-Based Educational Resources

I. Tools to Build Your Plan.

   [ncaa.org/choices]

b. Student-Athlete Surveys — The data from these surveys identify substance use and other wellness concerns for student-athletes on college campuses (examples of surveys: NCAA, National Collegiate Health Assessment, Core Survey by Southern Illinois University at Carbondale).
   [ncaa.org/research, acha-ncha.org, core.siu.edu]

c. Campus Road Map and Collaboration Team Tool — These worksheets identify campus services with which athletics department staff may connect for specific substance abuse prevention support. (See Pages 16-19)

d. Strategic Action Plan — This worksheet identifies steps that athletics department staff may take in implementing a substance abuse prevention strategic action plan. (See Pages 20-21)

e. 360 Proof — A high-risk alcohol use prevention platform developed jointly by the NCAA and NASPA (Student Affairs Administrators in Higher Education). All NCAA Division III and NASPA Small College and Universities Division institutions have free access to the program, which is provided as a membership benefit. [360proof.org]

II. NCAA-Sponsored Educational Resources.

- myPlaybook — An online curriculum designed to prevent harm related to alcohol and other drugs and to promote student-athlete wellness. These interactive web-based modules will target health promotion strategies such as social norms, behavioral expectancies, behavioral intentions, bystander decision making and harm prevention skills. Modules also include personalized feedback, technical assistance and user-friendly administrative and data collections tools to facilitate quality program delivery and program assessment.
  [preventionstrategies.com/myplaybook-collegiate-program]

- APPLE Training Institute — An annual three-day in-person training program for student-athletes, athletics administrators and campus partners to facilitate athletics department needs assessments, strategic planning and implementation strategies to support student-athlete wellness and substance use prevention.

  Also available from the APPLE Institute are student-athlete blood alcohol content educational cards that contain a standard drink conversion chart and signs of alcohol overdose, as well as student-athlete specific statistics and information on how alcohol impacts athletic performance. The BAC cards are evidence-informed, student-tested cards with alcohol information relevant to student-athletes. [appleathletics.org]

- Coaches Assist — An interactive in-person workshop designed to help coaches communicate more effectively with their student athletes. Drawing from the fields of health promotion, prevention science and motivational interviewing, it demonstrates the basic skills and strategies for effective conversations and to recognize and more effectively address substance use concerns of student-athletes. [coachesassist.org]

- NCAA CHOICES — An NCAA-sponsored grant program that provides up to $30,000 in funding for a three-year educational initiative that integrates athletics into campuswide efforts to reduce alcohol abuse. [ncaa.org/choices]
APPENDIX A

- **Step UP! Bystander Intervention Program** — A prosocial intervention program that provides an online trainers guide with scripted facilitators presentations supported by additional educational materials designed to help students and student-athletes increase awareness of opportunities to intervene, increase motivation to help, develop skills and confidence when responding to problems or concerns, and ensure the safety and well-being of self and others. A national facilitator training, sponsored every two years by the NCAA Sport Science Institute, provides opportunities for a two-person team to learn and practice facilitating campus training events. [stepupprogram.org](http://stepupprogram.org)

- **Marijuana Educational Power Point Template** — A templated PowerPoint presentation for athletics administrators to use as part of their student-athlete substance abuse prevention efforts. [ncaa.org/substanceabuseprevention](http://ncaa.org/substanceabuseprevention)

- **Marijuana Education Infographic** — An educational infographic designed for student-athletes that includes facts and figures about the health, performance and legal impacts of using marijuana. *(See Page 22)*

III. IMPACT Evaluation Resource.

Evaluation of efforts helps educators determine if those efforts are achieving desired results and can provide indicators for a shift in programming. This guide for athletics administrators and campus partners offers simple tools to evaluate substance abuse education programming. [ncaa.org/choices](http://ncaa.org/choices)

IV. NCAA Publications and Other Reliable Sources.

- **Addressing Sexual Assault and Interpersonal Violence: Athletics’ Role in Support of Healthy and Safe Campuses** — A guide for athletics administrators and campus partners that provides recommended approaches to prevent or reduce the incidents of sexual assault and other acts of interpersonal violence on college campuses. It provides information on responding appropriately to acts of violence and other matters relevant to complying with federal law. [ncaa.org/violenceprevention](http://ncaa.org/violenceprevention)

- **Mind, Body and Sport: Understanding and Supporting Student-Athlete Mental Wellness** — An educational publication designed to help college athletics and campus stakeholders support student-athlete mental wellness. It includes a collection of chapters and first-person stories written by former and current student-athletes, coaches, researchers, practitioners, educators and experts in the field. [ncaa.org/mentalhealth](http://ncaa.org/mentalhealth)

- **NCAA Alcohol, Tobacco and Other Drug Education Guidelines** — A checklist and timeline to assist athletics administrators in providing timely programming to educate student-athletes about NCAA banned drugs and the products that may contain them. [ncaa.org/drugtesting](http://ncaa.org/drugtesting)

- **Centers for Disease Control Guideline for Prescribing Opioids for Chronic Pain** — These guidelines provide recommendations for primary care clinicians who are prescribing opioids for chronic pain outside active cancer treatment, palliative care and end-of-life care. [cdc.gov/mmwr/volumes/65/rr/pdfs/rr6501e1.pdf](http://cdc.gov/mmwr/volumes/65/rr/pdfs/rr6501e1.pdf)

- **College Alcohol Intervention Matrix** — A comprehensive guide developed by leading college alcohol researchers to identify effective campus alcohol interventions. [collegedrinkingprevention.gov/collegeaim](http://collegedrinkingprevention.gov/collegeaim)

- **College Life Study at University of Maryland** — A National Institutes of Health-funded project that provides research publications designed to aid in understanding the health-related behaviors of college students. [cls.umd.edu](http://cls.umd.edu)

- **Generation Rx Safe Medication Practices for Life** — A project developed by The Ohio State University School of Pharmacy that provides online videos, visual aids and resources kits, created by college students for college students, designed to help prevent prescription drug misuse on college campuses. [generationrx.org/take-action/college](http://generationrx.org/take-action/college)

• **The Higher Education Center for Alcohol and Drug Misuse Prevention and Recovery** — An educational center that provides colleges and universities with web-based tools and resources to launch alcohol and drug misuse prevention and recovery programs on their campuses. hecaod.osu.edu

• **The University of North Carolina at Greensboro Institute to Promote Athlete Health and Wellness** — An institute that provides in-person training, online programs and evaluation tools that promote the health and wellness of all athletes. athletewellness.uncg.edu

• **Substance Abuse and Mental Health Services Administration Treatment Locator** — A confidential and anonymous online tool for individuals seeking to locate treatment facilities for substance abuse/addiction and/or mental health issues. findtreatment.samhsa.gov
WHO IS YOUR DIRECTOR/VICE CHANCELLOR/VICE PRESIDENT OF STUDENT AFFAIRS?

Student affairs is a division or department charged with growth and development of students outside the classroom as a complement to academic affairs. This unit also may be called student support or student services.

WHO IS YOUR CAMPUS COORDINATOR FOR ALCOHOL AND OTHER DRUG (AOD) PREVENTION?

The campus coordinator develops campus AOD programming and is a key player in developing AOD policy and recommendations. The coordinator works with the campus community, but also may work collaboratively with state and national groups and agencies. You may find your campus coordinator in student services, campus counseling or wellness center.

WHO IS YOUR DEAN OF STUDENTS?

Typically an office within the division of student affairs, the dean of students is a direct link to students who are in crisis, have violated campus honor codes or need help navigating the campus care network. The Dean of Students may act as a liaison or advocate for students.

WHO IS YOUR TITLE IX COORDINATOR?

A Title IX coordinator’s core responsibilities include overseeing the school’s response to Title IX reports and complaints and identifying and addressing any patterns or systematic problems revealed by such reports and complaints. Title IX deals with sex discrimination of all kinds – it is not just about athletics or sexual assault/violence. The coordinator may report to the provost, but be located in any department on campus.

WHO IS YOUR DIRECTOR OF RESIDENCE LIFE?

Residence life is the office charged with managing the student experience of living on campus, including staff [resident assistants (RA)] selection and training, and social/educational programming. It may be part of a larger housing division, or under student affairs or a business division.

WHO IS YOUR DIRECTOR OF CAMPUS SECURITY?

The person in this role is charged with managing the safety, security, and emergency plans for campus, and fulfilling reporting requirements (Clery). He or she may report to the chancellor or president, or to the dean of students. If the institution has a police force, the director of campus security may lead this office or act as a liaison between police and other campus departments.

WHO IS YOUR CONTACT IN THE OFFICE OF FRATERNITY AND SORORITY LIFE?

The Office of Fraternity and Sorority Life serves as the liaison among chapters, community, and institution to provide guidance, programming, and resources. May be called Greek Life. May be part of Student Affairs, Residential Life, or Campus Activities.

WHO IS YOUR DIRECTOR OF HEALTH SERVICES?

Health services manages student medical needs, often including a campus medical clinic and may include other programs such as women’s health, wellness, and counseling. Health services also may manage immunization needs, prevention and other educational programming.

WHO IS YOUR DIRECTOR OF COUNSELING/PSYCHOLOGICAL SERVICES?

This office is charged with supporting the mental health needs of students through group and individual counseling. Counseling services may be a part of campus health or student affairs or may be an independent unit.

WHO IS YOUR DIRECTOR OF HEALTH AND WELLNESS PROGRAMMING/SERVICES?

Wellness programs support student well-being through programming that may include bystander training and prevention of sexual violence, and address similar aspects of spiritual, mental, emotional and physical wellness. This unit may fall under several names, but is typically part of the overall student health division.

WHO IS YOUR STUDENT BODY PRESIDENT?

This person is elected by the general student body or a student government association to represent the perspective of students on campus boards, committees and policy teams. The student body president may act as a liaison between students and campus administrators.

WHO IS YOUR LIAISON TO THE FACULTY SENATE?

The faculty senate is a representative body that is responsible for faculty participation in the planning and governance of your institution. Generally, senate members are chosen to represent the faculty of their unit, school or college.

SOURCE: The University of North Carolina at Greensboro Institute to Promote Athlete Health and Wellness
APPENDIX A | CAMPUS COLLABORATION TEAM — PREVENTION

CAMPUS COLLABORATION TEAM

Engage with Athletics

PREVENTION STRATEGIES

Collaborate

Do you have a Sports Dietitian? ______________________

Who is your Director of Athletics? ______________________

Who is your Senior Woman Administrator? ______________________

Who is your SAAC Advisor? ______________________

Who is your Athletics Life Skill Coordinator? ______________________

Who is your SAAC President? ______________________

Who is your SAAC Academic Advisor? ______________________

Who is your Head Athletic Trainer? ______________________

Who is your Athletics Compliance Coordinator? ______________________

Who is your Faculty Athletics Representative? ______________________

Other Athletics Contact? ______________________

Do you have a Sports Psychologist? ______________________

SOURCE: The University of North Carolina at Greensboro Institute to Promote Athlete Health and Wellness
WHO IS YOUR DIRECTOR OF ATHLETICS?
The athletics director, or AD, is the senior athletics department administrator and is responsible for general oversight and supervision of the athletics department. The AD is also responsible for decisions related to coach hiring and guidance, scheduling, strategy and policy.

WHO IS YOUR SENIOR WOMAN ADMINISTRATOR?
Commonly known as the SWA, this is the highest ranking female staff member in the athletics department. This designated position is intended to encourage and promote the involvement of female administrators in the decision-making process in intercollegiate athletics, and to ensure representation of women’s interests, experience and perspective at the institutional, conference and national levels. The SWA’s responsibilities can include any department tasks, senior management team responsibilities and oversight of a number of teams.

WHO IS YOUR ATHLETICS LIFE SKILLS COORDINATOR?
The life skills coordinator administers any programs, workshops and necessary information to student-athletes to help them develop the skills needed to succeed as a student and athlete on campus and for life after sports. This staff manages outreach and relationships with campus and community organizations to create such events and opportunities for the student-athletes’ success.

WHO IS YOUR ATHLETICS ACADEMIC ADVISOR?
The academic advisor is responsible for the oversight of the academic-related tasks of the student-athlete such as advising and registration, monitoring satisfactory progress, tutoring and study sessions, and coordinating and implementing life skills programming and special events.

WHO IS YOUR FACULTY ATHLETICS REPRESENTATIVE?
The FAR is a member of the faculty at an NCAA member institution officially designated by the institution to serve as a liaison to the athletics department, and also as a representative of the institution in conference and NCAA affairs. The FAR plays a central role in the overall checks-and-balances system designed to ensure academic integrity, sound governance and commitment to rules compliance, attention to equity, and student-athlete welfare.

WHO IS YOUR HEAD ATHLETIC TRAINER?
This allied healthcare professional is responsible for developing, coordinating, and administering a comprehensive sports medicine program for intercollegiate athletics, with a focus on injury prevention, evaluation, management, treatment and rehabilitation, and coordination of medical treatment with campus and community services.

WHO IS YOUR SAAC PRESIDENT?
This student-athlete is responsible for leading all SAAC (Student-Athlete Advisory Committee) events and meetings to help create a voice of change for the student-athletes at the institutional, conference and national levels. SAAC is made up of student-athletes assembled to provide insight on their experience and to offer input on the rules, regulations and policies that affect student-athletes’ lives.

WHO IS YOUR SAAC ADVISOR?
The SAAC advisor is a departmental staff person charged with supervision of SAAC-related meetings and events, as well as serving as the liaison between athletics administration and the student-athletes. This person may communicate the concerns of the student to the department in order to give the student-athlete the best experience possible.

WHO IS YOUR ATHLETICS COMPLIANCE COORDINATOR?
The compliance coordinator works closely with both the athletics director and the Faculty Athletics Representative, to ensure compliance with all institutional, conference and NCAA rules and regulations governing intercollegiate athletics; monitors eligibility of student-athletes; and communicates with the NCAA and conference about rules interpretations and compliance matters. The duties of the compliance coordinator address administration and reporting, rules education, eligibility, recruitment, admissions and investigation.

DO YOU HAVE A SPORTS PSYCHOLOGIST?
Sport psychologists help athletes enhance performance and may use various mental strategies, such as visualization, self-talk and relaxation techniques to help athletes overcome obstacles and achieve their full potential. Sport psychologists utilize knowledge from many related fields including biomechanics, physiology, kinesiology and psychology. Mental health counseling should be provided only by a licensed psychologist.

DO YOU HAVE A SPORTS DIETITIAN?
A registered dietitian (RD), or a Certified Specialist in Sports Dietetics (CSSD) provides individual, group, and team nutrition counseling and education to enhance the performance of student-athletes. This person may serve as a resource for coaches, trainers, and athletes, or track and document outcomes of nutrition services.

SOURCE: The University of North Carolina at Greensboro Institute to Promote Athlete Health and Wellness
Use this worksheet to plan next steps and identify potential campus collaborators and how you will engage with them.

Consider these questions as you plan your outreach:
- How can you find out about evidence-based strategies to address substance abuse?
- What other student groups on campus face similar issues as student-athletes?
- What types of substance-free events are being offered through your campus activities office?

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<tr>
<th>ACTIONS</th>
<th>POINT PERSON</th>
<th>WHAT TASKS ARE EACH RESPONSIBLE FOR?</th>
<th>BY WHAT DATE?</th>
<th>WHAT OUTCOME?</th>
<th>HOW WILL THEY BE MEASURED?</th>
</tr>
</thead>
<tbody>
<tr>
<td>CREATE A PREVENTION TEAM, INCLUDING:</td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>1. Senior athletics administrator</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>2. Student-Athlete Advisory Committee</td>
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</tr>
<tr>
<td>3. Sports medicine</td>
<td></td>
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<tr>
<td>4. Legal</td>
<td></td>
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<tr>
<td>5. Health and counseling</td>
<td></td>
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<tr>
<td>6. Student government</td>
<td></td>
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</tr>
<tr>
<td>7. Faculty</td>
<td></td>
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</tr>
<tr>
<td>8. Dean responsible for student conduct</td>
<td></td>
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</tr>
<tr>
<td>9. Other</td>
<td></td>
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</tr>
</tbody>
</table>

| SCHEDULE MEETINGS THROUGH THE YEAR                                     |              |                                     |               |              |                           |

| CONDUCT NEEDS ASSESSMENT                                               |              |                                     |               |              |                           |
| 1. Students                                                            |              |                                     |               |              |                           |
| 2. Staff                                                               |              |                                     |               |              |                           |
| 3. Coaches                                                             |              |                                     |               |              |                           |

<p>| DRAFT DEPARTMENTAL PROTOCOLS FOR RESPONSE/REFERRAL                      |              |                                     |               |              |                           |</p>
<table>
<thead>
<tr>
<th>ACTIONS</th>
<th>POINT PERSON</th>
<th>WHAT TASKS ARE EACH RESPONSIBLE FOR?</th>
<th>BY WHAT DATE?</th>
<th>WHAT OUTCOME?</th>
<th>HOW WILL THEY BE MEASURED?</th>
</tr>
</thead>
<tbody>
<tr>
<td>IDENTIFY/CREATE A CALENDAR OF CAMPUS PREVENTION/HEALTH PROMOTION PROGRAMS AND SCHEDULED EVENTS</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>IDENTIFY/SELECT EDUCATIONAL PROGRAMMING FOR:</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>1. Students</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>2. Staff</td>
<td></td>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>3. Coaches</td>
<td></td>
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<td></td>
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<tr>
<td>Programs to address:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. Alcohol and other drugs of abuse</td>
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<td></td>
</tr>
<tr>
<td>2. Peer-to-peer intervention</td>
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<td></td>
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</tr>
<tr>
<td>3. Coaches’ and staff roles</td>
<td></td>
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</tr>
<tr>
<td>4. Etc.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CREATE PROGRAM ASSESSMENT REVIEW FOR ADJUSTMENTS TO PLAN FOR NEXT YEAR</td>
<td></td>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>CREATE REPORTS OF EXPERIENCE/SUCCESS TO HELP DEFINE ELEMENTS OF NEXT YEAR’S PLAN</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>SCHEDULE BRIEFING WITH SENIOR STAFF</td>
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<td></td>
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<td></td>
</tr>
<tr>
<td>OTHER</td>
<td></td>
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</tr>
</tbody>
</table>
Marijuana Facts for NCAA Athletes

**Legal ≠ Permitted**

**Against NCAA Policy**
Marijuana is a banned substance in the “illicit drug” class. If you test positive on an NCAA drug test, you will lose:
- Half the season (first test)
- Full calendar year of eligibility (second test)

And because it is an “illicit drug,” there is no “medical exception” waiver available.

**Against Federal Law Throughout the U.S.**

- Dispensaries sell marijuana in many forms, and they don’t all work the same way.
- No purchase, use or possession and no sharing or providing to anyone younger than 21.

- Can’t transport outside state.
- Not in public.
- No large amounts.

**Legal ≠ Safe**

- 90-240 minutes to feel effects.
- Consuming too many edibles can result in an overdose.

**Legal ≠ Everyone & Everywhere**

Dispensaries sell marijuana in many forms, and they don’t all work the same way.

No matter how it’s used, THC will make you high.

- Dabbing hash oil or wax can be dangerous because the level of THC in these products is very high.

**Effects on Health and Athletic Performance**

1. Marijuana has no performance-enhancing potential.
2. Impairs skills requiring eye-hand coordination and a fast reaction time.
3. Decreases strength and endurance.
4. Reduces maximum exercise capacity resulting in increased fatigue.
5. Reduces motor coordination, balance, tracking ability and perceptual accuracy.
6. Impairs learning, memory and concentration.
7. Skill impairment may last up to 24 to 36 hours after use.
8. Increases risk of injury, and decreases ability to recover from injury.
9. Chronic use can cause cough, frequent respiratory infections, anxiety, panic attacks and psychosis.

This resource was developed in partnership with The Institute to Promote Athlete Health and Wellness at The University of North Carolina at Greensboro.

> For more information, visit us online at athletewellness.uncg.edu

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APPENDIX A | MARIJUANA EDUCATION INFOGRAPHIC
Interassociation Mental Health Best Practices Checklist

Below is the Interassociation Mental Health Best Practices Checklist, first published by the NCAA in 2016. It can be used as a resource when evaluating institutional mental health plans. Please note that “Best Practices” do not provide prescriptive details regarding clinical care. As such, care is individualized for the needs of each student-athlete and is based on evidence-based care that is within the scope of practice for the primary athletics health care providers (athletic trainers and team physicians) and the licensed practitioner who is qualified to provide mental health services.

1. **Clinical Licensure of Practitioners Providing Mental Health Care**

- Mental health care of student-athletes should be done in collaboration with the primary athletics health care providers (athletic trainers and team physicians) and the licensed practitioners who are qualified to provide mental health services.

- Formal mental health evaluation and treatment for student-athletes is provided ONLY by practitioners who are qualified to provide mental health services (clinical or counseling psychologists, psychiatrists, licensed clinical social workers, psychiatric mental health nurses, licensed mental health counselors, board certified primary care physicians with core competencies to treat mental health disorders).

- Individuals providing mental health care to student-athletes have autonomous authority, consistent with their professional licensure and professional ethical standards, to make mental health management decisions for student-athletes.

- Individuals providing mental health care to student-athletes should have cultural competency in treating student-athletes from diverse racial, ethnic, gender identified, and other unique cultural experiences influencing help-seeking.

- Individuals providing mental health care to student-athletes ideally should have cultural competency in working with collegiate student-athletes, as evidenced by professional training related to athletics, continuing education courses related to athletics or other professional development activities or experiences related to athletics.

2. **Procedures for Identification and Referral of Student-Athletes to Qualified Practitioners**

**Mental Health Emergency Action Management Plan (MHEAMP) that specifies:**

- Situations, symptoms or behaviors that are considered mental health emergencies.

- Written procedures for management of the following mental health emergencies:
  
  - Suicidal and/or homicidal ideation.
  
  - Sexual assault.
  
  - Highly agitated or threatening behavior, acute psychosis or paranoia.
  
  - Acute delirium/confusional state.
  
  - Acute intoxication or drug overdose.
Situations in which the individual responding to the crisis situation should immediately contact emergency medical services (EMS).

Individuals responding to the acute crisis should be familiar with the local municipality protocol for involuntary retention, e.g., if the student-athlete is at risk of self-harm or harm to others.

Situations in which the individual responding to the crisis situation should contact a trained on-call counselor.

Identifying trained on-call counselors who will be able to provide direct and consultative crisis intervention.

The management expectations of each stakeholder within athletics during a crisis situation.

Specific steps to be taken after an emergency situation has resolved to support the student-athlete who has experienced the mental health emergency.

A procedure for reviewing preventive and emergency procedures after the resolution of the emergency situation.

A formal policy for when student-athlete family members will be contacted in the event of a mental health emergency.

Routine mental health referral plan that specifies:

- Situations, symptoms or behaviors that may indicate a possible nonemergency mental health concern.
- The licensed mental health professional to whom student-athletes with possible nonemergency mental health concerns should be referred.
- Who should be responsible for making the referral to a licensed practitioner who is qualified to provide mental health services.

Communication about mental health management plans:

- MHEAMPs are provided to all stakeholders within athletics who work with student-athletes, clearly specifying each stakeholder's role in managing a crisis situation.
- Annual communication is conducted with all stakeholders within athletics who work with student-athletes about the importance of reviewing their role in all emergency action plans — specifically the MHEAMP.
- All stakeholders within athletics who work with student-athletes are provided with written instructions about the practitioners to whom student-athletes with potential non-emergency mental health concerns should be referred.

3. Pre-Participation Mental Health Screening

- Screening questionnaire(s) for mental health disorders are considered as part of the pre-participation exam.
- A procedure is established for when and to whom symptomatic or at-risk student-athletes identified through this screening process will be referred.
- All decisions related to what approach will be taken to screening (including what screening instrument to consider and what responses or scores on this instrument warrant further follow-up) will be made by the primary athletics health care providers (athletic trainers and team physicians) in collaboration with the licensed practitioners who are qualified to provide mental health services.
4. Health-Promoting Environments that Support Mental Well-Being and Resilience

- The primary athletics health care providers and the licensed practitioners who are qualified to provide mental health services to student-athletes meet on an annual basis and develop strategies for educating student-athletes about institutional procedures for mental health referrals and management.

- All SAAC representatives and student-athletes receive information on an annual basis about:
  - Signs and symptoms of mental health disorders and how to obtain mental health guidance from the primary athletics health care providers (athletic trainers and team physicians) and licensed practitioners who are qualified to provide mental health services.
  - Programming about preventing and responding to sexual assault, interpersonal violence and hazing.
  - Programming about peer intervention in the event of teammate mental health distress.

- All coaches and faculty athletics representatives receive information on an annual basis about:
  - Programming to support appropriate first response to emergency situations.
  - Signs and symptoms of mental health disorders.
  - The importance of, and how to, create a positive team culture that promotes personal growth, autonomy and positive relations with others.
  - Information about sexual assault, interpersonal violence and hazing.
  - How to encourage and support team members who are facing mental health challenges to seek appropriate management and referrals from the primary athletics health care providers (athletic trainers and team physicians) and licensed practitioners who are qualified to provide mental health services.
  - The specific referral process that coaches should follow if they are concerned about a student-athlete’s mental health.
  - The importance of understanding and helping to minimize the possible tension that can exist in student-athletes about adverse consequences for seeking mental health care.

To download the entire Mental Health Best Practices document, visit ncaa.org/mentalhealth.
APPENDIX C

COALITION OF HIGHER EDUCATION ASSOCIATIONS FOR SUBSTANCE ABUSE PREVENTION MEMBERS

ACE — American Council on Education
ACHA — American College Health Association
ACPA — College Student Educators International
ACUHO-I — Association of College and University Housing Officers — International
AFA — Association of Fraternity and Sorority Advisors
APLU — Association of Public & Land-Grant Universities
FEA — Fraternity Executives Association
IACLEA — International Association of Campus Law Enforcement Administrators
NASPA — Student Affairs Administrators in Higher Education
NATA — National Athletic Trainers’ Association
NCAA — National Collegiate Athletic Association
NIRSA — Leaders in Collegiate Recreation
NPC — National Panhellenic Conference
Order of Omega
APPENDIX D

NCAA DOPING, DRUG TESTING AND DRUG EDUCATION TASK FORCE

The NCAA Sport Science Institute convened a Doping, Drug Education and Drug Testing Task Force in July 2013 and April 2014. The purpose of the task force was to provide a broad overview of doping, drug education and drug testing and to address collegiate-specific concerns.

The task force members and the positions they held at the time of the meetings are listed below:

Stevie Baker-Watson  
Director of athletics, DePauw University

Bill Bock  
General counsel, U.S. Anti-Doping Agency

Mark Bockleman  
Vice president of NCAA drug testing, The National Center for Drug Free Sport

Larry Bowers  
Chief science officer, U.S. Anti-Doping Agency

Tony Butch  
Director, University of California, Los Angeles, Olympic drug testing lab

Robert Cabry  
Team physician, Drexel University, NCAA Committee on Competitive Safeguards and Medical Aspects of Sports

Mark Chassay  
Team physician, University of Texas at Austin

Bob Colgate  
Director of sports and sports medicine, National Federation of State High School Associations

Augie Diana  
Program director, National Institutes of Health

Deborah Ford  
Chancellor, University of Wisconsin-Parkside

Michelle Gober  
Associate director of athletics, Kutztown University of Pennsylvania, NCAA Committee on Competitive Safeguards and Medical Aspects of Sports

William Graf  
Professor of pediatrics and neurology, Yale University

Erin Hannan  
Communications and outreach director, U.S. Anti-Doping Agency

Danisha Hendricks  
Director of athletics, Kentucky State University, NCAA Division II Management Council

Carolayne Henry  
Senior associate commissioner, Mountain West Conference

John Hoberman  
Professor, University of Texas at Austin

Kayla Jones  
Student-athlete, Texas Woman's University, NCAA Division II Student-Athlete Advisory Committee

Tammy Loew  
Health advocacy coordinator, Purdue University

John Lombardo  
Independent administrator, Policy Anabolic Steroids, National Football League

Brad Maldanado  
Student-athlete, Lincoln Memorial University, NCAA Division II Student-Athlete Advisory Committee

Jeff Martinez  
Director of athletics, University of Redlands, NCAA Division III membership representative

Jim Morgan  
Faculty athletics representative, California State University, Chico, NCAA Committee on Competitive Safeguards and Medical Aspects of Sports

Tom Murray  
Senior research scholar and president emeritus, The Hastings Center

Harris Pastides  
President, University of South Carolina, Columbia, NCAA Division I Board of Directors

Andrew Smith  
Director of sports medicine, Canisius College, NCAA Committee on Competitive Safeguards and Medical Aspects of Sports

Frank Uryasz  
President, The National Center for Drug Free Sport

Donald Vereen  
Director of substance abuse research and community academic engagement, Prevention Research Center, University of Michigan

Continued on next page
APPENDIX D

Gary Wadler  
Clinical associate professor of medicine,  
New York University School of Medicine

Jim Whitehead  
Executive director, American College of Sports Medicine

Andrea Wickerham  
Vice president, The National Center for Drug Free Sport

David Wyrick  
Associate professor, faculty athletics representative, prevention science, The University of North Carolina at Greensboro

NCAA STAFF

Gary Brown  
Associate director, NCAA public and media relations

Brian Hainline  
Chief medical officer, NCAA

John Parsons  
Director, NCAA Sport Science Institute

Chris Radford  
Associate director, NCAA public and media relations

Latrice Sales  
Associate director, NCAA Sport Science Institute

Naima Stevenson  
Director, NCAA office of legal affairs

Chris Termini  
Director, NCAA office of legal affairs

Mary Wilfert  
Associate director, NCAA Sport Science Institute

Leeland Zeller  
Associate director, NCAA academic and membership affairs
2017 Division III Graduation Rates Report
October 2017
INTRODUCTION

2017 is the 8th year of the voluntary collection of student-athlete graduation rates for Division III schools.

The sample:
- 278 schools have participated at some point in the four years.
- 200 schools provided data this reporting cycle.
- 118 have provided data in each of the 2007-2010 cohorts.
- 66 schools provided data each of the eight years.
- 25 schools provided data for the first time in 2017.

Academic success defined as graduation.
- Central goal of higher education.
- Allows for the comparison of student-athletes and the student-body.
### The Rates - Federal & ASR

<table>
<thead>
<tr>
<th>Student Groups</th>
<th>Federal Numerator</th>
<th>Federal Denominator</th>
<th>ASR Numerator</th>
<th>ASR Denominator</th>
</tr>
</thead>
<tbody>
<tr>
<td>Freshmen (Fall)</td>
<td>✓</td>
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<tr>
<td>Freshmen (Mid-Year)</td>
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<tr>
<td>Incoming Transfers (2-Year and 4-Year)</td>
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<tr>
<td>Those who left in good academic standing</td>
<td></td>
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</tr>
<tr>
<td>Allowable Exclusions</td>
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<td>Graduates (Fall Freshmen)</td>
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<tr>
<td>Graduates (Mid-Years/Transfers)</td>
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### FINDINGS

**FEDERAL RATE COMPARISONS: DIVISION III VOLUNTARY SCHOOLS**
Average Federal Rates for Division III Student Body and Student-Athletes (2017 Voluntary Schools)

<table>
<thead>
<tr>
<th>Four-Class Average Rates (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Student-Body</td>
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<tr>
<td>----------------</td>
</tr>
<tr>
<td>Overall</td>
</tr>
<tr>
<td>Men</td>
</tr>
<tr>
<td>Women</td>
</tr>
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</table>

Average Federal Rates for Division III Student Body and Student-Athletes (Voluntary Schools)

<table>
<thead>
<tr>
<th>Cohort</th>
<th>Four-Class Average Rates (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Student-Body</td>
</tr>
<tr>
<td>2007</td>
<td>59</td>
</tr>
<tr>
<td>2008</td>
<td>60</td>
</tr>
<tr>
<td>2009</td>
<td>62</td>
</tr>
<tr>
<td>2010</td>
<td>62</td>
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</table>
FINDINGS
FEDERAL & SUCCESS RATE COMPARISONS OVERALL

Average Federal Rates and ASRs for Division III Student-Athletes (2017 Voluntary Schools)

<table>
<thead>
<tr>
<th>Four-Class Average Rates (%)</th>
<th>ASR</th>
<th>Federal Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Overall</td>
<td>87</td>
<td>68</td>
</tr>
<tr>
<td>Men</td>
<td>82</td>
<td>62</td>
</tr>
<tr>
<td>Women</td>
<td>93</td>
<td>76</td>
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</table>
Average Federal Rates and ASRs for Division III Student-Athletes (Voluntary Schools)

<table>
<thead>
<tr>
<th>Year</th>
<th>ASR</th>
<th>Federal Rate</th>
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<tr>
<td>2007</td>
<td>87</td>
<td>69</td>
</tr>
<tr>
<td>2008</td>
<td>87</td>
<td>69</td>
</tr>
<tr>
<td>2009</td>
<td>87</td>
<td>69</td>
</tr>
<tr>
<td>2010</td>
<td>87</td>
<td>68</td>
</tr>
</tbody>
</table>

FINDINGS

FEDERAL & SUCCESS RATE COMPARISONS BY SPORT
### Division III Men’s Sports (2017 Voluntary Schools)

<table>
<thead>
<tr>
<th>SPORT</th>
<th>Four-Class Average Rates (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>ASR</td>
</tr>
<tr>
<td>Baseball</td>
<td>86</td>
</tr>
<tr>
<td>Basketball</td>
<td>78</td>
</tr>
<tr>
<td>Cross Country/Track</td>
<td>87</td>
</tr>
<tr>
<td>Football</td>
<td>74</td>
</tr>
<tr>
<td>Golf</td>
<td>88</td>
</tr>
<tr>
<td>Ice Hockey</td>
<td>87</td>
</tr>
<tr>
<td>Lacrosse</td>
<td>85</td>
</tr>
<tr>
<td>Soccer</td>
<td>83</td>
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<tr>
<td>Swimming</td>
<td>88</td>
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<tr>
<td>Tennis</td>
<td>90</td>
</tr>
<tr>
<td>Wrestling</td>
<td>82</td>
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</table>

### Division III Women’s Sports (2017 Voluntary Schools)

<table>
<thead>
<tr>
<th>SPORT</th>
<th>Four-Class Average Rates (%)</th>
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<tr>
<td></td>
<td>ASR</td>
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<tr>
<td>Basketball</td>
<td>91</td>
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<tr>
<td>Cross Country/Track</td>
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<tr>
<td>Field Hockey</td>
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<tr>
<td>Lacrosse</td>
<td>95</td>
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<tr>
<td>Soccer</td>
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</tr>
<tr>
<td>Softball</td>
<td>92</td>
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<tr>
<td>Swimming</td>
<td>95</td>
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<tr>
<td>Tennis</td>
<td>92</td>
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<tr>
<td>Volleyball</td>
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Average Federal Rates for Division III Football Student-Athletes and Male Student-Body (Voluntary Schools)

<table>
<thead>
<tr>
<th>Cohort</th>
<th>Football (%)</th>
<th>Male Student-Body (%)</th>
<th>Difference (%)</th>
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<tbody>
<tr>
<td>2007</td>
<td>55</td>
<td>56</td>
<td>-1</td>
</tr>
<tr>
<td>2008</td>
<td>54</td>
<td>56</td>
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<tr>
<td>2009</td>
<td>53</td>
<td>58</td>
<td>-5</td>
</tr>
<tr>
<td>2010</td>
<td>51</td>
<td>57</td>
<td>-6</td>
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</table>

Additional Information: Football Cohort Sizes (Voluntary Schools)

<table>
<thead>
<tr>
<th>Cohort</th>
<th>Teams</th>
<th>Average Cohort</th>
<th>Minimum Cohort</th>
<th>Maximum Cohort</th>
<th>75th Percentile</th>
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</thead>
<tbody>
<tr>
<td>2007</td>
<td>75</td>
<td>37</td>
<td>10</td>
<td>70</td>
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<td>2008</td>
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<td>2010</td>
<td>107</td>
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<td>56</td>
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</table>
# Average Federal Rates for 75th Percentile Football Cohorts (Voluntary Schools)

<table>
<thead>
<tr>
<th>Cohort</th>
<th>Teams</th>
<th>Average Cohort Size</th>
<th>Average Federal Rate (%)</th>
<th>Minimum Rate (%)</th>
<th>Maximum Rate (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>2007</td>
<td>20</td>
<td>54</td>
<td>52</td>
<td>17</td>
<td>78</td>
</tr>
<tr>
<td>2008</td>
<td>19</td>
<td>59</td>
<td>45</td>
<td>17</td>
<td>66</td>
</tr>
<tr>
<td>2009</td>
<td>29</td>
<td>64</td>
<td>44</td>
<td>9</td>
<td>75</td>
</tr>
<tr>
<td>2010</td>
<td>27</td>
<td>70</td>
<td>40</td>
<td>17</td>
<td>71</td>
</tr>
</tbody>
</table>

## FINDINGS

**FEDERAL & SUCCESS RATE COMPARISONS**

**BY RACE/ETHNICITY GROUP**
### Average Federal Graduation Rates for the Division III Student Body and Student-Athletes by Race/Ethnicity Group (Voluntary Schools)

<table>
<thead>
<tr>
<th></th>
<th>Four-Class Average Rates (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Student-Body</td>
</tr>
<tr>
<td>Overall</td>
<td>62</td>
</tr>
<tr>
<td>American Indian</td>
<td>49</td>
</tr>
<tr>
<td>Asian</td>
<td>63</td>
</tr>
<tr>
<td>African American</td>
<td>42</td>
</tr>
<tr>
<td>Hispanic</td>
<td>50</td>
</tr>
<tr>
<td>Non-Resident Alien</td>
<td>62</td>
</tr>
<tr>
<td>Native Hawaiian/Pacific Islander</td>
<td>57</td>
</tr>
<tr>
<td>Two or More Races</td>
<td>65</td>
</tr>
<tr>
<td>White/Non-Hispanic</td>
<td>65</td>
</tr>
<tr>
<td>Unknown</td>
<td>59</td>
</tr>
</tbody>
</table>

### Average Federal Graduation Rates and ASRs for Division III Student-Athletes by Race/Ethnicity Group (Voluntary Schools)

<table>
<thead>
<tr>
<th></th>
<th>Four-Class Average Rates (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Federal Rate</td>
</tr>
<tr>
<td>Overall</td>
<td>68</td>
</tr>
<tr>
<td>American Indian</td>
<td>61</td>
</tr>
<tr>
<td>Asian</td>
<td>73</td>
</tr>
<tr>
<td>African American</td>
<td>46</td>
</tr>
<tr>
<td>Hispanic</td>
<td>59</td>
</tr>
<tr>
<td>Non-Resident Alien</td>
<td>71</td>
</tr>
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<td>Native Hawaiian/Pacific Islander</td>
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<td>Two or More Races</td>
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<tr>
<td>White/Non-Hispanic</td>
<td>71</td>
</tr>
<tr>
<td>Unknown</td>
<td>62</td>
</tr>
</tbody>
</table>
### Average Federal Rates for Division III African-American Student-Athletes in Selected Sports (Voluntary Schools)

<table>
<thead>
<tr>
<th>Cohort</th>
<th>Male Student-Body (%)</th>
<th>Male Student-Athlete Rate (%)</th>
<th>Football (%)</th>
<th>Men’s Basketball (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>2007</td>
<td>33</td>
<td>45</td>
<td>39</td>
<td>44</td>
</tr>
<tr>
<td>2008</td>
<td>33</td>
<td>43</td>
<td>37</td>
<td>43</td>
</tr>
<tr>
<td>2009</td>
<td>36</td>
<td>43</td>
<td>36</td>
<td>46</td>
</tr>
<tr>
<td>2010</td>
<td>37</td>
<td>41</td>
<td>35</td>
<td>44</td>
</tr>
</tbody>
</table>

### African-American Representation (Voluntary Schools)

<table>
<thead>
<tr>
<th>Cohort</th>
<th>Male Student-Body (%)</th>
<th>Male Student-Athlete (%)</th>
<th>Football (%)</th>
<th>Men’s Basketball (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>2007</td>
<td>7</td>
<td>7</td>
<td>12</td>
<td>30</td>
</tr>
<tr>
<td>2008</td>
<td>6</td>
<td>8</td>
<td>13</td>
<td>25</td>
</tr>
<tr>
<td>2009</td>
<td>6</td>
<td>10</td>
<td>18</td>
<td>23</td>
</tr>
<tr>
<td>2010</td>
<td>7</td>
<td>10</td>
<td>18</td>
<td>22</td>
</tr>
</tbody>
</table>
Next Steps

- Continued analysis of the rates for football, noting the correlation of rates and football cohort size.
- Continued analysis of the rates for African-Americans.
- 2018 data collection opens in March. Continued focus will be given to increasing participation.

THANK YOU

Contact info: Eric Hartung

email: ehartung@ncaa.org

phone: 317-917-6306
INTRODUCTION

Background: 2018 marks the distribution of the fourth NCAA Division III Membership Survey. Division III began the survey collection in 2004 and it was repeated in 2008 and 2013. The survey has provided Division III institutions and conferences an opportunity to express their opinions concerning the current and future policies and priorities of the division. While some items remain consistent across iterations, the survey has also been used to focus on highly relevant and timely topics. The responses have helped the Presidents Council, Management Council, Strategic Planning and Finance Committee, and the other governance committees assess membership satisfaction with existing Division III principles, legislative standards, programs and services. The survey has also served as a platform for healthy discussion of pertinent Division III issues on campus. The responses are kept confidential. The identity of the institutions and conferences is not publicly matched with the responses. While participation in the survey is voluntary, the rate of response has historically been 75 percent or greater.

Methods: The survey is designed to result in one response per institution and conference office. The campus constituent groups – college/university administrators, athletics administrators, faculty and coaches – are encouraged to review the survey items and establish a single institutional response. Institutions are asked to identify the titles of those individuals involved in the compilation of the survey responses. Copies of the survey are available to facilitate this process and the final institutional response is submitted via an internet-based survey application. Ample time is given to institutions to facilitate the process.

Reporting: Survey findings are shared widely throughout the membership. While the Division III Presidents Council, Management Council and Strategic Planning and Finance Committee have direct oversight of the survey, findings are shared with many Division III governance committees and national office staff groups. Findings are posted publicly and shared directly with constituency groups, including the Division III Conference Commissioners, the Division III Faculty Athletics Representatives and the National Association of Division III Administrators.

SECTIONS

1. **Current Perceptions**: This section covers a wide range of topics – philosophy, health and safety, governance, legislative standards, overall budget priorities – and is designed to address the institution’s current perception of Division III. This section covers some central Division III philosophical tenets, assesses the institutions’ overall position on broad legislative standards and delves into issues of Division III governance policies and procedures including the level of engagement, adequate opportunity for constituent groups to be heard and the level of trust in the system. This section is intended to “take the pulse” of the Division III membership.
2. **The Athletics Enterprise on Campus:** With student-athletes making up approximately one-out-of-four of the overall student-body on average across the division, issues related to the recruitment and enrollment of student-athletes continues to be a central topic of discussion among the Division III membership. The topics covered in this section include the structure of the athletics department and the admission, financial aid packaging and enrollment of student-athletes. Special attention is given to the role and significance student-athlete enrollment plays in the overall enrollment goals for the institution. This section includes items related to the role of coaches as recruiters, allocation of institutional funds to athletics and the use of various enrollment strategies that have been used, or will be used, to leverage the enrollment of student-athletes.

3. **Financial Aid Regulations:** Division III is the only NCAA division that prohibits the awarding of financial aid based on athletics leadership, ability, participation or performance. To ensure adherence to this principle, Division III enacted legislation in 2004 that requires institutions to participate in an annual financial aid reporting program. Each year, all active, provisional and reclassifying members are subject to review by the Financial Aid Committee. Over the 12 years of the program, 207 institutions have been selected for a Level II review by the committee and 99 of those institutions have been sanctioned to some degree for violations found through the Financial Aid Reporting Program. This section focuses on the membership’s position on the appropriateness of the current regulations, the reporting program and sanctioning of institutions found in violation of the regulations.

4. **Academic Performance and Eligibility:** Division III emphasizes the educational value of athletics participation and focuses on the academic success of its student-athletes. Institutional autonomy in such matters as academic performance, academic progress and continuing eligibility has been the guiding philosophical and legislative principle of the division, with limited NCAA oversight. Responses to the questions in this section will provide additional assistance in evaluating the effectiveness of current principles, the voluntary student-athlete graduation rates data collection program and legislation related to initial and continuing eligibility.

5. **Sports Sponsorship and Membership:** One of the key tenets of the division is that its member institutions offer a broad-based athletics program by maximizing the number and variety of athletics opportunities for students. On average, Division III institutions sponsor approximately 18 sports with a median undergraduate enrollment of approximately 2,750 students. Additionally, one in four students, on average, participates in intercollegiate athletics. Current regulations require institutions to sponsor a minimum of 12 sports (six men’s and six women’s) with a slightly reduced allowance for schools with enrollment less than 1,000 (i.e. 10 sports – five for men and five for women) and single-gender institutions. In addition, institutions are required to complete an Institutional Self-Study Guide (ISSG), and conferences the CSSG, every five years and required to attend a Regional Rules
Seminar at a minimum of once every three years and the national convention annually. The information requested in this section will assist in determining if the current legislation and policies are appropriate. The key item in this section (recurring in each iteration of the survey) asks each institution to indicate their preference for the minimum number of sports Division III institutions should be required to sponsor.

6. **Campus, Conference and National Leadership:** As with most issues on a college campus, the chancellor or president is ultimately responsible for institutional actions. As the chief decision-maker on a campus, chancellors or presidents also have a defined leadership role within the NCAA governance structure. The Presidents Council, the Presidents’ Advisory Group, service on the Management Council, and service on additional governance committees are all avenues for chancellors and presidents to provide leadership to the division. Each Division III conference is also required to have as its ultimate authority a presidential governing board. Responses from the 2008 and 2013 survey indicated that at approximately 80 percent of Division III institutions, the director of athletics reports to an administrator on campus other than the chancellor or president. In the last five years the designation of the Athletics Direct Report (ADR) has been incorporated to recognize this unique relationship in intercollegiate athletics. The role and impact of the ADR has been boosted by dedicated programming and increased involvement at the campus, conference and national levels. The feedback requested in this section will provide assistance in further defining the appropriate level of involvement by chancellors and presidents, athletics direct reports, directors of athletics, senior woman administrators, faculty athletics representatives and student-athletes in the governance of Division III athletics.

7. **NCAA Championships:** National championships are an important component of the programmatic offerings of Division III, funding for which represents approximately 75% of the divisional budget (approximately $23.5 million for the 2017-18 academic year). At present, entrance to the national championship is either through a conference’s automatic qualification slot (with related slots allocated to independents) or through at-large bids. Each national championship (except football) is conducted within a three-week timeframe. Current championships policy provides one berth for every six and a half institutions sponsoring a team sport. This section is looking to gauge the Division III membership’s level of agreement with current standards and policies as well as potential policy changes. Respondents will also be asked to prioritize potential policy changes. This approach has been employed in past survey iterations and has proved helpful to Division III governance leaders in determining appropriate direction for the division in this area.

8. **Communications:** As technology continues to change, the NCAA national office has attempted to keep pace with the methods used to communicate with the membership. The information requested in this section will assist in continuing to improve the national office’s efforts to communicate effectively with the Division III membership. This section explores various communication strategies and methods.
9. **Strategic Planning:** Approximately 75 percent of the Division III budget is devoted to support the division’s 28 national championships. The other 25 percent of the division’s budget supports member schools and conferences through non-championship programming, educational resources and initiatives. In this section, respondents are asked to prioritize the Division III non-championships budget initiative including grant programs, educational programs and working groups.

10. **Diversity, Inclusion and Gender Equity:** As a core value, the NCAA believes in and is committed to diversity, inclusion and gender equity among its student-athletes, coaches and administrators. The findings from this section will assist Division III in determining the effectiveness of current programs as well provide guidance to help the Division III prioritize efforts in this regard moving forward. This section includes items related to actions taken on campus and in the conference, diversity in hiring and strategic partnerships.

11. **Conference Affiliation:** The majority of Division III institutions are members of one or more NCAA conferences. The information requested in this section will assist in further clarifying the role that NCAA member conferences play within the NCAA structure. Attention is given to the institution’s preference and prioritization of various grouping criteria.
NCAA DIVISION III
PRESIDENTS AND MANAGEMENT COUNCILS
SUMMARY OF SUMMER 2017
QUARTERLY MEETINGS

KEY ACTION/DISCUSSION ITEMS:

2017-19 Strategic Plan................................................................. Page No. 4
Legislation – Graduate & Postbaccalaureate Eligibility.......................... Page No. 7
Convention Legislation – Football Preseason ...................................... Page No. 8
Membership-Sponsored Legislation............................................... Page Nos. 16-19
Management Council Elections for 2018......................................... Page No. 19
Board of Governors Engagement and “Decision Tree” Regarding Social Issues Page No. 22
Campus Sexual Violence Prevention.............................................. Page Nos. 22-23
### Division III Management Council
**July 17-18, 2017**  
*Indianapolis, IN*

#### ATTENDEES
- Nnenna Akotaobi, Swarthmore College
- Stevie Baker-Watson, DePauw University
- Brad Bankston, Old Dominion Athletic Conference
- Heather Benning, Midwest Conference
- Sean Cain, Adrian College, SAAC representative
- Gail Cummings-Danson, Skidmore College
- Jeff Docking, Adrian College, Presidents Council chair
- Shantey Hill, St. Joseph’s College, vice chair
- Brit Katz, Millsaps College, chair
- Chris Kimball, California Lutheran University
- Dennis Leighton, University of New England, Laura Mooney, Massachusetts College of Liberal Arts
- Kate Roy, Lyndon State College
- Kandis Schram, Maryville College (Tennessee)
- Karen Tompson-Wolfe, Westminster College (Missouri)
- Denise Udelhofen, Loras College
- Troy Van Aken, Thiel College
- Michael Vienna, Emory University
- Joe Walsh, Great Northeast Athletic Conference
- Megan Warren, Defiance College, SAAC representative, attended Monday only
- Gerald Young, Carleton College

#### ABSENTEES
- Robert Davis, Jr., University of Scranton

#### OTHER PARTICIPANTS
- Scott Bearby, NCAA
- Chris Brown, NCAA
- Brian Burnsed, NCAA
- Dan Calandro, NCAA
- Jackie Campbell, NCAA
- Dan Dutcher, NCAA
- Brian Hainline, NCAA
- Eric Hartung, NCAA
- Jori Jasper, NCAA
- Jay Jones, NCAA
- Debbie Kresge, NCAA, recording secretary
- Louise McCleary, NCAA
- Jeff Myers, NCAA
- Jeff O’Barr, NCAA
- John Parsons, NCAA
- Adam Skaggs, NCAA
- Liz Suscha, NCAA

### Division III Presidents Council
**August 8-9, 2017**  
*Indianapolis, IN*

#### ATTENDEES
- Teresa Amott, Knox College
- Javier Cevallos, Framingham State University
- Mary Beth Cooper, Springfield College
- Alan Cureton, University of Northwestern, vice chair
- Jeff Docking, Adrian College, chair
- Stuart Dorsey, Texas Lutheran University
- William Fritz, College of Staten Island
- Sue Henderson, New Jersey City University
- Sharon Hirsh, Rosemont College
- Rob Huntington, Heidelberg University
- Brit Katz, Millsaps College, MC chair
- Robert Lindgren, Randolph-Macon College
- Tori Murden McClure, Spalding University
- Zorica Pantic, Wentworth Institute of Technology
- Matthew Shank, Marymount University

#### ABSENTEES
- Margaret Drugovich, Hartwick College
- Thomas Foley, Mount Aloysius College
- Shantey Hill, St. Joseph’s College, MC vice chair
- Elsa Nunez, Eastern Connecticut State University

#### OTHER PARTICIPANTS
- Scott Bearby, NCAA
- Chris Brown, NCAA
- Brian Burnsed, NCAA
- Dan Calandro, NCAA
- Jackie Campbell, NCAA
- Dan Dutcher, NCAA
- Mark Emmert, NCAA
- Brian Hainline, NCAA
- Eric Hartung, NCAA
- Jori Jasper, NCAA
- Jay Jones, NCAA
- Debbie Kresge, NCAA, recording secretary
- Louise McCleary, NCAA
- Jeff Myers, NCAA
- Jeff O’Barr, NCAA
- John Parsons, NCAA
1. **WELCOME AND ANNOUNCEMENTS.**

July 17-18 Management Council. The meeting was called to order at 8:02 a.m. July 17 and 8:46 a.m. July 18, by the chair, Brit Katz.

August 8-9 Presidents Council. The meeting was called to order at 6:20 p.m. August 8 and 9:11 a.m. August 9 by the chair, President Jeff Docking. President Docking acknowledged the first meeting for Presidents Mary Beth Cooper, Stuart Dorsey and Robert Lindgren.

2. **REVIEW OF RECORDS OF PREVIOUS MEETINGS.**

   a. **Management Council Meetings – April 10-11, 2017.**


   Presidents Council. No action necessary.

   b. **Presidents Council Meeting – April 25-26, 2017.**

   Management Council. No action necessary.

   Presidents Council. The Presidents Council approved the summary of its April 25-26, 2017, meeting.

3. **COMMITTEE RECOMMENDATIONS AFFECTING DIVISION III.**

   a. **Division III Joint Presidents Council/Management Council Committees or Subcommittees.**

   (1) **Convention-Planning Subcommittee.**

   (a) **2018 NCAA Convention – Educational Session Topics.**

   Management Council. The Council reviewed the subcommittee’s report regarding potential educational session topics. It endorsed the following recommendations:

   - **Ethics and athletics.** Building a culture of ethical behavior and a positive department culture. The subcommittee proposes this session be in a panel format. A companion, more in-depth discussion will occur during the Issues Forum;
• **Rules compliance.** Campus integration and responsibility for institutional rules compliance. This session will not focus on bylaws and rules, but instead focus on the systems needed to ensure campus integration related to NCAA rules compliance; and

• **Student and staff wellness: mental health.** This session will be sponsored by the National Association of Division III Athletics Administrators (NADIIIAA).

**Presidents Council.** No action was necessary.

(b) **Issues Forum Format and Proposed Discussion Topics.**

**Management Council.** The Council reviewed the subcommittee’s recommendation to follow the same legislative format as the 2017 Convention, in which staff will highlight key legislative proposals and allow time for a more in-depth Q & A discussion.

Suggested roundtable topics for the 2018 Issues Forum are:

• Ethics and athletics.
• Enrollment management and financial pressures (e.g., budgets, resource disparity between schools).

Time did not allow the subcommittee to discuss the format of the Issues Forum nor select a third topic if time permits. Both issues will be addressed on the September teleconference.

**Presidents Council.** No action was necessary.

(2) **Strategic-Planning and Finance Committee.**

(a) **Division III 2017-19 Strategic Plan.**

**Management Council.** The Council approved the 2017-19 Strategic Plan, and specifically updates to year one (2017-18).

The committee reviewed the seven strategic priorities for 2017-18, which is the first year of the 2017-19 budget biennium. Some initiatives highlighted include continued collaboration with the NCAA Eligibility Center; the FAR Engagement Working Group’s effort to identify strategies to re-engage FARs and develop a best practices resource; partner with Association-wide governance staffs to enhance Division III specific presidential programming at the NCAA Convention; collaborate with the Office of Inclusion to support the LGBTQ working group’s efforts; continue work related to diversity and inclusion; develop the 2018 division-wide survey; and maintaining fiscal integrity. The committee supported the updates to the Strategic Plan, noting the enhancement to the division’s priorities and budget initiatives.

**Presidents Council.** The Presidents Council approved the Management Council’s recommendation.
(b) **Division III Budget.**

Management and Presidents Councils. The Councils reviewed the 2016-17 budget-to-actual report as of May 31, 2017; the 2017-18 final budget; and the future budget projections. A surplus of approximately $1.4M is anticipated for championships, with all funds being spent in nonchampionships.

(c) **Division III 2015-17 Strategic Plan.**

Management Council. The Council reviewed the final analysis from the 2015-17 Strategic Plan, noting the outcome of each initiative. Overall, it was a successful budget biennium with many new programs and initiatives.

Staff noted that the initiatives and their outcomes will be posted on the Division III website, as well as inclusion in the monthly update.

Presidents Council. No action was necessary.

(3) **Joint Legislative Steering Subcommittee.**

(a) **Membership-Sponsored Proposals.**

Presidents Council. The Joint Legislative Steering Subcommittee reviewed nine membership-sponsored proposals. The Presidents Council approved the subcommittee’s recommendation for the following membership-sponsored proposals to be included in the presidential grouping:

- **Playing and Practice Seasons – Baseball – Number of Contests and Dates of Competition – Reduction in Permissible Contests.** This proposal would reduce the maximum number of contests in baseball from 40 to 36.

  The Presidents Council noted this proposal still needs to fully satisfy the sponsorship requirements by September 1 or it will be withdrawn.

- **Playing and Practice Seasons – Football – Preseason Practice – First Practice Date.** This proposal would move the first permissible contest date in football one week later, based on moving the Division III Football Championship back by one week and to establish the first permissible practice date as 28 days prior to the Saturday following the first permissible contest date.

  The Presidents Council noted this proposal still needs to fully satisfy the sponsorship requirements by September 1 or it will be withdrawn.
Division III Committees – Eligibility of Membership – Student-Athlete Advisory Committee – Change in Composition. This proposal would allow each voting member conference (43 in 2017-18) to have a representative on the National Student-Athlete Advisory Committee (SAAC). Currently, conferences share a SAAC representative on an alternating basis.

Executive Regulations – Principles of Establishing Bracket Size – Pool A – Two Automatic Qualifier Berths for Conferences with 15 or More Members. This proposal would maintain an established automatic qualification access ratio (1:7/5) for conferences with 15 members.

The Presidents Council noted this proposal still needs to fully satisfy the sponsorship requirements by September 1 or it will be withdrawn.

Governance-Sponsored Proposals.

Presidents Council. The Joint Legislative Steering Subcommittee reviewed five governance-sponsored proposals. The Presidents Council noted the following four proposals were identified by the subcommittee to be presidential in nature and placed them in the presidential grouping.

Sports Wagering. This proposal would eliminate the legislated penalty for sports wagering. For violations that trigger the legislated sports wagering sanctions, the Student-Athlete Reinstatement Committee would conduct a case-by-case review of these sports wagering violations to promote increased fairness. The new process would also provide for an appellate opportunity.

The Presidents Council agreed to sponsor this proposal. The Administrative Committee will review the proposal in final legislative format on its late-August teleconference.

Academic Misconduct. This proposal will define pre-enrollment and post-enrollment academic misconduct; clarify the individuals and activities to which the legislation applies; and clarify when an institution must report an academic misconduct violation. Further, if an institution’s policies were not violated and academic misconduct was not found, then establish an impermissible academic assistance analysis in lieu of an extra benefit analysis.

The Presidents Council agreed to sponsor this proposal. The Administrative Committee will review the proposal in final legislative format on its late-August teleconference.
• Graduate and Postbaccalaureate Eligibility. This proposal permits a student that has graduated from an NCAA Division III institution to participate in intercollegiate athletics at the Division III institution of his or her choice provided: (1) The student is enrolled and seeking a second baccalaureate or graduate degree; (2) The student has eligibility remaining (i.e., seasons of participation); and (3) The participation occurs within the applicable 10-semester/15-quarter period set forth in Bylaw 14.2.

The Presidents Council agreed to sponsor this proposal. The Administrative Committee will review the proposal in final legislative format on its late-August teleconference.

• Playing and Practice Seasons – Football – Establishing Preseason Start. This proposal would establish the football preseason practice period as follows: (1) The first permissible practice date shall be 25 days before the first permissible Saturday contest date (regardless of the institution’s actual first contest date). Expenses may not be provided before this date; (2) A day off shall be provided during the first six days of preseason which includes the five-day acclimatization period; (3) A day off shall be provided during each remaining week of the preseason practice period (prior to the week of the first contest); (4) On-field activity (a practice session and a walk through session) shall be limited to a combined total of four hours in length per day with a practice session not to exceed three hours; and (5) Footballs may be used during walk-through sessions following the five-day acclimatization period.

The Presidents Council agreed to sponsor this proposal. The Administrative Committee will review the proposal in final legislative format on its late-August teleconference.

b. Management Council Subcommittees.

(1) Subcommittee for Legislative Relief.

• Legislation – Graduate and Postbaccalaureate Eligibility – NCAA Bylaws 14.1.9 and 14.5.

Management Council. The Council approved the committee’s recommendation to sponsor 2018 NCAA Convention legislation. [See above, Joint Legislative Steering Subcommittee Report.]

Presidents Council. The Presidents Council agreed to sponsor 2018 NCAA Convention legislation. [See above, Joint Legislative Steering Subcommittee Report.]
(2) Playing and Practice Seasons Subcommittee (PPSS).


Management Council. The Council approved the subcommittee’s recommendation to sponsor 2018 NCAA Convention legislation. [See Page No. 7, Joint Legislative Steering Subcommittee Report]

Presidents Council. The Presidents Council agreed to sponsor 2018 NCAA Convention legislation. [See Page No. 7, Joint Legislative Steering Subcommittee Report.]

c. Division III Committees.

(1) Championships Committee.

(a) Championships Site Selections for 2018-22.

Management Council. The Council noted the committee approved site and host recommendations for championships from fall 2018 through spring 2022. After noting that most host bids come from regions outside of the far west, the Council discussed the value of having bids from all geographic regions.

Presidents Council. No action was necessary.

(b) Men’s and Women’s Soccer Regional Alignment.

Management Council. The Council noted the committee discussed a proposal to relocate the New Jersey Athletic Conference in the regional alignment. The committee supported the soccer committees’ review of the issue (members recognized that the current alignment is not ideal, but believed that the proposal would only shift the issue rather than eliminate it) and agreed that a more thorough regional realignment review across all eight-region sports of similar sport sponsorship may prove beneficial to address realignment concerns. The committee requested that NCAA staff provide a summary of the issue relevant to all sports at its next in-person meeting.

Presidents Council. No action was necessary.

(c) Wrestling.

Management Council. The Council noted an update on the Wrestling Committee’s ongoing work related to regional realignment. The realignment principles include geographic proximity, balanced championship access through alignment, conference affiliation, and competitive consideration. The Wrestling Committee sent a survey to the membership in mid-July. The results will be shared for feedback at the Wrestling...
Coaches Association meeting in August. The final realignment proposal and recommendation will be submitted for review at the September Championships Committee meeting.

Presidents Council. No action was necessary.

(2) **Committee on Infractions.**

(a) **Amend NCAA Division III Bylaws 32.6.6 Prehearing Conference and 32.6.7 Enforcement Staff Case Summary.**

Management Council. The Council approved the committee’s recommendation to amend Bylaws 32.6.6 Prehearing Conference and 32.6.7 Enforcement Staff Case Summary to provide clarification regarding the timing of the infractions hearing prehearing conference and the submission of the enforcement staff’s case summary.

Presidents Council. No action was necessary.

(b) **Amend Bylaw 32.8.8 as follows:**

Management Council. The Council approved the committee’s recommendation to amend Bylaws 32.8.8 to provide the opportunity for the Committee on Infractions to request interpretations from the academic and membership affairs (AMA) staff as part of the posthearing deliberative process.

Presidents Council. No action was necessary.

(3) **Financial Aid Committee.**

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

(4) **Infractions Appeals Committee.**

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

(5) **Interpretations and Legislative Committee (ILC).**

(a) **Convention Legislation – Promotional Activities – NCAA Bylaw 12.5.1.1.**

Management Council. The Council approved the committee’s recommendation to sponsor 2018 NCAA Convention legislation replacing
the requirement that an authorized representative of a charitable, educational, or nonprofit agency sign a release statement with a requirement that the institution provides educational material notifying the entity of its obligation to ensure that a student-athlete’s name, likeness, appearance or image is used in a manner consistent with the promotional activities legislation.

Presidents Council. No action was necessary.

(b) Approval of Office Interpretation – Player Agreement Before Initial Collegiate Enrollment.

Management Council. The Council approved the following official interpretation:

Player Agreement Before Initial Collegiate Enrollment (III). The Division III Interpretations and Legislation Committee confirmed that a player agreement to compete with an amateur team, where no payment is provided, is not competition pursuant to the signing of a contract that would constitute participation in organized competition before initial collegiate enrollment. Therefore, an individual shall not be charged with a season of participation nor be required to serve an academic year of residence.

[References: NCAA Division III Bylaws 14.2.4.4 (participation in organized competition before initial collegiate enrollment) and 14.2.4.4.2 (activities constituting use of a season).]

Presidents Council. No action was necessary.

(6) Membership Committee.

(a) Active and Provisional/Reclassifying Membership.

Management Council. The Council noted the committee elected the following institutions, effective September 1, 2017, to active Division III membership per Constitution 3.2.3.3:

i. Berea College;
ii. Bryn Athyn College; and
iii. Pennsylvania College of Technology.

The Membership Committee also approved the advancement of the following provisional and reclassifying institutions currently in the provisional/reclassifying process as follows, effective September 1, 2017:

i. Year two to year three – Belhaven University.
ii. Year three to year four:
   ■ Alfred State College;
   ■ Illinois Institute of Technology;
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- Iowa Wesleyan College; and
- McMurray University (reclassifying).

For the 2017-18 academic year, there will be 443 active members and eight provisional/reclassifying members for a total of 451 members.

Presidents Council. No action was necessary.

(b) **Sports-Sponsorship Waiver Requests.**

Management Council. The Council noted the committee denied a sports sponsorship waiver request from Pine Manor College for failure to satisfy sports-sponsorship requirements in softball. As a result, the institution will be placed on restricted membership status for the 2017-18 academic year, effective September 1, 2017.

Presidents Council. No action was necessary.

(7) **Nominating Committee.**

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

(8) **Student-Athlete Advisory Committee (SAAC).**

Management Council. The Council reviewed the committee’s report. No action was necessary.

Presidents Council. No action was necessary.

(9) **Student-Athlete Reinstatement Committee.**

(a) **Noncontroversial Legislation – NCAA Division III Bylaw 12.5.1.1 – Permissible – Institutional, Charitable, Educational or Nonprofit Promotions – Effect of Violations.**

Management Council. The Council approved the committee’s recommendation to adopt noncontroversial legislation to amend Bylaw 12.5.1.1 to clarify that circumstances where a student-athlete participates in an impermissible institutional promotional activity and does not receive above actual and necessary expenses should be institutional violations and not affect the student-athlete’s eligibility.

Presidents Council. No action was necessary.
(b) **Contemporaneous Medical Documentation Standards.**

Management Council. The Council noted the committee reviewed feedback from the NCAA Committee on Competitive Safeguards and Medical Aspects of Sport regarding medical documentation from licensed physical extenders and the use of noncontemporaneous medical documentation. The committee modified its current medical documentation standards guideline to allow consideration of noncontemporaneous medical documentation in conjunction with contemporaneous medical documentation from a treating physician. Additionally, the committee directed staff to review waiver requests involving diagnosis or treatment by a licensed physician extender on a case-by-case basis.

Presidents Council. No action was necessary.

(c) **Waiver Guidelines for Transgender Student-Athletes.**

Management Council. The Council noted the committee modified its waiver guidelines to permit staff to approve season-of-participation waivers for transgender female student-athletes who have practiced with a women’s team during the first year of being treated with testosterone suppression medication or within the first year of surgical intervention, provided specific criteria are met. In addition, the committee directed staff to consider the student-athlete’s year of ineligibility as a denied participation opportunity for the purposes of an extension waiver request.

Presidents Council. No action was necessary.

d. **Association-Wide Committees.**

(1) **Competitive Safeguards and Medical Aspects of Sports (CSMAS).**

(a) **Uniform Standards of Care.**

Management Council. The Council reviewed the committee’s report noting a working group was established to develop language for the “uniform standards of care process” and created the following statement approved by the committee:

“On occasion, an issue of significance arises that not only poses a substantial challenge to the principle of student-athlete well-being, to which the Association has committed, but also requires a uniform, Association-wide response to address that challenge.”

The committee acknowledged and agrees with the criteria identified to be used in deciding when to elevate a health and safety matter requiring a uniform, Association-wide solution. The complete list of criteria is:

- The issue involves new scientific evidence with anticipated Association-wide importance.
The issue will impact a core Association-wide value.
• The issue poses a legal risk to the Association.
• The issue poses a reputational risk to the Association.

The committee believes that the NCAA Sport Science Institute staff should consider these criteria when planning future CSMAS meetings to provide the committee an opportunity to apply these criteria to relevant health and safety matters. When an issue is identified to the committee as satisfying one or more of these criteria, the committee will work collaboratively with the SSI staff to develop a proposal for the Board of Governors that will:

• Explain how the issue(s) satisfies one or more of these criteria.
• Demonstrates why an effective solution(s) to the problem requires a uniform, Association-wide approach.
• Suggest one or more actions from the Board of Governors that may contribute to the development or implementation of a uniform Association-wide solution.

**Presidents Council.** No action was necessary. [See Page No. 23, Item b.]

**Position on the Football Preseason’s Second Practice Session.**

**Management Council.** The Council noted the committee took the following position on walk-through practice sessions that occur during the football preseason:

• The use of protective equipment, including helmet and shoulder pads, is not permitted per existing legislation.
• Additionally, the use of football equipment is not permitted. [Note: all three divisions created a waiver permitting the use of footballs for the 2017 football preseason.]
• Sprinting and competitive speed drills are not allowed during walk-throughs. If the above-mentioned waivers are approved, the presence and use of a football may not change the speed of the walk-through.
• Outside of the on-field practice session, conditioning drills are not allowed.
• Weightlifting sessions are not considered conditioning sessions if conducted for the purpose of strength training and performed in a deliberate and controlled manner that is consistent with the concept of walking or jogging. Weightlifting activities designed to enhance cardiorespiratory fitness are considered conditioning and are not permitted. Weightlifting sessions should be carefully planned and implemented only after consultation with a strength and conditioning professional and the primary athletics health care provider.
• The legislated three-hour recovery period must be observed between any two sessions. Adequate student-athlete rest and recovery is paramount.

**Presidents Council.** No action was necessary.

(c) **Positions on Guidelines for the No Football Practice Day.**

**Management Council.** The Council noted the committee took the following positions on guidelines for the no football practice day:

• The intent of recommending no football practice days is to allow physiological recovery, especially with regard to the following three high-risk events that occur during the preseason: (1) repetitive head impact exposure, (2) catastrophic injury, and (3) musculoskeletal injury.

• Adequate student-athlete rest and recovery is paramount and student-athlete time demands should be strongly considered.

• Strength training, conditioning and walk-throughs are not permissible.

• Activities that satisfy the intent of the practice recommendations on these days include: leadership, team building, team meals or entertainment activities; team meetings; fundraising or community service activities; and educational activities, including those that improve football understanding and awareness (i.e., film review).

**Presidents Council.** No action was necessary.

(2) **Committee on Sportsmanship and Ethical Conduct.**

**Management Council.** The committee had no formal report. No action was necessary.

**Presidents Council.** No action was necessary.

(3) **Committee on Women’s Athletics (CWA).**

**Gender Equity Task Force Recommendations.**

**Management Council.** The Council noted the committee reviewed a summary of the task force’s recommendations to the NCAA Board of Governors Ad Hoc Committee to Promote Cultural Diversity and Equity.

**Presidents Council.** No action was necessary.
(4) **Honors Committee**

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

(5) **Minority Opportunities and Interests Committee (MOIC).**

Management Council. The Council reviewed the committee’s report. No action was necessary.

Presidents Council. No action was necessary.

(6) **Joint Minority and Interest Committee and Committee on Women’s Athletics.**

Management Council. The Council reviewed the committee’s report. No action was necessary.

Presidents Council. No action was necessary.

(7) **Olympic Sports Liaison Committee (OSLC).**

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

(8) **Playing Rules Oversight Panel (PROP).**

Management Council. The Council received an update from PROP noting the swimming/diving committees made it permissible to use a lighting system in addition to a sound system. Anecdotal conversations amongst student-athletes reported that the light was helpful to all student-athletes and not just those who were hearing impaired.

Presidents Council. No action was necessary.

(9) **Postgraduate Scholarship Committee.**

Management Council. The Council reviewed the committee’s report. No action was necessary.

Presidents Council. No action was necessary.
4. **PROPOSED LEGISLATION FOR THE 2018 CONVENTION.**

a. **Review noncontroversial legislation approved by the Management Council.**

   • **Committees – Association-Wide Committees – Student-Athlete Representation – Voting Members.**

      Management Council. The Council approved noncontroversial legislation to specify that one student-athlete from each division shall serve as a voting member on the NCAA Olympics Sports Liaison Committee, NCAA Committee on Competitive Safeguards and Medical Aspects of Sports, NCAA Minority Opportunities and Interests Committee, NCAA Committee on Women’s Athletics and NCAA Committee on Sportsmanship and Ethical Conduct.

      Presidents Council. No action was necessary.

b. **Review of Modifications of Wording Approved by the Management Council.**

   • **Championships – Ineligibility for Use of Banned Drugs – Penalty – “Illicit Drugs”**.

      Management Council. The Council approved modification of wording legislation to specify that a student-athlete who, as a result of a drug test administered by the NCAA, tests positive for use of a substance in the banned drug class illicit drugs shall be ineligible for competition during 50 percent of a season in all sports.

      Presidents Council. No action was necessary.

c. **Review of Membership-Sponsored Legislation.** [NOTE: The Joint Legislative Steering Subcommittee reviewed on its July 31 teleconference all membership-sponsored legislation properly submitted by the July 15 deadline; however, not all proposals had been properly sponsored for Convention vote.]

   (1) **Playing and Practice Seasons – Annual Contest Exemptions – Alumni Contest.** This proposal is to permit the stand-alone annual exemption of one alumni contest per sport during any segment/period, with the exception of football.
Management Council. The Council reviewed the membership-sponsored proposal and forwarded it to the Playing and Practice Seasons Subcommittee (PPSS) and the Student-Athlete Advisory Committee (SAAC) to recommend an official position.

Presidents Council. No action was necessary.

(2) Playing and Practice Seasons – Baseball – Number of Contests and Dates of Competition – Reduction in Permissible Contests. This proposal would reduce the maximum number of contests from 40 to 36 in baseball.

Management Council. The Council reviewed the membership-sponsored proposal and forwarded it to PPSS, SAAC, the Division III Baseball Committee and the Membership Committee to recommend an official position.

Presidents Council. The Presidents Council approved the Joint Legislative Steering Subcommittee’s recommendation to include the proposal in the presidential grouping. [See Page No. 5, Item (3) a.]

(3) Playing and Practice Seasons – Basketball – First Permissible Contest – November 8. This proposal would establish the first permissible contest date in basketball as November 8. When November 8 falls on a Saturday, Sunday or Monday, a member institution may play its first contest on the Friday immediately preceding November 8.

Management Council. The Council reviewed the membership-sponsored proposal and forwarded it to PPSS, the Division III Men’s and Women’s Basketball Committees and SAAC to recommend an official position.

Presidents Council. No action was necessary.

(4) Playing and Practice Seasons – Football – Preseason Practice – First Practice Date. This proposal’s intent is to move the first permissible contest date one week later, based on moving the Division III Football Championship back by one week and to establish the first permissible practice date as 28 days prior to the Saturday following the first permissible contest date.

Management Council. The Council reviewed the membership-sponsored proposal and forwarded it to PPSS, the Division III Football Committee, the Committee on Competitive Safeguards and Medical Aspects of Sports (CSMAS) and SAAC to recommend an official position.

Presidents Council. The Presidents Council approved the Joint Legislative Steering Subcommittee’s recommendation to include the proposal in the presidential grouping. [See Page No. 5, Item (3) a.]

(5) Playing and Practice Seasons – Ice Hockey – First Permissible On-Ice Practice Date – Second Monday in October. This proposal’s intent is to establish the first permissible on ice practice date as the second Monday in October.
Management Council. The Council reviewed the membership-sponsored proposal and forwarded it to the Division III Men’s and Women’s Ice Hockey Committees, PPSS and SAAC to recommend an official position.

Presidents Council. No action was necessary.

(6) **Playing and Practice Seasons – Foreign Tours – Institutionally Certified Tours – Tour to U.S. Territory or Commonwealth.** This proposal’s intent is to specify that an institutional foreign tour may include trips to a U.S. Commonwealth or territory.

Management Council. The Council reviewed the membership-sponsored proposal and forwarded it to PPSS, the Interpretations and Legislative Committee (ILC) and SAAC to recommend an official position.

Presidents Council. No action was necessary.

(7) **Division III Committee – Eligibility of Membership – Student-Athlete Advisory Committee – Change in Composition.** This proposal would allow for each voting member conference to have a representative on the National Student-Athlete Advisory Committee.

Management Council. The Council reviewed the membership-sponsored proposal and forwarded it to SAAC, the Strategic Planning and Finance Committee (SPFC) and the Nominations Committee to recommend an official position.

Presidents Council. The Presidents Council approved the Joint Legislative Steering Subcommittee’s recommendation to include the proposal in the presidential grouping. [See Page No. 6, Item (3) a.]

(8) **Executive Regulations – Principles of Establishing Bracket Size – Pool A – Two Automatic Qualifier Berths for Conferences with Over 15 Members.** This proposal would maintain an established automatic qualification access ratio of 1:7.5 for conferences with over 15 members.

Management Council. The Council reviewed the membership-sponsored proposal and forwarded it to the Championships Committee and the Membership Committee to recommend an official position.

Presidents Council. The Presidents Council approved the Joint Legislative Steering Subcommittee’s recommendation to include the proposal in the presidential grouping. [See Page No. 6, Item (3) a.]

(9) **Executive Regulations – Selection Criteria – Primary Criteria – Ranking and Selection all Contests Leading up to NCAA Championships – Strength of Victory.** This proposal would add Strength of Victory to the primary criteria for championships ranking and selection.
Management Council. The Council reviewed the membership-sponsored proposal and forwarded it to the Championships Committee to recommend an official position.

Presidents Council. No action was necessary.

5. **CHAIR AND VICE CHAIR ELECTIONS.**

a. **Management Council Elections for 2018.**

Management Council. The Council elected Shantey Hill, assistant vice president and senior director of athletics, St. Joseph’s College, Long Island, as chair for 2018 and Kate Roy, senior woman administrator and associate athletics director, Lyndon State College, as vice chair.

Presidents Council. No action was necessary.

b. **Presidents Council Leadership.**

Presidents Council. All potential leadership candidates will be encouraged to forward their interest to staff. The Presidents Council Nominations Subcommittee will discuss recommendations in September and forward a report to the Council at its October meeting.

6. **DIVISION III INITIATIVES AND UPDATES.**

a. **Diversity and Inclusion Working Group.**

Management Council. The Council received an update on the working group’s examination of Division III graduation rates and in particular, football players and African-Americans. The latest graduation rate for football student-athletes was 53 percent. The graduation rate of African-American football student-athletes was 36 percent; a rate that is 18 percentage points lower than Division I (54 percent). Two factors appear to influence the low graduation rates for football overall and African-American football student-athletes:

- Enrollment management strategies; and
- Shortcomings with the federal rate collection (e.g., no transfers).

In the seventh year of voluntary collection, the data show a correlation between football federal graduation rates and recruiting class size. The larger the recruiting class, the lower the graduation rate – especially with recruiting classes of 50 or more players. Since Division III doesn’t have any recruiting limitations, incoming classes have ranged from 10 to 100 new recruits. The working group is continuing its examination and anticipates another update to the Councils in October.

Presidents Council. No action was necessary.
b. **Sportsmanship and Game Environment Working Group.**

*Management Council.* The Council received an update on the working group’s partnership with the Disney Institute and the newly created “Gameday: the DIII Way” program. The program has four standards to help Division III institutions and conference offices achieve better fan decorum at athletics events by providing a consistent level of expectations and guest service throughout the division. The 90-minute training program provides baseline guest service training and game environment standards. The training will be delivered either in-person or online. To date, 60 individuals from the membership have been trained to be a facilitator (i.e., Ambassador). Throughout the fall, institutions and conference offices may request an in-person training from an Ambassador or receive training at the NCAA Convention in January. Post-convention training will be available online. Throughout 2017-20, the Disney Institute will continue to provide facilitator training to large groups of Division III Ambassadors.

*Presidents Council.* No action was necessary.

c. **Faculty Athletics Representative (FAR) Engagement Working Group.**

*Management Council.* The Council received a verbal update noting its work is divided into three areas: (1) campus level (survey to FARs); (2) conference level (review bylaws); and (3) national level (engagement in committee structure). The working group also noted the FAR Institute enrollment numbers are down, and is working closely with the Institute’s planning team to determine whether to move to a different format.

*Presidents Council.* No action was necessary.

d. **LGBTQ Working Group.**

*Management Council.* The Council endorsed, in concept, a draft membership survey to be distributed in October 2017. The survey will assist the working group in determining the current culture and landscape related to LGBTQ topics such as education, resources, communication, visibility and recognition. The working group will provide an update on its findings and proposed next steps at the 2018 NCAA Convention.

*Presidents Council.* No action was necessary.

e. **360 Proof.**

*Management Council.* The Council received an overview regarding the 360 Proof program status. Currently there are 198 institutions, of 739 eligible institutions, registered for 360 Proof (an increase of 10 since the prior academic year). Active use of the portal remains below targets established by the steering committee.

*Presidents Council.* No action was necessary.
f. **Division III Identity Initiative.**

Management Council. The Council received a status report on the Division III Identity Initiative including an update on the purchasing website, championships, DIII/D3SIDA Recognition Award, social media, Special Olympics and Division III Week.

Presidents Council. No action was necessary.

g. **Technology Users Group.**

Management Council. The Council received an update noting the technology group has been consulting with AMA in the creation of educational items that would benefit the Division III membership.

Presidents Council. No action was necessary.

h. **2017 Governance Scorecard Report.**

Management and Presidents Councils. The Councils received the results of the 2016-17 governance scorecard. The scorecard is a tool that allows Division III committee members to evaluate how well NCAA staff liaisons performed specific tasks and how important those tasks were to committee members. It also aids staff in managing the performance of governance structure committee liaisons. Based on a five-point scale (five high), the overall performance rating was 4.63 (almost an identical rating to the prior year).

i. **Feedback from Conference Meetings.**

Management Council. The Council reviewed reports from various conference visits. No action was necessary.

Presidents Council. No action was necessary.

j. **AdHoc Committee for Management Council Leadership Nomination Process.**

Management Council. The Council discussed its policies and procedures related to the nomination process for the Council chair and vice chair positions. The Council approved a policy change that no student-athlete serving on the Council may be elected the chair or vice chair. The Council asked the subcommittee to develop a vice chair to chair ascension model, to be discussed and considered at its October meeting.

Presidents Council. No action was necessary.

7. **ASSOCIATION-WIDE UPDATES AND ISSUES.**

a. **Board of Governors (BOG) Updates.**

(1) Committee to Promote Cultural Diversity and Gender Equity in Intercollegiate Athletics.
Management and Presidents Council. Composition for this committee is currently being determined, but will include representation from the Board of Governors. Each governance structure is to determine the proper mechanism in which to employ the Diversity and Inclusion Review.

The Councils also noted that 327 Division III institutions (72.8%) have signed the Presidential Pledge.

(2) NCAA Championships Pilot on Serving Alcoholic Beverages.

Management and Presidents Council. The Councils received an update that the Board of Governors agreed to extend the championship alcohol pilot for the 2017-18 year to also include Division I wrestling, volleyball and the Frozen Four.

Any proposal to permit alcohol sales on a permanent basis would require a federated legislative vote.

(3) Engagement and “Decision Tree” Regarding Social Issues.

Presidents Council. Due to the NCAA being faced with a variety of questions about social issues and suggestions of when and how the NCAA should act, the Board of Governors developed a draft engagement “decision tree”, and it asked the three divisional presidential bodies to review and provide feedback. The Presidents Council discussed the document during its meeting with the Presidents/Chancellors Advisory Group and made the following observations and questions:

- Complicated but needed. Could it be simplified?
- How to distinguish the social issues?
- Top two criteria to use:
  - Well-being of student-athlete.
  - Integrity of Association.
- Look for issues in which the NCAA is uniquely qualified to address, even when these issues are not necessarily of national significance.
- What does “significant national scope” mean? How is this determined?
- Noted that national issues are different between the divisions (e.g., Division I – money; Division III – cultural issues).
- Will the NCAA take a proactive or reactive stance?
- Needs to align with the Association’s mission.
- Is there a potential risk to the Association or impact on the membership?
- How does the different impact of issues effect Division III?
- How is Division III represented in the process?

(4) Campus Sexual Violence Prevention.

Presidents Council. The Council noted the recent policy adopted by the NCAA Board of Governors on sexual violence prevention. As part of the new policy, leaders on each NCAA campus must attest annually that coaches, athletics administrators and student-athletes were educated in sexual violence prevention. According to this policy, the campus officials must declare that:
The school’s athletics department is knowledgeable about, integrated in, and compliant with institutional policies and processes regarding sexual violence prevention and proper adjudication and resolution of acts of sexual violence.

The school’s policies regarding sexual violence prevention and adjudication – plus the name and contact information for the campus Title IX coordinator – are readily available in the athletics department and are distributed to student-athletes.

The Presidents Council discussed this policy during its meeting with the Presidents/Chancellors Advisory Group and made the following observations:

- Noted it could help with litigation.
- Felt it was a good broad-based recommendation.
- Key is to handle student-athletes like other students.
- Coaches and student-athletes easier to educate than others. More assessible.
- Noted that it creates more work on the institution.
- Some felt that a public list of schools not compliant is a step too far, while others felt it was good accountability.
- Is there a legal liability if an institution doesn’t sign?
- Efforts should be made to further utilize student (in particular student-athlete) leaders in addressing and educating campuses.
- Hurdles would be time, money and transitions.
- Recommendations for the NCAA to assist institutions with compliance:
  - Webinars.
  - Videos.
  - Money.
  - Educational opportunities at Convention and other Association events.
  - Toolkits.

b. **Sports Science Institute (SSI) updates.**

Management Council. The Council received an update on two recent task force/interassociation summits. The purpose of the athletics health care services summit, held in late January, was an effort to help ensure that the highest level of health care is provided to college student-athletes by developing a best practices document that addressed the following core principles of athletics health care delivery: (1) infrastructure; (2) athletics health care administration and organization; (3) communication and implementation of evolving interassociation recommendations and NCAA legislation; and (4) assuring independent medical care in all medical decision-making. Another focus of the summit was the role of strength and conditioning coaches and the lack of effective legislation around the required strength and conditioning coach certifications. SSI noted that it anticipates the release of a consensus statement or summary report in the fall of 2018. Prior to that release, SSI will follow its new uniform standards of care process protocols that include endorsement by the Committee on Competitive Safeguards and Medical Aspects of Sports (CSMAS) and an initial, mid-year and final review by both Management and Presidents Councils.
In May, SSI held an interassociation task force on sleep and wellness. The participants heard a series of presentations to assist in the creation of an educational position paper and an interactive, online tool kit. SSI anticipates gathering membership feedback in the fall and the release of the position statement and toolkit in the early spring of 2017-18.

SSI also anticipates a future summit on pain management during 2017-18.

Presidents Council. The Presidents Council received an update on the Uniform Standards of Care process. [See Page Nos. 12-13, Item d (1) (a).] Using this process, CSMAS recommended the NCAA Board of Governors identify the concussion safety protocol review process as an issue that rises to the level of Association-wide concern for student-athlete health and safety. At its October meeting, the Council will discuss next steps.

c. Litigation Update.

Management and Presidents Councils. The Councils received a litigation update. No action was necessary.

d. Governmental Relations Report.

Management and Presidents Councils. The Councils accepted the Governmental Relations Report. No action was necessary.

8. ADJOURNMENT.

Management Council. The Council meeting adjourned at 3:46 p.m. Monday, July 17 and 11:45 a.m. Tuesday, July 18.

Presidents Council. The Presidents Council meeting adjourned at 9:16 p.m. Tuesday, August 8 and 12:04 p.m. Wednesday, August 9.
**ACTION ITEM.**

- None.

**INFORMATIONAL ITEMS.**

1. **2018 NCAA Convention Legislation.** The Administrative Committee reviewed and approved the following 2018 NCAA Convention legislative drafts sponsored by the Division III Presidents and Management Councils, consistent with the September 1 deadline.

   a. **Eligibility – Academic Misconduct and Impermissible Academic Assistance.** This proposal would define pre-enrollment and post-enrollment academic misconduct; clarify the individuals and activities to which the legislation applies; and clarify when an institution must report an academic misconduct violation. Specially, academic misconduct as an NCAA violation is primarily conditioned first on a finding by the institution that its own policies have been violated, and then if the institutional violation involved any of the following: (1) an institutional staff member or athletics representative along with a student-athlete; (2) an erroneous declaration of eligibility; or (3) an alteration of a transcript or academic record (alteration by an institutional staff member would constitute academic misconduct regardless if it violated the institution’s policies). Further, if an institution’s policies were not violated and academic misconduct was not found, then establish an impermissible academic assistance analysis in lieu of an extra benefit analysis.

   b. **Ethical Conduct – Sports Wagering Activities – Sanctions – Elimination of Legislated Sanctions.** This proposal will eliminate the legislated penalty for sports wagering activities.

   c. **Amateurism – Promotional Activities – Institutional, Charitable, Educational or Nonprofit Promotions – Educational Requirement.** This proposal amends the promotional activities legislation by requiring institutions to provide educational material in lieu of obtaining a release statement from the authorized representative of the charitable, educational, nonprofit or government agency confirming the student-athlete’s name, image or appearance will be used in a manner consistent with Bylaw 12 regulations.

   d. **Eligibility – Graduate and Postbaccalaureate Eligibility – Students Graduating from Division III Institutions.** This proposal would permit a student that has graduated from an NCAA Division III institution to participate in intercollegiate athletics at the Division III institution of his or her choice provided: (1) The student is enrolled and seeking a second baccalaureate or graduate degree; (2) The student has eligibility remaining (i.e., seasons of participation); and (3) The participation occurs within the applicable 10-semester/15-quarter period set for in Bylaw 14.2.
e. **Playing and Practice Seasons – Football – Establishing Preseason Start Date 25 Days from First Permissible Saturday Contest.** This proposal would amend the football preseason legislation as follows: (1) The first permissible practice date shall be 25 days before the first permissible Saturday contest date (regardless of the institution’s actual first contest date). Expenses may not be provided before this date; (2) A day off shall be provided during the first six days of preseason which includes the five-day acclimatization period; (3) A day off shall be provided during each remaining week of the preseason practice period (prior to the week of the first contest); (4) On-field activity (a practice session and a walk-through session) shall be limited to a combined total of four hours in length per day with a practice session not to exceed three hours; and (5) Footballs may be used during walk-through sessions following the five-day acclimatization period.

The committee noted there were nine membership-sponsored proposals submitted by the July 15 deadline; however, two were withdrawn and five are not properly cosponsored as of August 28, 2017. The deadline for proposals to be properly sponsored is 5 p.m. September 1.

2. **Governance Committee Appointment.** The Administrative Committee approved the appointment of Matthew Gillette, men’s basketball student-athlete, Texas Lutheran University, to the Division III Student-Athlete Advisory Committee, effective immediately.

3. **Championships Alcohol Pilot Waiver.** The Administrative Committee voted to extend to the current waiver to allow alcohol sales at the Division III Men’s Lacrosse Championship. The committee noted the Board of Governors does not intend to extend the championships alcohol pilot beyond 2017-18. After 2017-18, each division will determine championship sales on a federated basis. The pilot does not permit alcohol sales at venues that normally don’t serve alcohol. The Championships Committee, as well as the Management and Presidents Councils, will discuss next steps for 2018-19 at their upcoming meetings.

4. **Adjournment.** The teleconference commenced at 10:48 a.m. Eastern time.

*Committee Chair:* Jeff Docking, Adrian College  
*Staff Liaisons:*  
**Dan Dutcher, Division III Governance**  
**Jay Jones, Division III Governance**  
**Debbie Kresge, Division III Governance**  
**Louise McCleary, Division III Governance**
August 28, 2017

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<th>Attendees</th>
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<td>Al Cureton, University of Northwestern</td>
<td>Brit Katz, Millsaps College</td>
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<td>Jeff Docking, Adrian College</td>
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REPORT OF THE NCAA DIVISION III
ADMINISTRATIVE COMMITTEE
SEPTEMBER 19, 2017, ELECTRONIC MAIL

ACTION ITEM.

• None.

INFORMATIONAL ITEMS.

1. Governance Committee Appointment. The Administrative Committee approved the following committee appointment, effective immediately:

• Division III Financial Aid Committee – Larry Scheiderer, director of athletics operations and compliance, Denison University.

2. 2017 Presidents Council Appointment. The Administrative Committee approved the appointment of Kathleen Murray, Whitman College (Northwest Conference) replacing Dave Wolk, effective November 1.

President Murray is fulfilling a term that expires January 2019 and would be eligible for reappointment.

Committee Chair: Jeff Docking, Adrian College
Staff Liaisons: Dan Dutcher, Division III Governance
              Jay Jones, Division III Governance
              Debbie Kresge, Division III Governance
              Louise McCleary, Division III Governance

<table>
<thead>
<tr>
<th>September 19, 2017</th>
<th>Attendees</th>
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<tr>
<td>Al Cureton, University of Northwestern</td>
<td>Shantey Hill, St. Joseph’s College (Long Island)</td>
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<td>Jeff Docking, Adrian College</td>
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<td>Brit Katz, Millsaps College</td>
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<td>Troy VanAken, Elmhurst College</td>
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<td>NCAA staff: Dan Dutcher, Jay Jones, Debbie Kresge and Louise McCleary</td>
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REPORT OF THE
NCAA DIVISION III MANAGEMENT COUNCIL

The Division III Management Council conducted its October 16-17 meeting in Indianapolis. Listed below are specific recommendations for review and consideration by the Presidents Council.

ACTION ITEMS

The following Management Council recommendations require action by the Presidents Council:

1. **Convention Proposal Grouping and Voting Method for 2018.**
   a. **Recommendation.** Designate that votes for all proposals at the 2018 Convention be taken using the roll-call method, regardless of the proposal’s grouping (Presidents Council or general) and approve the voting order and groupings as set forth in Supplement No. 13a.
   b. **Effective Date.** Immediate.
   c. **Rationale.** Management Council endorsed the Interpretations and Legislation Committee’s recommendation, noting that electronic voting units and technology permit the recording of all votes in an expeditious manner. Conducting roll-call votes for all proposals (Presidents Council and general grouping) provides transparency for the membership. The Management Council also endorsed the voting order and groupings as recommended by the committee.
   d. **Budget Impact.** None.
   e. **Student-Athlete Impact.** None.

2. **2018 NCAA Convention Proposal – Student-Athlete Advisory Committee (SAAC) Composition – Amendment to Amendment.**
   a. **Recommendation.** Oppose the current membership proposal that would appoint a representative from each voting conference to the Division III National Student-Athlete Advisory Committee (SSAC). In addition, sponsor an amendment-to-amendment related to the legislative proposal to formally designate a student-athlete liaison from each partner conference that does not have a member currently serving on the national SAAC committee. This student-athlete, who will be termed a “partner conference SAAC student-athlete liaison” will have regular conference calls with the SAAC members as well as designated opportunities for in-person SAAC attendance. The liaison appointment would occur through a recommendation by the conference commissioner in consultation with the national SAAC executive committee and NCAA staff.
   b. **Effective Date.** August 1, 2018.
c. **Rationale.** Management Council agreed that an NCAA committee with more than 40 members would be inefficient, and expressed concern with the significant budget impact (approximately $115,000) with the underlying membership proposal. But, in the interest of providing greater opportunities for student-athletes and recognizing the sponsors’ underlying rationale, the Council is proposing the amendment-to-amendment. In addition to the proposed legislative change, the Council recommended several changes to the national SAAC policy and procedures manual to address the sponsors’ concerns. The related policy changes would include the following: 1) The NCAA would fund each partner conference student-athlete liaison to attend the July in-person SAAC meeting; 2) The partner conference student-athlete liaisons would participate in the SAAC October teleconference; 3) Each SAAC representative will communicate with the corresponding partner conference student-athlete liaison following the April and November SAAC teleconferences; 4) The partner conference representative may attend a portion of the January meeting held in conjunction with the NCAA Convention. The expense for this attendance would not be the responsibility of the NCAA, but could be paid for by the conference via the conference strategic grant monies; and 5) A comprehensive review of the current conference partner pairings, followed by regular, formalized reviews (e.g., every four years).

d. **Budget Impact.** $20,000 to fund 22 partner conference student-athlete liaisons to attend the July national SAAC in-person meeting.

e. **Student-Athlete Impact.** Greater representation and voice on the Division III national SAAC.

**NO ACTION REQUIRED**

The following Management Council actions do not require formal action by the Presidents Council and are being reported for informational purposes only.

1. **2018 Convention.** The Convention Planning Subcommittee reported the following programming for presidents and chancellors:

   a. **Presidents and Chancellors Engagement Program.** This session is open to all chancellors and presidents in Divisions I, II and III. The session will take place Thursday, January 18, from 8 to 9:30 a.m. All presidents and chancellors within the first 12 months of their presidency will receive a $200 honorarium.

   b. **Division III Presidents and Chancellors Forum and Luncheon.** This session is open to all Division III presidents and chancellors and will take place Thursday, January 18, from 11:30 a.m. to 1 p.m.
c. **Association-wide Session.** The NCAA’s Office of Legal Affairs will conduct a session on Thursday, January 18, 2:30-4 p.m., that will address social issues on campus and its impact on athletics and student-athletes.

The subcommittee also noted that the Division III Issues Forum on Friday, Jan. 19, will include roundtable discussions on: 1) Ethics and athletics, including the impact of ethical decision making on developing a positive department culture; and 2) Enrollment management and financial pressures, including possible steps, as a division, to alleviate the pressures. In addition to the roundtable discussions, there will be a Q&A session on the key 2018 legislative proposals.

2. **2018 Legislation.** The Management Council reviewed the 2018 Convention legislative slate that totals nine proposals (four membership and five governance sponsored). The Council took a position on each of the membership-sponsored proposals.

a. **Annual Alumni Contest Exemption.** The Council took no position on this proposal. Alumni contests serve a distinctly different purpose than preseason scrimmages as they allow current players and alumni to stay connected through a friendly competition. Further, they allow institutional admission and development offices to stay connected with alumni. Regardless, current rules don’t preclude an alumni contest, and thus the proposal would add an exempted contest. Further, with the current standardization of exemptions only being implemented in Sept. 2017, it’s too soon to assess the true impact of this newly created legislation.

b. **Establish Basketball First Permissible Contest Date as November 8.** The Council supports this proposal. The proposal establishes an earlier first contest date in basketball, which would allow for more balance in institutions’ schedules. More game dates would be allowed in the first semester, which could create fewer contests in the second semester and a better balance between semesters.

c. **Establish Ice Hockey First Permissible Practice Date as Second Monday in October.** The Council supports this proposal. The Council agreed that an earlier first on-ice practice date in ice hockey will allow student-athletes and teams more time to properly prepare for contests within the existing 19-week season.

3. **2018 Division III Membership Survey.** The Council provided feedback to staff on the draft outline of the division’s 2018 membership survey, slated for Feb. distribution. The survey, distributed every five years, provides the membership an opportunity to express opinions on the division’s current and future policies and procedures.
4. **Championships Alcohol Pilot.** The Council received an update on the current championships alcohol pilot and discussed next steps. Pilot data from the first two years have shown a reduction in alcohol-related citations and incidents. Spectators appear to be less likely to binge drink in the parking lot since they have access to alcohol in the venue. The championships alcohol pilot only allows the sale of beer and wine at select Division I championships, including the joint men’s lacrosse championship with Divisions II and III. The sale of alcohol can only occur at venues that already sell alcohol, have the proper security, and can only take place in concession areas. Staff noted that revenue is minimal as most venue contracts retain concession sales. However, potential revenue from alcohol sales could encourage more bids from potential hosts. The Council requested staff to collect and share data that more closely delineates the sale of alcohol and spectator experience.

The Division III Championships Committee also discussed the pilot and possible next steps at its Sept. in-person meeting. The committee tasked staff to conduct some fact finding (e.g., how many Division III schools currently sell alcohol, how many of Division III future venues sell alcohol, how many venues would meet the minimum championship alcohol pilot parameters, etc.). The committee will review this data at its Feb. in-person meeting and provide an update to the Councils in April. Staff reminded the Council that the sale of alcohol at championships is a federated decision, and it would take a separate membership vote to adopt this policy in Division III.

5. **2017-18 Division III Budget.** The Council reviewed the division’s long-term budget forecast and noted a projected surplus every year until the final year of the current broadcast agreement (2023-24). The Council noted the previously approved membership dues increase (2016 NCAA Convention legislation) was not included in the budget forecast. Staff noted that annually at its Mar. in-person meeting, the Strategic Planning and Finance Committee reviews the current and future forecast. The committee would make a recommendation to the Councils regarding the implementation of the membership dues increase for 2018-19.

6. **Waiver Appeals.** The Council heard two waiver appeals and denied both. The first was an appeal from Mount St. Joseph regarding legislative relief for a basketball contest prior to the first permissible start date. For the 2017-18 season, the subcommittee for legislative relief provided a waiver to Mount St. Joseph to conduct the Lauren Hill Tip-Off contest prior to the first permissible start date. A condition of that waiver required each institution to lose an exempted exhibition contest for the 2017-18 season. However, Mount St. Joseph appealed that condition. The Management Council denied the appeal and upheld the decision of the subcommittee for legislative relief.

The other appeal was from the College of St. Elizabeth regarding a sport sponsorship waiver. The institution failed to meet minimum sports-sponsorship requirements in women’s tennis. The committee noted a lack of compelling mitigation, and denied the appeal, which placed the institution on probation.
7. **Sport Science Institute (SSI) Updates.** The SSI staff shared the Uniform Standards of Care Process recently approved by the Board of Governors. This process provides more structure and intentional engagement of the governance structure and membership around interassociation recommendations, best practices and guidelines. Staff also shared SSI’s strategic priorities timeline. The timeline is a collaboration effort between SSI and the governance staff. While the timeline is fluid, SSI has ensured that it will inform the Council of changes, as its goal is to keep the Councils informed of SSI’s strategic priorities and anticipated outcomes. The Council also heard an update on the Substance Abuse Prevention and Intervention Tool Kit distributed this summer to the membership. In 2014, the Competitive Safeguards and Medical Aspects of Sport (CSMAS) committee requested the Council support the elimination of street drug testing at championships, as it didn’t believe this type of testing was a true deterrent. At the time, the Council didn’t support this request and noted the need for more educational tools to address substance abuse prevention and intervention. This tool kit satisfies this specific request by the Council. SSI noted that CSMAS, during its Dec. meeting, again may discuss the elimination of street drug testing at championships. CSMAS will update the Council on its discussion in April.

8. **CSMAS Updates.** The Council approved a request from CSMAS to have a member of the Division I Council serve on CSMAS. The Council also provided feedback on a 2019 NCAA Convention legislative proposal that would align the NCAA’s current banned drugs at championships with the World Anti-Doping Agency (WADA). The Council requests a comparison chart when it considers a formal endorsement of this proposal during its Apr. 2018, meeting. The Council also discussed CSMAS’s recent request and approval by the Board of Governors for greater consistency among all NCAA members related to concussion safety protocol review. The Council discussed the use of the NCAA Concussion Safety Protocol Checklist as well as possible next steps. Currently, per legislative mandate, the Division I autonomy five institutions annually submit their concussion protocol checklist to an independent review board.

9. **Interpretation and Legislation Committee (ILC).** The Council approved an official interpretation that confirms a director of athletics (AD) who is also a coach may not serve as the sole supervisor nor have sole hiring/firing authority over an institution’s primary athletics health care provider.

The Council did not agree to sponsor 2019 NCAA Convention legislation to permit a student-athlete to use the final semester exception to the full-time enrollment requirement if there is an outstanding experiential learning requirement (e.g., student teaching, internship, clinical, capstone, etc.). The Council referred the proposed legislation back to ILC for additional data collection for information on related waivers and interpretations.
The Council provided feedback on several items still being reviewed by ILC. Those items include: 1) Whether or not it is an extra benefit to provide access/memberships to non-institutional sport-specific facilities outside the playing season if the institution does not have those sport-specific facilities (e.g., golf club memberships); 2) The parameters for allowable athletics based advertising, recruiting and promotional materials; and 3) Whether a prospective student-athlete can receive educational expenses for his or her secondary education based in any degree on athletics without impacting his or her eligibility.

10. Committee Appointments. Management Council approved the following governance committee reappointments.

a. Division III Committee on Infractions – Tracey Hathaway, compliance coordinator, University of Massachusetts, Boston (term two - three-year reappointment); and Gerald Houlihan, attorney, Matteoni, O’Laughlin and Hechtman (public member) (term two – three-year reappointment).

b. Division III Infractions Appeals Committee – Phill Talavinia, director of athletics, Bluffton University (term two – three-year reappointment).

c. Division III Interpretations and Legislation Committee – Amy Bakus, director of athletics, Case Western Reserve University (four-year reappointment).

d. Division III Membership Committee – William Fell, director of athletics, U.S. Merchant Marine Academy (four-year reappointment); and Susan Stuebner, president, Colby-Sawyer College (two-year reappointment).

e. Division III Nominating Committee – Stephen Briggs, president, Berry College (one-year reappointment).

Management Council approved the following governance committee appointments.

a. Division III Student-Athlete Advisory Committee (eight vacancies)


6. Presidents’ Athletic Conference – Francesca Capaldi, softball and tennis, Bethany College (West Virginia).


b. Division III Management Council (five vacancies):


2. Gerard Bryant, faculty athletics representative, John Jay College of Criminal Justice.

3. Lori Mazza, director of athletics, Western Connecticut State University.

4. Timothy Millerick, vice president for student affairs and athletics, Austin College.

5. Dennis Shields, chancellor, University of Wisconsin-Platteville.

c. Division III Championships Committee – Timothy Fitzpatrick, director of athletics, U.S. Coast Guard Academy.

d. Division III Financial Aid Committee – Greg Carroll, director of athletics, Morrisville State College.

e. Division III Interpretations and Legislation Committee – Angie Morenz, commissioner, St. Louis Intercollegiate Athletic Conference.

f. Division III Membership Committee:


2. January vacancy – Steven Rackley, director of athletics, Alma College.

g. Division III Nominating Committee (two vacancies):

1. Teelah Grimes, director of compliance, University of Valley Forge.

2. Michael Harrison, faculty athletics representative, Framingham State University.
h. Playing Rules Oversight Panel (one immediate vacancy) – Ronda Seagraves, director of athletics, Concordia University Texas.

i. Division III Strategic Planning and Finance Committee (two vacancies):
   1. Angela Baumann, commissioner, Massachusetts State Collegiate Athletic Conference.
   2. David Ellis, executive vice president and chief financial officer, Becker College.

11. **Mandatory Reporting of Student-Athlete Graduation Rates.** The Council received a request from the Diversity and Inclusion Working Group to consider 2019 NCAA Convention legislation regarding the annual mandatory reporting of student-athlete graduation rates (Attachment A). The working group believes mandatory data collection would provide the following benefits:

   a. A census of valid and reliable data allows for legally and scientifically defensible evidenced-based policies.
   
   b. Enhancement to the Institutional Performance Program (IPP), the free self-assessment and benchmarking tool provided by the NCAA.
   
   c. Institutions are currently required to submit similar data, per federal regulations, so the submission to the NCAA may not be overly burdensome.
   
   d. A mandatory collection will enable Division III to tell its very positive academic story and highlight its philosophy. The current data shows that overall Division III student-athletes graduate at a higher rate than the overall student-body, as well as student-athletes in other NCAA divisions.

   The Council recommends Presidents Council endorse the working group’s efforts to continue analyzing the existing data, and further discuss the value of a mandatory student-athlete graduation data collection. If supported by Presidents Council, the Council will continue its discussion at its Jan. meeting. The Council noted an area of concern may include administrative burden, and the importance of providing concrete suggestions to overcome this burden.

12. **LGBTQ Initiative.** The Council heard that the working group recently distributed a series of surveys to better understand the current landscape and climate surrounding lesbian, gay, bisexual, transgender and questioning issues on Division III campuses and conferences. The working group will use the survey findings as it explores educational resources, visibility and recognition opportunities and communication best practices. To date, there are more than 3,250 responses, including 2,500 student-athlete responses.
13. **Faculty Athletics Representative (FAR) Working Group.** The working group outlined its next steps and proposed recommendations:

   a. Create an information sheet detailing the value added to the student-athlete experience through a highly engaged and long-tenured FAR.

   b. Develop a best-practices guide for institutions and conference offices.

   c. Develop and implement a seamless education model to orient new FARs and support them through their initial tenure in the position.

   d. Explore potential legislation to further codify the expectations of the role of the FAR in the governance and operation of athletics at the campus, conference and national level.

14. **International Ice Hockey Pilot.** Staff provided an update on the proposed international ice hockey pilot. The pilot parameters are supported by the majority of ice hockey conferences and their member schools (Attachment B). The Council endorsed the pilot and the $10,000 budget to support it. Staff will share the pilot parameters and feedback with the Strategic Planning and Finance Committee in Nov. for final review and approval.

15. **Student-Athlete Insurance and Health Care Delivery Processes.** The NCAA has been conducting research and surveys with members of the Association, including Division III, to ultimately develop a resource of effective practices to assist institutions with student-athlete insurance. Key initial survey findings to improve effectiveness include institutions conducting a primary insurance verification and developing a relationship with local insurance providers. Next steps include the NCAA digesting the research and data and publishing a matrix resource in six months.
**2012 NCAA Convention**

**Division III Issues Forum Feedback**

- Responses were generally in favor of academic reporting telling the Division III story and supporting the division’s philosophy.

- Some concerns with the results from a one-year data collection and if the results were truly representative of the division.

- Concerns related to tracking transfers, dual sport student-athletes and student-athletes leaving teams were expressed.

- Majority noted that campus-level burden outweighed the benefits – both time and money. Further, some noted there was no significant benefit.

- Generally, the responses indicated a need to annually mandate the reporting for consistency. Some supported a biennial mandate; however, the majority noted it would increase inconsistency and inaccuracy.

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**2017 Analysis of Student-Athlete Voluntary Grad Rate Data**

- Over the eight-year data collection, 278 institutions (62% of the membership) have submitted data at least once.

- The division’s federal graduation rate (FGR) is consistently 69% while the academic success rate (ASR) is consistently 87%.

- Football student-athletes, and in particular African-American football student-athletes, have significantly lower graduation rates (FGR and ASR) than other sports.

- The 2016 FGR for football student-athletes was 53%.

- The FGR for African-American student-athletes was 36%; a rate that is 18 percentage points lower than Division I FBS (55%).

- There are two key factors, the large size of some football recruiting classes; and shortcomings with the federal rate collection (i.e., no transfers) that can potentially negatively impact the federal rates.

- Research shows the larger the recruiting class, the lower the graduation rate – especially with football recruiting classes of 50 or more student-athletes.

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**Mandatory Student-Athlete Grad Rate Benefits**

- Provides a census of valid and reliable data and allows for legally and scientifically defensible evidenced-based policies.

- Enhances use of the NCAA Institutional Performance Program (IPP).

- With similarity to current federal requirements and an improved data collection system, institutions may find the data submission less burdensome.

- The past several years, the division, as well as the NCAA Association, has pledged a commitment to diversity and inclusion efforts. Data shows an area of concern with African-American football players.

- A mandatory collection will enable Division III to tell its very positive academic story and highlight its philosophy. The current data shows that overall Division III student-athletes graduate at a higher rate than the student body and typically higher than the other NCAA divisions.
Division III international ice hockey pilot

Review and Approval Timeline:

Sept.: Send pilot parameters to D3 ice hockey commissioners and request they conduct teleconferences with conference ice hockey members to see feedback on support or opposition to the pilot.

Oct.: If approval and support by membership, share with Division III Management Council for review and support. Update and inform Presidents Council.

Nov.: Share with Division III SPFC for review and feedback.

Dec.: Launch pilot.

Pilot Parameters:

For the 2018-2019 men’s and women’s ice hockey season, all Division III schools that sponsor men’s and/or women’s ice hockey will participate in a one-year pilot.

The pilot parameters will include the following:

1. The NCAA Eligibility Center will conduct a sports participation review history of all international, first year men’s and women’s ice hockey players. For the purpose of the pilot, an international student is defined as any student who attended a secondary or postsecondary school outside the United States, participated in athletics outside the United States or whose permanent residence is outside the United States. A first-year student is defined as a student-athlete’s first year at a Division III institution so transfer students with no prior Division III enrollment are included in the pilot.

2. All international, first year men’s and women’s ice hockey players must complete the Eligibility Center’s free, online Profile Page to provide baseline information and obtain a unique NCAA ID number.

3. On August 1 or later, institutions that sponsor men’s and/or women’s ice hockey must submit the names of the international first year players on their men’s and women’s ice hockey rosters to the Eligibility Center. All players must be accepted to and paid a deposit to the institution.

4. Upon receipt of the roster, the Eligibility Center will reach out to the international student-athletes for needed additional information to review the sports participation history. The review will include an assessment of the teams and leagues with which a prospective student-athlete participated, evaluation of any compensation or other benefits associated with athletics participation and evaluation of possible agent involvement. Reviews will be completed in the order received and may require institutional involvement in order to address questions. The review will not include any evaluation of delayed collegiate enrollment (NCAA Division III Bylaw 12.1.4).
5. There will be no academic certification review.

6. All Eligibility Center reviews and findings are final and binding. There will be a Fact-Finding Committee to review disputed findings. The member institution may also pursue additional relief through the legislative relief waiver process if mitigating factors exist.

7. The NCAA will pay the $135 fee to conduct each review.

Pilot desired outcomes include the following:

- Track the institutions that submit names to the Eligibility Center (EC). These names will be compared to the master-list of ice hockey schools.

- Track how many names are submitted from each institution.

- Track the dates that institutions submit the roster lists.

- How many of the submitted names are already in the EC certification queue? They will have already paid the $135. And of these, how many were on a Division I or Division II IRL?

- How long did it take the EC to complete each participation history review? This data will allow us to compare with Divisions I and II, and gives us an idea as to how much burden the process can remove from schools.

- How many international student-athletes are cleared to participate and how many are denied participation? If denied, why?

- How many calls were driven to the NCAA Customer Service Center from this population?

- The Eligibility Center will provide summary information on the student-athletes – country of origin, age, and institution they’re attending.

If the pilot is a success, the membership could consider expanding it to include other sports or propose future legislation.
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**MEN’S ICE HOCKEY**

2. I conducted a teleconference with all of my men’s ice hockey coaches and athletics directors.

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4. I received feedback from all of my men’s ice hockey conference members.

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5. What percentage provided feedback?

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6. After review of the pilot parameters, our men’s ice hockey conference and our members are in support of the pilot.

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7. Why is your conference and members in support? (Comment Summary)

This pilot achieves consistency across the division, while also cutting down on the workload for compliance directors, many of whom wear multiple hats, including coaching their own teams.

The membership (including compliance officers) are excited for this pilot. We have struggled with PSA’s that have competed internationally and this will help ease the burden of certifying eligibility.
8. Why is your conference and members opposed? (Comment Summary)
We support the program very much but the August 1 date is very concerning. The preferred date would be May 1. If that change is possible we would 100% support. Many students will have been accepted, paid and enrolled with expenses dished out to attend the institution and may find they are not eligible.

WOMEN’S ICE HOCKEY
9. I conducted a teleconference with all of my women’s ice hockey coaches and athletics directors.

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11. I received feedback from all of my women's ice hockey conference members.

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12. What percentage provided feedback?

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<tr>
<td>Total</td>
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13. After review of the pilot parameters, our women’s ice hockey conference and our members are in support of the pilot.

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14. Why is your conference and members in support? (Comment Summary)
Certifying international ice hockey SA's can place a burden on the institutional compliance office, especially if those players play juniors or in another international league is not clearly defined. Having the NCAA take over the certification would ensure all institutions are classifying these athletes similarly.

15. Why is your conference and members opposed? (Comment Summary)
We support the program very much but the August 1 date is very concerning. The preferred date would be May 1. If that change is possible we would 100% support. Many students will have been accepted, paid and enrolled with expenses dished out to attend the institution and may find they are not eligible.

not necessary. administrative burden on PSA and school, very few international student-athletes in Division III, women's hockey players do not delay enrollment and play after hs as the men do, women's hockey should not be included with men
Proposed Voting Grouping and Order

Presidents Grouping.

1. ELIGIBILITY -- ACADEMIC MISCONDUCT AND IMPERMISSIBLE ACADEMIC ASSISTANCE.

2. ETHICAL CONDUCT -- SPORTS WAGERING ACTIVITIES -- SANCTIONS -- ELIMINATION OF LEGISLATED SANCTIONS.

3. DIVISION III COMMITTEES -- ELIGIBILITY OF MEMBERSHIP -- STUDENT-ATHLETE ADVISORY COMMITTEE -- CHANGE IN COMPOSITION.

4. ELIGIBILITY -- GRADUATE AND POSTBACCALAUREATE ELIGIBILITY -- STUDENTS GRADUATING FROM DIVISION III INSTITUTIONS.

5. PLAYING AND PRACTICE SEASONS -- FOOTBALL -- ESTABLISHING PRESEASON START DATE 25 DAYS FROM FIRST CONTEST.

General Grouping.

6. PLAYING AND PRACTICE SEASONS -- ANNUAL CONTEST EXEMPTIONS -- ALUMNI CONTEST.

7. PLAYING AND PRACTICE SEASONS -- BASKETBALL -- FIRST PERMISSIBLE CONTEST -- NOVEMBER 8TH.

8. PLAYING AND PRACTICE SEASONS -- ICE HOCKEY -- FIRST PERMISSIBLE ON-ICE PRACTICE DATE -- SECOND MONDAY IN OCTOBER.

9. AMATEURISM -- PROMOTIONAL ACTIVITIES -- PERMISSIBLE -- INSTITUTIONAL, CHARITABLE, EDUCATIONAL OR NONPROFIT PROMOTIONS -- EDUCATIONAL REQUIREMENT.
This chart will serve two purposes during the October NCAA Division III Presidents Council meeting:

1. It details the feedback on positions from various committees which were assigned to review the proposals sponsored by the membership. The Presidents Council should use the committee feedback to develop the Council’s official position on the membership sponsored proposals.

2. It details the tentative speaker assignments for all proposals that will be voted upon during the business session at the 2018 NCAA Convention. The assignments are tentative and the Council members should verify their willingness to speak the governance structure's official position on the assigned proposal. If a Council member chooses not to speak on the proposal assigned, another member will be chosen.

**ELIGIBILITY -- ACADEMIC MISCONDUCT AND IMPERMISSIBLE ACADEMIC ASSISTANCE**

**Intent.** To define pre-enrollment and post-enrollment academic misconduct; clarify the individuals and activities to which the legislation applies; and clarify when an institution must report an academic misconduct violation. Specifically, academic misconduct as an NCAA violation is primarily conditioned first on a finding by the institution that its own policies have been violated, and then if the institutional violation involved any of the following: (1) an institutional staff member or athletics representative along with a student-athlete; (2) an erroneous declaration of eligibility; or (3) an alteration of a transcript or academic record (alteration by an institutional staff member would constitute academic misconduct regardless if it violated the institution's policies). Further, if an institution's policies were not violated and academic misconduct was not found, then establish an impermissible academic assistance analysis in lieu of an extra benefit analysis.

**Source.** NCAA Division III Presidents Council [Management Council (Interpretations and Legislation Committee)].

**Effective Date.** August 1, 2018.

**Proposed Speakers:**
- PC (move and support): Teresa Amott
- PC (support): Margaret Drugovich
- MC (support): Shantey Hill
Rationale. The current regulatory structure regarding academic misconduct is confusing, unclear and imprecise and appropriate revisions to the academic misconduct legislation will serve to benefit individual institutions and the Association as a whole. Despite changes in the academic landscape, academic misconduct legislation has not been revised since 1983 when the legislation was added to the manual. Under the current regulatory structure, it can be unclear when academic misconduct involving student-athletes falls within the purview of the NCAA and when academic misconduct should be an institutional matter. Current terms, definitions and gaps in the academic misconduct legislation result in confusion and lack of clarity. The regulatory structure for academic misconduct is currently located in bylaws, interpretations and educational columns and should be consolidated in a single article (Bylaw 14). This proposal will expand the application of the academic misconduct legislation to any situation in which an institutional staff member is involved and replaces the current academic extra benefit analysis with a specific and limited definition of impermissible academic assistance. In addition, the proposal will require institutional policies and procedures regarding academic misconduct for the general student body.
### ETHICAL CONDUCT -- SPORTS WAGERING ACTIVITIES -- SANCTIONS -- ELIMINATION OF LEGISLATED SANCTIONS

<table>
<thead>
<tr>
<th><strong>Intent.</strong></th>
<th>To eliminate the legislated penalty for sports wagering activities.</th>
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<tbody>
<tr>
<td><strong>Source.</strong></td>
<td>NCAA Division III Presidents Council [Management Council (Student-Athlete Reinstatement Committee)].</td>
</tr>
<tr>
<td><strong>Effective Date.</strong></td>
<td>Immediate.</td>
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<tr>
<td><strong>Rationale.</strong></td>
<td>The student-athlete reinstatement process offers the opportunity for the NCAA Division III Committee on Student-Athlete Reinstatement and NCAA student-athlete reinstatement staff to review cases on an individual basis and consider the totality of the circumstances. For violations that trigger the legislated sports-wagering sanctions, the minimum penalty is automatic and cannot be appealed. To promote increased fairness, it is important to conduct a case-by-case review of these sports-wagering violations and also provide for an appellate opportunity. Further, if the proposal is adopted, the legislated sanctions will be incorporated into the Division III Committee on Student-Athlete Reinstatement guidelines, which would recognize the Association's continued emphasis on the severity of sports-wagering violations. Note: Bylaw 10.3.2 is a common provision across NCAA Divisions I, II and III.</td>
</tr>
<tr>
<td><strong>Proposed Speakers:</strong></td>
<td>PC (move and support): William Fritz</td>
</tr>
<tr>
<td></td>
<td>MC (support): Heather Benning</td>
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### DIVISION III COMMITTEES -- ELIGIBILITY OF MEMBERSHIP -- STUDENT-ATHLETE ADVISORY COMMITTEE -- CHANGE IN COMPOSITION

**Intent.** Allow for each conference and the group of independents to have a representative on National SAAC.

**Source.** Allegheny Mountain Collegiate Conference, Great Northeast Athletic Conference and State University of New York Athletic Conference.

**Effective Date.** August 1, 2018.

**Rationale.** Currently, conferences share the National SAAC representative on an alternating basis; however, with the growth and emphasis on student-athlete input, it is imperative that the interests of student-athletes within a conference are represented at the national level. By sharing the National SAAC representative with another conference, the interests of the student-athletes are not always served. For example, the SUNYAC currently shares its National SAAC Representative with the Empire 8. The conferences are completely different with the SUNYAC comprised of state universities and the Empire 8 comprised of private institutions.

<table>
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<tr>
<th>Proposed Speakers:</th>
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<tr>
<td>PC () Sue Henderson</td>
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<tr>
<td>PC () Al Cureton</td>
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<tr>
<td>MC (oppose) Nnenna Akotaobi</td>
</tr>
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<td>MC (oppose) Michael Vienna</td>
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<tr>
<th>Committee Positions:</th>
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<tr>
<td>Management Council: The Council opposes this proposal for the following reasons: (1) A 44-person committee is unmanageable and not conducive to conducting business; (2) The SAAC representatives, like members of all committees, should represent the best interest of Division III as opposed to the best interest of the specific conferences. Changing this dynamic could have implications for other committees; and (3) Financial impact of the proposal does not justify the change.</td>
</tr>
<tr>
<td>Nominating Committee: The Nominating Committee opposes this proposal. Increasing the Student-Athlete Advisory Committee size to 44 members will significantly impact the effectiveness of the SAAC and further result in a substantial budget impact. Increasing the number of members also increases the number of SAAC members that must be selected each year, resulting in large slates of nominees. This directly impacts the workload of the Nominating Committee since it is charged with identifying diverse committee members (e.g. sport, gender, ethnicity.) Finally, some conferences struggle with submitting a full slate of nominees; this problem could compound by doubling the size of the committee.</td>
</tr>
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</table>
Strategic Planning and Finance Committee: The committee will review during its November 2017 meeting.

Student-Athlete Advisory Committee: The committee will not take formal positions until its November 2017 meeting.
ELIGIBILITY -- GRADUATE AND POSTBACCALAUREATE ELIGIBILITY -- STUDENTS GRADUATING FROM DIVISION III INSTITUTIONS

**Intent.** To permit a student that has graduated from an NCAA Division III institution to participate in intercollegiate athletics at the Division III institution of his or her choice provided: (1) The student is enrolled and seeking a second baccalaureate or graduate degree; (2) The student has eligibility remaining (i.e., seasons of participation); and (3) The participation occurs within the applicable 10-semester/15-quarter period set for in Bylaw 14.2.

**Source.** NCAA Division III Presidents Council [Management Council, (Subcommittee for Legislative Relief)].

**Effective Date.** Immediate.

**Rationale.** Current legislation permits a graduate or postbaccalaureate student to participate only at the institution from which the student-athlete received his or her undergraduate degree. Allowing Division III students with eligibility remaining to continue participation at a Division III institution following completion of a baccalaureate degree allows those students to make academic and athletic choices that are in their best interests. Because these are Division III students, there is not the concern of student-athletes taking advantage of a redshirt year to participate at Division III institutions and thereby diluting the philosophical tenet that promotes athletic participation as primarily a four-year, undergraduate experience. This proposal is also consistent with the Division III membership's feedback from the 2017 NCAA Convention regarding NCAA Division III Proposal 2017-2. Finally, the current waiver conditions for graduate participation would continue to apply for all students that did not attend a Division III institution.

**Proposed Speakers:**
- PC (move and support): Elsa Nunez
- PC (support): Matt Shank
- MC (support): Kate Roy
PLAYING AND PRACTICE SEASONS -- FOOTBALL -- ESTABLISHING PRESEASON START DATE 25 DAYS FROM FIRST PERMISSIBLE SATURDAY CONTEST

**Intent.** To amend the football preseason legislation as follows: (1) The first permissible practice date shall be 25 days before the first permissible Saturday contest date (regardless of the institution's actual first contest date). Expenses may not be provided before this date; (2) A day off shall be provided during the first six days of preseason which includes the five-day acclimatization period; (3) A day off shall be provided during each remaining week of the preseason practice period (prior to the week of the first contest); (4) On-field activity (a practice session and a walk-through session) shall be limited to a combined total of four hours in length per day with a practice session not to exceed three hours; and (5) Footballs may be used during walk-through sessions following the five-day acclimatization period.

**Source.** NCAA Division III Presidents Council [Management Council (Playing and Practice Seasons Subcommittee)].

**Effective Date.** August 1, 2018.

**Rationale.** The Interassociation Consensus Recommendations on Year-Round Football Practice Contact for College Student-Athletes "recommendations" included the discontinuation of two-a-day practices. The Division III Management Council adopted noncontroversial legislation to eliminate multiple on-field contact practices on the same day. The noncontroversial legislation, resulted in an inequitable start date formula and lost practice opportunities. This proposal seeks to provide equitable and sufficient practice opportunities for all football sponsoring institutions. Institutions would count back 25 days from the first contest date to determine the first permissible practice date. These 25 days would include any administrative days. The framework allows institutions to participate in up to 22 practice days while still providing student-athletes with a day off each week. Further, the proposal would allow up to four hours of on-field sessions which includes practices and walk through sessions. Currently, walk through sessions are limited to one hour but could be increased if the practice session is less than three hours. Finally, the proposal allows the use of footballs during the preseason, following the five-day acclimatization period. This proposal provides flexibility for institutions to implement the recommendations while providing a framework to ensure their student-athletes are adequately prepared for competition.
PLAYING AND PRACTICE SEASONS -- ANNUAL CONTEST EXEMPTIONS -- ALUMNI CONTEST

**Intent.** To permit the stand-alone annual exemption of one alumni contest per sport during any segment/period, with the exception of football.

**Source.** Allegheny Mountain Collegiate Conference, Great Northeast Athletic Conference, Little East Conference, Michigan Intercollegiate Athletic Association, Middle Atlantic Conferences and Ohio Athletic Conference.

**Effective Date.** August 1, 2018.

**Rationale.** Proposal No. 2017-4 served to standardize the annual contest and date of competition exemptions. However, we believe the legislation went too far by eliminating the alumni contest as a stand-alone exemption. This proposal restores the alumni contest exemption as it existed in all sports that had them prior to the passage of Proposal2017-4. Alumni contests are events that serve a distinctly different purpose than preseason scrimmages and exhibition games against organized teams (e.g., Division III member squads, foreign club teams), which are intended to prepare a team for regular season competition. Alumni contests are friendly competitions that are intended to build or maintain good will among former players and current student-athletes and to keep them connected with the institution through a friendly athletic activity. Often times these will occur in the non-traditional season or vacation periods. By standardizing an alumni game exemption separate and distinct from the preseason scrimmage/exhibition/joint practice exemptions, this legislation will more logically reflect the inherent difference between these two types of athletic activities and allow them to more properly meet their intended purposes.

**Proposed Speakers:**

None necessary because Management Council took no position on this proposal.

**Committee Positions:**

**Management Council:** The Council took no position on the proposal. Council considered the various viewpoints and determined that there was significant support on both sides of this proposal that formally endorsing one side would not accurately represent a Council position and thus took no position. In its deliberations the Council considered the following: (1) Alumni contests serve a distinctly different purpose than preseason scrimmages as they allow current players and alumni to stay connected through a friendly competition. (2) Alumni contests allow institutional admission and development offices to stay connected with alumni. (3) Current rules don't preclude an alumni contest, and thus the proposal would be adding a contest. (4) The current legislation standardizing exemptions only went into effect this academic year and thus the membership doesn't know the true impact of this newly created legislation as it hasn't been in place for a full year.

**Playing and Practice Seasons Subcommittee:** The subcommittee recommended a position of support to this proposal. While the proposal does add an additional exemption to the legislation, the alumni competition is generally not competitive in nature and serves a different purpose than that of scrimmages, exhibitions and regular season competition. Additionally, the legislation would benefit the development/advancement offices at an institution, by creating additional opportunities to engage alumni.

**Student-Athlete Advisory Committee:** The committee will not take formal positions until its November 2017 meeting.
PLAYING AND PRACTICE SEASONS -- BASKETBALL -- FIRST PERMISSIBLE CONTEST -- NOVEMBER 8TH

| **Intent.** In basketball, to establish the first permissible contest date as November 8. When November 8 falls on a Saturday, Sunday or Monday, a member institution may play its first contest on the Friday immediately preceding November 8. |
| **Source.** Minnesota Intercollegiate Athletic Conference and State University of New York Athletic Conference. |
| **Effective Date.** August 1, 2018. |
| **Rationale.** This proposal establishes an earlier first contest date in basketball, which would allow for more balance in institutions' schedules. More game dates would be allowed in the first semester, which could create fewer contests in the second semester and a better balance between semesters. This proposal would establish a set first contest date that shortens the month-long preseason by one week. The proposal does not extend the 19-week playing and practice season, but allows for programs to better distribute weeks for practice and/or contests. |

| **Proposed Speakers:** |
| MC (Support) Stevie Baker Watson |

| **Committee Positions:** |
| Management Council: The Council supports this proposal. The proposed change offers flexibility in scheduling, the potential to provide student-athletes with additional time off during winter break and possibly a more balanced schedule. However, the Council acknowledged that implementing an earlier start date may strain institutional resources as this creates greater overlap between fall sports and basketball. |
| Men's Basketball Committee: The committee supports this proposal. The committee recognized that the proposal allows more flexibility in scheduling contests while not lengthening the season. The committee recognized that the proposal has an impact on the facility availability for other fall sports completing their season. |
| Women's Basketball Committee: The committee supports this proposal. In particular, the committee noted that the proposal does not extend the season beyond 19 weeks. Further, it provides teams flexibility in scheduling and reduces the chance of needing to participate in three contests within one week. Finally, the committee noted that the legislation is permissive and therefore an institution would not be required to start on November 8. |
### Playing and Practice Seasons Subcommittee

The subcommittee recommended a position of support for this proposal. The proposal would allow for more flexibility in scheduling throughout the season, which would allow institutions to provide student-athletes with additional time-off during the winter break or at other times throughout the season. Further, the legislation is permissive in nature and institutions would not be required to conduct their first contest on November 8. Although in support of the proposal, the subcommittee recognized that the proposal may impact the availability of facilities and personnel with fall sports concluding around the same timeframe.

### Student-Athlete Advisory Committee

The committee will not take formal positions until its November 2017 meeting.
PLAYING AND PRACTICE SEASONS -- ICE HOCKEY -- FIRST PERMISSIBLE ON-ICE PRACTICE DATE -- SECOND MONDAY IN OCTOBER

**Intent.** In ice hockey, to establish the first permissible on ice practice date as the second Monday in October.

**Source.** Middle Atlantic Conferences and Minnesota Intercollegiate Athletic Conference.

**Effective Date.** August 1, 2018.

**Rationale.** This proposal establishes an earlier first on-ice practice date in ice hockey. The additional on-ice practices will allow student-athletes and teams to properly prepare for contests from a health and safety standpoint. Currently, teams have 10 on-ice days to prepare for a season during the academic year. This proposal will help ensure the safety of the student-athletes during pre-season training sessions. This proposal does not change the 19-week allowance, but allows for teams to better use their weeks to prepare student-athletes.

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<tr>
<td>MC (Support): Dennis Leighton</td>
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<tr>
<td>Management Council: The Council supports this proposal, as it provides additional on-ice practice opportunities without lengthening the playing season.</td>
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| Committee on Competitive Safeguards and Medical Aspects of Sports: The committee took no position on the proposal. The committee reasoned that there is not sufficient data to support a recommendation. |

| Men's Ice Hockey Committee: The committee supports this proposal. This proposal will better prepare ice hockey student-athletes for the first game. Currently, there is not adequate practice time available prior to the first permissible contest date. This would allow for proper acclimatization for new student-athletes. Furthermore, this would better prepare all student-athletes whose programs are participating in allowable scrimmages or exhibitions. As it stands now, student-athletes could play in a scrimmage or exhibition with as little as five days to prepare. Having an acclimatization period of ten days seems more beneficial to student-athlete safety. Finally, the committee recognized that this proposal would have a budgetary impact for institution's who do not own their own arena, but still believed it was in the best interest of student-athletes. |
Women's Ice Hockey Committee: The committee supports this proposal. The committee cited safety concerns based on data from institutional athletic trainers and the desire to provide additional time for teams to prepare and condition at the beginning of the season. The committee noted that the proposal does not lengthen the season and does not mandate when institutions must use their 19 weeks, but rather provides institutions the flexibility of beginning on-ice practices one week earlier. The committee also noted the proposal could result in a cost savings if institutions choose to begin practices earlier in the fall instead of having the team return to campus in January before classes resume (when student athletes are already in playing condition) and possibly incurring costs for housing and food. The committee did discuss concerns about a possible negative effect on student-athletes who participate in more than one sport, but the committee ultimately supported the proposal to help ensure the safety of student-athletes during pre-season training sessions.

Playing and Practice Seasons Subcommittee: The subcommittee recommended a position of support for this proposal. The proposal would allow ice hockey student-athletes to be better prepared for on-ice competition without expanding the overall length of the playing season.

Student-Athlete Advisory Committee: The committee will not take a formal position until its November meeting.
### AMATEURISM -- PROMOTIONAL ACTIVITIES -- INSTITUTIONAL, CHARITABLE, EDUCATIONAL OR NONPROFIT PROMOTIONS -- EDUCATIONAL REQUIREMENT

**Intent.** To amend the promotional activities legislation by requiring institutions to provide educational material in lieu of obtaining a release statement from the authorized representative of the charitable, educational, nonprofit or government agency confirming the student-athlete's name, image or appearance will be used in a manner consistent with Bylaw 12 regulations.

**Source.** NCAA Division III Management Council (Interpretations and Legislation Committee).

**Effective Date.** August 1, 2018.

**Rationale.** The current legislation requires an institution to have an authorized representative of the charitable, educational or nonprofit agency sign a release statement insuring the student-athlete's name, image or appearance is used in a manner consistent with the requirements of the promotional activities legislation. By eliminating the signature requirement for an authorized representative of the charitable, educational or nonprofit agency, while still requiring education on the requirements of the promotional activities legislation, will maintain the intent of the legislation and reduce the administrative burden.

**Proposed Speakers:**

MC (move and support): Robert Davis
2018 Convention Legislation

Division: III
Proposal Number: 2018-
Title: ELIGIBILITY -- ACADEMIC MISCONDUCT AND IMPERMISSIBLE ACADEMIC ASSISTANCE
Convention Year: 2018
Date Submitted: April 26, 2017
Status: SPOPL
Effective Date: August 1, 2018
IPOPL Number:
SPOPL Number: 1
Source: NCAA Division III Presidents Council [Management Council (Interpretations and Legislation Committee)].
Category: Management Council
Topical Area: Eligibility

Intent: To define pre-enrollment and post-enrollment academic misconduct; clarify the individuals and activities to which the legislation applies; and clarify when an institution must report an academic misconduct violation. Specifically, academic misconduct as an NCAA violation is primarily conditioned first on a finding by the institution that its own policies have been violated, and then if the institutional violation involved any of the following: (1) an institutional staff member or athletics representative along with a student athlete; (2) an erroneous declaration of eligibility; or (3) an alteration of a transcript or academic record (alteration by an institutional staff member would constitute academic misconduct regardless if it violated the institution’s policies). Further, if an institution’s policies were not violated and academic misconduct was not found, then establish an impermissible academic assistance analysis in lieu of an extra benefit analysis.

A. Bylaws: Amend 10.1, as follows:

[Roll Call]

10.1 Unethical Conduct. Unethical conduct by a prospective or enrolled student-athlete or a current or former institutional staff member, which includes any individual who performs work for the institution or the athletics department even if he or she does not receive compensation for such work, may include, but is not limited to, the following:

[10.1-(a) unchanged.]

(b) Knowing involvement in arranging for fraudulent academic credit or false transcripts for a prospective or an enrolled student-athlete;

[10.1-(c) through 10.1-(f) relettered as 10.1-(b) through 10.1-(e), unchanged.]

(g) Failure to provide complete and accurate information to the NCAA, the NCAA Eligibility Center or an institution’s admissions office regarding an individual’s academic record (e.g., schools attended, completion of coursework, grades and test scores);

(h) Fraudulence or misconduct in connection with entrance or placement examinations;

[10.1-(i) through 10.1-(j) relettered as 10.1-(f) through 10.1-(g), unchanged.]

B. Bylaws: Amend 14.1.2, as follows:

[Roll Call]

14.1.2 Validity of Academic Credentials. As a condition and obligation of membership, an institution is responsible for determining the validity of a student-athlete’s academic record. It is the responsibility of a member institution to determine the validity of the information on which the eligibility of a student-athlete is based. Therefore, it is the responsibility of a member institution to determine whether a transcript is valid for purposes of applying appropriate NCAA legislation to the eligibility of a student athlete when the institution receives
14.1.1 Academic Misconduct - Pre-Enrollment. A prospective student-athlete, student-athlete, a current or former institutional staff member (see bylaw 14.9.1), or a representative of an institution’s athletics interest, shall not:

(a) Arrange for a false or inaccurate academic record (e.g., courses, grades, credits, transcripts, test scores) for a prospective student-athlete; or

(b) Provide false, inaccurate or incomplete information to the NCAA or institution regarding a prospective student-athlete’s academic record.

C. Bylaws: Amend 14.9, as follows:

14.9 Post-Enrollment Academic Misconduct and Impermissible Academic Assistance. All institutional staff members and student-athletes are expected to act with honesty and integrity in all academic matters.

14.9.1 Institutional Staff Member - Defined. For purposes of Bylaw 14.9, an institutional staff member is any individual, excluding a student employee, who performs work for the institution or the athletics department, regardless of whether he or she receives compensation for such work.

14.9.1.1 Student Employee. For purposes of Bylaw 14.9, a student employee is an institutional staff member if:

(a) He or she has institutional responsibilities to provide academic services to student-athletes; or

(b) He or she engages in academic misconduct or provides impermissible academic assistance at the direction of a nonstudent employee, an institutional staff member per Bylaw 14.9.1.1-(a) or a representative of the institution’s athletics interests.

14.9.2 Academic Misconduct – Post Enrollment.

(a) Conduct Violating Institutional Policy. A student-athlete, a current or former institutional staff member, or a representative of an institution’s athletics interest shall not engage in any conduct constituting a violation or breach (as determined by the institution) of an institutional policy regarding academic honesty or integrity (e.g., academic offense, academic honor code violation, plagiarism, academic fraud) under any of the following circumstances:

(1) The conduct involves a student-athlete and either a current or former institutional staff member or representative of an institution’s athletics interests;

(2) The conduct results in an erroneous declaration of eligibility to participate in intercollegiate athletics and the student-athlete subsequently competes for the institution while ineligible; or

(3) The conduct involves the alteration or falsification of a student-athlete’s transcript or academic record.

(b) Other Conduct. A current or former institutional staff member, or a representative of an institution’s athletics interest shall not alter or falsify a student-athlete’s transcript or academic record regardless if the alteration or falsification constitutes a breach of an institutional policy regarding academic integrity (e.g., academic offense, academic honor code violation, plagiarism, academic fraud).

14.9.3 Impermissible Academic Assistance. A current or former institutional staff member or a representative of an institution’s athletics interests shall not provide the following:

(a) Substantial assistance that is not generally available to an institution’s students and is not otherwise expressly authorized in Bylaw 16.3, which results in the certification of a student-athlete’s eligibility to participate in intercollegiate athletics; or

(b) An academic exception that results in a grade change, academic credit or fulfillment of a graduation requirement when such an exception is not generally available to the institution’s students and the exception results in the certification of a student-athlete’s eligibility to participate in intercollegiate athletics.

14.9.3.1 Application. If an institution determines, pursuant to its policies and procedures, that academic misconduct has occurred, a violation of Bylaw 14.9.3 shall not be cited by the institution or through an enforcement investigation. If an institution determines, pursuant to its policies and procedures, that academic misconduct has not occurred, the conduct in question may still constitute a violation of Bylaw 14.9.3.
14.9.4 Policies and Procedures. An institution shall:

(a) Have written institutional policies and procedures regarding academic misconduct applicable to the general student-body, including student-athletes. The policies and procedures must be approved through the institution’s normal process for approving such policies and must be kept on file or be accessible on the institution’s website.

(b) Investigate and adjudicate alleged academic misconduct in accordance with established policies regardless of whether the misconduct is reported to the NCAA or whether the student-athlete acted alone or in concert with others.

14.9.4.1 Exception. An institution may establish a policy that permits an expedited investigation and adjudication of academic misconduct by a student-athlete, provided other applicable policies and procedures are observed and the policy for expedited review is approved through the institution’s normal process for approving such policies and is approved by the institution’s president or chancellor (or his or her designee). Further, the policy that permits an expedited review must be kept on file or must be accessible on the institution’s website.

[14.9 through 14.12 renumbered as 14.10 through 14.13, unchanged.]

Rationale: The current regulatory structure regarding academic misconduct is confusing, unclear and imprecise and appropriate revisions to the academic misconduct legislation will serve to benefit individual institutions and the Association as a whole. Despite changes in the academic landscape, academic misconduct legislation has not been revised since 1983 when the legislation was added to the Manual. Under the current regulatory structure, it can be unclear when academic misconduct involving student-athletes falls within the purview of the NCAA and when academic misconduct should be an institutional matter. Current terms, definitions and gaps in the academic misconduct legislation result in confusion and lack of clarity. The regulatory structure for academic misconduct is currently located in bylaws, interpretations and educational columns and should be consolidated in a single article (Bylaw 14). This proposal will expand the application of academic misconduct legislation to any situation in which an institutional staff member is involved and replaces the current academic extra benefit analysis with a specific and limited definition of impermissible academic assistance. In addition, the proposal will require institutional policies and procedures regarding academic misconduct for the general student body.

Budget Impact: None

Co-sponsorship - Conference: None

Co-sponsorship - Institution: None

Additional Information:

Legislative References

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Proposal Number: 2018-
Title: ETHICAL CONDUCT -- SPORTS WAGERING ACTIVITIES -- SANCTIONS -- ELIMINATION OF LEGISLATED SANCTIONS

Convention Year: 2018
Date Submitted: April 25, 2017
Status: SPOPL
Effective Date: Immediate

Source: NCAA Division III Presidents Council [Management Council (Student-Athlete Reinstatement Committee)].

Category: Management Council
Topical Area: Ethical Conduct/Institutional Control

Intent: To eliminate the legislated penalty for sports wagering activities.

Bylaws: Amend 10.3, as follows:

[Common provision, all divisions, divided vote]

10.3 Sports Wagering Activities. The following individuals shall not knowingly participate in sports wagering activities or provide information to individuals involved in or associated with any type of sports wagering activities concerning intercollegiate, amateur or professional athletics competition:

[10.3-(a) through 10.3-(d) unchanged.]

[10.3 unchanged.]

10.3.2 Sanctions. The following sanctions for violations of Bylaw 10.3 shall apply:

(a) A student-athlete who engages in activities designed to influence the outcome of an intercollegiate contest or in an effort to affect win-loss margins ("point shaving") or who participates in any sports wagering activity involving the student-athlete’s institution shall permanently lose all remaining regular-season and postseason eligibility in all sports.

(b) A student-athlete who participates in any sports wagering activity, through the Internet, a bookmaker or a parlay card, shall be ineligible for all regular-season and postseason competition for a minimum period of one year from the date of the institution’s determination that a violation has occurred and shall be charged with a loss of a minimum of one season of eligibility. If the student-athlete is later determined to have been involved in a later violation of any portion of Bylaw 10.3, the student-athlete shall permanently lose all remaining regular-season and postseason eligibility in all sports.

Rationale: The student-athlete reinstatement process offers the opportunity for the NCAA Division III Committee on Student-Athlete Reinstatement and NCAA student-athlete reinstatement staff to review cases on an individual basis and consider the totality of the circumstances. For violations that trigger the legislated sports-wagering sanctions, the minimum penalty is automatic and cannot be appealed. To promote increased fairness, it is important to conduct a case-by-case review of these sports-wagering violations and also provide for an appellate opportunity. Further, if the proposal is adopted, the legislated sanctions will be incorporated into the Division III Committee on Student-Athlete Reinstatement guidelines, which would recognize the Association’s continued emphasis on the severity of sports-wagering violations. Note: Bylaw 10.3.2 is a common provision across NCAA Divisions I, II and III.

Budget Impact: None.

Co-sponsorship - Conference: None
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Division: III

Proposal Number: 2018-

Title: DIVISION III COMMITTEES -- ELIGIBILITY OF MEMBERSHIP -- STUDENT-ATHLETE ADVISORY COMMITTEE -- CHANGE IN COMPOSITION

Convention Year: 2018

Date Submitted: July 11, 2017

Status: SPOPL

Effective Date: August 1, 2018

IPOPL Number: 7

SPOPL Number: 9

Source: Allegheny Mountain Collegiate Conference, Great Northeast Athletic Conference and State University of New York Athletic Conference.

Category: Membership Proposal

Topical Area: Eligibility

Intent: Allow for each conference and the group of independents to have a representative on National SAAC.

Bylaws: Amend 21.9.5.10.1, as follows:

21.9.5.10 Student-Athlete Advisory Committee.

21.9.5.10.1 Composition. The Student-Athlete Advisory Committee shall consist of:

(a) One student-athlete from the group of independent institutions and one student-athlete from each of the Division III multisport voting conferences unit represented in the Division III Student-Athlete Advisory Committee partnership program. A unit shall consist of two partnered conferences. Independent institutions shall collectively be represented as one additional unit. If there is an odd number of Division III conferences, the unpaired conference and all independent institutions shall collectively be represented as one additional unit; and

[21.9.5.10.1-(b) unchanged.]

[21.9.5.10.2 through 21.9.5.10.4 unchanged.]

Rationale: Currently, conferences share the National SAAC representative on an alternating basis; however, with the growth and emphasis on student athlete input, it is imperative that the interests of student athletes within a conference are represented at the national level. By sharing the National SAAC representative with another conference, the interests of the student athletes are not always served. For example, the SUNYAC currently shares its National SAAC Representative with the Empire 8. The conferences are completely different with the SUNYAC comprised of state universities and the Empire 8 comprised of private institutions.

Budget Impact: Approximately $115,000 to account for the increase of approximately 20-23 student-athletes for attendance at three in-person meetings and attendance at the NCAA convention.

Co-sponsorship - Conference:
Great Northeast Athletic Conference
Allegheny Mountain Collegiate Conference

Co-sponsorship - Institution:
None

Additional Information:

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Intent: To permit a student that has graduated from an NCAA Division III institution to participate in intercollegiate athletics at the Division III institution of his or her choice provided: (1) The student is enrolled and seeking a second baccalaureate or graduate degree; (2) The student has eligibility remaining (i.e., seasons of participation); and (3) The participation occurs within the applicable 10-semester/15-quarter period set for in Bylaw 14.2.

Bylaws: Amend 14.1.9, as follows:

14.1.9 Graduate Student/Postbaccalaureate Participation. A student-athlete who is enrolled in a graduate or professional school of the institution he or she most recently attended as an undergraduate (regardless of whether the individual has received a U.S. baccalaureate degree or its equivalent), a student-athlete who is enrolled and seeking a second baccalaureate or equivalent degree at the same institution, or a student-athlete who has graduated and is continuing as a full-time student at the same institution while taking course work that would lead to the equivalent of another major or degree as defined and documented by the institution, may participate in intercollegiate athletics, provided the student has eligibility remaining and such participation occurs within the applicable 10-semester/15-quarter period set forth in Bylaw 14.2 (see Bylaw 14.1.8.1.6.2). who has graduated from an NCAA Division III institution may participate as a graduate or postbaccalaureate student at the Division III institution he or she most recently attended as an undergraduate or another Division III institution, provided:

(a) The student is enrolled and seeking a second baccalaureate or graduate degree;
(b) The student has eligibility remaining; and
(c) The student's participation occurs within the applicable 10-semester/15-quarter period set forth in Bylaw 14.2.

[14.1.9.1 unchanged.]

Rationale: Current legislation permits a graduate or postbaccalaureate student to participate only at the institution from which the student-athlete received his or her undergraduate degree. Allowing Division III students with eligibility remaining to continue participation at a Division III institution following completion of a baccalaureate degree allows those students to make academic and athletic choices that are in their best interests. Because these are Division III students, there is not the concern of student-athletes taking advantage of a redshirt year to participate at Division III institutions and thereby diluting the philosophical tenet that promotes athletic participation as primarily a four-year, undergraduate experience. This proposal is also consistent with the Division III membership’s feedback from the 2017 NCAA Convention regarding NCAA Division III Proposal 2017-2. Finally, the current waiver conditions for graduate participation would continue to apply for all students that did not attend a Division III institution.

Budget Impact: None

Co-sponsorship - Conference: None
Co-sponsorship - Institution:
None

Additional Information:

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Proposal Number: 2018-
Title: PLAYING AND PRACTICE SEASONS -- FOOTBALL -- ESTABLISHING PRESEASON START DATE 25 DAYS FROM FIRST PERMISSIBLE SATURDAY CONTEST
Convention Year: 2018
Date Submitted: July 25, 2017
Status: SPOPL
Effective Date: August 1, 2018
IPOPL Number:
SPOPL Number: 7
Source: NCAA Division III Presidents Council [Management Council (Playing and Practice Seasons Subcommittee)].
Category: Management Council
Topical Area: Playing and Practice Seasons

Intent: To amend the football preseason legislation as follows: (1) The first permissible practice date shall be 25 days before the first permissible Saturday contest date (regardless of the institution’s actual first contest date). Expenses may not be provided before this date; (2) A day off shall be provided during the first six days of preseason which includes the five-day acclimatization period; (3) A day off shall be provided during each remaining week of the preseason practice period (prior to the week of the first contest); (4) On-field activity (a practice session and a walk-through session) shall be limited to a combined total of four hours in length per day with a practice session not to exceed three hours; and (5) Footballs may be used during walk-through sessions following the five-day acclimatization period.

A. Bylaws: Amend 17.1.2, as follows:

17.1.2 General Regulations for Computing Playing Seasons. In determining the length of an institution’s playing season, the following regulations shall apply:

(a) Week. A week shall be defined by the institution as any consecutive seven-day period, regardless of the day on which the seven-day period begins. The playing season, or its segments (or periods in golf, rowing and tennis), shall consist of consecutive weeks. Practice or competition during any part of a week shall be counted as a full week (e.g., practice or competition during nine weeks and one day shall be counted as 10 weeks). An institution may not redefine its week except in the following circumstances:

(1) During a segment (or period in golf, rowing and tennis) of the playing season, following a period of at least seven consecutive days that includes a vacation, final-examination period or holiday period during which no athletically related activities occur [see Bylaw 17.12-(d)]; or

(2) Between the fall and spring period in golf, rowing and tennis and between segments of the playing season in other sports, provided the institution divides its practice and playing season into two distinct segments per Bylaw 17.11.2.

(b) Total Combined Length. The total number of weeks for both segments (or periods in golf, rowing and tennis) of a playing season combined shall not exceed the maximum permitted in a particular sport;

(c) Holiday, Vacation Periods. In traditional and nontraditional segments (or periods in golf, rowing and tennis), any practice or competition during published vacation and holiday periods during the academic year shall be counted as part of the playing season. If practice or competition is not scheduled during any full week (seven consecutive days) that includes a vacation or holiday period, it neither shall be counted as part of the playing season nor shall constitute a break in a segment (or period in golf, rowing and tennis) [see Bylaw 17.12-(a)-(f)];

(d) Final-Examination Periods.

(1) Traditional Segment. Any practice or competition during a final-examination period during the academic year shall be counted as part of the playing season. If practice or competition is not scheduled during
any full week (seven consecutive days) that includes a final-examination period, it neither shall be counted as part of the playing season nor shall constitute a break in a segment [see Bylaw 17.1.2-(a)-(1)].

(2) Nontraditional Segment. An institution shall not conduct practice or competition during a final examination period. For any final-examination period of a regular academic term (e.g., winter quarter, spring semester), an institution may not conduct practice and competition five weekdays before the first day of the final-examination period. This period shall not be counted as part of the playing season nor shall constitute a break in the segment.

(e) Preseason Football In football, the institution may redefine its week after the acclimatization period or at the conclusion of the preseason practice period but not both.

(e) Nontraditional Segment. The nontraditional segment shall be counted as part of the institution's declared playing season, regardless of whether competition occurs during that segment; and

(f) Equipment Issue, Team Pictures.

1. It shall be permissible to designate a single date for issuing equipment and for taking team pictures after the beginning of classes in the fall term or the day before the beginning of a segment or, in basketball and football, the day before the beginning of preseason practice. In football, issuing equipment and taking team pictures may not occur before the start of preseason practice.

2. Exception for Sundays. If the day before the beginning of a segment is a Sunday, the member institution may designate the preceding Saturday for issuing equipment and taking team pictures.

B. Bylaws: Amend 17.10, as follows:

[Division III, roll call]

17.10.2 Preseason Practice. Preseason practice shall start with the acclimatization period and conclude with the start of the week of the first intercollegiate contest as follows:

(a) Acclimatization Period (See Bylaw 17.10.2.4). Six days consisting of five days of acclimatization activity and a day off from physical athletically related activity.

(b) Preseason Activities After Acclimatization Period. The days between the acclimatization period and the week of the first intercollegiate contest (See Bylaw 17.10.2.5). All physical athletically related activity shall be prohibited during one calendar day per defined week when classes are not in session for any portion of the week (See Bylaw 17.1.4.1 for day off requirements when classes are in session).

(c) End of Preseason. Preseason concludes with the start of the week of the first intercollegiate contest (Bylaw 17.10.2.2).

17.10.2.1 Physical Athletically Related Activity. Any on-field activity, weight training or conditioning. Community service, film review, leadership training, team building, team meetings and other similar activities shall not be considered physical athletically related activities.

17.10.2.2 Week of the First Intercollegiate Contest. The institution's defined week that includes the first regular season contest (excluding scrimmages, exhibitions or joint practices). The institution may redefine its week at the conclusion of the preseason practice period or the acclimatization period, but not both.

17.10.2.3 First Practice Date. A member institution shall not commence official preseason football practice sessions nor provide any expenses for the varsity, junior varsity or freshmen team prior to 25 days before the first permissible Saturday contest date (see Bylaw 17.10.3) regardless of the institution's actual first contest date.

17.10.2.4 Five-Day Acclimatization Period. Preseason practice shall begin with an five-day acclimatization period for both first-time participants (e.g., freshmen and transfers) and continuing student-athletes. All student-athletes, including those who arrive to preseason practice after the first day of practice, are required to undergo an five-day acclimatization period consisting of a day off of physical athletically related activity and five days of acclimatization activity. The five-days of acclimatization period activity shall be conducted as follows:

(a) Institutions may not conduct conditioning, speed, strength or agility tests before the start of the five-day acclimatization period.

(b) Institutions are permitted to conduct weight training activities during the five-day acclimatization period without counting those activities toward the maximum hour limitations for on-field practices; however, weight training activities may not be conducted during the required three hours of continuous recovery time between any sessions nor during the day off of physical athletically related activity.
(c) During the first day of the five-day acclimatization period activity, an institution may conduct either:

1. One on-field practice, not to exceed three hours in length. The institution may also conduct a one-hour walk-through session. One on-field practice and a walk-through session. These activities shall be limited to a combined total of four hours per day with the practice session not to exceed three hours. No protective equipment (e.g., helmet, shoulder pads) may be worn, no equipment related to football (e.g., football, blocking sled) may be used and conditioning activities may not occur during the walk-through session. Student-athletes must be provided with at least three hours of continuous recovery time between any sessions (e.g., on-field practice, weight training or walk-through). During the recovery time, student-athletes may not attend any meetings or engage in other athletically related activities (e.g., weight lifting). However, time spent receiving medical treatment and eating meals may be included as part of the recovery time; or

2. One on-field testing session (e.g., speed, conditioning or agility tests), not to exceed one hour in length, and one on-field practice, not to exceed two hours in length. The institution may also conduct a one-hour walk-through session. No protective equipment (e.g., helmet, shoulder pads) may be worn, no equipment related to football (e.g., football, blocking sled) may be used and conditioning activities may not occur during the walk-through session. Student-athletes must be provided with at least three hours of continuous recovery time between any sessions (e.g., testing, on-field practice, weight training or walk-through). During the recovery time, student-athletes may not attend any meetings or engage in other athletically related activities (e.g., weight lifting). However, time spent receiving medical treatment and eating meals may be included as part of the recovery time.

(d) During the remainder of the five-days of acclimatization activity period, participants shall not engage in more than one on-field practice, not to exceed three hours in length, and one one-hour walk-through session and a walk-through session. These activities shall be limited to a combined total of four hours per day with the practice session not to exceed three hours. No protective equipment (e.g., helmet, shoulder pads) may be worn, no equipment related to football (e.g., football, blocking sled) may be used and conditioning activities may not occur during the walk-through session. Student-athletes must be provided with at least three hours of continuous recovery time between any sessions (e.g., on-field practice session, weight training or walk-through). During the recovery time, student-athletes may not attend any meetings or engage in other athletically related activities (e.g., weight lifting). However, time spent receiving medical treatment and eating meals may be included as part of the recovery time.

(e) During the first two days of the acclimatization period activity, helmets shall be the only piece of protective equipment student-athletes may wear during the on-field practice session and conditioning session (as opposed to the walk-through session). During the third and fourth days of the acclimatization period activity, helmets and shoulder pads shall be the only pieces of protective equipment student-athletes may wear during the on-field practice session. During the final on-field practice session of the five-days of acclimatization activity and on any day thereafter, student-athletes may practice in full pads.

17.10.2.35 Preseason Activities After Five-Day the Acclimatization Period. The remaining preseason practice period shall be conducted as follows:

(a) Following the five-day acclimatization period, student-athletes may practice in full pads. However, an institution may not conduct multiple on-field practice sessions on the same day.

(b) Student-athletes shall not engage in more than three hours of on-field practice activities per day and a walk-through session. These activities shall be limited to a combined total of four hours per day with the practice session not to exceed three hours. No protective equipment (e.g., helmets, shoulder pads) may be worn, no equipment related to football (e.g., blocking sleds) may be used and conditioning activities may not occur during the walk-through session. Following the acclimatization period, an institution may use a football during the walk-through session. Student-athletes must be provided with at least three hours of continuous recovery time between any session (e.g., on-field practice, weight training or walk-through). During this time, student-athletes may not attend any meetings or engage in other athletically related activities; however time spent receiving medical treatment and eating meals may be included as part of the recovery time.

(c) All physical athletically related activity (See Bylaw 17.10.2.1) shall be prohibited during one calendar day per defined week when classes are not in session for any portion of the week (See Bylaw 17.1.4.1 for day off requirements when classes are in session).

17.10.2.35 1 Exception -- Walk-Through Sessions. During the preseason practice period only, on-field walk-through sessions are not considered an on-field activity under Bylaw 17.10.2.3, provided protective equipment (e.g., helmets, shoulder pads) is not worn, equipment related to football (e.g., footballs, blocking sleds) is not used and conditioning activities do not occur. Walk-through sessions shall be limited to one
hour in length. Student-athletes must be provided with at least three hours of continuous recovery time between an on-field practice session and a walk-through. During this time, student-athletes may not attend any meetings or engage in other athletically related activities (e.g., weightlifting); however, time spent receiving medical treatment and eating meals may be included as part of the recovery time.

17.10.23.3 First Practice Date. A member institution shall not commence official preseason football practice sessions for the varsity, junior varsity or freshman team before the date that will permit a maximum of 25 practice opportunities (see Bylaw 17.10.2.1.1) before its first scheduled intercollegiate game or before the Friday after the institution’s first contest (game) if the first contest is scheduled for a Thursday.

17.10.23.1.1 Practice Opportunities – Football. In football only, to establish the starting date for preseason practice, the institution shall count one practice opportunity for each day beginning with the opening day of classes and one practice opportunity for each day classes are not in session in the week of the first scheduled intercollegiate contest (see Bylaw 17.10.3). Next, the institution shall count practice opportunities on an alternating basis in a two-one-two-one format (i.e., the first of the remaining days is counted as two, the next day is counted as one, the next as two, etc.) up to and including the 20th opportunity. Finally, the institution shall count one practice opportunity for each of the five days before the day of the 20th opportunity. The institution shall not count any days during the preseason when all institutional dormitories are closed, the institution’s team must leave campus and practice is not conducted.

17.10.23.1.1.1 Sunday. Sundays before the institution’s opening day of classes are included in the counting. Sundays after the institution’s opening day of classes are excluded from the counting.

17.10.23.1.2 Week. The “week” of the first scheduled intercollegiate contest is defined as the six days, including or excluding Sunday pursuant to Bylaw 17.10.2.1.1.1, before the first contest (or before Friday if the first contest is on a Thursday, see Bylaw 17.10.3) even if one or more of the days fall into different traditional calendar weeks.

17.10.23.1.3 Opening Day of Classes. The “opening day of classes” is defined as the first day of classes as listed in the institution’s official catalog. Required freshman orientation is not considered to be the opening day of classes for the academic year.

Rationale: The Interassociation Consensus Recommendations on Year-Round Football Practice Contact for College Student-Athletes “recommendations” included the discontinuation of two-a-day practices. The Division III Management Council adopted noncontroversial legislation to eliminate multiple on-field contact practices on the same day. The noncontroversial legislation, resulted in an inequitable start date formula and lost practice opportunities. This proposal seeks to provide equitable and sufficient practice opportunities for all football sponsoring institutions. Institutions would count back 25 days from the first contest date to determine the first permissible practice date. These 25 days would include any administrative days. The framework allows institutions to participate in up to 22 practice days while still providing student-athletes with a day off each week. Further, the proposal would allow up to four hours of on-field sessions which includes practices and walk through sessions. Currently, walk through sessions are limited to one hour but could be increased if the practice session is less than three hours. Finally, the proposal allows the use of footballs during the preseason, following the five-day acclimatization period. This proposal provides flexibility for institutions to implement the recommendations while providing a framework to ensure their student-athletes are adequately prepared for competition.

Budget Impact: Will vary based on squad size and housing/board costs.

Co-sponsorship - Conference: None

Co-sponsorship - Institution: None

Additional Information:

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Proposal Number: 2018-

Title: PLAYING AND PRACTICE SEASONS -- ANNUAL CONTEST EXEMPTIONS -- ALUMNI CONTEST

Convention Year: 2018

Date Submitted: June 5, 2017

Status: SPOPL

Effective Date: August 1, 2018

IPOPL Number: 1

SPOPL Number: 5


Category: Membership Proposal

Topical Area: Playing and Practice Seasons

Intent: To permit the stand-alone annual exemption of one alumni contest per sport during any segment/period, with the exception of football.

Bylaws: Amend 17.1.4.5, as follows:

17.1.4.5 Standard Contest or Date of Competition Exemptions.

17.1.4.5.1 Annual Exemptions. The maximum number of contests or dates of competition during the traditional segment shall exclude the following (see Figure 17-1):

(a) Conference Championship. Competition in one conference championship tournament (or the tournament used to determine the conference's automatic entry in the NCAA championship);

(b) Season-Ending Tournament. Competition in one season-ending tournament (e.g., NCAA championship, NAIA championship, NCCAA championship). A season-ending tournament is one that involves competition after the end of the regular season between teams that are not identified until the close of that regular season; and

(c) Exhibitions, Scrimmages or Joint Practices. Competition in up to two exhibitions, scrimmages or joint practices against any opponent (see Bylaw 13.11.2.2 for competition against prospective student-athletes).

(d) Alumni Contest. An institution may exempt one contest or date of competition each year with an alumni team of the institution during any segment/period in all sports with the exception of football.

17.1.4.5.1.1 Exception -- Alumni Contest. An institution may exempt one contest or date of competition each year with an alumni team of the institution during any segment/period provided it is counted as one of the two exempted exhibitions, scrimmages or joint practices (see Bylaw 17.1.4.5.1-(c)).

17.1.4.5.2 through 17.1.4.5.3 unchanged.

Rationale: Proposal 2017-4 served to standardize the annual contest and date of competition exemptions. However, we believe the legislation went too far by eliminating the alumni contest as a stand-alone exemption. This proposal restores the alumni contest exemption as it existed in all sports that had them prior to the passage of Proposal 2017-4. Alumni contests are events that serve a distinctly different purpose than preseason scrimmages and exhibition games against organized teams (e.g., Division III member squads, foreign club teams), which are intended to prepare a team for regular season competition. Alumni contests are friendly competitions that are intended to build or maintain good will among former players and current student-athletes and to keep them connected with the institution through a friendly athletic activity. Often times these will occur in the non-traditional season or vacation periods. By standardizing an alumni game exemption separate and distinct from the preseason scrimmage/exhibition/joint practice exemptions, this legislation will more logically reflect the inherent difference between these two types of athletic activities and allow them to more properly meet their intended purposes.
Budget Impact: None

Co-sponsorship - Conference:
Little East Conference
Middle Atlantic Conferences
Ohio Athletic Conference
Great Northeast Athletic Conference

Co-sponsorship - Institution:
None

Additional Information:

Legislative References

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<th>Legislative Cite</th>
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<tr>
<td>17.1.4.5</td>
<td>Standard Contest or Date of Competition Exemptions.</td>
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<td>17.1.4.5.1</td>
<td>Annual Exemptions.</td>
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<td>17.1.4.5.11</td>
<td>Exception -- Alumni Contest.</td>
</tr>
</tbody>
</table>
Division: III

Proposal Number: 2018-

Title: PLAYING AND PRACTICE SEASONS -- BASKETBALL -- FIRST PERMISSIBLE CONTEST -- NOVEMBER 8TH

Convention Year: 2018

Date Submitted: May 24, 2017

Status: SPOPL

Effective Date: August 1, 2018

IPOPL Number: 3

SPOPL Number: 6

Source: Minnesota Intercollegiate Athletic Conference and State University of New York Athletic Conference.

Category: Membership Proposal

Topical Area: Playing and Practice Seasons

Intent: In basketball, to establish the first permissible contest date as November 8. When November 8 falls on a Saturday, Sunday or Monday, a member institution may play its first contest on the Friday immediately preceding November 8.

Bylaws: Amend 17.3.3, as follows:

17.3.3 First Contest. A member institution shall not play its first contest (games, scrimmages and exhibitions) against outside competition in basketball before November 15, except as provided under Bylaw 17.3.3.1. When November 15 falls on a Saturday, Sunday or Monday, a member institution may play its first contest on the Friday immediately preceding November 15.

[17.3.3.1 unchanged.]

Rationale: This proposal establishes an earlier first contest date in basketball, which would allow for more balance in institutions' schedules. More game dates would be allowed in the first semester, which could create fewer contests in the second semester and a better balance between semesters. This proposal would establish a set first contest date that shortens the month-long preseason by one week. The proposal does not extend the 19 week playing and practice season, but allows for programs to better distribute weeks for practice and/or contests.

Budget Impact: None

Co-sponsorship - Conference:
State University of New York Athletic Conference

Co-sponsorship - Institution:
None

Additional Information:

Legislative References

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<tr>
<td>17.3.3</td>
<td>First Contest.</td>
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</table>
Title: PLAYING AND PRACTICE SEASONS -- ICE HOCKEY -- FIRST PERMISSIBLE ON-ICE PRACTICE DATE -- SECOND MONDAY IN OCTOBER

Convention Year: 2018
Date Submitted: May 24, 2017
Status: SPOPL
Effective Date: August 1, 2018
IPOPL Number: 5
SPOPL Number: 8
Source: Middle Atlantic Conferences and Minnesota Intercollegiate Athletic Conference.
Category: Membership Proposal
Topical Area: Playing and Practice Seasons
Intent: In ice hockey, to establish the first permissible on ice practice date as the second Monday in October.

A. Bylaws: Amend 17.13.2, as follows:

17.13.2 Preseason Practice A member institution shall not commence on-ice practice sessions in ice hockey before the second Monday in October.

[17.13.2.1 unchanged.]

B. Bylaws: Amend 17.13.3, as follows:

17.13.3 First Contest. A member institution shall not play its first contest (game, exhibition or scrimmage) against outside competition in ice hockey before the third Friday following the first permissible practice date, except as provided under Bylaw 17.13.3.2.

[17.13.3.1 through 17.13.3.2 unchanged.]

Rationale: This proposal establishes an earlier first on-ice practice date in ice hockey. The additional on-ice practices will allow student-athletes and teams to properly prepare for contests from a health and safety standpoint. Currently, teams have 10 on-ice days to prepare for a season during the academic year. This proposal will help ensure the safety of the student-athletes during pre-season training sessions. This proposal does not change the 19-week allowance, but allows for teams to better use their weeks to prepare student-athletes.

Budget Impact: None

Co-sponsorship - Conference: Middle Atlantic Conferences
Co-sponsorship - Institution: None

Additional Information:

Legislative References

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<tbody>
<tr>
<td>17.13.2</td>
<td>Preseason Practice</td>
</tr>
<tr>
<td>17.13.3</td>
<td>First Contest</td>
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</tbody>
</table>
Title: AMATEURISM -- PROMOTIONAL ACTIVITIES -- INSTITUTIONAL, CHARITABLE, EDUCATIONAL OR NONPROFIT PROMOTIONS -- EDUCATIONAL REQUIREMENT

Convention Year: 2018

Date Submitted: July 25, 2017

Status: SPOPL

Effective Date: August 1, 2018

IPOPL Number:

SPOPL Number: 3

Source: NCAA Division III Management Council (Interpretations and Legislation Committee).

Category: Management Council

Topical Area: Amateurism

Intent: To amend the promotional activities legislation by requiring institutions to provide educational material in lieu of obtaining a release statement from the authorized representative of the charitable, educational, nonprofit or government agency confirming the student-athlete’s name, image or appearance will be used in a manner consistent with Bylaw 12 regulations.

Bylaws: Amend 12.5.1.1, as follows:

12.5.1.1 Institutional, Charitable, Educational or Nonprofit Promotions. A member institution or recognized entity thereof (e.g., fraternity, sorority or student government organization), a member conference or a noninstitutional charitable, educational or nonprofit agency may use a student-athlete’s name, picture or appearance to support its charitable or educational activities or to support activities considered incidental to the student-athlete’s participation in intercollegiate athletics, provided the following conditions are met:

(a) The student-athlete receives written approval to participate from the institution’s president or chancellor (or designee), subject to the limitations on participants in such activities as set forth in Bylaw 17;

(b) The specific activity or project in which the student-athlete participates does not involve cosponsorship, advertisement or promotion by a commercial agency, except as follows:

(1) Identification (e.g., graphics, voice over, on-screen text) of the commercial entity must explain the commercial entity’s affiliation with the permissible entity (e.g., entity is the official sponsor of the institution/event);

(2) The appearance or description of the commercial product(s)/service(s) and/or the commercial entity’s logo(s) may be included but may not exceed 25 percent of the total promotional activity. Further, language or action included in the promotion may not directly encourage the use or purchase of the commercial product or service (e.g., drink this product) with which the commercial entity is associated;

(3) The promotion shall not feature alcoholic beverages, tobacco products or performance-enhancing drugs. Further, the commercial entity shall not be any organization that promotes gambling.

(c) The name or picture of a student-athlete with remaining eligibility may not appear on an institution’s printed promotional item (e.g., poster, calendar) that includes a reproduction of a product with which a commercial entity is associated if the commercial entity’s officially registered regular trademark or logo also appears on the item;

(d) The student-athlete does not miss class;

(e) All money derived from the activity or project goes directly to the member institution, member conference or the charitable, educational or nonprofit agency. Further, an institution may designate money earned through participation in institutional fundraisers for a student-athlete in accordance with Bylaw 12.1.1.1.2;
(f) The student-athlete may accept actual and necessary expenses from the member institution, member conference or the charitable, educational or nonprofit agency related to participation in such activity;

(g) The student-athlete’s name, picture or appearance is not used to promote the commercial ventures of any nonprofit agency;

(h) Any commercial items with names or pictures of student-athletes (other than items specified per Bylaws 12.5.1.8 and 12.5.1.9) may be sold only by the member institution, member conference or NCAA, through outlets controlled by the member institution, member conference or NCAA or outlets controlled by the charitable or educational organization (e.g., location of the charitable or educational organization, site of charitable event during the event); and

(i) The student-athlete and an authorized representative of the charitable, educational or nonprofit agency signs a release statement ensuring that the student-athlete’s authorizing the use of his or her name, image or appearance is used in a manner consistent with the requirements of this section; and

(j) The institution provides educational material(s) to a representative of the charitable, educational, nonprofit or government agency regarding restrictions on the use of a student-athlete’s name, image or appearance.

[12.5.1.1.1 through 12.5.1.7 unchanged.]

Rationale: The current legislation requires an institution to have an authorized representative of the charitable, educational or nonprofit agency sign a release statement insuring the student-athlete’s name, image or appearance is used in a manner consistent with the requirements of the promotional activities legislation. By eliminating the signature requirement for an authorized representative of the charitable, educational or nonprofit agency, while still requiring education on the requirements of the promotional activities legislation, will maintain the intent of the legislation and reduce the administrative burden.

Budget Impact: None.

Co-sponsorship - Conference: None

Co-sponsorship - Institution: None

Additional Information:

Legislative References

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<tr>
<td>12.5.1.1</td>
<td>Institutional, Charitable, Educational or Nonprofit Promotions.</td>
</tr>
</tbody>
</table>
Division: III

Proposal Number: 2018-3-1

Title: DIVISION III COMMITTEES -- ELIGIBILITY OF MEMBERSHIP -- STUDENT-ATHLETE ADVISORY COMMITTEE – PARTNER CONFERENCE STUDENT-ATHLETE ADVISORY COMMITTEE LIAISON

Convention Year: 2018

Date Submitted:

Status: SPOPL

Effective Date: August 1, 2018

IPOPL Number:

SPOPL Number:

Source: Presidents Council.

Category: Amendment-to-Amendment.

Topical Area: Committees.

Intent: To create a Partner Conference Student-Athlete Advisory Committee Liaison structure, as opposed to increasing the size of the Student-Athlete Advisory Committee.

Bylaws: Amend 21.9.5.10, as follows:

21.9.5.10 Student-Athlete Advisory Committee.

21.9.5.10.1 Composition. The Student-Athlete Advisory Committee shall consist of:

(a) One student-athlete from the group of independent institutions and one student-athlete from each of the Division III multisport voting conferences unit represented in the Division III Student-Athlete Advisory Committee partnership program. A unit shall consist of two partnered conferences. Independent institutions shall collectively be represented as one additional unit. If there is an odd number of Division III conferences, the unpaired conference and all independent institutions shall collectively be represented as one additional unit; and

[21.9.5.10.1-(b) unchanged.]

21.9.5.10.1.1 Partner Conference Student-Athlete Advisory Committee Liaison. Each conference and group of independents without a member on the Student-Athlete Advisory Committee, shall have a student-athlete designated as a Partner Conference Student-Athlete Advisory Committee Liaison. Compositional
requirements, roles and responsibilities and term limits for this liaison role shall be set forth in the Student-Athlete Advisory Committee policies and procedures.

[21.9.5.10.2 through 21.9.5.10.4 unchanged.]

**Rationale:** A committee with over 40 members will be inefficient. This amendment will retain the efficiency and effectiveness of the current SAAC while addressing the relevant communication and representation concerns set forth by the sponsors of the related proposal. It also avoids the excessive cost ($115,000) associated with that proposal.

**Budget Impact:** $20,000 which represents the cost for all Partner Conference Student-Athlete Advisory Committee Liaisons to attend the July Student-Athlete Advisory Committee meeting. Conferences or institutions may pay for the liaison to attend the NCAA annual Convention and are permitted to utilize conference grant dollars to do so.
An email blast regarding registering for the 2018 NCAA Convention in Indianapolis, Indiana will be sent Tuesday, September 12. Please keep in mind that, as a member of the Division III Presidents Council, you must register by October 10 to obtain the early bird registration fee and to reserve your hotel room, both of which are paid for by the NCAA.

There are a couple of things you must do in order to be recognized as a " Presidents Council" member.

1. When you click on the link to register, please make sure that you select Division III as your division. Please select 'Committee' as your choice when the next screen appears, after which you will enter the password: 2018committee. Please do not share this password with other institutional members, as it is for our Presidents Council members only. Once you enter the password, please proceed to enter all information as requested by the system.

Included with your Convention registration:

- Delegates Reception, 6 to 7:30 p.m., Thursday, January 18.
- Association Luncheon, 11:30 a.m. to 1 p.m., Friday, January 19. [Note: This will be held in place of the Keynote Luncheon, which was previously held on Thursday of Convention week].
- Delegates breakfasts, 7 to 8 a.m., Friday, January 19 and Saturday, January 20.

All special event tickets must be purchased by you and will not be reimbursed by the NCAA (e.g., the Honors Celebration). The date has been changed to Wednesday, January 17 from 6 to 9 p.m. and tickets are $20.

2. Please note that room reservations will be accepted ONLY through the online system. As a member of the Division III Presidents Council, your room and tax for Wednesday, January 17, through Saturday, January 20, will be charged to the NCAA master account. I will not be sending a separate room-reservation form later so please make your room reservations when you register.

3. I entered you into the Short's Travel Portal for travel. I encourage you to make your flights by calling the toll-free number at 866/655-9215 no later than October 12. Your flight to and from Indianapolis, Indiana will be charged to the NCAA master account.

4. As you are making your reservations, please note that most of you will need to be in Indianapolis no later than Wednesday, January 17, as our Joint Presidents Council/Management Council/SAAC breakfast begins bright and early Thursday morning, January 18. The business session is scheduled for Saturday, January 20, and should conclude no later than noon.

[NOTE: Presidents Docking and Cureton only] According to the latest Convention core schedule, the following meetings are occurring Wednesday, January 17:

Board of Governors Finance and Audit Subcommittee (President Cureton) 10:45 to 11:45 a.m.; Board of Governors Executive Committee (President Docking) Noon to 2 p.m.; and Board of Governors 1:30 to 5 p.m. (Presidents Docking and Cureton).

Please register for Convention as soon as possible, especially if you are interested in attending the special events.
### Thursday, January 18

<table>
<thead>
<tr>
<th>TIME</th>
<th>DIVISION III</th>
<th>ASSOCIATION-WIDE</th>
<th>ROOM LOCATION</th>
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<tbody>
<tr>
<td>7:30 to 9 a.m.</td>
<td>PC/MC/SAAC Breakfast</td>
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<td>[Must be a member of these committees to attend]</td>
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<tr>
<td>8 to 9:30 a.m.</td>
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<td>Presidents and Chancellors Engagement</td>
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<tr>
<td>8:30 to 9:30 a.m.</td>
<td>Division III: Athletics Integration: Establishing a Campus-Wide Culture of Compliance</td>
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<td>Association-Wide Programming</td>
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<tr>
<td>9:15 to 11:15 a.m.</td>
<td>Division III Presidents Council Meeting</td>
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<td>Association-Wide Programming</td>
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<td>[Must be a member of this committee to attend]</td>
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<tr>
<td>9:45 to 11:15 a.m.</td>
<td>Division III: Ethics and Athletics – Establishing a Positive Departmental Culture Grounded in Ethical Decision-making</td>
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<td>Association-Wide Programming</td>
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<tr>
<td>11:30 a.m. to 1 p.m.</td>
<td>Division III Chancellors/Presidents Forum and Luncheon</td>
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<td>1:15 to 2:15 p.m.</td>
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<td>Association-Wide Programming</td>
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<tr>
<td>2:30 to 4 p.m.</td>
<td>NADIIIAA: Providing Support for Student-Athletes, Coaches, and Staff Around Mental Health Needs</td>
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<td>Association-Wide Programming</td>
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<tr>
<td>4:30 to 6 p.m.</td>
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<td>NCAA Plenary Session: State of College Sports [Doors open at 4:15 p.m.]</td>
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<td>6 to 7:30 p.m.</td>
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<td>Delegates Reception</td>
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### Friday, January 19

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<th>ASSOCIATION-WIDE</th>
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<tbody>
<tr>
<td>7 to 8 a.m.</td>
<td>Division III Delegate Breakfast</td>
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<tr>
<td>8 to 11 a.m.</td>
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<tr>
<td>11:30 to 1 p.m.</td>
<td>Division III Issues Forum</td>
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<td>Association Luncheon</td>
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<tr>
<td>1 to 5:30 p.m.</td>
<td>Conference Meetings</td>
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<tr>
<td>6 to 7 p.m.</td>
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<td>Presidents &amp; Chancellors Reception</td>
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### Saturday, January 20

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<th>DIVISION III</th>
<th>ASSOCIATION-WIDE</th>
<th>ROOM LOCATION</th>
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<tbody>
<tr>
<td>7 to 8 a.m.</td>
<td>Division III Delegates Breakfast</td>
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<tr>
<td>8 a.m. to noon</td>
<td>Division III Business Session</td>
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Congressional Overview

On September 5, 2017, Congress returned from its annual August recess facing a potential fiscal crisis and needing to negotiate disaster aid for areas impacted by Hurricane Harvey. Congress quickly provided Hurricane Harvey aid and approved a stopgap measure to fund the government and lift the nation’s borrowing limit through December 8, 2017. After averting a potential government shutdown, Congress shifted its focus to other priorities including tax reform, health care, and seeking protections for young immigrants known as “Dreamers.”

Congress has continued to display an interest in the health and well-being of professional, collegiate and youth athletes. Members have also continued to inquire about efforts to address sexual violence by the college athletics and broader higher education communities. The NCAA Office of Government Relations has continued to engage congressional offices and respond to inquiries from Capitol Hill on these matters.

Prevention of Campus Sexual Violence

On August 15, 2017, Sen. Ron Wyden (D-OR) and seven Senate Democratic colleagues sent a letter to the NCAA regarding ongoing efforts to address issues of campus sexual violence through prevention and education. The letter, signed by Senators Wyden, Patty Murray (D-WA), Jeff Merkley (D-OR), Al Franken (D-MN), Maggie Hassan (D-NH), Kirsten Gillibrand (D-NY), Richard Blumenthal (D-CT), and Robert Casey (D-PA), highlighted several recent policies adopted by NCAA member conferences and institutions, which deal with the eligibility of student-athletes with a history of serious misconduct – including sexual assault. To address inconsistencies between existing school and conference policies, the Senators urged the NCAA to create an Association-wide policy, which would determine the eligibility of incoming and transfer student-athletes with a history of sexual violence. In developing a policy that holds individuals accountable for prior misconduct and protects the rights of current and future student-athletes, the Senators urged the NCAA to consider a range of factors including student privacy, accuracy of student data and how the timing of reported incidents of sexual assault may impact admissions decisions.

On August 25, 2017, the NCAA sent a response letter to the eight Senators. The letter discussed in detail the multi-faceted approach taken by the NCAA in addressing sexual violence on campus. Most notably, the letter highlighted recent publications created to assist schools in developing their own approach to addressing sexual violence on campus. The response also provided background on the Commission to Combat Campus Sexual Violence and its recent campus sexual violence policy recommendation, which was approved by the Board of Governors in August. Finally, the NCAA committed to working with the higher education community to identify approaches that address preventing sexual violence on campus for all students.
The NCAA has not received any additional questions from the eight Senators. The NCAA Office of Government Relations staff will continue to update congressional offices on the work of the Association to combat sexual violence on campus.

**Title IX**

On September 7, 2017, U.S. Department of Education Secretary Betsy DeVos announced plans to initiate a notice and comment rulemaking process to replace current federal guidelines on campus sexual assault. During the announcement, Secretary DeVos provided countless examples where the current system has failed to meet the needs of victims and the accused and forced schools to overreach in carrying out its responsibilities under Title IX. On September 22, 2017, the Department of Education’s Office for Civil Rights released new interim guidance to schools on how to investigate and adjudicate sexual assaults on campus until the rulemaking process is completed. The Department has not provided any details on when the notice and comment process will begin nor its duration.

**Sports-Related Brain Injuries**

On September 14, 2017, the Congressional Neuroscience Caucus and the Congressional Brain Injury Task Force held a briefing to examine research efforts aimed at better understanding sports-related brain injuries. The NCAA Office of Government Relations will continue to work with the Sports Science Institute to monitor developments in this area.

**State Issues**

The majority of state legislatures have adjourned for the year. Currently, seven states remain in session. These states are Massachusetts, Michigan, New Jersey, North Carolina, Ohio, Pennsylvania, and Wisconsin.

**Gender Identity/Bathroom Bills**

The ongoing debate regarding gender identity and the use of multiple-occupancy restrooms has garnered contentious debate within some state legislatures. The legislative sessions in North Carolina and Texas have been at the forefront of this discussion. In March, North Carolina Governor Roy Cooper (D) signed HB 142 into law, repealing HB 2. In Texas, following the adjournment of the legislature’s regular session, Governor Greg Abbott (R) called lawmakers back for a 30-day special session beginning on July 18, 2017. During this session, multiple proposals were introduced regarding gender identity and the regulation of restroom use. The most notable bill passed the Texas Senate on July 26, 2017. However, House Speaker Joe Straus (R) never referred the bill to committee for consideration. The bill died at the end of the special session.

The Texas legislature is a part-time legislature that meets every other year. Barring any subsequent special session, lawmakers are set to reconvene in January 2019.
**Daily Fantasy Sports**

The NCAA, the membership, and others are actively working to ensure student-athlete well-being and the integrity of competition lead the conversation regarding sports wagering and daily fantasy sports. In doing so, the NCAA and various interest holders have worked to ensure all daily fantasy sports proposals include a student sports carveout. This carveout prohibits daily fantasy sports contests that involve college, high school, or youth athletes. So far, sixteen states have enacted bills or regulations regarding daily fantasy sports. Thirteen of the laws include the student sports carveout. Only New Jersey has passed a law without the carveout since NCAA involvement. Overall, more than 25 states have considered daily fantasy sports proposals this year.

**Student-Athlete Health and Well-Being Legislation**

The health and safety of student-athletes and the care they receive has been a priority for state lawmakers. Bills have been introduced in California, North Carolina, and Connecticut to create commissions on college athlete health and safety. These bills are no longer active, but the proposals in California and North Carolina are eligible to be reconsidered next year. The NCAA Office of Government Relations has worked with the Sports Science Institute to provide materials to assist in educating interest holders on the NCAA’s advancements in this area. Going forward, the NCAA will continue to monitor actions on the state level related to student-athlete health and safety.

**Revised Uniform Athlete Agents Act (RUAAA)**

The NCAA has been supportive of the Revised Uniform Athlete Agents Act, which updates the Uniform Athlete Agents Act of 2000 by, among other things, expanding the definition of athlete agent, requiring an agent to notify an institution before communicating with a student-athlete to induce them into signing an agency contract, and creating a registration process that provides agents reciprocity. This year, Arizona, North Dakota, Oregon, Tennessee, Utah, and Nevada have enacted RUAAA legislation.

**Higher Education Associations**

NCAA Office of Government Relations staff continues to build strong relationships with various higher education associations. The American Council on Education (ACE), the Association of American Universities (AAU), the Association of Public and Land-grant Universities (APLU), and the National Association of Colleges and University Business Officers (NACUBO) among others, continue to provide guidance and support on issues of common interest. The NCAA Office of Government Relations staff looks forward to continuing these mutually beneficial relationships to better formulate and further the NCAA’s legislative goals.