AGENDA
National Collegiate Athletic Association
Division III Management Council

NCAA National Office
Indianapolis, Indiana
July 18-19, 2016

1. Welcome and announcements. (Tracey Ranieri)

2. Rosters, future meeting schedule and monthly updates. (Ranieri)

3. Review of summary of spring 2016 quarterly meetings. (Ranieri)

4. Division III Philosophy Statement and Strategic Positioning Platform. [Supplement Nos. 1a and 1b] (Dan Dutcher)

5. Committee/subcommittee reports.
   a. Division III joint Presidents Council/Management Council committees or subcommittees.
      (1) Convention-Planning Subcommittee. [Supplement No. 2] (Brit Katz)
      (2) Strategic-Planning and Finance Committee. [Supplement No. 3a] (Ranieri/Jeff O’Barr)
         (a) 2015-16 budget-to-actual. [Supplement No. 3b]
         (b) 2016-17 final budget. [Supplement No. 3c]
         (c) Future budget model. [Supplement No. 3d]
         (d) NCAA broadcast contract extension.
      (3) Joint Legislative Steering Committee. (Ranieri)
   b. Management Council subcommittees.
      (1) Subcommittee for Legislative Relief. (Nnenna Akotaobi)
      (2) Playing and Practice Seasons Subcommittee. [Supplement No. 4 to be distributed at the meeting] (Chris Ragsdale)
Division III committees.

(1) Championships Committee.  [Supplement Nos. 5a, 5b and 5c] (Gerald Young)

(2) Committee on Infractions.  [Supplement No. 6] (Young)

(3) Financial Aid Committee. (Terry Wansart)

(4) Infractions Appeals Committee. (Kate Roy)

(5) Interpretations and Legislation Committee.  [Supplement Nos. 7a and 7b] (Shantey Hill)

(6) Membership Committee.  [Supplement No. 8] (Ragsdale)

(7) Nominating Committee.  [Supplement Nos. 9a and 9b] (Terry Small)

(8) Student-Athlete Advisory Committee.  [Supplement No. 10] (Taryn Stromback/Greg Woods)

(9) Student-Athlete Reinstatement Committee.  [Supplement No. 11] (Frank Millerick)

d. Association-wide committees.

(1) Committee on Competitive Safeguards and Medical Aspects of Sports.  [Supplement No. 12] (Stevie Baker-Watson)

(2) Committee on Sportsmanship and Ethical Conduct. (Karen Tompson-Wolfe)

(3) Committee on Women’s Athletics.  [Supplement No. 13] (Julie Soriero)

(4) Minority Opportunities and Interests Committee.  [Supplement No. 14] (Akotaobi)

(5) Joint Minority Opportunities and Interest Committee and Committee on Women’s Athletics.  [Supplement No. 15] (Akotaobi/Soriero)

(6) Honors Committee. (Millerick)

(7) Olympic Sports Liaison Committee. (Soriero)

(8) Playing Rules Oversight Panel.  [Supplement Nos. 16a, 16b, 16c, 16d and 16e] (Rachel Seewald)
(9) Postgraduate Scholarship Committee.  [Supplement No. 17] (Gail Cummings-Danson)

(10) Research Committee.  (Chris Kimball)

(11) Walter Byers Scholarship Committee.  [Supplement No. 18] (Dennis Leighton)

6. Proposed Legislation for the 2017 Convention.  (Jeff Myers/Sarah Otey)
   a. Review administrative regulations approved by Management Council per Constitution 5.4.1.1.1.
   b. Review noncontroversial legislation approved by the Management Council.  [Supplement No. 19]
   c. Review of modifications of wording approved by the Management Council.
   d. Review of Membership-sponsored legislation.  [Supplement No. 20 will be distributed at the meeting.]

7. Chair and vice chair elections.  (Dan Dutcher/Louise McCleary)

8. Division III Initiatives and updates.
   b. Sportsmanship and Game Environment Working Group.  [Supplement Nos. 22a and 22b] (Tompson-Wolfe)
   c. 360 Proof and NASPA Collaborative.  [Supplement No. 23] (Eric Hartung)
   d. Division III Identity Initiative.  [Supplement No. 24] (McCleary)
   e. 2016 Governance Scorecard.  [Supplement No. 25] (Dutcher)
   f. Institutional Performance Program update.  (Hartung)
   g. Faculty Athletics Representative (FAR) Engagement.  (Ranieri)
h. Presidents and Commissioners Communication Guide.  [Supplement No. 26] (McCleary)

i. Feedback from Conference meetings.  [Supplement No. 27] (McCleary)

   a. Board of Governors update.  [Supplement No. 28] (Donald Remy)
   b. Fair Labor Standards Act.  [Supplement Nos. 29a and 29b] (Remy)
   c. Gender Equity Task Force.  [Supplement Nos. 30a, 30b and 30c] (Soriero)
   d. Sports Science Institute updates.  (Brian Hainline)
      • Football practice recommendations.  [Supplement No. 31]
   e. Litigation update.  (Scott Bearby)
   f. Governmental Relations report.  [Supplement No. 32] (Ranieri)

10. Other business and open forum.  (all)

11. Adjournment.
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The National Collegiate Athletic Association  
July 6, 2016  
DTD/LM:dsk
<table>
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<tr>
<th>Attending</th>
<th>Dates</th>
<th>Meeting/Championship</th>
<th>Location</th>
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<tbody>
<tr>
<td>JJ/BB/LPM/JO</td>
<td>July 9-15</td>
<td>Leadership Institute</td>
<td>Phoenix, AZ</td>
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<td>July 12-14</td>
<td>Men’s and Women’s Tennis Committee meeting</td>
<td>Indianapolis, IN</td>
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<td>July 16-17</td>
<td>Student-Athlete Advisory Committee meeting</td>
<td>Indianapolis, IN</td>
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<td>DTD/LM/JJ/DSK/JM/SO/EH/BB/JO</td>
<td>July 17</td>
<td>Playing and Practice Seasons Subcommittee meeting</td>
<td>Indianapolis, IN</td>
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<td>July 18-19</td>
<td>Management Council meeting</td>
<td>Indianapolis, IN</td>
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<td>DTD/LM/JJ/DSK/JM/SO/EH/BB/JO</td>
<td>July 19</td>
<td>Championships Committee teleconference (Tentative)</td>
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<td>DTD/LM/JJ/DSK/JM/SO/EH/BB/JO</td>
<td>July 21</td>
<td>ILC Teleconference</td>
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<td>July 25</td>
<td>Committee on Sportsmanship and Ethical Conduct Call</td>
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<td>JJ/KD/JO</td>
<td>July 22</td>
<td>Presidents Council meeting</td>
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<td>JJ/DFB</td>
<td>Aug. 15</td>
<td>ILC Teleconference</td>
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<td>JJ/DFB</td>
<td>Aug. 16</td>
<td>Division &amp; Inclusion Working Group teleconference</td>
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<td>Aug. 18</td>
<td>Sportsmanship &amp; Game Environment Working Group teleconference</td>
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<tr>
<td>JJ/BB/LPM/JO</td>
<td>Aug. 19</td>
<td>Student-Athlete Advisory Committee meeting</td>
<td>Indianapolis, IN</td>
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<td>DTD/LM/JJ/DSK/JM/SO/EH/BB/JO</td>
<td>Aug. 22</td>
<td>NADIIAA and DII Commissioners Leadership meeting</td>
<td>Indianapolis, IN</td>
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<td>JJ/KD/JO</td>
<td>Sept. 14</td>
<td>Committees Committee Meeting with Sport Committee Chairs</td>
<td>Indianapolis, IN</td>
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<td>Sept. 15</td>
<td>Committee on Women’s Athletics (CWA) meeting</td>
<td>Indianapolis, IN</td>
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<td>JJ/KD/JO</td>
<td>Sept. 16</td>
<td>Interpretations and Legislation Committee (ILC) meeting</td>
<td>Indianapolis, IN</td>
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<td>JJ/KD/JO</td>
<td>Sept. 18</td>
<td>Nominating Committee meeting</td>
<td>Indianapolis, IN</td>
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<td>Sept. 19</td>
<td>Convention-Planning Subcommittee teleconference</td>
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<td>Sept. 20</td>
<td>Sportsmanship &amp; Game Environment Working Group teleconference</td>
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<td>Sept. 22</td>
<td>ILC Teleconference</td>
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<tr>
<td>JJ/BB/LPM/JO</td>
<td>Oct. 3-4</td>
<td>Committee on Sportsmanship and Ethical Conduct meeting</td>
<td>Indianapolis, IN</td>
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<td>JJ/BB/LPM/JO</td>
<td>Oct. 14-16</td>
<td>FAR Fellows Institute</td>
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<td>JJ/BB/LPM/JO</td>
<td>Oct. 17-18</td>
<td>Management Council meeting</td>
<td>Indianapolis, IN</td>
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<td>JJ/DFB</td>
<td>Oct. 20</td>
<td>Sportsmanship &amp; Game Environment Working Group teleconference</td>
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<td>JJ/DFB</td>
<td>Oct. 26-27</td>
<td>Presidents Council meeting</td>
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<td>JJ/BB/LPM/JO</td>
<td>Nov. 1-7</td>
<td>Convention-Planning Subcommittee teleconference</td>
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<tr>
<td>JJ/BB/LPM/JO</td>
<td>Nov. 13-14</td>
<td>Student-Athlete Advisory Committee meeting</td>
<td>Indianapolis, IN</td>
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<td>JJ/BB/LPM/JO</td>
<td>Nov. 14-15</td>
<td>Financial Aid Committee</td>
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<td>Championships Committee meeting</td>
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<td>Nov. 17</td>
<td>ILC Teleconference</td>
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<tr>
<td>JJ/BB/LPM/JO</td>
<td>Nov. 30-Dec. 1</td>
<td>Student-Athlete Reinstatement Committee meeting</td>
<td>Indianapolis, IN</td>
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<td>Dec. 11-14</td>
<td>CSMAS meeting</td>
<td>Los Angeles, CA</td>
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<td>ILC Teleconference</td>
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<td>Dec. 15</td>
<td>Convention-Planning Subcommittee teleconference</td>
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<tr>
<td>JJ/BB/LPM/JO</td>
<td>Jan. 17-21</td>
<td>Student-Athlete Advisory Committee meeting</td>
<td>Nashville, TN</td>
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<td>JJ/BB/LPM/JO</td>
<td>Feb. 7-8</td>
<td>Championships Committee meeting</td>
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<td>Feb. 8-9</td>
<td>Membership Committee meeting</td>
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<td>Feb. 16-17</td>
<td>Interpretations and Legislation Committee (ILC) meeting</td>
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<td>JJ/BB/LPM/JO</td>
<td>April 3-9</td>
<td>Division III Week</td>
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NCAA/sites/gov/DIII.GeneralGovernance/06.GovernanceOperations/FutureMeetingSchedule/2016/March/dsk_dfb/07052016
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<th>Attending</th>
<th>Dates</th>
<th>Meeting/Championship</th>
<th>Location</th>
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<tr>
<td>JJ/BB/LPM/JO</td>
<td>April 23-24</td>
<td>Student-Athlete Advisory Committee</td>
<td>Indianapolis, IN</td>
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<td>May 15-17</td>
<td>Regional Rules Seminar</td>
<td>Indianapolis, IN</td>
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<td>June 5-7</td>
<td>Regional Rules Seminar</td>
<td>San Diego, CA</td>
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<td>JJ/JO/KD</td>
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<td>Conference Rules Seminar</td>
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<td>July 16-17</td>
<td>Student-Athlete Advisory Committee</td>
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<td>JJ/BB/LPM/JO</td>
<td>Nov. 12-13</td>
<td>Student-Athlete Advisory Committee</td>
<td>Indianapolis, IN</td>
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*Dates subject to change.

*Championships Committee teleconference
Call-in Number: 866/590-5055
Access Code: 4208076

Interpretations and Legislation Committee teleconference
Call-in Number: 866/590-5055
Access Code: 5203577

Management Council
Call-in Number: 866-434-5269
Access Code: 5128535

Membership Committee teleconference – All teleconferences are scheduled from 1 to 3 p.m. Eastern time
Call-in Number: 877/402-9757
Access Code: 4814962

Presidents Council
Call-in Number: 866/590-5055
Access Code: 9803762
MONTHLY UPDATE // ISSUE NO. 128 // MAY 2016

This update is a tool to enhance communication between the NCAA national office and the Division III membership, with distribution to athletics directors, senior woman administrators, faculty athletics representatives, presidents, national SAAC, conference commissioners and the NADIIIAA list serve. We encourage athletics directors to share this communication with their athletics department members. Please contact Louise Mc Cleary at lmccleary@ncaa.org to include an item or share comments, and remember to check out the Division III governance homepage for the latest news and information.

HIGHLIGHTS

Hot Topics
CoSIDA Updates
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HOT TOPICS

2017 Convention Planning Survey -- ACTION NEEDED
Please complete the attached four-question survey to help the Convention Planning Subcommittee determine the 2017 Issues Forum roundtable and town hall topics.

Division III Graduation Rates Reporting is Now Open -- ACTION NEEDED
The data collection portal for the federal graduation rates and enrollment data opened March 8. The submission deadline is June 1. Institutions can access the site through the NCAA's Single Source Sign-On (SSSO) system. In addition to the required student body reporting, Division III members are strongly encouraged to provide the voluntary graduation success rate data for the 2009 student-athlete cohort. Please contact Maria DeJulio or Eric Hartung with any questions.

Recipients Announced for Division III Diversity Grants
Twenty-six schools and conference offices will receive diversity grants for the upcoming cycles of the Ethnic Minorities and Women's Internship Grant (20 recipients) and the Strategic Alliance Matching Grant (six recipients). Committees select the grant recipients. Successful grant applications encourage access, recruitment, selection and the long-term success of ethnic minorities and women in athletics administration and coaching. The full announcement, including the lists of recipients, can be found here.

Division III Week Recap
Sixty-nine percent of Division III member institutions and conferences (339 total) celebrated the fifth annual Division III Week, April 4-10. This year's activities and events were held in conjunction with the Women's Basketball Joint National Championship, National Student-Athlete Day and many events featured the division's partnership with Special Olympics. Division III raised $3,128 for Special Olympics North America by encouraging new "likes" on our Facebook page and new followers on Twitter. In addition to social media engagement, NCAA staff donated to the campaign during a celebratory event at the national office in which the Division III Student-Athlete Advisory Committee participated in a panel discussion on the life of a Division III student-athlete.

The sixth annual Division III Week will occur April 3-9, 2017. While planning for next year's event, remember to use resources and check for updates at the Division III Week website.

Sport Sponsorship and Demographic Form
The Sport Sponsorship and Demographic Form will open May 2. The completed form, which is a membership requirement, must be submitted no later than August 1. An e-mail that will include information on how to access the site will be sent to
administrators and other campus personnel prior to the site opening. If you have additional questions please contact Maria DeJulio, 913-397-7668.

**ISSG Reminder**

Constitution 6.3.1 requires all Division III institutions to conduct a comprehensive self-study and evaluation of their athletics programs at least once every five years. The full Institutional Self-Study Guide (ISSG) and requirements for supporting documentation are now available for completion. Click here for the user manual. This information must be entered into the electronic ISSG by all institutions; fax, hard copy or email submissions of the ISSG will not be accepted.

You can access the ISSG via the MyApps link on NCAA.org (if access has been granted by the institution's single-source sign-on administrator). Institutions that need technical assistance should send questions via email to Susan Roseman.

Click here to see which schools are required to complete this year's ISSG and supporting documentation by **June 1, 2016**. Check out the [NCAA Directory](https://www.ncaa.org) to see when your institution's next ISSG is due for completion.

**Identity Initiative Purchasing Website**

Have you used your $500 purchasing website credit? The [Division III Identity Initiative purchasing website](https://www.ncaa.org) features co-branded giveaways, T-shirts, banners and promotional items. A credit is available for each institution and conference through August 2016 for purchases from the site. Access the site by entering the email address of your institution's director of athletics (or for conferences, the commissioner's email address) as the username, and the password you created. For assistance, please contact Nicole Eisenlohr at Source One Digital.

**CoSIDA UPDATES**

**2016 CoSIDA Convention Registration**

Have you registered for the 2016 CoSIDA Convention, taking place **June 12-15** in Dallas? The third annual Division III Day will occur **Monday, June 13**. Grant dollars are available via the Division III Conference Grant Program specifically for campus sports information directors to receive professional development opportunities. Ask your commissioner for details. Click [here](https://www.ncaa.org) for the online registration. For a full schedule of events, click [here](https://www.ncaa.org).

**FACULTY ATHLETICS REPRESENTATIVE (FAR) UPDATES**

Registration for the 2016 FAR Fellows Institute is now open in [Program Hub](https://www.ncaa.org). The nomination deadline is **May 31**. The three-day professional development event will take place **October 14-16** at the NCAA national office in Indianapolis. The focus of the program is to enhance FAR leadership skills to more effectively carry out FAR responsibilities on campus, in the conference, and nationally.

Nominations from conference commissioners are given priority in the selection process, and additional nominations will be accepted from institutional presidents, directors of athletics and FARs (self-nominations). Self-nominations are allowed to increase the number of nominations in the pool, while still acknowledging the importance of the FAR interfacing with the conference. Additional information is available [here](https://www.ncaa.org). Please direct any questions to Leah Kareti.

**SPORT SCIENCE INSTITUTE (SSI) UPDATES**

**Cardiac Health**

On Friday, April 15, a joint press release by the NCAA and the American College of Cardiology, announced the publication of an [Interassociation Consensus Statement on Cardiovascular Care of College Student-Athletes](https://www.ncaa.org). The consensus statement, an outcome of the 2014 NCAA Cardiac Task Force and developed and endorsed by leading national medical and sports medicine organizations, will be co-published in three prestigious medical journals: Journal of the American College of Cardiology, British Journal of Sports Medicine and Journal of Athletic Training. The consensus statement provides recommendations for team physicians and athletic trainers for pre-participation evaluations, emergency action plans, regional referral centers and includes key talking points as well as a [resource independent checklist](https://www.ncaa.org). For more information on the interassociation statement, please visit the SSI [website](https://www.ncaa.org).
Concussion
Revised concussion guidelines will be issued this summer as a result of the second Safety in College Football Summit. The changes will be endorsed by prominent medical and coaching associations and will serve as an update to guidelines developed at the first Safety in College Football Summit in 2014. Changes will be based on new data related to concussion, exposure to repetitive head impact during football practices and games, independent medical care, and catastrophic injuries.

DIVERSITY SPOTLIGHT INITIATIVE
The Allegheny Mountain Collegiate Conference (AMCC) and the Presidents' Athletic Conference (PAC) are the recipients of the April Division III Diversity Spotlight Initiative. The AMCC and PAC recently held a joint workshop to present information designed to encourage and assist ethnic minority and female participants in beginning their careers in intercollegiate athletics. This initiative's goal was to create a professional pipeline by providing skills, direction, and feedback about careers in intercollegiate athletics. Click here to read the full story.

The Diversity Spotlight Initiative recognizes and promotes outstanding diversity related projects, programming and initiatives that are occurring on Division III campuses and in conference offices. Each month, the program recognizes an institution or conference in regard to a diversity related event, program or initiative. All recipients receive $500 toward their next diversity initiative. To submit an initiative for consideration for May, please email Jaime Dicks, with a brief statement (no more than 500 words) as to why your institution or conference office deserves to be the spotlight recipient. Attach a photo if applicable. The nomination deadline is May 21.

SPECIAL OLYMPICS
Spotlight Poll
The NCAA Division III Special Olympics Spotlight Poll is a story-telling initiative located on ncaa.org/D3SpecialOlympics. It features new stories each month that highlight a Division III and Special Olympics joint activity or event. The story with the highest number of votes on the 25th day of each month is the winner. That institution or conference receives $500 to use for its next Special Olympics event. Both written and digital submissions are accepted. Featured stories are selected based on inclusion of the student-athlete perspective and Division III messaging. To submit a story for consideration, please email d3specialolympics@ncaa.org.

April Winner
After 1,560 total votes, Salem State hosts Special Olympics basketball tournament won the April poll with 51% (796) of the votes! Salem State will receive $500 to use for its next Special Olympics event.

May Nominees
• Amherst College hosts Special Olympics event
• McDaniel Hosts Tournament of Champions
• Wisconsin Lacrosse participates in polar plunge

Click here to vote starting Sunday, May 1. The winner will be selected May 25, 2016.

ACADEMIC AND MEMBERSHIP AFFAIRS (AMA) UPDATES
Rule of the Month
Camps and Clinics: Free or Reduced Admissions
Under the legislation in Bylaw 13.12.1.4, it is generally not permissible for an institution to provide free or reduced admission to attend the institution's camp or clinic to any prospective student-athlete. It is also impermissible for boosters or other representatives of the institution's athletic interests to provide a prospective student-athlete free or reduced admission.

In certain situations, however, providing free or reduced admission may be permitted.
• An institution may provide free camp or clinic admission to a prospective student-athlete if the camp or clinic is free to all participants.

• Discounted admissions are permitted when they are based on objective criteria that are not related to athletics ability, as long as the discounts are published and available on an equal basis to all who qualify. Some examples of objective criteria are early registration, online registration and bulk registration. An institution may have an institutional or athletics department policy that permits the children of institutional or athletics department staff to receive free or reduced admission to the institution’s camps or clinics.

• Free or reduced admission is allowed for children of instructors in the camp or clinic if it is available to children of all the camp or clinic instructors.

For more information, review staff interpretations from October 29, 2003 and August 27, 2009.

Official Interpretations

Athletic Department Suspension and Athletic Eligibility (III). Date Published: April 19, 2016. The Interpretations and Legislation Committee confirmed that a transfer student-athlete’s suspension from athletic participation at the previous institution does not equate to athletic ineligibility for purposes of satisfying a transfer exception if the suspension is limited to a specific sport(s). [References: NCAA Division III Bylaws 14.5.4.1 (exceptions), 14.5.5.1 (general rule), 14.5.5.1.1 (exception) and an Official Interpretation (7/14/2006, Item No. 5a), which has been archived.]

Voluntary Out-of-Season Institutional Fundraising Events Involving Athletics Ability (III). Date Published: April 22, 2016. The Interpretations and Legislation Committee confirmed that student-athletes are not permitted to practice or compete under the guise of a fundraising event outside of the playing and practice season. As such, coaching staff members may not assess or provide instruction to student-athletes as part of a permissible fundraising event that occurs outside of the playing season. Additionally, a student-athlete may not compete as part of a team in the student-athlete’s sport if the fundraising event is organized, administered by, or benefits the athletics department (in individual sports, such units as golf foursomes, doubles tennis teams and relay teams in track and field are not considered to be team competition). Student-athletes are permitted, however, to participate in out-of-season fundraising events: (1) as an individual in an individual sport (e.g. Institutional 5K, golf outing); (2) that are administered outside of athletics and do not benefit the athletics department; or (3) in a sport other than the sport(s) in which the student-athlete participates at the institution. [References: NCAA Division III Bylaws 17.02.1.1 (athletically related activities); 17.02.1.1.1 (exceptions) and 17.02.8 (intercollegiate competition)]

Varsity Coach Involvement with Institutional Club Team (III). Date Published: April 22, 2016. The Interpretations and Legislation Committee determined that a member institution’s coach may not be involved in any capacity outside the declared playing and practice season with an institutional club team, in any sport, if the institutional club team includes a student-athlete from that coach’s sport. [References: NCAA Division III Bylaws 17.1.5 (out-of-season athletically related activities), 17.1.5.2.1 (involvement of coaching staff) and an Official Interpretation (12/12/1994, Item No. 14), which has been archived.]

Conference Membership Requirements for Retention of the Automatic Qualification via the Grace Period (III). Date Published: April 22, 2016. The Interpretations and Legislation Committee confirmed that provisional and reclassifying members neither count toward the four institutions necessary to retain the automatic qualification via the grace period nor toward the seven institutions necessary to retain the automatic qualification at the expiration of the grace period. [References: NCAA Division III Bylaws 3.3.1.2.4 (grace period) and 31.3.3.1.3 (grace period)]

Conference Membership Requirements for Establishing a New Automatic Qualification (III). Date Published: April 22, 2016. The Interpretations and Legislation Committee confirmed that a conference must have a minimum of seven active Division III member institutions (as opposed to provisional or reclassifying institutions) participating in a specific sport to be eligible for an automatic qualification for the NCAA championship in that sport. Therefore, while a conference may count provisional or reclassifying members toward the requisite number of conference members necessary to begin the two-year waiting period, the conference would not be eligible for the automatic qualification until the two-year waiting period has been satisfied and those members have been granted active member status. [References: NCAA Division III Bylaws 31.3.3.1.1 (additional requirements-multisport conference) and 31.3.3.1.2 (additional requirements-single-sport conferences)]

Student-Athlete Reinstatement (SAR) Update

The student-athlete reinstatement staff will partner with the NCAA enforcement staff for a one-hour Regional Rules session in Orlando and Dallas. The session will be held Tuesday at 1 p.m. at both locations and will provide attendees with a fundamental overview of Division III student-athlete reinstatement process involving violations, waivers and appeals and an overview of current Division III enforcement-related issues.
**Request Self-Reports Online (RSRO) Enhancements Now Available**

Enhancements to RSRO are now available. A few highlights include:

- A reporting tab that allows users to create custom reports;
- An ability to pay secondary violations through RSRO;
- A warning message has been added to cases where a de minimis bylaw is being referenced; and
- The ability for institutions to view case cover sheets while on the case management screen that display the decision and case summary.

A complete list of enhancements will be available on the resources tab in RSRO in early April. For questions, please email rsro@ncaa.org.

**Division I Coaches Certification Exam**

For those Division III institutions that sponsor Division I sports, the 2016-17 Division I Coaches Certification (Recruiting) Exam will be available in mid-May. Technical questions about the Division I exam should be sent to DeAnna Stephens.

**Academic Portal Update (Division III)**

Work continues on the development of the Academic Portal, which is intended to serve as a single point of entry and process for all NCAA institutions on academic related requirements. The development of this portal will not impact the way that institutions develop and submit voluntary academic information, such as NCAA Division III Academic Success Rate data, until spring 2017. A communication plan has been developed that will ensure the membership, committees and other constituent groups are informed of and provided regular updates on this initiative. Please email academicportal@ncaa.org with any questions, comments or concerns.

**EDUCATIONAL INFORMATION**

**NCAA Graduate Student Research Grant Program**

The NCAA Research Committee invites research proposals within the general topic areas of student-athlete psychosocial well-being and college athletics participation. Research grants are available for current graduate students enrolled at NCAA-member institutions and are intended to support the student while conducting research to be used for a doctoral dissertation, master's thesis or external publication. Awards for these one-time research grants are set at a maximum of $7,500. For more information, please visit the [grant program website](mailto:grantprogramwebsite) to download the current Call for Proposals (CFP), review FAQs, read the abstracts from previously funded projects, and see examples of funded proposals. The application deadline is **August 12, 2016**.

**National Office Student-Athlete Day Celebration**

More than 150 local college athletes, Indianapolis Public School children, NCAA staff and athletics administrators took part in the 8th annual National Student-Athlete Day celebration, an outreach initiative hosted by the NCAA education and community engagement group. Indiana-based Division III schools represented included Anderson University and Earlham College. Click here for the recap.

**PROP UPDATE**

Listed below are key facility reminders for 2016-17 and 2017-18 related to playing rules in several sports.

**Men's Basketball**

Divisions II and III member institutions are reminded that effective with the 2016-17 season, the Restricted-Area Arc from men's basketball must be 4 feet (instead of 3 feet) from the face of the backboard. [Note: The 4 foot arc was effective with the 2015-16 season for Division I men's basketball. The rule for Division I, II and III women's basketball stipulates that the arc remain at 3 feet.]

**Men's and Women's Ice Hockey**
Effective with the 2016-17 season, NCAA institutions must have a goal anchoring system in place for competition. Note: The Men's and Women's Ice Hockey Rules Committee are reviewing situations where institutions do not own or control the facility (e.g., off-campus or municipal facilities). The committee plans to address this at the June 2016 annual meeting. Any questions may be directed to Ty Halpin.

**Men's Lacrosse**

Starting with the 2017 season, all Division II and III institutions must have a visible shot clock available for competition. It is recommended that two clocks be utilized at either end of the field; however, one clock may be used at midfield to satisfy the rule. Note: This rule was passed at the August 2014 Men's Lacrosse Rules Committee annual meeting.

**Women's Lacrosse**

For Divisions II and III institutions, a visible 90-second possession clock must be in place by the 2018 season. It is recommended that two visible clocks be utilized at either end of the field; however, one visible clock at mid-field will satisfy the requirement of this rule.

**Men's and Women's Soccer**

By 2016, any new field construction or renovation must have a halfway line and center circle that are visibly marked.

**Softball**

By 2017, an institutional field with a 4-foot fence must be a minimum of 210 feet in left and right fields and 230 in center field, and it is highly recommended that a municipal field with a 4-foot fence used by the institution be at those same distances.

**Men's Volleyball**

Effective for the 2017 season, a restraining line must be placed on the playing surface (permanently or with tape) five feet from the sideline on the bench side of the court for each team. The line will begin at the end of the bench and extend a minimum of eight feet. Student-athletes and bench personnel not seated at the bench are required to remain behind the restraining line during play.

**COMMITTEE UPDATES**

Several committees conducted an in-person meeting in April.

- National Student-Athlete Advisory Committee, April 10-11
- Committee on Women's Athletics, April 14-16
- Minority Opportunities and Interest Committee, April 15-16
- Management Council, April 18-19
- Presidents Council, April 27-28

**KEY DATES FOR MAY THROUGH JULY**

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<td>May 22</td>
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<td>Date</td>
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<td>June 13</td>
<td>Division III Day at CoSIDA Convention</td>
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<td>June 13-15</td>
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<td>June 15-17</td>
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<td>June 15-17</td>
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<td>June 22-23</td>
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<td>June 28-30</td>
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<td>July 12-14</td>
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<td>July 18-19</td>
<td>Management Council Meeting</td>
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DIII: Follow your passions and discover your potential

Proportion: Appropriate relation of academics with opportunities to pursue athletics and other passions.


Passion: Playing for the love of the game, comradery, fun and self-improvement.

Responsibility: Development of accountability through personal commitment and choices.

Sportsmanship: Fair and respectful conduct toward all participants and supporters.

Citizenship: Dedication to developing responsible leaders and citizens in our communities.

National Collegiate Athletic Association 700 West Washington Street, Indianapolis, IN, 46204 US
HOT TOPICS

Fair Labor Standards Act (FLSA)
The Department of Labor released revisions to the FLSA minimum wage and overtime standards. Updates focus primarily on the salary and compensation levels for executive, administrative, and professional exemptions to overtime pay. A few highlights: 1.) The new salary level increases from $23,660 annually ($455/week) to $47,476 annually ($913/week); 2.) The new salary levels will be effective on December 1, 2016; and 3.) The salary level will be increased automatically every three years, starting in 2020.

Click here to find a document that was released by the Department of Labor, which focuses on the new rule and the higher education community. The document provides a brief discussion on coaches and the teacher exemption. The NCAA has also worked closely with CUPA-HR and other higher education organizations to share information on the potential impact to athletics departments and to provide membership with periodic updates. Click here for a white paper that analyzes the teacher exemption for coaches and athletic trainers and discusses a variety of compliance matters. We encourage you to review the memo and share it with your human resources staff and institution legal counsel.
documents found within the site guide the user through each area of the form. If you have additional questions, please contact Maria DeJulio.

360 Proof Appointments at Dallas Regional Rules Seminar

The 360 Proof team invites you to book a one-on-one appointment with a nationally renowned high-risk alcohol use prevention expert at the NCAA Regional Rules Seminar in Dallas, TX, on June 15. Dr. Jason Kilmer is accepting 30 and 60 minute appointments to provide your institution with the opportunity to discuss 360 Proof implementation or other high-risk alcohol use prevention questions. These appointments are provided as a component of the 360 Proof program; there are no fees to participate.

Please contact ExpertHelp@360Proof.org if you would like to book an appointment or have questions about this opportunity. Requests should include:

1. Your name and institution
2. Your phone number
3. The topic(s) you would like to discuss

Institute for Administrative Advancement

During the recent Regional Rules Seminar held in Orlando, Division III, in coordination with the Office of Inclusion and the Minority Opportunities Athletic Association (MOAA), successfully held the fourth annual Institute for Administrative Advancement. The Institute provided a day and half of professional development programming for 23 Division III mid-level administrators of color. The participants heard from current athletics directors on topics such as leadership, mentoring, work-life integration, and preparing for the next career move. Next year's Institute will be held in conjunction with the 2017 Indianapolis Regional Rules Seminar, May 15-17.

Purchasing Website

Three months remain to access the 2015-16 $500 credit provided to current active and provisional member schools and conferences for use on the Division III Identity Initiative Purchasing Website. The site offers materials like co-branded banners, backdrops, unique signage, T-shirts and other products to promote your affiliation with Division III, as well as items to help activate our partnership with Special Olympics. Schools and conferences can use the credit by August 31 to buy anything on the purchasing website. The link is ncaadiii.sourceforgeordering.com (no "www" or "http" needed). Access the site by entering the email address of your institution's director of athletics (or for conferences, the commissioner's email address) as the username, and then enter the password you created the first time you logged into the site (those logging in for the first time can create any password). While approximately two-thirds of Division III schools have not yet used this year's credit, the summer months are a great time to place orders. Assistance with logging in to the site, updating records to reflect a new director of athletics’ email address, delegating log-in access to another staff member, resetting passwords and placing orders is available from Nicole Eisenlohr at Source One Digital.

CoSIDA UPDATES

Division III and D3SIDA Recognition Award

Congratulations Tim Volkman of SUNY Geneseo, the recipient of the 2016 Spring Division III and D3SIDA Recognition Award. Division III and D3SIDA selected the video, Geneseo We R Team, that pays tribute to women's basketball student-athlete, Kelsey Annese. The video was exceptionally well done and chosen by a selection committee of D3SIDA members as the best work this quarter.

The NCAA Division III and D3SIDA recognition award is a partnership between the Division III governance staff and the Division III College Sports Information Directors of America (D3SIDA) to recognize the best work by athletics communication directors portraying the Division III identity and student-athlete experience. The recognition program is part of the Division III Identity Initiative. It seeks to honor the best work - including news releases, feature articles, videos, blogs and other materials - produced by Division III campus and conference athletics communication offices. The next submission period opens in August 15 and closes October 15. Award winners receive a $1,500 credit to attend Division III Day held in conjunction with the annual CoSIDA convention. The credit will cover the CoSIDA convention registration fee, two nights in the convention hotel, travel accommodations, and two days of meal per diem ($75/day).
ATHLETICS DIRECT REPORTS (ADR) UPDATES

ADR Institute Nominations Now Open
The second annual Athletics Direct Report (ADR) Institute will take place January 18-19, 2017 at the NCAA Convention in Nashville, TN. The purpose of the program is to engage Division III ADRs in best practices to oversee and manage athletics departments and to improve the relationships between ADRs and their presidents, athletics directors and conference commissioners. The two-day program includes both networking opportunities and interactive discussions with ADR peers and other expert presenters. Event objectives are available here. Each ADR participant will receive a registration fee waiver to the NCAA Convention to continue their professional development. The Institute also provides travel, meals and lodging for all participants. Nominations are accepted via Program Hub from conference commissioners, institutional presidents or chancellors, athletics directors, and athletics direct reports.

SPORT SCIENCE INSTITUTE (SSI) UPDATES

Step UP! Bystander Training
Representatives from more than eighteen Division III schools attended the third biennial NCAA sponsored Step UP! Facilitator Training was held May 16-17 on the University of California, Irvine campus. At the training, 150 participants received instruction on the theory and research behind Step UP!, observed a live training demonstration, and practiced skills to teach peer intervention to students and student-athletes. The participants can apply these newly learned skills to any number of behavioral issues that lend themselves to safe, early and effective peer intervention: alcohol abuse/alcohol poisoning, sexual assault, discriminatory language, eating disorders, etc. A revised and fully scripted facilitator guide, a collection of training scenarios and a wealth of additional training support are available for free at www.stepupprogram.org.

Cardiac Care Checklist
On May 26, the SSI provided a one-page, downloadable Cardiac Care Checklist via electronic memo to member schools to use as a part of their summer health and safety planning process. The checklist is based on the "Interassociation Consensus Statement on Cardiovascular Care of College Student-Athletes" which was developed and endorsed by leading national cardiac and sports medicine organizations earlier this year. Recipients of the memo (including athletics trainers, team physicians, directors of athletics, senior woman administrators, conference commissioners, and faculty athletics representatives) were encouraged to consider how the implementation of these recommendations could be best incorporated into their current programs and practices.

CHOICES Grant Winners
Four Division III schools were selected as winners of the NCAA CHOICES grant program for alcohol education. Colby College, Ramapo College, St. Norbert College, and The College of St. Scholastica will receive funding to develop effective alcohol education projects in partnership with campus wide efforts to reduce alcohol abuse. Click here to see the abstracts of all the NCAA CHOICES winners.

Concussion Guidelines
Revised guidelines will be issued this summer as a result of the second Safety in College Football Summit. The changes will be endorsed by prominent medical and coaching associations and will serve as an update to guidelines developed at the first Safety in College Football Summit in 2014, based on new data related to concussion, exposure to repetitive head impact during football practices and games, independent medical care, and catastrophic injuries.

NCAA-Department of Defense Concussion Study
Nine schools, including Division III Wilmington College and the University of Chicago, will join three Division II schools and four Division I schools in the largest-ever study of concussion in sport. The NCAA-Department of Defense Concussion Assessment, Research and Education (CARE) Consortium study enters its third year this summer and now includes 30 institutions across the country. The nine new schools will begin baseline screening for all their student-athletes this summer.

DIVERSITY SPOTLIGHT INITIATIVE

The Centennial Conference is the recipient of the May Division III Diversity Spotlight Initiative. Bryn Mawr College, a conference member, recently hosted the 2016 Snell-Shillingford Symposium. The weekend allowed 20 female student-athlete representatives and coaches to participate in sessions designed to empower women in the coaching profession and to encourage them to take up the legacy of those who have gone before them. Participants in the
symposium learned about mentoring, team culture and motivation, decision making, and interviewing. Click here to read the full story.

The Diversity Spotlight Initiative recognizes and promotes outstanding diversity related projects, programming and initiatives that are occurring on Division III campuses and in conference offices. Each month, the program recognizes an institution or conference in regard to a diversity related event, program or initiative. All recipients receive $500 towards their next diversity initiative. To submit an initiative for consideration for June, please email Louise McCleary with a brief statement (no more than 500 words) as to why your institution or conference office deserves to be the spotlight recipient. Attach a photo if applicable. The nomination deadline is June 21.

SPECIAL OLYMPICS

Spotlight Poll
The NCAA Division III Special Olympics Spotlight Poll is a story-telling initiative located on ncaa.org/D3SpecialOlympics. It features new stories each month that highlight a Division III and Special Olympics joint activity or event. The story with the highest number of votes on the 25th day of each month is the winner. That institution or conference receives $500 to use for its next Special Olympics event. Both written and digital submissions are accepted. Featured stories are selected based on inclusion of the student-athlete perspective and Division III messaging. To submit a story for consideration, please email d3specialolympics@ncaa.org.

May Winner
After 600 total votes, Wisconsin Lacrosse participates in polar plunge won the May Special Olympics Spotlight poll with 62 percent (371) of the votes! The University of Wisconsin Lacrosse will receive $500 to use for their next Special Olympics event!

June Nominees
• Anna Maria volleyball hosts Special Olympics skills clinic
• Earlham SAAC hosts Unified track meet
• Kalamazoo organizes Special Olympics track meet

Click here to vote starting Wednesday, June 1. The winner will be selected June 25, 2016.

ACADEMIC AND MEMBERSHIP AFFAIRS (AMA) UPDATES

Rule of the Month
Transfer Student Certification
Per NCAA Bylaw 14.5.1, a student-athlete who transfers to a Division III institution from any collegiate institution is required to complete an academic year in residence at the certifying institution before being eligible to compete. However, there are several exceptions that may allow a transfer student-athlete to play immediately at your institution. Those exceptions can be found in Bylaw 14.5.

To determine if the transfer student may meet an exception, it is important to understand the student's full and accurate educational history. To do so, we suggest creating a timeline starting with the student's high school graduation date and continuing through the student's enrollment date at your institution. Make sure to determine:

1. Each institution where the SA was enrolled full-time;
2. Any gaps (i.e., academic terms when the student appears to not have been enrolled in college) in the timeline; and
3. SA's athletics participation history at each institution (Did the student practice? Compete?).

Use all of your resources to ensure the timeline is accurate, including: transfer tracers from previous institutions, your registrar's office, official transcripts and even internet searches. Once you have a full history, you can accurately identify the type of transfer (i.e., 4-4, 2-4 or 4-2-4) and apply the correct NCAA legislation.
Regional Rules Seminars
Registration for the 2016 NCAA Regional Rules Seminars is now open. Seminars will be conducted June 13-15 in Dallas. Go to the Regional Rules Seminar web page to find the registration link, daily schedule, grid and session descriptions. Division III specific sessions will be held Tuesday afternoon and all day on Wednesday.

NCAA Constitution 3.2.4.15 (Convention and Regional Rules Seminar attendance) requires all Division III institutions to attend a Regional Rules Seminar at least once in every three years. Under this requirement, the three-year window for attendance included the 2014, 2015 and 2016 Regional Rules Seminars. Any institution that did not send a representative in 2014 or 2015 should plan to be in attendance at one of the 2016 seminars. Click here to see which institutions are required to attend in 2016. These institutions will also be sent a specific notification via e-mail. Please note: Any institutions that have already registered for June or have already attended the May seminars need not take any action. The full list of required attendees is provided here as a reminder.

Questions about the Regional Rules Seminars should be directed to the academic and membership affairs staff at regionalrules@ncaa.org.

2017 Convention Amendment Procedures
Information related to submitting new legislative amendments for the 2017 NCAA Convention is now available on the NCAA website. All materials can be accessed by clicking on the following link. You must be logged in to the membership side of www.ncaa.org in order to access the page.

LSDBi Updates
On August 1, an old friend will get a new lease on life when Academic and Membership Affairs (AMA) releases the new and improved LSDBi. Based on the membership's feedback, AMA has enhanced the legislative database to combine the research power you know with upgrades you will love. The first thing you will notice is a new look. The new LSDBi has a clean, easy-to-read interface that captures the style of NCAA.org for a seamless user experience.

Here are just a few improvements users can look forward to:

- **Predictive search.** Find what you are looking for even faster with a little help. As you begin to type, keyword suggestions are automatically displayed for the type of information you want. Looking for an interpretation? Type the first few words and watch it pop up as you go.
- **Multi-division search.** Toggle each division on or off to search each division individually, or get the big picture from two or three divisions all at once.
- **Multi-source search.** Say goodbye to running duplicate searches to look through each source. Craft one search to pull the information you want from every corner-legislation, interpretations, proposals, education columns and major infractions-and then view only the results you choose.
- **Custom manuals.** Sometimes you only need information from one or two specific places in the manual. With the custom manual function, LSDBi lets you go “DIY” with the rules. Enjoy the freedom to create a resource with only the legislation you want. Make your own recruiting manual or put together a booklet on camps and clinics, and save it as a favorite for quick access.
- **Painless proposals.** Sponsoring legislation has never been better with a stress-free intuitive process that points the way from A to Z. Review and collaborate on proposals with ease.

For those who want additional information, AMA will be providing sneak peek demonstrations during the Regional Rules Seminars and will be conducting how-to webinars in July. Get ready to refresh your research with the new LSDBi.

Student-Athlete Reinstatement Update
The Division III Committee on Student-Athlete Reinstatement met in Indianapolis May 17-18, 2016. To reflect the evolving landscape of academic enrollment and curriculum patterns, the committee modified the student-athlete reinstatement guideline regarding violations of Bylaw 14.1.8.1 involving competition while a student-athlete is enrolled less than full time. The Division III student-athlete reinstatement guidelines are available online at NCAA.org.

EDUCATIONAL INFORMATION

**Top 10 Awards**
Nominations for the Today's Top 10 Award will be accepted from late May to early August for student-athletes who ended their athletics eligibility during the 2015-16 academic year. Tip: To be eligible for the Silver Anniversary Award, the nominee must have completed his or her athletics eligibility in the 1991-92 academic year. (For example, if your nominee competed in his final season of football in fall 1991, he would be eligible for the award.) For questions about the Honors awards, contact Sharon Tufano, NCAA committee coordinator. Click here to learn about the honorees from the 2016 NCAA Honors Celebration.

**Woman of the Year Award**

The NCAA Woman of the Year award honors graduating female student-athletes who have distinguished themselves throughout their collegiate careers in the areas of academic achievement, athletics excellence, service and leadership. Student-athletes are nominated only once in their career by their school.

Member schools are urged to submit two nominations if at least one of the nominees is a woman of color or international student-athlete. The nomination form is completed by the member school and student-athlete, and submitted by the member school via the NCAA Program Hub. School nominations are due at 5 p.m. Eastern time **Monday, June 13**. All nominations from core member schools (in electronic pdf form) will be sent to their respective conference offices by Friday, June 17. All independent school nominees will be sent directly to the subsequent conference nomination round.

Upon receiving nominations from its core conference member schools, each conference will be responsible for assessing each nominee’s eligibility and will select up to two conference nominees based on their respective achievements in academics, athletics, service and leadership. Conferences may recognize two nominees if at least one of the nominees is a woman of color or international student-athlete. Each conference office must submit its nominee(s) to the national office by 5 p.m. Eastern time **Friday, July 15**, by emailing Richard Zhu or Jessica Duff. Click here for more information on the NCAA Woman of the Year process.

**NCAA Student-Athlete Leadership Forum Recap**

Nearly 300 NCAA student-athletes attended the annual NCAA Student-Athlete Leadership Forum April 7-10 in Phoenix. Athletics administrators at schools and conference offices nominated the young leaders, with representation from all three NCAA divisions and various sports and majors. More than 150 participants representing Division III, Region 4, were in attendance, including student-athletes, coaches, administrators and faculty. The participants heard from keynote speakers LaChina Robinson, TV analyst for women's basketball and former women's basketball player at Wake Forest University, and Justin Patton, a certified body language and communications skills coach. During the forum, the student-athletes learned about the NCAA governance structure, including how committees work and make membership decisions.

**CHAMPIONSHIPS UPDATE**

**Championships Bid Process**

The NCAA championships staff recently announced the commencement of the next Championship Site Selection campaign. The NCAA is looking for hosts and sites for 83 of the 90 championships each year over four academic years, spanning 2018-19 through 2021-22.

The timeline of the bid process is as follows:

Feb. 1 Bid specifications published (NCAA.org/bids).
June 6 Bid portal opens.
June 27 Bid intentions due.
Aug. 12 Bid responses due.
Nov. Committee recommendations.
Dec. Hosts/sites announced.

NCAA championships staff will be supplying updated communications throughout the process. If you have questions, please contact Liz Suscha.

**PROP UPDATES**

**Future Playing Rules Changes - Financial Implications**
To view a list of playing rules with a financial implication that have been approved by the Playing Rules Oversight Panel for implementation starting with the 2015-16 academic year, please click here. Please note that this document will be updated at the end of the summer after the various playing rules committees conduct their summer meetings. Do not hesitate to contact Dan Calandro if you have any questions.

Playing Rules Committees Survey Results
As part of the process of adding, altering and/or deleting playing rules, the NCAA playing rules committees conduct surveys with the membership to determine the interest in/opposition to existing rules and potential new rules. The survey results recently conducted in the sports of men's basketball and women's basketball are available on NCAA.org.

KEY DATES FOR JUNE THROUGH AUGUST

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DIII: Follow your passions and discover your potential

National Collegiate Athletic Association 700 West Washington Street, Indianapolis, IN, 46204 US

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http://pub.s1.exacttarget.com/e1mjnfurxyb 6/21/2016
ACTION REQUEST: Sport Sponsorship and Demographic Form

The Sport Sponsorship and Demographic Form is now available for completion. Completing the form by August 1 is a membership requirement. Access to the site is through the NCAA single source sign-on; the instructions and frequently asked questions documents found within the site guide the user through each area of the form. If you have additional questions, please contact Maria DeJulio.

FUNDING AVAILABLE: DiSC Assessments

Funding is available for DiSC assessments until August 31, 2016. Requests will be allocated on a first-come, first-serve basis. Use of the DiSC is strongly encouraged on member campuses. Participants gain personalized feedback on their specific leadership style, and student-athletes, coaches and administrators gain valuable understanding of each individual’s unique behavioral style. Applying this knowledge can develop effective team dynamics, leadership, and communication among the group as a whole. In 2015-16, each Division III school interested in utilizing the DiSC resource will be provided assessments for up to 50 student-athletes, as well as 30 coaches or administrators, at no cost. To learn more about the DiSC resource, click here.

Assistant Director of Governance Communications

Division III has hired a new assistant director of governance communications. Adam Skaggs, an assistant sports information director and marketing coordinator at Simmons College in Boston, will assume the role August 1. Skaggs will be charged with overseeing and coordinating Division III messaging and strategic communications, including: The Division III Identity Initiative, the division’s website, Division III social media accounts, and the division’s partnership with Special Olympics, among other duties. Skaggs will also work closely with the division’s leadership to develop other communication and educational resources.

Skaggs joined the athletics department staff at Simmons, a Division III school, in 2014. In his role he maintained the athletics department’s website and social media accounts, publicized the accomplishments of the school’s student-athletes, compiled statistics and composed stories. He managed those responsibilities while pursuing a master’s degree in management with a concentration in communications at Simmons, which he earned in May. He was a basketball student-athlete at Trinity College (CT) where he earned his B.A.

2017 Legislative Amendment Submission

Please review the amendment procedures set forth in NCAA Constitution 5.3 of the Division III Manual. In particular, please note the deadline for the submission of amendments sponsored by the membership is not later than 5 p.m. Eastern time July
15. As a reminder, a minimum of 20 active institutions is required to sponsor an amendment not sponsored by the NCAA Division III Management Council or Presidents Council. Alternatively, two conferences, on behalf of their active members, may sponsor an amendment. The effective date of the proposed legislation shall be August 1, 2017, unless an alternative date is given. Any legislation that has an immediate or alternative effective date must include in the statement of rationale why such an effective date is necessary. Please pay special attention to the directions for submitting amendments and the legislative activity calendar. Legislative amendments must be submitted electronically and must include proper chancellor or president approval as noted in the procedures. Questions regarding the amendment procedures should be referred to Jeff Myers or Sarah Otey.

FUNDING AVAILABLE: Purchasing Website

Two months remain to access the $500 credit provided to current active and provisional member schools and conferences for use on the 2015-16 Division III Identity Initiative Purchasing Website. The site offers materials like co-branded banners, backdrops, unique signage, T-shirts and other products to promote your affiliation with Division III, as well as items to help activate our partnership with Special Olympics. Schools and conferences can use the credit by August 31 to buy anything on the purchasing website. The link is ncaadii.sourceoneordering.com (no "www" or "http" needed). Access the site by entering the email address of your institution's director of athletics (or for conferences, the commissioner's email address) as the username, and then enter the password you created the first time you logged into the site (those logging in for the first time can create any password). While approximately two-thirds of Division III schools have not yet used this year's credit, the summer months are a great time to place orders. Assistance with logging in to the site, updating records to reflect a new director of athletics' email address, delegating log-in access to another staff member, resetting passwords and placing orders is available from Nicole Eisenlohr at Source One Digital.

Athletics Recruiting and Communication Quick Reference Guide

The Academic and Membership Affairs staff has created a recruiting resource for athletics staff. The resource provides a quick reference for Division III recruiting legislation and contains information on contacts and evaluations, campus visits, social media and more. As a reminder, the resource is meant to be helpful but should not be used as a substitute for the legislation in the Division III Manual. View the reference guide here.

Conference Grant Policies and Procedures

The Conference Grant Policies and Procedures have been recently reformatted and clarified. Click here for the amended policies. These updated policies and procedures, as well as all pertinent information related to the Division III Conference Grant program, can be found on the Conference Grant webpage.

UPDATE: Eligibility Center’s New Registration Process

Staff anticipates a new NCAA Eligibility Center free registration process will be available by January 1, 2017, for prospective student-athletes (PSAs) who simply create a profile. Currently, primarily Division I and II PSAs pay a $75 registration fee ($125 for international PSAs) with the Eligibility Center. The registration funds both the academic and amateurism certification required by legislation in each of those divisions. Establishing a registration process for prospective Division III student-athletes would allow them to receive education and information on being an NCAA student-athlete during the recruiting process. Registration will also provide an NCAA ID prior to their enrollment at a member institution. NCAA IDs are required to use a number of different free NCAA technologies (e.g. waivers, reinstatement requests and online compliance forms). The Division III Technology Users Group believes this new registration process could save Division III compliance administrators hours of time.

New Division III Governance Intern

In mid-June, the Division III Governance team welcomed Reed Fogle, a 2016-17 NCAA Post-Graduate Intern. Fogle graduated from Ohio Wesleyan University with a Bachelor of Arts in politics and government. He was a four-year member, and two-time captain, of the swimming and diving team. He received a master's degree in public administration from the University of Connecticut before returning to his alma mater as an assistant swimming and diving coach last year.

COMMITTEE VACANCIES

The NCAA is soliciting nominations for the following January 2017 committee vacancies. The submission deadline is August 19, 2016.

Division III Financial Aid Committee -- staff contact Eric Hartung.

One vacancy. The appointee must be male. The following conferences are currently represented and are therefore not eligible for consideration: American Southwest Conference, Commonwealth Coast Conference, Heartland Collegiate Athletic

**Division III Committee on Infractions -- staff contact Jim Elworth.**

One vacancy. No restrictions. The following conferences are currently represented and are therefore not eligible for consideration: American Southwest Conference, Little East Conference, Northwest Conference.

**Division III Interpretations and Legislation Committee -- staff contact Jeff Myers.**

One vacancy. No restrictions. The following conferences are currently represented and are therefore not eligible for consideration: Allegheny Mountain Collegiate Conference, Capital Athletic Conference, Commonwealth Coast Conference, Midwest Conference, Northwest Conference, University Athletic Association.

**Division III Management Council -- staff contacts Dan Dutcher and Louise McCleary.**

Six vacancies. Three must be directors of athletics or senior woman administrators. Two must be females. Per NCAA Bylaw 4.8.1, in addition to the Student-Athlete Advisory Committee representatives, only presidents or chancellors, athletics direct reports (non-presidents or chancellors with oversight of intercollegiate athletics), faculty athletics representatives, directors of athletics, senior woman administrators and conference representatives may serve on the on the Management Council. The following conferences are currently represented on the Council and are therefore not eligible for consideration: Centennial Conference, City University of New York Athletic Conference, Commonwealth Coast Conference, Heartland Collegiate Athletic Conference, Landmark Conference, Liberty League, Minnesota Intercollegiate Athletic Conference, New England Collegiate Conference, New England Women's and Men's Athletic Conference, New Jersey Athletic Conference, North Atlantic Conference, North Coast Athletic Conference, Old Dominion Athletic Conference, Presidents' Athletics Conference, Skyline Conference, Southern Athletic Association, Southern California Intercollegiate Athletic Conference, State University of New York Athletic Conference, St. Louis Intercollegiate Athletic Conference.

**Division III Membership Committee -- staff contact Jay Jones.**

Two vacancies. Both must be females. One must be a conference representative. The following conferences are currently represented and are therefore not eligible for consideration: American Southwest Conference, Empire 8, Heartland Collegiate Athletic Conference, Iowa Intercollegiate Athletic Conference, Landmark Conference, Massachusetts State Collegiate Athletic Conference, Midwest Conference, Southern California Intercollegiate Athletic Conference, USA South Athletic Conference.

**Division III Student-Athlete Reinstatement Committee -- staff contact Stephanie Grace.**

One vacancy. The appointee must be an ethnic minority female. The following conferences are currently represented and are therefore not eligible for consideration: Great Northeast Athletic Conference, Massachusetts State Collegiate Conference, New England Collegiate Athletic Conference, Northwestern Conference, State University of New York Athletic Conference.

**Division III Student-Athlete Advisory Committee -- staff contact Jay Jones.**

Seven student-athlete representatives. One appointee from each of the following conferences: College Conference of Illinois and Wisconsin, Great Northeast Athletic Conference, independent institutions, Iowa Intercollegiate Athletic Conference, Midwest Conference, Old Dominion Athletic Conference, St. Louis Intercollegiate Athletic Conference. In order for selections to be made, conferences should have at least three nominees -- one male, one female and one ethnic minority representing different sports. In order to maintain a balanced representation on the committee, it is recommended that you nominate sophomores or juniors. A list of conference nominees will be forwarded to the conference commissioner for review prior to selection by the Division III Nominating Committee. Student-Athlete Advisory Committee members serve one three-year term and may serve one year beyond the end of his/her eligibility.

**ATHLETICS COMMUNICATION**

The 3rd Annual Division III Day at the annual CoSIDA Convention in mid-June was a tremendous success. Over 185 sports information directors from across the country came to Dallas to receive a full-day of professional development programming. Session topics included social media, compliance rules education, hiring and managing student workers, and Photoshop. For the session’s PowerPoint slides, click here. Overwhelmingly, the participants noted the programming and networking were beneficial. The 2017 Division III Day is slated for June in Orlando – in conjunction with the annual CoSIDA Convention.

**ATHLETICS DIRECT REPORTS (ADR) UPDATES**

ADR Institute Application Now Open
The second annual Athletics Directive Report (ADR) Institute will take place January 18-19, 2017, at the NCAA Convention in Nashville, Tennessee. The purpose of the program is to engage Division III ADRs in best practices to oversee and manage athletics departments and to improve the relationships between ADRs and their presidents, athletics directors and conference commissioners. The two-day program includes both networking opportunities and interactive discussions with ADR peers and other expert presenters. Event objectives are available here. Each ADR participant will receive a registration fee waiver to the NCAA Convention to continue their professional development. The Institute also provides travel, meals and lodging for all participants. Nominations are accepted until August 31 via Program Hub from conference commissioners, institutional presidents or chancellors, athletics directors, and athletics direct reports.

**SPORT SCIENCE INSTITUTE (SSI) UPDATE**

**Sexual Assault Prevention Toolkit**

As a result of the NCAA Higher Education Summit on Sexual Assault Prevention, the NCAA Sport Science Institute and the Office of Inclusion will be publishing an inter-association sexual assault toolkit this fall. This resource will operationalize the NCAA guide "Addressing Sexual Assault and Interpersonal Violence: Athletics' Role in Support of Healthy and Safe Campuses," and provide checklists and practical tools to support campuses on sexual assault and interpersonal violence prevention.

**Concussion Guidelines**

Revised guidelines will be issued later this summer as a result of the second Safety in College Football Summit. The changes will be endorsed by prominent medical and coaching associations and will serve as an update to guidelines developed at the first Safety in College Football Summit in 2014, based on new data related to concussion, exposure to repetitive head impact during football practices and games, independent medical care, and catastrophic injuries.

**CSMAS Update**

During its meeting June 15-17 in Dallas, the Committee on Competitive Safeguards and Medical Aspects of Sports (CSMAS) approved a series of legislative recommendations that build on legislation passed by the NCAA’s five autonomy conferences earlier this year and that would establish athletic trainers and team physicians as unchallengeable, autonomous decision makers for student-athlete medical care and return-to-play decisions. A summary of the full CSMAS meeting will be available via the NCAA Media Center.

**360 PROOF UPDATES**

**360 Proof Phone Consultations Available**

The 360 Proof team invites you to book a phone consultation with a 360 Proof implementation expert. Wondering how to get started or overcome a road block? Want an in depth portal tour? Help is available! The 360 Proof team is accepting 30 and 60 minute appointments to provide your institution with the opportunity to discuss 360 Proof implementation or other high-risk alcohol use prevention questions with a national expert. These appointments are provided as a component of the 360 Proof program, thus there are no fees to participate. Please contact ExpertHelp@360Proof.org if you would like to book an appointment or have questions about this opportunity. Requests should include:

1. Your name and institution;
2. Your phone number; and
3. The topic(s) you would like to discuss.

**DIVERSITY SPOTLIGHT INITIATIVE**

The Middle Atlantic Conference (MAC) is the recipient of the June Division III Diversity Spotlight Initiative. As a result of the 2015-2020 MAC Strategic Plan, the conference held an Inclusion Forum on the Delaware Valley University campus in May. The forum highlighted the conference’s core value of diversity and inclusion and was successful in sparking greater dialogue on issues of diversity. Discussion topics included Title IX and gender equity as well as creating inclusive environments for international, transgender, and disabled student-athletes. Click here to read the full story.

The Diversity Spotlight Initiative recognizes and promotes outstanding diversity related projects, programming and initiatives that are occurring on Division III campuses and in conference offices. Each month, the program recognizes an institution or conference in regard to a diversity related event, program or initiative. All recipients receive $500 towards their next diversity initiative. To submit an initiative for consideration for July, please email Reed Fogle or Julian Jones, with a brief statement (no more than 500 words) as to why your institution or conference office deserves to be the spotlight recipient. Attach a photo if applicable. The nomination deadline is July 21.
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Spotlight Poll
The NCAA Division III Special Olympics Spotlight Poll is a story-telling initiative located on ncaa.org/D3SpecialOlympics. It features new stories each month that highlight a Division III and Special Olympics joint activity or event. The story with the highest number of votes on the 25th day of each month is the winner. That institution or conference receives $500 to use for its next Special Olympics event. Both written and digital submissions are accepted. Featured stories are selected based on inclusion of the student-athlete perspective and Division III messaging. To submit a story for consideration, please email d3specialolympics@ncaa.org.

June Winner
After 2,901 total votes, Kalamazoo organizes Special Olympics track meet won the June Special Olympics Spotlight poll with 50 percent (1,448) of the votes! Kalamazoo College will receive $500 to use for its next Special Olympics event!

July Nominees:
Here are the stories for the July Special Olympics poll:

- Ramapo College hosts Special Olympics event
- Connectcut College raises money with penguin plunge
- Minnesota Intercollegiate Athletic Conference (MIAC) hosts Special Olympics Unified tournament

Click here to vote starting Friday, July 1. The winner will be selected July 25, 2016.

ACADEMIC AND MEMBERSHIP AFFAIRS (AMA)

Rule of the Month
Promotion of a Fundraising Event at a Commercial Establishment. One of our teams would like to host a "dine and donate" event at a local restaurant. The student-athletes will promote the event via fliers, emails and social media. Additionally, the student-athletes will be present at the restaurant that evening. A portion of the sales at the restaurant that evening will be donated to the team.

Q: Is this event permissible?
A: The event is permissible, but the promotion of the event by the student-athletes (e.g. passing out fliers, posting on social media, appearing at the event) is impermissible. By promoting the event the student-athletes are promoting a commercial entity, which is not permitted. (See Bylaws 12.5.1.1.1 and 12.5.1.1 (e)).

Q: This is a great opportunity for our team to raise funds. How can this event be permissible?
A: The athletics department may promote the event provided no student-athletes were mentioned or appeared in the event promotion. Additionally, the restaurant may advertise that it will donate a portion of the funds to the team. Coaches and/or other institutional personnel could be present and may promote the event.

Q: May student-athletes eat at the restaurant and have a portion of the bill donated back to the program?
A: Yes. The student-athletes may participate in the fundraising activity in this manner, but the appearance of any student-athlete may not be used to promote the activity.

Division III Compliance Forms
The 2016-17 Division III compliance forms can be found here. The forms are in a fillable PDF format that enables users to type into the form. With the fillable forms, institutions should make sure to save the document to a folder or desktop before completing the form, or work will not be saved.

Division III Rules Test
The 2016-17 NCAA Division III Rules Test will be available to the membership August 1, 2016. Simultaneously, the 2015-16 test will no longer available. The 2016-17 test is administered online and may be accessed through the My Apps page on NCAA.org. Administering the test online allows users and the NCAA national office the ability to view incorrect answers and use these results to gauge educational needs. The 2016-17 NCAA Division III Rules Test PowerPoint is also now available and may be accessed using the same link as the rules test. The PowerPoint may be used as a resource to supplement educational needs. As a reminder, all head coaches and any athletics administrators with compliance responsibilities must take the rules
test annually. Institutions must file a secondary violation for failure to adhere to this annual requirement. Questions relating to the rules test should be directed to Susan Roseman.

**Getting in the Game**

It's time to get back in the game. The 2016-17 Division III: Getting in the Game educational tool will be available **August 1**. **Getting in the Game** is a video experience designed to provide institutions with general compliance education for athletics administrators. Many Division III administrators have found the site helpful as they guide student-athletes through the process of completing annual NCAA compliance forms. The site also provides links to the Division III homepage and other helpful resources.

**New LSDBi**

A new version of LSDBi will be released to the membership **August 1**. The technology and the look and feel of the system have been completely updated. In addition to the standard features that have always been found in LSDBi, the following significant enhancements have been added:

- **Presentation of Legislation.** Current legislation is presented in a format similar to printed and electronic publications, in which the user may simply scroll and see the complete context.
- **Enhanced Reporting Options.** Export data directly to PDF, Excel, Word and more with the custom reporting feature.
- **Custom Manuals.** Create custom abridged Manuals that include only the legislation you want to include (e.g., sport-specific Manual). Use the electronic version (PDF) or print it yourself.
- **Save Common Searches and Reports.** Save searches related to particular issues and save commonly used reports for quick access whenever you need them.

Webinars will be conducted in July to demonstrate some of the features of the new system and more information will be provided as August 1 approaches. For more information, contact Leeland Zeller.

**Regulatory Affairs Staff Update**

The national office regulatory affairs group (academic and membership affairs, NCAA Eligibility Center and enforcement) has updated its [staff directory](http://pub.s1.exacttarget.com/zrbcjqsev1i). The directory provides the name, email and telephone number of the staff members for key regulatory functions. The directory is available through Requests/Self-Reports Online under the resources tab.

**Student-Athlete Reinstatement Update**

The student-athlete reinstatement staff and committee has the authority to process four types of waivers: Bylaw 14.2.2 (10-semester/15-quarter waiver); Bylaw 14.2.5 (hardship waiver - independent institutions or appeal of conference office decisions); Bylaw 14.2.6 (season-of-participation waiver - participation while ineligible); and Bylaw 14.2.7 (season-of-participation waiver - participation while eligible). Institutions must submit waiver requests through the Requests and Self-Reports Online (RSRO) system. All student-athletes or prospective student-athletes are required to complete a signed, case-specific Buckley statement when an institution requests a waiver from the reinstatement staff. This signed Buckley statement is in addition to the standard Buckley statement all student-athletes sign during campus athletics orientation meetings and is located within each case in RSRO. Only written materials submitted with a waiver request will be reviewed by the reinstatement staff and committee. Photographs, X-rays, etc., will not be considered.

**Academic Portal Update**

Work continues on the development of the Academic Portal, which is intended to serve as a single point of entry and process for all NCAA institutions on academic related requirements. The development of this portal will not impact the way that institutions develop and submit voluntary academic information, such as NCAA Division III Academic Success Rate data until Spring 2017. A communication plan has been developed that will ensure the membership, committees and other constituent groups are informed of and provided regular updates on this initiative. Please email [academicportal@ncaa.org](mailto:academicportal@ncaa.org) with any questions, comments or concerns.

**Regional Rules Seminar Perfect Attendance**

The Division III Membership Committee wants to recognize the following institutions that have attended a Regional Rules Seminar or Division III Conference Rules Seminar every year since the beginning of seminar attendance reporting in 2007:

- College of Wooster;
- Colorado College;
- Morrisville State College;
The committee applauds your efforts.

EDUCATIONAL INFORMATION

Top 10 Awards
Nominations for the Today's Top 10 Award will be accepted from late May to early August for student-athletes who ended their athletics eligibility during the 2015-16 academic year. Tip: To be eligible for the Silver Anniversary Award, the nominee must have completed his or her athletics eligibility in the 1991-92 academic year. (For example, if your nominee competed in his final season of football in fall 1991, he would be eligible for the award.) For questions about the Honors awards, contact Sharon Tufano, NCAA committee coordinator. Click here to learn about the honorees from the 2016 NCAA Honors Celebration.

Woman of the Year -- 2016 Honorees
The NCAA Woman of the Year award honors graduating female college athletes who have exhausted their eligibility and distinguished themselves throughout their collegiate careers in academics, athletics, service and leadership. The 2016 pool of institutional honorees marks the largest in the 26-year history of the award. Of the nominees for the national award, 231 competed in Division I, 117 competed in Division II and 169 competed in Division III athletics. The nominees also represent 21 different women's sports, and 127 of the nominees competed in more than one sport in college.

NCAA Career in Sports Forum
For the seventh year, the NCAA invited more than 200 current student-athletes and NCAA postgraduate scholarship recipients, with an interest in working within the sports industry, to the Career in Sports Forum in Indianapolis. Forum attendees heard from keynote speakers and panelists and networked at lunch with NCAA staff. During the day participants learn best practices for gaining employment and the difference between working with and on campuses, conferences offices and the national office. Additionally, the attendees had the opportunity to gain a better understanding of what future expectations will be once they get a job in sports at the Next Steps Fair, hosted in the NCAA Hall of Champions with more than 40 current professionals in the sports industry present. Click here to read a first-hand experience at the forum, written by Smith College women's basketball player Tia Karapoulios.

2016 NCAA Leadership Institute
Twenty-two minority administrators committed to advancing their careers in college sports have been selected to participate in the 2016 NCAA Leadership Institute July 9-15 in Phoenix. A committee that includes current administrators and past seminar participants across all NCAA divisions selected the participants. Angela Marin from the University of Texas at Dallas, Danielle Rosario-Mullen at Upper Iowa University and Justin Thaxton from Illinois College will represent Division III. Click here for a complete list of who was chosen for this year's class. The Institute provides professional development programming over the course of a calendar year from NCAA leadership development, including one-week programs at the beginning and conclusion of that year. For the administrators who participate, the program provides insight into every facet of an athletics department, preparing them to become well-rounded leaders who are equipped with the tools an effective athletics administrator needs.

PROP UPDATES

Playing Rules Committees Survey Results
As part of the process of adding, altering and/or deleting playing rules, the NCAA playing rules committees conduct surveys with the membership to determine the interest in/opposition to existing rules and potential new rules. The survey results recently conducted in the sports of bowling, men's and women's ice hockey, women's lacrosse and wrestling are available on NCAA.org.

Men's Basketball
As noted on a page 20 of the 2016-17 men's basketball rules book (Rule 1-8), the 4' restricted area arc is effective for Divisions II and III men's basketball starting with the 2016-17 season. Page 17 of the rules book includes a detailed diagram of the arc including instructions regarding the placement and color of the arc. Please direct any questions to Dan Calandro. [Note: The restricted area arc for women's basketball remains unchanged at 3']

Ice Hockey
Effective with the 2016-17 season, institutions must have a goal anchoring system with a minimum of 8" pegs in place. Institutions that have unique circumstances (e.g., do not own their facility, building a new facility, etc.) may apply to the rules committee for a waiver of this policy. Please contact Ty Halpin for consideration.

**Men's Lacrosse**
By the 2017 competition season, a visible shot clock shall be utilized for Division II and III institutions. It is recommended that two clocks be utilized at either end of the field; however, one clock may be used at midfield to satisfy the rule. Contact Ty Halpin with questions.

**Men's and Women's Soccer**
As a reminder, by 2016, any new field construction or renovation must have a halfway line and center circle that are visibly marked. Contact Ashlee Ferguson with questions.

**Softball**
By 2017, an institutional field with a 4-foot fence must be a minimum of 210 feet in left and right fields and 230 in center field, and it is highly recommended that a municipal field with a 4-foot fence used by the institution be at those same distances. By 2018, foul poles on institutional fields shall be a minimum of 10 feet high and either white or optic orange. Also, dugouts shall be protected by netting or fencing not less than 6 feet from the floor of the dugout. Contact Ashlee Ferguson with questions.

**Men's Volleyball**
Effective with the 2017 season, a 3-meter visible restraining line extending from the end of the bench and placed five feet from the sideline on the bench side of the court is required. The line may be permanent or taped. Contact Ben Brownlee with questions.

**Women's Volleyball**
For facilities constructed after 2016, a free zone of 3 meters (9 feet, 9 inches) is required.

**CHAMPIONSHIPS UPDATES**

**Championships Bid Process**
The NCAA championship sit selection championship is underway. The NCAA is looking for hosts and sites for 83 of the 90 championships each year over four academic years, spanning 2018-19 through 2021-22. The timeline of the bid process is as follows:

- Feb. 1 Bid specifications published (NCAA.org/bids).
- June 6 Bid portal opens.
- June 27 Bid intentions due.
- Aug. 12 Bid responses due.
- Nov. Committee recommendations.
- Dec. Hosts/sites announced.

NCAA championships staff will be supplying updated communications throughout the process. If you have questions, please contact Liz Suscha.

**COMMITTEE UPDATES**
Several committees conducted in-person meetings in December and January.

- **Championships Committee**, June 1-2
- **Committee on Competitive Safeguards & Medical Aspects of Sport**, June 15-17
- **Membership Committee**, June 23-24

**KEY DATES FOR JULY THROUGH SEPTEMBER**

<table>
<thead>
<tr>
<th>2016</th>
<th>Meeting/Championships</th>
<th>Location</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dates</td>
<td></td>
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http://pub.s1.exacttarget.com/zrbcjqsev1i

7/7/2016
<table>
<thead>
<tr>
<th>Date</th>
<th>Event Description</th>
<th>Location</th>
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<tbody>
<tr>
<td>July 12-14</td>
<td>Men's and Women's Tennis Meeting</td>
<td>Indianapolis, Indiana</td>
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<tr>
<td>July 16-17</td>
<td>Student-Athlete Advisory Committee Meeting</td>
<td>Indianapolis, Indiana</td>
</tr>
<tr>
<td>July 18-19</td>
<td>Management Council Meeting</td>
<td>Indianapolis, Indiana</td>
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<tr>
<td>August 3</td>
<td>Presidents Advisory Group Meeting</td>
<td>Indianapolis, Indiana</td>
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<tr>
<td>August 4</td>
<td>Presidents Council Meeting</td>
<td>Indianapolis, Indiana</td>
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<tr>
<td>September 6-7</td>
<td>NADIII/A and D3CA Leadership Meeting</td>
<td>Indianapolis, Indiana</td>
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<tr>
<td>September 14</td>
<td>Exploratory Member Orientation</td>
<td>Indianapolis, Indiana</td>
</tr>
<tr>
<td>September 15-16</td>
<td>Interpretations and Legislation Committee Meeting</td>
<td>Indianapolis, Indiana</td>
</tr>
</tbody>
</table>
NCAA DIVISION III
PRESIDENTS AND MANAGEMENT COUNCILS
SUMMARY OF SPRING 2016
QUARTERLY MEETINGS

KEY ACTION/DISCUSSION ITEMS:

Championship Bracket Size Increases and Administrative Enhancements........... Page No. 5
Division I Sport Oversight Committees................................................................. Page No. 17
FAR Engagement.................................................................................................... Page No. 20
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<th>Division III Presidents Council</th>
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<td><strong>April 18-19, 2016</strong></td>
<td><strong>April 27-28, 2016</strong></td>
</tr>
<tr>
<td><strong>Indianapolis, IN</strong></td>
<td><strong>Indianapolis, IN</strong></td>
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<tr>
<td><strong>ATTENDEES</strong></td>
<td><strong>ATTENDEES</strong></td>
</tr>
<tr>
<td>Nnenna Akotaobi, Swarthmore College</td>
<td>Teresa Amott, Knox College</td>
</tr>
<tr>
<td>Stevie Baker-Watson, DePauw University</td>
<td>Alan Cureton, University of Northwestern, chair</td>
</tr>
<tr>
<td>Brad Bankston, Old Dominion Athletic Conference</td>
<td>Jeff Docking, Adrian College</td>
</tr>
<tr>
<td>Gail Cummings-Danson, Skidmore College</td>
<td>Margaret Drugovich, Hartwick College</td>
</tr>
<tr>
<td>Robert Davis, Jr., University of Scranton</td>
<td>William Fritz, College of Staten Island [Thursday only]</td>
</tr>
<tr>
<td>Shantey Hill, St. Joseph’s College</td>
<td>Tori Haring-Smith, Washington and Jefferson College</td>
</tr>
<tr>
<td>Brit Katz, Millsaps College</td>
<td>Sue Henderson, New Jersey City University [Thursday only]</td>
</tr>
<tr>
<td>Chris Kimball, California Lutheran University</td>
<td>Sharon Hirsh, Rosemont College</td>
</tr>
<tr>
<td>Dennis Leighton, University of New England, vice chair</td>
<td>Rob Huntington, Heidelberg University</td>
</tr>
<tr>
<td>Frank Millerick, Becker College</td>
<td>Dennis Leighton, University of New England, MC vice chair</td>
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<tr>
<td>Chris Ragsdale, Heartland Collegiate Athletic Conference</td>
<td>L. Jay Lemons, Susquehanna University, vice chair</td>
</tr>
<tr>
<td>Tracey Ranieri, State University College at Oneonta, chair</td>
<td>Lex McMillan, Albright College</td>
</tr>
<tr>
<td>Kate Roy, Lyndon State College</td>
<td>Tori Murden McClure, Spalding University</td>
</tr>
<tr>
<td>Terry Small, New Jersey Athletic Conference</td>
<td>Elsa Nunez, Eastern Connecticut State University</td>
</tr>
<tr>
<td>Julie Soriero, Massachusetts Institute of Technology</td>
<td>Zorica Pantic, Wentworth Institute of Technology</td>
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<tr>
<td>Taryn Stromback, Ohio Northern University</td>
<td>Tracey Ranieri, State University of New York at Oneonta, MC chair</td>
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<tr>
<td>Karen Tompson-Wolfe, Westminster College (Missouri)</td>
<td>Matthew Shank, Marymount University</td>
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<tr>
<td>Troy Van Aken, Thiel College</td>
<td>Dennis Shields, University of Wisconsin, Platteville</td>
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<tr>
<td>Gerald Young, Carleton College</td>
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<tr>
<td><strong>ABSENTEES</strong></td>
<td><strong>ABSENTEES</strong></td>
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<tr>
<td>Terry Wansart, Hunter College</td>
<td>Thomas Foley, Mount Aloysius College</td>
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<tr>
<td>Greg Woods, Springfield College</td>
<td>Dave Wolk, Castleton University</td>
</tr>
<tr>
<td><strong>OTHER PARTICIPANTS</strong></td>
<td><strong>OTHER PARTICIPANTS</strong></td>
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<tr>
<td>Scott Bearby, NCAA</td>
<td>Lydia Bell, NCAA</td>
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<td>Lydia Bell, NCAA</td>
<td>Brian Burnsed, NCAA</td>
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<tr>
<td>Brian Burnsed, NCAA</td>
<td>Jon Duncan, NCAA</td>
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<tr>
<td>Dan Calandro, NCAA</td>
<td>Amy Dunham, NCAA</td>
</tr>
<tr>
<td>Kate Corcoran, Rosemont College</td>
<td>Dan Dutcher, NCAA</td>
</tr>
<tr>
<td>Amy Dunham, NCAA</td>
<td>Mark Emmert, NCAA</td>
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<tr>
<td>Dan Dutcher, NCAA</td>
<td>Bernard Franklin, NCAA</td>
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<tr>
<td>Eric Hartung, NCAA</td>
<td>Brian Hainline, NCAA</td>
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<tr>
<td>Nicole Holloman, NCAA</td>
<td>Eric Hartung, NCAA</td>
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<tr>
<td>Terri Carmichael Jackson, NCAA</td>
<td>Nicole Holloman, NCAA</td>
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<tr>
<td>Jay Jones, NCAA</td>
<td>Jay Jones, NCAA</td>
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<tr>
<td>Debbie Kresge, NCAA, recording secretary</td>
<td>Debbie Kresge, NCAA, recording secretary</td>
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<tr>
<td>Kevin Lennon, NCAA</td>
<td>Louise McCleary, NCAA</td>
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<tr>
<td>Michelle Manning, Ithaca College</td>
<td>Joel McCormley, NCAA</td>
</tr>
<tr>
<td>Louise McCleary, NCAA</td>
<td>Jeff Myers, NCAA</td>
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<tr>
<td>Jeff Myers, NCAA</td>
<td>Jeff O’Barr, NCAA</td>
</tr>
<tr>
<td>Jeff O’Barr, NCAA</td>
<td>Sarah Otey, NCAA</td>
</tr>
</tbody>
</table>
OTHER PARTICIPANTS, continued…
Sarah Otey, NCAA
John Parsons, NCAA
Tom Paskus, NCAA
Donald Remy, NCAA
Naima Stevenson, NCAA
Liz Suscha, NCAA
Beth Tiffany, Union College
Megan Valentine, SUNY-Fredonia
Bob Williams, NCAA

OTHER PARTICIPANTS, continued…
John Parsons, NCAA
Tom Paskus, NCAA
Donald Remy, NCAA
Liz Suscha, NCAA
Bob Williams, NCAA

[Note: This summary reflects only actions (formal votes or “sense of meeting”) in accordance with the established policy governing minutes of all NCAA entities. The only discussion included is that ordered by the chair or a member of the group.]

1. **WELCOME AND ANNOUNCEMENTS.**

   April 18-19 Management Council. The meeting was called to order at 8:02 a.m. April 18 and 8:05 a.m. April 19, by the chair, Tracey Ranieri. The chair welcomed the Council and the Pathway Program participants.

   April 27-28 Presidents Council. The meeting was called to order at 6:21 p.m. April 27 and 9:16 a.m. April 28 by the chair, President Alan Cureton.

2. **REVIEW OF RECORDS OF PREVIOUS MEETINGS.**

   a. **Management Council Meetings – January 13 and January 16, 2016.**


      Presidents Council. No action necessary.

   b. **Presidents Council Meeting – January 14, 2016.**

      Management Council. No action necessary.

      Presidents Council. The Presidents Council approved the summary of its January 14, 2016, meeting.

   c. **Administrative Committee Actions.**

Presidents Council. The Presidents Council ratified the February 22 and March 28, 2016, Administrative Committee reports.

3. COMMITTEE RECOMMENDATIONS AFFECTING DIVISION III.

a. Division III Joint Presidents Council/Management Council Committees or Subcommittees.

(1) Convention-Planning Subcommittee.

(a) Review of 2016 Convention Feedback.


Presidents Council. No action was necessary.

(b) 2017 NCAA Convention – Educational Session Topics.

Management Council. The Council reviewed the subcommittee’s report regarding potential educational session topics. It noted the following recommendations from the 2016 Convention survey, as well as from last year’s suggestions:

- Diversity and Inclusion.
  - The role of the SWA.
  - Title IX – gender identity and gender expression.
  - Disability.
  - LGBTQ.
- Budgeting and fundraising.
- Human resource issues.
  - Conducting a successful search.
- Student-athlete programming.
  - Leadership.
  - Communication.
  - Other.
- Facility and event management.
- Athletics department policies, best practices and handbooks.
- Strength & conditioning coach best practices.

The subcommittee’s NADIII AA representative will share these potential educational session topics with the NADIII AA leadership to see if there is interest in presenting any of them. Staff will survey the membership.
via the May monthly update and the NADIIIAA listserv. On its next teleconference, the subcommittee will narrow the topics to three.

Presidents Council. No action was necessary.

(c) **Issues Forum Format and Proposed Discussion Topics.**

Management Council. The Council reviewed the subcommittee’s recommendation, noting the current forum works well. It noted that the roundtable discussions inspire additional questions for future consideration.

Suggested topics for the 2017 Issues Forum and Town Hall session:
- Presidents Council chair provides updates on the Council’s latest discussions and hot topics.
- Convention proposal discussion. An open question and answer period on the proposed legislation to assist delegates with business session voting.

Presidents Council. No action was necessary.

(2) **Strategic-Planning and Finance Committee.**

(a) **Championship Bracket Size Increases and Administrative Enhancements.**

Management Council. The Council approved the following changes to championships bracket sizes and administration.

<table>
<thead>
<tr>
<th>Sport</th>
<th>Change</th>
<th>Year</th>
<th>Budget Impact</th>
</tr>
</thead>
<tbody>
<tr>
<td>Men’s Basketball</td>
<td>Increase bracket – 62 to 64 teams</td>
<td>2016-17</td>
<td>$23,000</td>
</tr>
<tr>
<td>Men’s Golf</td>
<td>Increase bracket – 41 to 42 teams</td>
<td>2016-17</td>
<td>$8,000</td>
</tr>
<tr>
<td>Women’s Golf</td>
<td>Increase bracket – 21 to 22 teams</td>
<td>2016-17</td>
<td>$8,000</td>
</tr>
<tr>
<td>Men’s Ice Hockey</td>
<td>Increase bracket – 11 to 12 teams</td>
<td>2016-17</td>
<td>$12,000</td>
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<tr>
<td>Men’s Lacrosse</td>
<td>Increase bracket – 32 to 34 teams</td>
<td>2016-17</td>
<td>$17,000</td>
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<tr>
<td>Men’s Soccer</td>
<td>Increase bracket – 61 to 62 teams</td>
<td>2016-17</td>
<td>$36,750</td>
</tr>
<tr>
<td>Men’s Volleyball</td>
<td>Increase bracket – 10 to 12 teams</td>
<td>2016-17</td>
<td>$37,000</td>
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<tr>
<td>Baseball</td>
<td>Add super regional round</td>
<td>2017-18</td>
<td>$69,000</td>
</tr>
<tr>
<td>Field Hockey</td>
<td>Provide per diem for additional day at joint championship</td>
<td>2017-18</td>
<td>$11,160 (one-time only)</td>
</tr>
<tr>
<td>Wrestling</td>
<td>Increase host stipend for two-day meet in large regions</td>
<td>2017-18</td>
<td>$18,000</td>
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<tr>
<td>Sport</td>
<td>Change</td>
<td>Year</td>
<td>Budget Impact</td>
</tr>
<tr>
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</tr>
<tr>
<td>Rowing</td>
<td>Select full teams for at-large positions (vs. single boats)</td>
<td>2017-18</td>
<td>$28,000</td>
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<tr>
<td>Wrestling</td>
<td>Add two committee members to match number of regions (six)</td>
<td>2017-18</td>
<td>$6,320</td>
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<tr>
<td>Football</td>
<td>Provide stipend to championship officials coordinator</td>
<td>2017-18</td>
<td>$5,000</td>
</tr>
</tbody>
</table>

The requests for bracket increases are a result of increased sport sponsorship and a commitment to maintaining the 1:6.5 target access ratio for team sports. The committee also supported a change to the baseball championship format to add a super-regional competition format. With 16 nonpredetermined, on-campus regional sites compared to eight predetermined sites in the current model, the format will create shorter regional tournaments, allow teams to travel shorter distances to a competition site, and help alleviate missed class time or conflicts with final exams. The championship selection date will remain the same and the championship will conclude one week later (eight days after Memorial Day).

Finally, the committee reaffirmed its support for championship administrative enhancements that it had presented in concept last fall for wrestling, rowing and football.

**Presidents Council.** The Presidents Council approved the Management Council’s recommendation.

### (b) Committee Meeting.

**Management Council.** The Council approved the committee’s request to conduct a fourth in-person meeting in November/December 2016 to accommodate work to review and approve championships host site recommendations for 2018-2022.

After sport committees provide recommendations in the fall, the Championships Committee will review and approve host site selections for the four-year period, which will include as many as 88 finals sites. The request is a one-time exception to the standard schedule of three in-person meetings in a given year.

**Presidents Council.** The Presidents Council approved the Management Council’s recommendation.
Division III Budget.

Management Council. The Council reviewed the 2015-16 budget-to-actual report as of the end of March 2016. To date, the division is on target with its budget projections. Staff anticipates a surplus of approximately $1.5M due to last year’s implemented championships budget reductions. Any year-end surplus will move into the reserve.

The Council also endorsed, in concept, the committee’s recommendation to intentionally spend down the existing budget surplus above and beyond the mandated reserve, if needed, over the course of the current broadcast agreement. Currently the mandated reserve is 50% of the annual Division III revenue allocation, including a $5M insurance policy.

Lastly, the Council received a preliminary update on the NCAA’s new broadcast agreement extension.

Presidents Council. No action was necessary.

Joint Legislative Steering Subcommittee.

Management Council. The subcommittee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Management Council Subcommittees.

Subcommittee for Legislative Relief.

Noncontroversial Legislation – Pending Subcommittee for Legislative Relief Waiver – NCAA Bylaw 14.2.4.1.2.

Management Council. The Council approved in concept noncontroversial legislation to clarify that the existing provision that allows a student to practice while a Division III Subcommittee for Legislative Relief (SLR) waiver is pending only suspends the season of participation legislation and does not permit the student to practice if the student is not otherwise eligible for practice.

Prior to combining the Academic Issues Subcommittee (AIS) and Subcommittee for Legislative Relief (SLR), legislation did not permit a student-athlete to practice while an AIS waiver was pending. Currently, if an institution submits a SLR waiver, a student-athlete may practice but not compete while a waiver is pending without using a season of participation. The subcommittee agreed that this provision only provides relief from the season of participation legislation while the waiver is pending and would not allow students to practice if they are not otherwise eligible to practice.

Presidents Council. No action was necessary.
(b) **Approval of NCAA Division III Management Council Subcommittee for Legislative Relief Policies and Procedures.**

Management Council. Approved the Subcommittee for Legislative Relief policies and procedures. Specifically, the subcommittee added a provision allowing an institution to participate on a legislative relief waiver appeal call at the institution’s request.

Presidents Council. No action was necessary.

(2) **Playing and Practice Seasons Subcommittee.**

(a) **Next Steps Regarding Comprehensive Review of Playing and Practice Seasons.**

Management Council. The Council noted the subcommittee reviewed each of the three topical areas discussed by the membership at the 2016 NCAA Convention: (1) Standardization of contest exemptions; (2) Non-traditional segment alternatives; and (3) Contest limitations in select sports. The subcommittee agreed that the membership feedback did not demonstrate an appetite for legislative change to the existing nontraditional segment format. As such, the subcommittee agreed not to pursue additional concepts in this area. However, the Council endorsed two referrals related to standardization of contest exemptions and baseball playing and practice season (See below).

Presidents Council. No action was necessary.

(b) **Referral to Baseball Committee, Championships Committee, Committee on Competitive Safeguards and Medical Aspects of Sports, and Student-Athlete Advisory Committee.**

Management Council. The Council referred, for feedback, the concept of allowing member institutions the option of establishing a two-period model in the sport of baseball. Under this concept, institutions would have the option of continuing to conduct the existing traditional and nontraditional segment model in the sport of baseball or choosing to establish a two-period model.

The subcommittee agreed that a number of Division III institutions are forced to play the majority of baseball contests in the last four to six weeks of the baseball season. As a result, baseball student-athletes self-report missing more class and being away from campus more, on average, than student-athletes in any other sport. The subcommittee agreed that allowing institutions the option of establishing a two-period model in the sport of baseball would create additional flexibility in scheduling.
without requiring institutions in warmer climates to deviate from the status quo. The subcommittee requests feedback on the concept generally, as well as the following:

- Reasonable start and end dates for the fall segment;
- Number of contests that should be permitted during the fall segment;
- Whether there should be a limit on the number of mid-week contests permitted during the fall segment;
- Impact on institutional budgets, facilities and personnel;
- Impact on student-athlete missed class and campus time; and
- The amount of practice time necessary prior to the first contest in the fall.

Presidents Council. No action was necessary.

(c) Referral to all sport committees, Committee on Competitive Safeguards and Medical Aspects of Sport and Student-Athlete Advisory Committee.

Management Council. The Council referred, for feedback, the concept of maintaining the conference championship exemption and the NCAA championship exemption, while eliminating all other exemptions (both standard and sport-specific) and permitting each sport two scrimmages, exhibitions or joint practices against any opponent.

The subcommittee reviewed feedback from the 2016 Issues Forum and noted that 63% of forum participants indicated support for standardization of contest exemptions by maintaining the conference championship and NCAA championship exemptions, while eliminating all other exemptions and permitting each sport two scrimmages, exhibitions and joint practices against any opponent. Additionally, the subcommittee noted that establishing standard exemptions across all sports would create greater equity among sports.

Presidents Council. No action was necessary.

c. Division III Committees.

(1) Championships Committee.

(a) Bracket Size and Championship Administrative Changes.

Management Council. The Council approved the committee’s recommendation per the Strategic Planning and Finance Committee report [See Page No. 5, 3(2) a].
Presidents Council. The Presidents Council approved the Management Council’s recommendation per the Strategic Planning and Finance Committee report [See Page No. 5, 3(2) a].

(b) Committee Meeting.

Management Council. The Council approved the committee’s recommendation per the Strategic Planning and Finance Committee report [See Page No. 6, 3(2) b].

Presidents Council. The Presidents Council approved the Management Council’s recommendation per the Strategic Planning and Finance Committee report [See Page No. 6, 3(2) b].

(2) Committee on Infractions.

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

(3) Financial Aid Committee.

- Convention Legislation – Financial Aid from Outside Sources – NCAA Bylaw 15.2.3.

Management Council. The Council approved the committee’s recommendation that the Presidents Council sponsor Convention legislation clarifying that compliance with NCAA Bylaw 15.2.3.5, which regulates aid from sources outside the institution that consider athletics leadership, ability, participation or performance, applies when a student-athlete’s total financial aid from outside sources exceeds $1,000. The proposal would further clarify that a permissible award, per Bylaw 15.2.3.5, may not restrict a student’s choice of institutions to a specific institution.

Current legislation requires financial aid offices at Division III institutions to review every financial aid award from an outside source to determine whether the award considers athletics leadership, ability, participation or performance. Often, financial aid offices receive financial aid awards from outside sources without accompanying information on the criteria used to determine the award recipient. This creates a significant administrative burden for Division III institutions; substantial time and resources are necessary to determine whether a single award considers athletics. Because these awards tend to account for only a very small percentage of a student’s cost of attendance, complying with current legislation requires institutions and student-athletes to invest significant time and energy investigating awards that have little to no impact on a student’s overall financial aid profile. Establishing a requirement that an institution certify all outside awards for student-athletes whose total outside financial aid exceeds $1,000 reduces
administrative burden while continuing to uphold the Division III philosophy. This proposal allows institutions to focus essential resources on outside awards with the potential to significantly impact a student-athlete’s cost to attend the institution, while emphasizing the importance that outside awards not restrict or encourage a student to attend a particular institution.

**Presidents Council.** Due to meeting time constraints, the Presidents Council postponed consideration of the proposal until its August meeting. Staff will forward to the Council additional educational information to solicit feedback and help guide conversations in August.

(4) **Infractions Appeals Committee.**

**Management Council.** The committee had no formal report. No action was necessary.

**Presidents Council.** No action was necessary.

(5) **Interpretations and Legislative Committee (ILC).**

(a) **Incorporation of Official Interpretation – Classification of a Varsity or Subvarsity Contest – 17.02.2.**

**Management Council.** The Council approved the incorporation of the official interpretation [Reference: 12/17/15, Item No. 2a] into the legislation.

The Interpretations and Legislation Committee (ILC) determined that, for purposes of NCAA Bylaw 17 maximum institutional contest and date of competition limitations, an institution shall define a contest as either varsity or subvarsity (e.g., junior varsity). Further, an institution is not permitted to change the classification of a given contest or date of competition after that contest or date of competition has commenced.

[References: NCAA Division III Bylaws 17.02.3 (contest, countable, institutional), 17.02.6 (date of competition, countable, institutional), 17.5.1 (maximum limitations – institutional); an Official Interpretation (3/30/89, Item No. 5), which has been archived, a Staff Interpretation (11/2/90, Item No. c), which has been archived]

**Presidents Council.** No action was necessary.

(b) **Noncontroversial Legislation – Foreign Tour Travel – NCAA Bylaws 16.8.1.4 and 17.29.1.9.**

**Management Council.** The Council approved in concept noncontroversial legislation to specify that an institution may provide the cost of round trip transportation for a student-athlete to travel from campus to...
the event site and back to campus even if the student-athlete does not travel with the team. The student-athlete would only be required to pay the difference associated with traveling to/from another site.

Presidents Council. No action was necessary.

(c) Approval of Official Interpretation – Classification of a Contest.

Management Council. The Council approved the following official interpretation:

Classification of a Contest (III). The Interpretations and Legislation Committee determined that any contest between two or more Division III institutions must be classified (e.g., scrimmage, exhibition, regular-season contest) the same by all participating Division III institutions (as opposed to a contest against a non-Division III opponent which may be classified differently). Further, an institution is not permitted to change the classification of a given contest after that contest has commenced.

[References: NCAA Division III Bylaws 17.02.2 (Contest), 17.02.3 (Contest, Countable, Institutional) and 17.5.3 (Exemptions).]

Presidents Council. No action was necessary.

(d) Approval of Official Interpretation – Preparation for Participation in the Indoor Track and Field Championships Counted in the Playing Season.

Management Council. The Council approved the following official interpretation:

Preparation for Participation in the Indoor Track and Field Championships Counted in the Playing Season (III). The Interpretations and Legislation Committee determined that preparation for and participation in the indoor track and field championships must be included in the indoor and outdoor track and field playing season, inasmuch as the indoor track and field championships (as opposed to the outdoor track and field championships) do not occur at the conclusion of a distinct playing season.

[References: NCAA Division III Bylaw 17.23.1 (length of playing season) and a Staff Interpretation (10/14/98, Item No. 1), which has been archived]

Presidents Council. No action was necessary.

(e) Approval of Official Interpretation – Reservation of Facility During the Summer by Certified Strength and Conditioning Coach.

Management Council. The Council approved the following official interpretation:
Reservation of Facility During the Summer by Certified Strength and Conditioning Coach (III). The Interpretations and Legislation Committee confirmed that, outside the playing and practice season, an institution’s certified strength and conditioning coach may only reserve an athletic facility for voluntary workouts that he or she will conduct. Because a certified strength and conditioning coach is only permitted to conduct voluntary workouts during the academic year, the certified strength and conditioning coach may not reserve an athletic facility during the summer. A student-athlete, however, may access a weight room designated exclusively for student-athletes at any time, including during the summer.

[References: NCAA Division III Bylaw 17.02.1.1.1 (Exceptions)]

Presidents Council. No action was necessary.

(f) Approval of Official Interpretation – Athletic Department Suspension and Athletic Eligibility.

Management Council. The Council approved the committee’s recommendation to archive an Official Interpretation (7/14/2006, Item No. 5a) and approve the following official interpretation:

Athletic Department Suspension and Athletic Eligibility (III). The Interpretations and Legislation Committee confirmed that a transfer student-athlete’s suspension from athletic participation at the previous institution does not equate to athletic ineligibility for purposes of satisfying a transfer exception if the suspension is limited to a specific sport(s).

[References: NCAA Division III Bylaws 14.5.4.1 (exceptions), 14.5.5.1 (general rule), 14.5.5.1.1 (exception) and an Official Interpretation (7/14/2006, Item No. 5a), which has been archived.]

Presidents Council. No action was necessary.

(6) Membership Committee.

(a) Membership – Conditions and Obligations of Membership - Convention and Regional Rules Seminar Attendance.

Management Council. The Council approved the committee’s recommendation to sponsor noncontroversial legislation to clarify those Division III member institutions; whose conferences attend a Division III Conference Rules Seminar as approved by the Membership Committee, may satisfy the Regional Rules Seminar attendance requirement by attending the seminar.

Presidents Council. No action was necessary.
(b) **Membership – Conditions and Obligations of Membership – Self-Study Guide Criteria.**

**Management Council.** The Council approved the committee’s recommendation to sponsor noncontroversial legislation to remove lists of specific self-study criteria from both the Conference Self-Study Guide and Institutional Self-Study Guide, as described in Constitution 3.3.4.6 (self-study report) and Constitution 6.3.1 (self-study report), respectively.

**Presidents Council.** No action was necessary.

(e) **Membership – Provisional Membership – Credit for Time Served in the Membership.**

**Management Council.** The Council approved the committee’s recommendation to sponsor noncontroversial legislation to remove the restriction in Bylaw 20.3.3.1.2 (credit for time completed previously as provisional member) that an institution’s previous time in the provisional or reclassifying membership process must be completed within the last 10 years.

**Presidents Council.** No action was necessary.

(d) **Discussion of Punitive Measures Related to Restricted Membership Status – Recommendation of Policy Change to Division III Strategic Planning and Finance Committee.**

**Management Council.** The Council approved the committee’s recommendation to refer to the Strategic Planning and Finance Committee, for potential policy change, a recommendation that when a member institution is on restricted membership status, the Strategic Planning and Finance Committee does not change the denominator used for calculating the total amount of conference grant funding that a conference would receive in that year, but instead direct the conference commissioner to prohibit any of the grant funding from being provided directly to the institution on restricted status, as required in the legislation.

**Presidents Council.** No action was necessary.

(e) **Applications for Exploratory Membership.**

**Management Council.** The Council reviewed the committee’s report noting that effective September 1, Brevard College (NC), Dean College (MA), Pfeiffer University (NC), and Saint Anselm’s College (NH) will begin the Division III membership exploratory process.

**Presidents Council.** No action was necessary.
(7) **Nominating Committee.**

- **Governance Committee Appointments.**

  **Management Council.** The Council approved the following committee appointments:

  - Division III Interpretations and Legislation Committee (immediate vacancy replacing Natalie Arians) – Amy Backus, director of athletics, Case Western Reserve University.
  - Minority Opportunities and Interests Committee (three vacancies) – Dawn Redd, senior woman administrator/head volleyball coach, Beloit College; Jose Rodriguez, head men’s and women’s cross country coach, Penn State University, Abington; and Bill Tsutsui, president, Hendrix College.
  - Research Committee (immediate vacancy replacing Mary Stadelmann) – Pamela Samuelson, director of athletics, Susquehanna University.

  **Presidents Council.** No action was necessary.

(8) **Student-Athlete Advisory Committee (SAAC).**

  **Management Council.** The Council received an update from their April meeting identifying three priorities for 2016-17: (1) Sportsmanship; (2) Mental health; and (3) Additional SAAC representation at the NCAA Convention. No action was necessary.

  **Presidents Council.** No action was necessary.

(9) **Student-Athlete Reinstatement Committee.**

  **Management Council.** The committee had no formal report. No action was necessary.

  **Presidents Council.** No action was necessary.

d. **Association-Wide Committees.**

(1) **Competitive Safeguards and Medical Aspects of Sports (CSMAS).**

  **Management Council.** The Council reviewed the report. No action was necessary.

  **Presidents Council.** No action was necessary.
(2) **Committee on Sportsmanship and Ethical Conduct.**

**Management Council.** The Council reviewed the report. [See Page No. 22, Item 6b, Sport Science Institute updates for possible 2018 legislation related to designation of a medical director.] No action was necessary.

**Presidents Council.** No action was necessary.

(3) **Committee on Women’s Athletics (CWA).**

(a) **NCAA Woman of the Year Selection Criteria Review.**

**Management Council.** The Council noted the committee engaged in an in-depth review of the Woman of the Year selection guidelines and voted unanimously to revise the academic achievement, athletics excellence, and service and leadership sections. Discussion will continue regarding the guideline’s personal statement section. The committee will finalize the selection guidelines to be used for the 2016 NCAA Woman of the Year award process.

**Presidents Council.** No action was necessary.

(b) **LGBTQ Community.**

**Management Council.** The Council discussed a joint letter to the Board of Governors (BOG) crafted by CWA and the Minority Opportunities and Interests Committee (MOIC). The letter asks the BOG to take action related to recent state laws that may have a discriminatory impact on the LGBTQ community.

**Presidents Council.** No action was necessary.

(4) **Honors Committee**

- **Nationally Distinguished Citizen Member Replacement for Steve Largent.**

**Management Council.** The Council approved the committee’s recommendation that Doris Burke, ESPN game analyst and sideline commentator, be appointed to the committee to replace Steve Largent, whose term ended at the close of the January 2016 NCAA Convention.

The Honors Committee composition includes three nationally distinguished citizens (NDC). The committee reviewed potential candidates and recommends Ms. Burke to serve as one of the NDCs. Ms. Burke is a former Providence College women’s basketball student-athlete and a 2012 NCAA Silver Anniversary Award recipient.

**Presidents Council.** No action was necessary.
(5) **Minority Opportunities and Interests Committee (MOIC).**

(a) **LGBTQ Community.**

Management Council. See CWA report, Page No. 16(3) (b).

Presidents Council. No action was necessary.

(b) **NCAA Board of Governors resolution on diversity and inclusion.**

Management Council. The Council noted that during the Board of Governors (BOG) January meeting, Dr. Bernard Franklin gave a report which included data showing the lack of diversity at all levels of coaching and athletics administration across all divisions. During that meeting, the BOG determined it would adopt a resolution reaffirming the Association’s commitment to cultural diversity and inclusiveness in athletics leadership. It further explained the creation of an ad hoc committee with presidential leadership and liaisons from MOIC and CWA to address diversity concerns across the membership and the national office.

Phase one of the ad hoc committee’s work will focus on developing and promoting men and women of color in athletics leadership. Phase two will expand to broader/larger gender equity issues after the Gender Equity Task Force submits its report and recommendations to the BOG. MOIC determined that it would form a subcommittee that will make recommendations to improve existing cultural diversity programs with an aim toward achieving the goals of the resolution, and acknowledged that recommendations will focus on both the national office and the membership. [See Page No. 22, No. 6a (2)]

Presidents Council. No action was necessary.

(6) **Olympic Sports Liaison Committee (OSLC).**

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

(7) **Playing Rules Oversight Panel.**

- **Division I Sport Oversight Committees.**

Management Council. The Council reviewed and provided feedback on two proposed models from the Division I men’s and women’s basketball and football oversight committees. The oversight committees are discussing alternative ways to increase the Division I influence on the playing rules in those sports. The Council did not support a model that would increase the number of Division I members on the NCAA’s Playing Rules Oversight Panel (PROP) and the respective sport rules
committees. The Council also noted that it favored continued divisional collaboration, communication and maintenance of the shared responsibility for the image of the game and the navigation of future challenges that impact these sports.

Presidents Council. No action was necessary.

(8) Postgraduate Scholarship Committee.

- Scholarship Increase.

Management Council. The Council approved the committee’s recommendation for an inflationary increase from $7,500 to $8,500 for each scholarship award. The last time the scholarship was increased was 2003. The committee determined that an overall increase in higher education tuition and fees since that time supported the increase. If approved by the Board of Governors, the earliest the funds would be available is September 2016 for possible dispersal in February 2017. The increase will add $174,000 to the committee’s $1.3 million annual Association-wide budget, which constitutes approximately a 13 percent increase. Staff updated the Council that a similar request will come from CWA and MOIC in regard to the ethnic minority and women postgraduate scholarship.

Presidents Council. No action was necessary.

(9) Research Committee.

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

(10) Walter Byers Scholarship Committee.

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

4. PROPOSED LEGISLATION FOR THE 2017 CONVENTION.

- Review noncontroversial legislation approved by the Management Council.


Management Council. The Council approved noncontroversial legislation to
specify that one member of the men’s and women’s skiing committee shall be selected from the Central skiing region and two members shall be selected at large.

Presidents Council. No action was necessary.

5. **DIVISION III INITIATIVES AND UPDATES.**

a. **Diversity and Inclusion Working Group.**

Management Council. The Council received an update on the recent work and next steps of the working group. The focus will be on the following: (1) Ask presidents and vice-presidents to be champions of change. During the last three years, the turnover rate of athletics directors has averaged 70 per year, and the new hires have reflected the same demographic, which is primarily white males; (2) Development in collaboration with the Office of Inclusion, of a hiring best practices resource; and (3) Re-emphasis on empowering Senior Woman Administrators (SWAs) to be better prepared to advance professionally.

Presidents Council. No action was necessary.

b. **Sportsmanship and Game Environment Working Group.**

Management Council. The Council reviewed the working group’s report, noting the straw poll results from 2016 Division III Issues Forum. The results indicate there is a desire in the membership to address fan civility and the game environment, and that many respondents are willing to participate in a module-based certification program regardless of any incentive being provided. The results validated the working group’s initial thoughts:

- Parents/Guardians are the group that causes the most difficult issues at institutions events, and,
- There is a greater desire to have best practices in the area of crowd control than there is to having established national guidelines.

The working group agreed to move forward with the creation of a module-based learning environment. The initial focus should be on fan civility. After the implementation and assessment of a fan civility-based learning tool, the working group can decide whether similar tools should be used in addressing other aspects of sportsmanship and game environment.

Presidents Council. No action was necessary.

c. **360 Proof and NASPA Collaborative.**

Management Council. The Council received an update on the status of 360 Proof, noting that currently there are 189 institutions registered. An exhibit booth and one-on-one technical assistance was provided during the 2016 NCAA Convention and the 2016 NASPA Alcohol and Other Drug (AOD) Strategies conference. A 360 Proof user
experience session was offered at both the Strategies Conference and the March 2016 NASPA Annual Conference, which also included an exhibit booth. A user experience survey was distributed March 22 to provide assessment data and gather information for the next 360 Proof communication plan. The Council noted the need for better education and engagement of campus Student Affairs personnel.

Presidents Council. No action was necessary.

d. **Division III Identity Initiative.**

Management Council. The Council received a status report on the Division III Identity Initiative including an update on Division III Week, branding initiatives, DIII/D3SIDA Recognition Award, social media, Special Olympics and the Purchasing Website.

Presidents Council. No action was necessary.

e. **Commissioners and Presidents Best Practices document.**

Management Council. The Council reviewed the Commissioners and Presidents Best Practices document and provided feedback. The Council noted the Presidents Council and commissioners will review the document during their upcoming meetings. No action was necessary.

Presidents Council. The Presidents Council reviewed the Commissioners and Presidents Best Practices document and provided no additional changes. The Council noted the commissioners will review the document during its June meeting. No action was necessary.

f. **Technology Users Group.**

Management Council. The Council received an update that the NCAA Eligibility Center is changing its registration process. By the end of the summer, Division III prospective student-athletes (PSAs) will be able to register for free. Registration will provide PSAs with greater educational resources on the NCAA recruiting process as well as an NCAA student ID number. ID numbers will allow Division III compliance officers to more easily submit waivers and student-athlete reinstatement requests, as well as facilitate the use of free NCAA online compliance forms and programs.

Presidents Council. No action was necessary.

g. **2015 GOALS Study.**

Management Council. The Council received an update on Division III results from the 2015 GOALS Study of the student-athlete experience from Tom Paskus, principal research scientist at the NCAA and Lydia Bell, associate director of research.

The Council noted that this information is posted in the Research section on the NCAA homepage under Resources.
h. FAR Engagement.

Management Council. The Council discussed ways for FARs to be more engaged at the institutional and conference levels. Two stated concerns were presidents selecting FARs without input from the athletics director and a high percentage of FARs not receiving release time or a stipend. Both concerns hinder the relationship between the FAR and athletics director. Outcomes may include conducting a survey of current Division III FARs, creating a working group with FARs and Council representatives, developing a best practices resource, and collaboration with commissioners.

Presidents Council. No action was necessary.

i. Institutional Performance Program (IPP) for Division III.

Management Council. The Council received a presentation on the Institutional Performance Program. The program’s intent is to reproduce data provided by institutions in a user friendly and comparative way via a data management system. The data management system contents include: academics (student body graduation rate); finances (revenues, expenses, dashboard indicators); student participation and sponsorship; administrators and coaches demographics; and resources and best practices. Any institution(s) interested in participating in the pilot program were asked to contact Nicole Holloman. No action was necessary.

Presidents Council. The Presidents Council endorsed the Institutional Performance Program.

j. Feedback from Conference Meetings.

Management Council. The Council reviewed reports from conference meetings. No action was necessary.

Presidents Council. No action was necessary.

k. Committee Frequency Report.

Management Council. The Council approved the Committee Frequency Reports per Bylaw 31.7.2.1.4. It noted that payment of expenses for attendance at meetings of an NCAA standing committee shall be limited to two meetings per year unless otherwise authorized by the Management Council or Board of Governors for Association-wide issues. The Council delegated this process, beginning in 2017, to the Administrative Committee. [NOTE: The Division III Championships Committee was approved for a fourth in-person meeting in 2016. See Page No. 6, Item 3(2) b]

Presidents Council. No action was necessary.
6. **ASSOCIATION-WIDE UPDATES AND ISSUES.**

a. **Board of Governors Update.**

(1) **Board of Governors (BOG) Composition.**

*Management Council.* The Council received an update on on-going discussions related to the board’s composition.

*Presidents Council.* The Council offered unanimous support for a proposal from the Board of Governors Ad Hoc Committee on Structure and Composition. The proposal calls for an increase from two to four voting representatives each from Division II and Division III on the board. The current structure includes 12 representatives from Division I with two voting representatives from Division II and two from Division III. The Council endorsed the proposed increase in divisional representatives in principle believing the increase would better reflect the overall composition of the Association’s membership and the BOG’s Association-wide responsibilities. The BOG is now seeking additional feedback from Divisions I, II and III regarding the proposal. The board will consider this proposal at its August meeting. If approved for sponsorship, the proposal will go before the membership for an Association-wide vote in January 2017.

(2) **Ad Hoc Committee on Cultural Diversity and Equity.**

*Management Council.* The Council received an update on the Board of Governors Ad Hoc Committee on Cultural Diversity and Equity.

*Presidents Council.* The Council discussed recommendations of the ad hoc committee, noting the importance of having presidential leadership in the hiring process of athletic directors, coaches, other athletics leadership positions, as well as faculty. During the 2016 NCAA Convention, the BOG issued a resolution calling upon all NCAA presidents to increase their engagement in the issue of diversity and provide the necessary resources to address it on their campuses. The Council, in concept, endorsed a draft pledge created by the BOG’s Ad Hoc Committee on Diversity. The Council is currently seeking feedback on this pledge, which asks all NCAA presidents and chancellors to ensure an enhancement of diversity and inclusion in intercollegiate athletics searches. The Division III Diversity and Inclusion Working Group recently produced a diversity fast facts resource and are collaborating with the Office of Inclusion on developing a hiring best practices resource.

(3) **Sports Wagering.**

*Management and Presidents Councils.* The Councils received an update on the NCAA’s sports wagering policy. The general consensus was that the Board of Governors should maintain the current sports wagering policy with regard to predetermined and non-predetermined sites.
b. **Sport Science Institute (SSI) updates.**

Management and Presidents Councils. The Councils received an update on current SSI initiatives. Key updates included the development of an SSI strategic plan; possible 2018 legislation related to independent medical care (e.g., identification of a director of medical services); cardiac inter-association guidelines; outcomes of the 2nd football safety summit; sexual assault prevention task force call to action; and proposed rule changes related to the wrestling summit.

The Council discussed potential forthcoming legislation regarding independent medical care provisions, including the designation of a director of medical services at NCAA member schools. This legislation, pertinent to all divisions, will be reviewed by the Committee on Competitive Safeguards and Medical Aspects of Sports this summer and could enter the Division III legislative cycle for the 2018 NCAA Convention.

The proposal would call for each school to designate a director of medical services – an administrator role that is tasked with ensuring a school is compliant with legislation and inter-association consensus statements pertaining to health and safety, among other duties. The position wouldn’t require hiring new personnel, merely designating an appropriate staffer (e.g., head athletic trainer or team physician) to assume the role. Primary health care providers would maintain their unchallengeable autonomous authority over medical management and return-to-play decisions. The Presidents Council plans to encourage Division III members to begin identifying someone to fill that role.

c. **NCAA Communications update.**

Management and Presidents Councils. The Councils received an update regarding the on-going collaboration on NCAA advocacy and media efforts. The Strategic Plan key audience focus stages are: (1) Year one – campus communities and influencers; (2) Year two – campus communities, influencers and public; and (3) Year three – influencers and public. The timeline for the first phase begins May 2nd and ends on September 15th. Key events will anchor this phase: graduation and commencements, the summer Olympics, and finally the back-to-school season. The communications staff is reaching out to Division III schools and conferences to collaborate on developing media stories.

d. **Fair Labor Standards Act (FLSA).**

Management and Presidents Councils. The Council received an update on the Fair Labor Standards Act [FLSA]. The proposed change would increase the salary threshold by over 100% to $970/week, or $50,440/year. Any currently exempt employee making less than this threshold may no longer be eligible for “exempt” status and may be eligible for overtime pay. The NCAA has worked with higher education groups to indicate the new regulations would have a significant negative financial impact on athletics departments. The NCAA will release a white paper to the membership in the next 60 days that will discuss how these new regulations will impact the athletics landscape.
e. **Division III Overview – Infractions Process.**

Presidents Council. The Presidents Council received updates on the enforcement and Committee on Infractions process in the light of recent high profile cases in Division III noting that financial aid is among the most frequently violated rules. It was recommended that presidents be proactive and encourage financial aid, admissions and athletics staff to meet on a regular basis and to help aid institutions in staying compliant with financial aid requirements.

f. **Litigation Update.**

Management Council and Presidents Council. The Councils received a litigation update. No action was necessary.

g. **Governmental Relations Report.**

Management and Presidents Councils. The Councils accepted the Governmental Relations Report. No action was necessary.

7. **OTHER BUSINESS AND OPEN FORUM.**

- **NCAA Awards Program - HelperHelper.**

Management Council. The Council discussed an NCAA program entitled “Teams Works” that incorporates community services. The program application used is called HelperHelper. Institutions can use the app for one year for free; however after year one, the fee is $2,500 per year. The Council had concerns regarding the cost and whether the institutions would prioritize this expense, and noted it wasn’t interested in endorsing Division III participation in the program.

Presidents Council. No action was necessary.

8. **ADJOURNAMENT.**

Management Council. The Council meeting adjourned at 4:30 p.m. Monday, April 18 and 11 a.m. Tuesday, April 19.

Presidents Council. The Presidents Council meeting adjourned at 8:18 p.m. Wednesday, April 27 and 12:15 p.m. Thursday, April 28.
DIVISION III PHILOSOPHY STATEMENT

Colleges and universities in Division III place the highest priority on the overall quality of the educational experience and on the successful completion of all students’ academic programs. They seek to establish and maintain an environment in which a student-athlete’s athletics activities are conducted as an integral part of the student-athlete’s educational experience, and an environment that values cultural diversity and gender equity among their student-athletes and athletics staff.

(a) Expect that institutional presidents and chancellors have the ultimate responsibility and final authority for the conduct of the intercollegiate athletics program at the institutional, conference and national governance levels;

(b) Place special importance on the impact of athletics on the participants rather than on the spectators and place greater emphasis on the internal constituency (e.g., students, alumni, institutional personnel) than on the general public and its entertainment needs;

(c) Shall not award financial aid to any student on the basis of athletics leadership, ability, participation or performance;

(d) Primarily focus on intercollegiate athletics as a four-year, undergraduate experience;

(e) Encourage the development of sportsmanship and positive societal attitudes in all constituents, including student-athletes, coaches, administrative personnel and spectators;

(f) Encourage participation by maximizing the number and variety of sport offerings for their students through broad-based athletics programs;

(g) Assure that the actions of coaches and administrators exhibit fairness, openness and honesty in their relationships with student-athletes;

(h) Assure that athletics participants are not treated differently from other members of the student body;

(i) Assure that student-athletes are supported in their efforts to meaningfully participate in nonathletic pursuits to enhance their overall educational experience;

(j) Assure that athletics programs support the institution’s educational mission by financing, staffing and controlling the programs through the same general procedures as other departments of the institution. Further, the administration of an institution’s athletics program (e.g., hiring, compensation, professional development, certification of coaches) should be integrated into the campus culture and educational mission;
(k) Assure that athletics recruitment compiles with established institutional policies and procedures applicable to the admission process;

(l) Exercise institutional and/or conference autonomy in the establishment of initial and continuing eligibility standards for student-athletes;

(m) Assure that academic performance of student-athletes is, at a minimum, consistent with that of the general student body;

(n) Assure that admission policies for student-athletes comply with policies and procedures applicable to the general student body.

(o) Provide equitable athletics opportunities for males and females and give equal emphasis to men’s and women’s sports;

(p) Support ethnic and gender diversity for all constituents;

(q) Give primary emphasis to regional in-season competition and conference championships; and

(r) Support student-athletes in their efforts to reach high levels of athletics performance, which may include opportunities for participation in national championships, by providing all teams with adequate facilities, competent coaching and appropriate competitive opportunities.

The purpose of the NCAA is to assist its members in developing the basis for consistent, equitable competition while minimizing infringement on the freedom of individual institutions to determine their own special objectives and programs. The above statement articulates principles that represent a commitment to Division III membership and shall serve as a guide for the preparation of legislation by the division and for planning and implementation of programs by institutions and conferences.
**NCAA Mission**

What the brand wants to accomplish

To govern competition in a fair, safe, equitable and sportsmanlike manner, and to integrate intercollegiate athletics into higher education so that the educational experience of the student-athlete is paramount.

**DIII Positioning Statement**

Who we are

Follow your passions and discover your potential. The college experience is a time of learning and growth – a chance to follow passions and develop potential. For student-athletes in Division III, this happens most importantly in the classroom and through earning an academic degree. The Division III experience provides for passionate participation in a competitive athletics environment, where student-athletes push themselves to excellence and build upon their academic success with new challenges and life skills. And student-athletes are encouraged to pursue the full spectrum of opportunities available during their time in college. In this way, Division III provides an integrated environment for student-athletes to take responsibility for their own paths, follow their passions and find their potential through a comprehensive educational experience.

**DIII Attributes**

What we stand for

Supporting features of DIII

Comprehensive Learning: opportunity for broad-based education and success.

Passion: playing for the love of the game, competition, fun and self-improvement.

Responsibility: development of accountability through personal commitment and choices.

Sportsmanship: fair and respectful conduct toward all participants and supporters.

Citizenship: dedication to developing responsible leaders and citizens in our communities.

Audiences

Who we are addressing

Student-Athletes / Parents

DIII Internal Constituencies

General Public / Media

**Audience Benefits**

Key benefits of the DIII experience

- Continue to compete in a highly competitive athletics program and retain the full spectrum of college life.
- Focus on academic achievement while graduating with a comprehensive education that builds skills beyond the classroom.
- Access financial aid for college without the obligations of an athletics scholarship.
- Opportunities to play more than one sport.
- Be responsible for your own path, discover potential through opportunities to pursue many interests.

**Reasons to Believe**

Supporting features of DIII

1. **Comprehensive educational experience.** Division III institutions develop student-athlete potential through a holistic educational approach that includes rigorous academics, competitive athletics and opportunity to pursue other interests and passions.

2. **Integrated campus environment.** About one-quarter of all students at Division III institutions participate in athletics. Those participating in athletics are integrated into the campus culture and educational missions of their colleges or universities:
   - Student-athletes are subject to admission and academic performance standards consistent with the general student body;
   - Student-athletes are not provided any special housing, services or support from their institution different from other students or student groups;
   - Athletics departments are regulated and managed through the same general procedures and practices as other departments of the institution.

   This integration of athletics allows the student-athletes to take full advantage of the many opportunities of campus life and their entire collegiate experience.

3. **Academic focus.** Student-athletes most often attend a college or university in Division III because of the excellent academic programs, creating a primary focus on learning and achievement of their degree. The division minimizes the conflicts between athletics and academics through shorter playing and practice seasons, the number of contests, no red-shirting and regional competition minimize time away from their academic studies and keep student-athletes on a path to graduation.

   - Student-athletes are integrated on campus and treated like all other members of the general student-body, keeping them focused on being a student first.
   - Participation in athletics provides valuable “life lessons” for student-athletes (teamwork, discipline, perseverance, leadership, etc.), which often translate into them becoming better students and more responsible citizens.

4. **Available financial aid.** Three-quarters of all student-athletes in Division III receive some form of grant or non-athletics scholarship. Student-athletes have equal opportunity and access to financial aid as the general student body – but are not awarded aid based on athletics leadership, ability, performance or participation.

   - Division III does not award athletics scholarships. Without the obligation of an athletics scholarship, student-athletes can emphasize academics, athletics and other opportunities of college life appropriate to the necessary commitment and their own passions.

5. **Competitive athletics programs.** Student-athletes do not receive any monetary incentive (athletics scholarship) to play sports in college. They play for the love and passion of the game and to push themselves to be their best, creating an intense, competitive athletics environment for all who participate.

6. **National championship opportunities.** Division III has more than 170,000 student-athletes competing annually, with access to 38 different national championships. These competitions provide an opportunity for student-athletes to compete at the highest level and fulfill their athletics potential.

7. **Commitment to athletics participation.** Division III institutions are committed to a broad-based program of athletics because of the educational value of participation for the student-athlete. The division has a higher number and wider variety of athletics opportunities on average than any other division in the NCAA, emphasizing both competitive men’s and women’s sports.
REPORT OF THE
DIVISION III CONVENTION- PLANNING SUBCOMMITTEE
JUNE 3, 2016, TELECONFERENCE

ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

1. Welcome and review of roster. The chair, Brit Katz, commenced the teleconference at 2 p.m. Eastern time, welcomed the subcommittee and reviewed the roster.

2. Minutes of the March 30, 2016, teleconference. The subcommittee reviewed and approved the minutes.

3. Educational Session topic ideas. The subcommittee reviewed the Division III membership survey feedback (247 responses) and proposed the following Division III Educational Session topics for the 2017 NCAA Convention:
   a. Athletics department policies, best practices and handbooks.
   b. Title IX compliance and role of the Senior Woman Administrator (SWA).
   c. Human resource issues (e.g., FLSA, conducting a successful search).

   No later than June 24, the National Association of Division III Athletics Administrators (NADIIIAA) will decide if it will sponsor one of the sessions recommended by the subcommittee or if it will provide another education session topic. If NADIIIAA chooses another session topic, the subcommittee will not propose the session topic on Human Resource Issues. Possible other topics sponsored by NADIIIAA include: 1.) FLSA; 2.) Policies and handbooks; 3.) LBGTQ issues; and 4.) Student-athlete programming.

4. 2017 Convention Schedule. The subcommittee reviewed the draft schedule noting that several Association-wide sessions moved and consequently, several Division III specific sessions will move to avoid conflicts. Key changes include the Honors Dinner slated for Wednesday evening and the Association luncheon moving to Friday. At this time, the subcommittee noted no concerns with the schedule changes. [Attachment]
5. **Review tentative Division III governance related sessions.** The subcommittee reviewed the following governance related sessions for the 2017 Convention:

   a. **Special Olympics Unified Sports activity update.** Per discussions with the Division III SAAC, the activity will be an indoor unified event. This event has been moved to mid-day Thursday instead of Wednesday afternoon to allow more membership constituents to attend and support the Special Olympics athletes.

   b. **Athletics Direct Report (ADR) Institute.** The Institute will begin on Wednesday afternoon, January 18. The earlier start will allow the participants to attend the Honors Dinner Celebration Wednesday evening. Convention management staff will provide tickets and reserved seating to the dinner.

   c. **Division III Student Immersion Program.** Staff noted that the application process will open in Program Hub September 1 and will close October 1. Three members of the Diversity and Inclusion Working Group will be on the selection committee. This program will also start a day earlier than in 2016, which will allow the attendees to attend the Honors Celebration dinner. Convention management staff will provide tickets and reserved seating to the dinner.

   d. **Presidential Programming.** The session will take place Thursday, January 19, from 2:30 to 4 p.m. The subcommittee suggested the following presidential programming ideas:

      (1) Compliance.

      (2) How athletics can best engage presidents directly.

      (3) The pros and cons of athletics reporting to the president.

   Staff will discuss these topic ideas with the Division III Presidents Council in addition to the Division II staff. An update will be provided on the September teleconference.

   e. **Issues Forum.** Two hundred forty-seven (247) responded to a survey seeking feedback for suggested Issues Forum topics. The subcommittee recommended the following town hall presentation topics (e.g. update followed by open forum Q&A and discussion):

      (1) Presidents Council chair update on key hot topics.

      (2) NCAA Sport Science Institute’s health and safety priorities.

      (3) Convention legislative proposal Q & A open forum.
f. **Business Session.** The subcommittee endorsed the following format:

- 8 to 8:15 a.m. Welcome
- 8:15 to 8:30 a.m. TBD
- 8:30 to 11 a.m. Voting on legislative proposals

7. **Convention management updates.** Convention management reviewed the following:

a. **Hotel property update and rate.** The Convention will be held at the Nashville Gaylord properties. Staff doesn’t anticipate the need for overflow hotels. The room rate (including tax and wireless in sleeping rooms) will be $250.29 per night.

c. **Registration.** Registration will open September 12.

d. **Honor Celebration.** Tickets will be available through the registration site at $20 per ticket.

f. The meeting room portal will open June 27.

8. **2017 Convention Timeline.** The subcommittee reviewed the timeline and had no changes.

9. **Teleconferences.** The subcommittee will have teleconferences on the following dates:

a. September 22, 1 p.m. Eastern time;

b. November 3, 1 p.m. Eastern time; and

c. December 15, 1 p.m. Eastern time.

10. **Other Business.**

- None.

11. **Adjournment.** The teleconference adjourned at 3 p.m. Eastern time
Committee Chair:  Brit Katz, Millsaps College, (Southern Athletic Association)
Staff Liaisons:  Louise McCleary, Division III Governance
                Debbie Brown, Division III Governance
                Jay Jones, Division III Governance

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<tr>
<td>Brad Bankston, Old Dominion Athletic Conference</td>
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<td>Allie Fox, Mills College</td>
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<td>Karen Freed, Rutgers, The State University of New Jersey, Camden</td>
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<td>William Fritz, College of Staten Island</td>
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<td>Brian Granata, Arcadia University</td>
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<td>Sharon Hirsh, Rosemont College</td>
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<td>Brit Katz, Millsaps College</td>
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<td>Scott Kilgallon, Webster University</td>
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<td>Julie Kline, University of LaVerne</td>
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<td>Keri Luchowski, North Coast Athletic Conference</td>
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<td>Kayla Porter, Frostburg State University</td>
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<td>Tracey Ranieri, State University of New York at Oneonta</td>
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<td>Chuck Yrigoyen, Iowa Intercollegiate Athletic Conference</td>
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<td>Carey Eggen, Framingham State University</td>
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<td>Shantey Hill, St. Joseph’s College</td>
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<td>Angela Marin, University of Texas at Dallas</td>
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<td>Matthew Shank, Marymount University</td>
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<td>Debbie Brown, Jay Jones, Melody Lawrence, Louise McCleary, Stacey Preston, Crystal Reimer and Juanita Sheely.</td>
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<td><strong>Tuesday, January 17</strong></td>
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<td>Saturday, January 21</td>
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ACTION ITEMS.

1. Legislative Items.
   - None.

2. Nonlegislative.
   - Proposed Updates to the Division III Strategic Plan.
     
     (1) **Recommendation.** Approve the proposed updates to the 2016-17 Strategic Plan. [Attachment]
     
     (2) **Effective date.** September 1, 2016.
     
     (3) **Rationale.** The committee reviewed the seven strategic priorities for 2016-17, which is the second year of the 2015-17 budget biennium. Several initiatives highlighted include a new, free registration process through the Eligibility Center for prospective student-athletes; additional initiatives to help diversify the division; developing an initiative for the LGBTQ community, enhancing presidential-commissioner communication at the conference level; working with the Sports Science Institute (SSI) regarding priority health and safety issues; and maintaining a balanced budget. The committee supported the updates to the Strategic Plan, noting they further enhance the division’s priorities and budget initiatives.
     
     (4) **Estimated budget impact.** None.
     
     (5) **Estimated student-athlete impact.** None.

INFORMATIONAL ITEMS.

1. **Report from the March 23, 2016, in-person meeting.** The committee approved the report from its March 23, 2016, in-person meeting.

2. **Budget.** The committee reviewed the 2015-16 budget-to-actual report as of May 31, 2016, the 2016-17 approved budget and the future budget model. The budget-to-actual report reflects a three-year comparison with an additional column for charter expenses. While the championships expense totals didn’t reflect the entire 2016 spring championships, it appears that championships will be within budget. The 2016-17 approved budget reflects approved budget increases for both championship expenses as well as Division III initiatives. The future budget model shows projected expenses thru 2023-24.
3. **Division III Conference Grant Program.** The committee reviewed the updated Strategic Initiatives Conference Grant Program Policies and Procedures, as revised in February 2016.

4. **Discussion of Punitive Measures Related to Restricted Membership Status.** The committee approved a policy change, submitted by the Membership Committee, that when a member institution is on restricted membership status, the Strategic Planning and Finance Committee would not change the denominator used for calculating the total amount of conference grant funding that a conference would receive in that year. Rather, the committee would direct the conference commissioner to prohibit any of the grant funding from being provided directly to the institution on restricted status, as required in the legislation.

   The committee noted that the conference of an institution on restricted status suffers the most immediate impact with the mandated reduction in conference grant funding. The legislation for an institution in restricted membership status currently prohibits the conference members from receiving related NCAA grant funding; however, the committee felt that the conference office could easily control the distribution of funding and prohibit the institution(s) on restricted status from receiving direct grant funding. This policy change would ensure that the current legislation is not being violated, while improving the current distribution process which indirectly has a negative impact on all conference schools when an institution is placed on restricted membership status.

5. **Newly Elected Incoming Student-Athlete Advisory Committee (SAAC) Members Funding to attend Convention.** The committee reviewed a recommendation from SAAC regarding a policy change that would allow elected national SAAC members beginning their term following the conclusion of the Convention to receive funding to attend Convention before the start of their committee term. The Strategic Planning and Finance Committee discussed this recommendation in detail, noting the nominations timeframe will be earlier, thus allowing commissioners to use Tier One conference grant dollars to fund SAAC appointees to attend the Convention. The committee will reevaluate this recommendation, as well as the overall SAAC budget, during its June 2017 teleconference.

6. **Women’s Basketball Joint Championship.** The committee reviewed the initial draft budget impact from the joint women’s basketball championship in April 2016, noting the final numbers will be available during its November teleconference.

7. **Division III Initiatives – Budget Impact.**
   a. **Ethnic Minority and Women’s Internship Grant.** The committee received an update noting the 20 recipients selected for the 2016-17 cycle. In addition, the 18 recipients selected for the 2015-16 cycles will continue to be funded this year.
b. **Strategic Alliance Matching Grant.** The committee received an update noting the six recipients for the 2016-17 cycle. In addition, the 15 recipients that were selected for the 2014-15 and 2015-16 cycles will continue to be funded this year.

c. **Institute for Administrative Advancement.** The committee noted 23 administrators participated in the recent Institute for Administrative Advancement during the Orlando Regional Rules Seminar. The overall budget for this institute is $50,000, ($40,000 from Division III and $10,000 from the Office of Inclusion). Due to the lower number of mid-level administrator participants, staff will monitor and discuss expanding the institute to include head coaches who aspire to be administrators.

d. **360 Proof.** The committee noted there are 191 institutions currently registered for 360 Proof. Results of the March 22 user experience survey were limited but positive. Phone interviews with low, medium and high level users are currently underway. Learning Collaborative Webinar attendance rosters will be analyzed as part of this program assessment.

e. **Identity Initiative.** The committee received an update on the identity initiative, noting the hire of Adam Skaggs as the new assistant director for Division III communications as well as updated information from Division III Week and the Purchasing Website.

7. **Division III Working Groups.**

a. **Sportsmanship and Game Environment.** The committee reviewed the Sportsmanship and Game Environment Working Group’s report from its April teleconference, outlining six learning modules.

b. **Diversity and Inclusion.** The committee reviewed the Diversity and Inclusion Working Group report from its May teleconference noting three new diversity initiatives for 2016-17 as well as the next steps in developing best practices resources.

8. **Hot Topics.**

a. **Playing and Practice Seasons Review.** The committee received an update noting the subcommittee narrowed its focus to two concepts: (1) Standardization of contest exemptions; and (2) A two period model for baseball. Both concepts have been referred to sports committees and CSMAS for comment.

b. **Financial Aid Proposed 2017 Legislation.** The Presidents Council will consider a recommendation at its August meeting to sponsor a Convention proposal to amend the legislation regulating permissible financial aid awards from sources outside the institution that consider athletics participation.
c. NCAA Board of Governors updates.

(1) **Composition.** At its August meeting, the Board of Governors Working Group will discuss a proposed enhancement to its current composition from 12/2/2 (12 from Division I and two from Divisions II and III) to 12/4/4. This would increase representation by two for both Divisions II and III. If the Board approves sponsorship of the proposal during its August meeting, it would require an Association-wide vote during the 2017 NCAA Convention.

(2) **Resolution on diversity and equity.** The working group is looking at ways to enhance the Association’s commitment of diversity and equity. The Board of Governors, in consultation with the Office of Inclusion, sent a draft pledge to ensure diversity within athletic searches to all presidents and chancellors for feedback. At its August meeting, the Board will review the feedback and take next steps.

(3) **Resolution on NCAA championships and event environments.** The committee noted the Board’s commitment to providing a safe and inclusive environment for all NCAA events, including championships.

9. **Future Meetings.** The committee noted its next teleconference will be in November. Committee members should receive a doodle poll to complete within the next few weeks.

10. **Adjournment.** The meeting adjourned at 1:05 p.m.
NCAA Division III Strategic Planning and Finance Committee  
June 27, 2016, teleconference

**Attendees:**
- Gail Cummings-Danson, Skidmore College.
- Jeff Docking, Adrian College.
- Margaret Drugovich, Hartwick College.
- Brit Katz, Millsaps College.
- Dennis Leighton, University of New England.
- Jay Lemons, Susquehanna University, chair.
- Candice Murray, North Eastern Athletic Conference.
- Chris Ragsdale, Heartland Collegiate Athletic Conference.
- Tracey Ranieri, State University of New York at Oneonta.
- Troy VanAken, Thiel College.
- Dave Wolk, Castleton University.
- Gerald Young, Carleton College.

**Absentees:**
- Brian Wigley, Shenandoah University.

**NCAA Staff Support in Attendance:**
- Dan Dutcher, Reed Fogle, Jay Jones, Debbie Kresge and Louise McCleary.

**Other NCAA Staff Members in Attendance:**
- Eric Hartung, Jeff Myers, Jeff O’Barr and Liz Suscha.
Division III Strategic Plan
2015-17 Budget Biennium [Year 2: 2016-17]

Vision Statement: Division III will be a dynamic and engaging group of colleges, universities and conferences of varying sizes and missions committed to an environment that encourages and supports health and safety, diversity, values, fairness, and equity, and places the highest priority on the overall educational experience of its student-athletes in the conduct of intercollegiate athletics.
INTRODUCTION

The Division III strategic plan serves many purposes. It begins with the Division III Philosophy Statement to establish the framework from which the division’s programs, resource allocations, and regulatory decisions are made. It highlights the Division III Strategic Positioning Platform to clarify the practical impact of the Division III philosophy and summarizes the division’s strategic priorities by outlining what must be accomplished in the current budget biennium for the division to be successful.

The plan also serves to highlight all of the programs and services offered for the division. This list of offerings is arranged in a way that demonstrates the connection of each Division III program to the NCAA Strategic Plan, and explains when a program or initiative is funded from Division III dollars or a different Association budget. To bring further transparency to the division’s operations, the plan justifies every line of the Division III budget against the philosophy statement or NCAA Constitution. Finally, the plan includes a note on its history, which tracks the evolution of the division’s entire strategic initiatives program.

CONTENTS

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Strategic Positioning Platform Summary...page 4
Strategic Priorities for the Budget Biennium...page 5
NCAA Goals and Related Division III Programs and Objectives...page 9
Budget Justification...page 16
History of the Strategic Plan...page 20
DIVISION III PHILOSOPHY STATEMENT

Colleges and universities in Division III place the highest priority on the overall quality of the educational experience and on the successful completion of all students’ academic programs. They seek to establish and maintain an environment in which a student-athlete’s athletics activities are conducted as an integral part of the student-athlete’s educational experience, and an environment that values cultural diversity and gender equity among their student-athletes and athletics staff.

To achieve this end, Division III institutions:

(a) Expect that institutional presidents and chancellors have the ultimate responsibility and final authority for the conduct of the intercollegiate athletics program at the institutional, conference and national governance levels;

(b) Place special importance on the impact of athletics on the participants rather than on the spectators and place greater emphasis on the internal constituency (e.g., students, alumni, institutional personnel) than on the general public and its entertainment needs;

(c) Shall not award financial aid to any student on the basis of athletics leadership, ability, participation or performance;

(d) Primarily focus on intercollegiate athletics as a four-year, undergraduate experience;

(e) Encourage the development of sportsmanship and positive societal attitudes in all constituents, including student-athletes, coaches, administrative personnel and spectators;

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(i) Assure that student-athletes are supported in their efforts to meaningfully participate in nonathletic pursuits to enhance their overall educational experience;

(j) Assure that athletics programs support the institution’s educational mission by financing, staffing and controlling the programs through the same general procedures as other departments of the institution. Further, the administration of an institution’s athletics program (e.g., hiring, compensation, professional development, certification of coaches) should be integrated into the campus culture and educational mission;

(k) Assure that athletics recruitment complies with established institutional policies and procedures applicable to the admission process;

(l) Exercise institutional and/or conference autonomy in the establishment of initial and continuing eligibility standards for student-athletes;

(m) Assure that academic performance of student-athletes is, at a minimum, consistent with that of the general student body;

(n) Assure that admission policies for student-athletes comply with policies and procedures applicable to the general student body.

(o) Provide equitable athletics opportunities for males and females and give equal emphasis to men’s and women’s sports;

(p) Support ethnic and gender diversity for all constituents;

(q) Give primary emphasis to regional in-season competition and conference championships; and

(r) Support student-athletes in their efforts to reach high levels of athletics performance, which may include opportunities for participation in national championships, by providing all teams with adequate facilities, competent coaching and appropriate competitive opportunities.

The purpose of the NCAA is to assist its members in developing the basis for consistent, equitable competition while minimizing infringement on the freedom of individual institutions to determine their own special objectives and programs. The above statement articulates principles that represent a commitment to Division III membership and shall serve as a guide for the preparation of legislation by the division and for planning and implementation of programs by institutions and conferences.
Division III Positioning Statement

Follow your passions and discover your potential. The college experience is a time of learning and growth – a chance to follow passions and develop potential. For student-athletes in Division III, all of this happens most importantly in the classroom and through earning an academic degree. The Division III experience provides for passionate participation in a competitive athletic environment, where student-athletes push themselves to excellence and build upon their academic success with new challenges and life skills. And student-athletes are encouraged to pursue the full spectrum of opportunities available during their time in college. In this way, Division III provides an integrated environment for student-athletes to take responsibility for their own paths, follow their passions and find their potential through a comprehensive educational experience.

Division III Attributes

Proportion: Appropriate relation of academics with opportunities to pursue athletics & other passions.
Passion: Playing for the love of the game, competition, fun and self-improvement.
Responsibility: Development of accountability through personal commitment and choices.
Sportsmanship: Fair and respectful conduct toward all participants and supporters.
Citizenship: Dedication to developing responsible leaders and citizens in our communities.

Reasons to Believe

1. Comprehensive educational experience. Division III institutions develop student-athlete potential through a holistic educational approach that includes rigorous academics, competitive athletics and opportunity to pursue other interests and passions.
2. Integrated campus environment. Approximately twenty percent of all students at Division III institutions participate in athletics. Those participating in athletics are integrated into the campus culture and educational missions of their colleges or universities.
3. Academic focus. Student-athletes most often attend a college or university in Division III because of the excellent academic programs, creating a primary focus on learning and achievement of their degree.
4. Available financial aid. Three-quarters of all student-athletes in Division III receive some form of grant or non-athletic scholarship. Student-athletes have equal opportunity and access to financial aid as the general student body – but are not awarded aid based on athletics leadership, ability, performance or participation.
5. Competitive athletic programs. Student-athletes do not receive any monetary incentive (athletics scholarship) to play sports in college. They play for the love and passion of the game and to push themselves to be their best, creating an intense, competitive athletics environment for all who participate.
6. National championship opportunities. Division III has over 185,000 student-athletes competing annually in 28 Division III and nine national collegiate championships. These competitions provide an opportunity for student-athletes to compete at the highest level and fulfill their athletic potential.
7. Commitment to athletics participation. Division III institutions are committed to a broad-based program of athletics because of the educational value of participation for the student-athlete. The division has a higher number and wider variety of athletic opportunities on average than any other division in the NCAA, emphasizing both competitive men’s and women’s sports.
NOTE: Text in red highlights a new initiative.

DIVISION III STRATEGIC PRIORITIES FOR 2015-17 BUDGET BIENNIUM [Year 2: 2016-17]

1. Clarify the Values of Division III athletics.
   - Communicate the distinct Division III philosophy as articulated in the Strategic Positioning Platform.
     - Clarify the role and purpose of Division III as a conscious alternative to the sport-specialization youth culture, and as an accessible and fulfilling educational and athletics destination.
     - Distribute and discuss the Recruiting Working Group’s resource to assist institutions make recruiting more efficient and effective.
     - Emphasize a fuller, more integrated academic experience as the primary goal and consideration in all divisional endeavors.
     - Continue to communicate the Division III philosophy, attributes, and strategic plan to high school prospective student-athletes, parents and high school Admissions counselors. Enhance use of the coaches’ mobile website and other Division III social media. Enhance visibility with monthly exposure in the national high school athletics administrator e-newsletter; quarterly exposure in the NCAA Eligibility Center’s e-newsletter; and use of an Association-wide comparative divisional summary chart to serve as a recruiting resource for high school guidance counselors; athletics administrators; coaches; parents; and prospective student-athletes.
     - Support the Eligibility Center’s transition to a free registration process for Division III prospective student-athletes.
     - Support significant participation and continued growth in membership activation of Division III Identity Initiatives, specifically including Division III week.
     - Continue to grow the strategic partnership with Special Olympics. Improve the activity reporting program to better tell the partnership’s story from a division-wide perspective. Maintain the Monthly Spotlight Poll that recognizes Special Olympic events on member campuses and conference offices.
     - Added a part-time staff member to specifically oversee and enhance the division’s communication efforts, including social media platforms and website management.
   - Support integration activities that bring together key institutional and/or conference partners to discuss ways each institution (and the conference as a group) might best support the integration of athletics within the campus environment, consistent with the division’s unique philosophy, identity and strategic positioning platform.
   - Maintain and enhance the partnership with the College Sports Information Directors Association (CoSIDA).
     - Continue to support the growth of sports information directors (SIDs) as strategic communicators, advance the messages of the Division III platform, and communicate the story of Division III at the local level. Continue to provide professional development funding and opportunities for SIDs. Provide grants to women and ethnic minorities to attend Division III Day in order to diversify the athletics communication profession.
     - Continue to support the Division III-specific Academic All-America program.
     - Maintain the partnership with CoSIDA in the incorporation of Division III Day, a one-day workshop in conjunction with the annual CoSIDA/NACDA Convention.
     - Re-introduce a quarterly recognition award for directors of athletics communication to recognize the most outstanding written or video work that tells the Division III story.
     - Update the Sports Information Director resource and best practices guide for all Division III institutions and conferences as needed.
• Strengthen the advocacy of Division III faculty for the values of the athletics experience.
  o Enhance the Faculty Athletic Representative (FAR) Fellows Institute by offering professional development training to FARs. Further provide tools to communicate the Division III story to campus and conference peers and enhance the level of engagement of FARs across the division.
  o Provide professional development and networking opportunities for FARs at the annual NCAA Convention.
  o Re-engage FARs by conducting a membership survey, creating a working group, collaborating with conference commissioners and producing a best practices resource.

• Emphasize the values of Division III in order to effectively manage its membership growth. Partner with Divisions I and II to accomplish this membership growth management on behalf of the entire Association.

• Create and implement in-person, full-day orientation programs for new athletics directors and commissioners to assist these individuals in understanding and promoting the division.

2. Appropriately Leverage Presidential and Athletics Direct Reports Leadership in the Division III Governance Structure.

• Continue to selectively forward issues of presidential importance to the division’s presidents. Improve and pursue full conference participation in the President’s Advisory Group and significant NCAA Convention attendance. Enhance Division III specific presidential programming at the NCAA Convention.

• Promote the Chancellors and Presidents Outreach Program to educate and engage all Division III chancellors and presidents.

• Enhance communication between the NCAA and the individual overseeing athletics (Athletics Direct Reports) on campus.
  o Effectively engage and educate the athletics direct report and leverage the membership of these individuals serving in the governance structure.
  o Enhance communications with athletic direct reports. Distribute and discuss the working group’s best practices resource - “8 Tips to Improve Effectiveness”.
  o Continue to plan and implement the ADR Institute, a day and half professional development opportunity held in conjunction with the annual NCAA Convention.
  o Build external partnerships. Do not rely solely on presidents attending the NCAA Convention; send NCAA representatives to existing higher education meetings for presidents.
  o Work with the Division III Commissioners Association (D3CA) to develop and distribute best practices to enhance presidential - commissioner communication at the conference level.

3. Ensure the Division is Effectively Managing Diversity and Inclusion Issues.

• Partner with the Office of Inclusion, Student-Athlete Leadership staff and the Minority Opportunities Athletic Association (MOAA) to review the objectives and establish meaningful goals for the division’s programs supporting equity and inclusion.
  o Continue the Institute for Administrative Advancement, which provides programming for mid-level administrators of color.
• Partner with Division III conferences and institutions to support innovative programs that promote inclusion. Maintain the monthly Diversity Spotlight that recognizes an institution or conference office’s diversity program or initiative each month.

• Partner with the High School Federation to create a system to track the diversity of high school student-athletes.

• Collaborate with the Office of Inclusion to develop programming for LGBTQ students.

• Establish strategies to increase and diversify the pool of candidates for Division III committee service and membership job searches.

  • In coordination with the Office of Inclusion and Student-Athlete Leadership, maintain the division’s database of all women and ethnic minorities that have participated in an NCAA program (e.g. women and ethnic minority internship; strategic matching alliance; Institute for Administrative Advancement). The database is shared with Presidents and Athletics Directors who are looking to diversify their applicant pools.

  • Continue to send out a quarterly Diversity and Inclusion newsletter.

  • Continue to promote committee service to women and ethnic minorities within the division.

  • Develop a partnership with the recently created Advocates for Athletic Equity, formerly known as the Black Coaches Association.

• Maintain an existing working group to evaluate the current diversity and inclusion landscape within Division III, evaluate current initiatives and propose the following next steps: develop a hiring best practices, develop a Senior Woman Administrator professional development program, maintain a program for ethnic minority students to attend the annual NCAA Convention, and provide additional grant funding, upon request, to conference offices that provide programming or internships for women and ethnic minorities.

4. Enhance the Well-Being of Prospects, Student-Athletes and Staff.

• Maintain and enhance 360 Proof, a web-based, evidence-informed and free alcohol and other drug resource, for Division III and NASPA small college institutions.

• Continue to partner with the Sport Science Institute (SSI) regarding priority health and safety issues. Provide Division III representatives for on-going sport specific summits (e.g. basketball, lacrosse). Provide constant and consistent communication with the membership regarding new SSI inter-association guidelines and best practices.

• Support the Division III Sportsmanship and Game Environment working group’s on-going examination and enhancement of the Division III sportsmanship and game environment initiatives. Further, to identify best practices in this area and provide tools and resources for member institutions and conferences.

• Continue to create specific programming for student-athletes attending the annual Convention to help better engage and educate them on the Division III philosophy.
5. **Promote the Division III Philosophical Principle that Student-Athletes’ Academic Performance is, at a minimum, consistent with that of the General Student Body.**

- Continue to sponsor a regular and representative academic reporting program to compare the academic success of student-athletes and the general student body. Continue to emphasize the academic success of Division III student-athletes as compared to other students.
- Focus on graduation rates of teams and demographic groups that are lower than their counterparts who do not participate in intercollegiate athletics.

6. **Enhance Formal Accountability of the Governance Structure.**

- *Monitor a performance scorecard for regular accountability of key Division III programs.*

- *Monitor the formal performance metrics for the governance structure staff liaisons.*

- Continue to implement through Management Council Playing and Practice Seasons Subcommittee a two-year review of the division’s playing and practice seasons with particular attention to nontraditional segments, contest exemptions and the length of playing seasons. Deliverables include proposed models to discuss and garner feedback during the 2016 Issues Forum at Convention. Possible legislative initiatives at the 2017 Convention include standardization of contest exemptions and a voluntary two-season model for baseball.

- Implement two educational videos to assist with committee service – one for committee liaisons and the other for committee members.

- Continue to monitor the enrollment and retention challenges within higher education and the unique challenges affecting the Division III membership.

- Plan and implement a Conference Rules Seminar (CRS) for the summer of 2017 in New England to provide a more regionalized compliance educational opportunity for active Division III member institutions and conferences.

7. **Maintain a Balanced Budget.**

- Develop a divisional biannual operating budget for 2015-17 and beyond that presents policy goals and program preferences that are fiscally responsible and sustainable.

- Develop legislation to increase divisional membership dues to help offset annual operating and travel championships costs.

- Develop models to address the long-term use of the budget’s surplus (beyond the mandated reserve), including potential effects of the Association’s new 2024-2032 broadcast agreement extension.

- Continue to monitor championships policies such as per diem; travel party sizes; and bracket size expansion.

- Annually publish the division’s budget summary facts and figures to maintain the highest level of transparency with the membership.
Appendix A

NCAA Association Wide Goals and Related

Division III Programs and Objectives
**Association Wide Goal 1: Athletics as Integral to Higher Education. Student-athletes will be better educated and prepared for increased and lifelong achievement and success.**

**Objectives**
- Increase support of reform efforts that emerge from the governance structure.
- Increase the number of student-athletes who succeed academically.
- Increase opportunities for student-athletes to integrate their academic, athletics and social interests.
- Enhance the leadership role of athletics administrators and increase the role of coaches as advocates for the values of intercollegiate athletics.

<table>
<thead>
<tr>
<th>Division III Programs and Initiatives</th>
<th>Desired Outcomes</th>
<th>Resource Allocation from Division III Budget</th>
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<tbody>
<tr>
<td>Conference visits by Presidents Council, Management Council, Student-Athlete Advisory Committee (SAAC) members and staff.</td>
<td>Improve communication between and among governance structure and membership as evidenced by a satisfaction survey.</td>
<td>Association-wide funding</td>
</tr>
<tr>
<td><strong>Strategic Initiatives Conference Grant Program: Tier Two Integration activities.</strong></td>
<td>All institutions will access strategic initiative conference grant dollars over a four-year period, and all conferences will optimally use the full allocation of funds each year. The integration activities should bring together key conference partners to discuss ways each school (and the conference as a group) might best support the integration concept, consistent with the division’s unique philosophy, identity and Strategic Positioning Platform.</td>
<td>$213,740</td>
</tr>
<tr>
<td><strong>Communication Initiatives: Inform membership of policy issues, governance updates and hot topics.</strong></td>
<td>Conference visits, quarterly presidential updates, monthly athletics updates and periodic educational columns shall be conducted or distributed on a regular schedule.</td>
<td>Overhead</td>
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<tr>
<td><strong>Annual Division III Commissioners meeting.</strong></td>
<td>All conferences will be represented annually; commissioners will be provided with the opportunity to discuss governance issues and Division III hot topics. Additional funding is provided through Tier One of the Strategic Initiative Conference Grant Program to supplement a portion the conference’s travel costs.</td>
<td>$20,000</td>
</tr>
<tr>
<td><strong>Student-athlete leadership forums and campus based leadership programming.</strong></td>
<td>At least 80 percent of eligible institutions will participate annually in DIII Student-Athlete Leadership forums, and the participating coaches and administrators will become stronger advocates for the values of Division III intercollegiate athletics.</td>
<td>$365,000</td>
</tr>
<tr>
<td><strong>Strategic Initiatives Conference Grant Program: Tier One Professional Development and SAAC support, Tier Two-Student-Athlete Well Being Initiatives.</strong></td>
<td>All institutions will access strategic initiative conference grant dollars over a four-year period, and all conferences will optimally use the full allocation of funds each year.</td>
<td>$764,980</td>
</tr>
<tr>
<td><strong>National SAAC Outreach.</strong></td>
<td>Celebrate successful campus SAAC community outreach each quarter, engage in community outreach at each National SAAC meeting, and annually educate student-athletes about National Student-Athlete Day and other community initiatives. Support national SAAC’s creation of a short video highlighting the Division III student-athlete experience to be shown at annual campus compliance meetings.</td>
<td>Association-wide funding</td>
</tr>
<tr>
<td><strong>National student-athlete outreach.</strong></td>
<td>Continue to create specific programming for student-athletes attending the annual Convention to help better engage and educate on the Division III philosophy.</td>
<td>$20,000</td>
</tr>
<tr>
<td><strong>Graduation Rate Reporting.</strong></td>
<td>Sponsor a regular and representative graduation rate reporting program to compare student-athletes and nonstudent-athletes using data currently provided to the Association and the Department of Education.</td>
<td>Overhead</td>
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</tbody>
</table>
ASSOCIATION-WIDE GOAL 2: The Student-Athlete Experience. Student-athletes will be enriched by a collegiate athletics experience based on fair and reasonable standards and a commitment to sportsmanship.

Objectives
- Increase the applications of fairer regulations that favor student-athletes.
- Increase the opportunities for women and minorities to participate in intercollegiate athletics at all levels.
- Increase sportmanship in intercollegiate athletics among student-athletes, coaches and fans.

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<tr>
<td>Women and Minority Internship Program</td>
<td>The division will assess the original goals of this program to understand its legacy and to formulate future, long terms goals related to diversity of the athletics administrative and governance structures.</td>
<td>$1,130,000</td>
</tr>
<tr>
<td>Strategic Alliance Matching Grant</td>
<td>The division will assess the original goals of this program to understand its legacy and to formulate future, long term goals related to diversity of the athletics administrative and governance structures.</td>
<td>$708,600</td>
</tr>
<tr>
<td>Strategic Initiatives Conference Grant Program: Tier Two-Diversity/Gender Equity and Sportsmanship Initiatives</td>
<td>All conferences and at least 50% of institutions will engage in programming related to diversity, gender equity and sportsmanship initiatives within each four-year grant cycle.</td>
<td>$426,480</td>
</tr>
<tr>
<td>The Nominating Committee shall annually review the NCAA’s gender and diversity audit and make personal contact with targeted groups to encourage committee service.</td>
<td>Balance membership of Division III committees to ensure the interests of all Division III constituents are represented in the governance structure.</td>
<td>Association-wide funding</td>
</tr>
<tr>
<td>Get in the Game Web site; Requests and Secondary Reports Online.</td>
<td>The staff will maintain an online resource to provide consistent and complete compliance information to student-athletes for the certification of eligibility process.</td>
<td>Association-wide funding; Provisional/Reclassify Membership fees</td>
</tr>
<tr>
<td>Committees will exercise fair decision making when making waiver and interpretive decisions.</td>
<td>Student-athletes will benefit from the receipt of more individual consideration of their issues in the waiver and interpretations process.</td>
<td>Association-wide funding</td>
</tr>
<tr>
<td>Regional Rules Seminars (national program) and Conference Rules Seminar.</td>
<td>Education sessions on Division III rules and regulations will be offered annually. The second Conference Rules Seminar was held in the summer of 2015 and the third will occur in 2017.</td>
<td>Association-wide funding</td>
</tr>
<tr>
<td>Rules Test.</td>
<td>The Membership Committee shall annually make available a clear and fair rules test that all members can access on-line to comply with the condition and obligation of membership to administer the rules test.</td>
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<tr>
<td>Conduct quality championships with fair selection processes and appropriate access.</td>
<td>The Championships Committee will continually assess policies and NCAA legislation related to the championships program including the appropriateness of bracket sizes, regional alignment and select criteria processes.</td>
<td>$21,589,109</td>
</tr>
<tr>
<td>NCAA/NACWAA Institute for Administrative Advancement.</td>
<td>The division will fund professional development opportunities for female athletics administrators in a manner most accommodating to applicant individuals.</td>
<td>$28,000</td>
</tr>
<tr>
<td>Sportsmanship and Game Environment Initiatives.</td>
<td>New initiatives based on recommendations from the Division III Sportsmanship and Game Environment working group, with membership endorsement.</td>
<td>$50,000</td>
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<tr>
<td>Division III Programs and Initiatives</td>
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<tr>
<td>Examine demographic trends and consider whether Division III or Association-wide programming is appropriate to affect change in the division's student-athlete demographic profile.</td>
<td>The percentage of minority individuals in the student-athlete population should be consistent with the percentage of minority individuals in the general student-body.</td>
<td>Association-wide funding</td>
</tr>
<tr>
<td>Conference and Institutional Inclusion and Diversity programming.</td>
<td>Partner with Division III conferences and institutions to support innovative programs that promote inclusion and diversity. (e.g., the NCAA’s Institute for Administrative Advancement, ethnic minority student program at NCAA Convention, SWA professional development and the North Coast Conference’s Branch Rickey Program). In collaboration with the Office of Inclusion, develop programming for LGBTQ students.</td>
<td>$231,000</td>
</tr>
<tr>
<td>Strategic Initiative Conference Grant Program: Tier III Officiating Improvement.</td>
<td>Provide optional funding to conference offices through the Conference Grant Program and encourage support of officiating improvement.</td>
<td>$150,000</td>
</tr>
</tbody>
</table>
Member institutions and conferences will have access to data, research and best practices that assist governance and management of intercollegiate athletics.

Objectives
- Increase opportunities and support for chief executive officers to participate and make more informed decisions about intercollegiate athletics.
- Increase opportunities for member institutions and conferences to share best practices in support of the Association’s core values.
- Increase the number and quality of research initiatives on relevant issues to help member institutions and conferences make informed decisions.
- Increase opportunities for affiliated organizations to provide input for more informed decision-making.
- Enhance hiring practices for administrators, coaches and other athletics personnel, resulting in more inclusive leadership in intercollegiate athletics.

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<tr>
<td>Strategically engage presidents and athletics direct reports in the Division III governance structure</td>
<td>Continue to promote greater strategic focus and more selective legislative engagement by presidents in the Division III governance structure, led by the Presidents Council in consultation with the Presidents and Chancellors Advisory Group (PAG).</td>
<td>Association-wide funding</td>
</tr>
<tr>
<td>Quarterly Presidential Communication.</td>
<td>The chair of the Presidents Council will reach out to all presidents on a quarterly basis via formal correspondence.</td>
<td>Association-wide funding</td>
</tr>
<tr>
<td>Division III Governance Outreach to Affiliates.</td>
<td>Governance structure representatives will engage with affiliates on an issue-specific basis (e.g., higher education association meetings, annual sports chairs and championships committee meeting, and FARA annual meeting, etc...).</td>
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<tr>
<td>Presidential Programming at the NCAA Convention.</td>
<td>Presidential involvement at the NCAA Convention will be enhanced by presidentially-focused programming.</td>
<td>$20,000</td>
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<tr>
<td>Best Practices for Presidential-Commissioner Leadership.</td>
<td>Work with the Division III Commissioners Association (D3CA) to develop and distribute best practices to enhance presidential-commissioner leadership at the conference level.</td>
<td>Overhead</td>
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<tr>
<td>Institutional and Conference Self-Studies (CSSG).</td>
<td>All institutions and conferences will conduct regular reviews with active participation of campus/conference presidents. Presidential involvement shall promote an understanding of institutional control and the primary compliance role of presidents. Institutional reviews shall assess standards on recruiting, admissions, academic eligibility, student services, student-athlete profiles, personnel and a commitment to Division III philosophical priorities. Conference reviews shall include an assessment of conference alignments, values and priorities to support partnerships between conference members.</td>
<td>Overhead</td>
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<tr>
<td>Sports sponsorship and Institutional Self-Study (ISSG) audits.</td>
<td>The Membership Committee’s annual review of member compliance with sports sponsorship requirements and completed Institutional Self-Study instruments to assess compliance with membership criteria and educational needs of the membership. Members placed on probation required to complete an athletics program assessment.</td>
<td>Provisional/Reclassifying membership fees</td>
</tr>
<tr>
<td>Playing and Practices Seasons Comprehensive Review.</td>
<td>Continue to implement through Management Council Playing and Practice Seasons Subcommittee a two-year review of the division’s playing and practice seasons with particular attention to nontraditional segments, contest exemptions and the length of playing seasons. Deliverables include proposed models to discuss and garner feedback during the 2016 Issues Forum. Possible legislative initiatives at the 2017 Convention include standardization of contest exemptions and voluntary baseball two-season model.</td>
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<tr>
<td>360 Proof.</td>
<td>Maintain and enhance 360 Proof, a web-based, evidence-informed and free alcohol and other drug resource for NCAA Division III and NASPA small college member campuses to reduce consequences of alcohol use.</td>
<td>$200,000</td>
</tr>
<tr>
<td><strong>Continually monitor Division III membership size and related access to championship and other services.</strong></td>
<td>The governance structure shall analyze data and collect feedback from institutions to continually develop a growth management strategy for Division III.</td>
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<tr>
<td><strong>Athletics Direct Report (ADR) Institute.</strong></td>
<td>Conduct an annual ADR Institute in conjunction with the annual NCAA Convention. For Division III member institutions that have an ADR reporting structure, ADRs should be consulted for input on key institutional and conference operational and strategic issues facing the athletics program. The Institute will allow for an intentional level of engagement and professional development to assist ADRs in overseeing and managing the athletics department on campus.</td>
<td>$90,000</td>
</tr>
<tr>
<td><strong>Financial Aid Reporting Process.</strong></td>
<td>All institutions will participate in the data-driven reporting process. Greater availability of historical data shall enable increased emphasis on institutional accountability (i.e., enforcement and penalties).</td>
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<tr>
<td><strong>Faculty Athletics Representative (FAR) Fellows Institute.</strong></td>
<td>Conduct a FAR Fellows institute to offer professional development training to and provide FARs with tools to communicate the Division III story to their campus peers and enhance the level of engagement of FARs across the division. Re-engage FARs by conducting a membership survey, creating a working group, collaborating with conference commissioners and producing a best practices resource.</td>
<td>$80,000</td>
</tr>
<tr>
<td><strong>Strategic Initiative Conference Grant Program: Tier IV – Third Party Review.</strong></td>
<td>Continue to provide assistance for conference offices to provide documentation of a third-party external review of grant fund usage to the national office annually.</td>
<td>$16,800</td>
</tr>
<tr>
<td><strong>Programming at the NCAA Convention.</strong></td>
<td>Continue to create specific programming for delegates attending the annual Convention (e.g. educational sessions, Issues Forum, and technology to support all sessions) to help better engage and educate the membership.</td>
<td>$30,000</td>
</tr>
</tbody>
</table>
ASSOCIATION-WIDE GOAL 4: Effective National Office Administration.
The National Office will be operated in an accountable, efficient manner.

**Objectives**
- Increase partnership with the membership. Better define the national office’s role.
- Increase flexibility, responsiveness and efficiency of interpretations, enforcement and appeals processes.
- Increase the timeliness, clarity, conciseness and effectiveness of membership communication.
- Increase use of technology to improve the effectiveness and efficiencies of Association processes.

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<tr>
<td>Implement use of available technology to regularly deliver NCAA messages and rules education.</td>
<td>The governance structure will continually assess common needs and available technologies to increase the timeliness, clarity, conciseness and effectiveness of membership communication.</td>
<td>Overhead</td>
</tr>
<tr>
<td>Partnership with National Association of Division III Athletics Administrators.</td>
<td>The governance structure will provide financial support to the NADIIIAA. National office staff will support this membership-led organization in its professional development offerings.</td>
<td>$52,000</td>
</tr>
<tr>
<td>Educate the membership on the role of the NCAA national office.</td>
<td>Increase membership understanding of the role of the national office by including this information in governance presentations made at Leadership Conferences, Regional Seminars, conference meetings, and other appropriate venues.</td>
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</tr>
<tr>
<td>Conference Contact program.</td>
<td>Continue to service all conferences and ensure new conferences are accommodated according to program guidelines.</td>
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</tr>
<tr>
<td>Strategic Initiative Conference Grant Program: Tier Three - Technology Grants.</td>
<td>Provide funding to conferences offices to upgrade or maintain technical capabilities to access technical platforms used by the NCAA.</td>
<td>$542,500</td>
</tr>
<tr>
<td>New orientation programs to support athletics directors and commissioners.</td>
<td>Create in-person, orientation programs for new athletics directors and commissioners to assist with the knowledge, resources and philosophy of the division.</td>
<td>$60,000</td>
</tr>
</tbody>
</table>
ASSOCIATION-WIDE GOAL 5: Perceptions of the Association and Intercollegiate Athletics.
The public will gain a greater understanding of and confidence in the integrity of intercollegiate athletics and will more readily support its values.

**Objectives**
- Increase awareness of and advocacy for the positive values of intercollegiate athletics among the media and the public and within the membership.
- Increase the public’s confidence in the Association as a whole.

<table>
<thead>
<tr>
<th>Programs and Initiatives</th>
<th>Desired Outcomes</th>
<th>Resource Allocation from Division III Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td>Strategic Initiative Conference Grant Program: Tier Three - Promotions/Marketing/Division III Identity.</td>
<td>Increase opportunities for promotion and marketing efforts on behalf of Division III institutions and conferences, consistent with the messages of the Strategic Positioning Platform.</td>
<td>$272,300</td>
</tr>
<tr>
<td>Division III Identity Initiative.</td>
<td>Clarify and promote the unique Division III philosophy as articulated in the Division’s Strategic Positioning Platform. Enable conferences and institutions to better tell the Division III story to a variety of target audiences. Support the following identity activation initiatives: Division III week, and mobile web site for coaches, national and customizable videos. Re-introduce a quarterly recognition award for directors of athletics communication to recognize the most outstanding written or video work that tells the Division III story.</td>
<td>$360,000</td>
</tr>
<tr>
<td>Special Olympics Partnership.</td>
<td>Continue to grow the strategic partnership with Special Olympics. Maintain Special Olympics events as a signature element of the Division III championships program and continue to encourage campus and conference engagement with local Special Olympics chapters.</td>
<td>$35,000</td>
</tr>
<tr>
<td>Academic All-America Partnership with CoSIDA.</td>
<td>Promote academic success of Division III student-athletes through financial support of a Division III Academic All-America Program.</td>
<td>$44,000</td>
</tr>
<tr>
<td>Strategic Initiative Conference Grant Program: Tier One - Professional development support for Sports Information Directors (SIDs).</td>
<td>Continue to identify new ways to support the growth of SIDs as strategic communicators, advance the messages of the Division III platform, and communicate the story of Division III at the local level. Offer professional development support through the Conference Grant Program, and position support through the Strategic Alliance Matching Grant and Internship Program.</td>
<td>$42,000</td>
</tr>
</tbody>
</table>
Appendix B

Division III Budget Justification
### Projected NCAA Division III 2016-17 Budget Breakdown

<table>
<thead>
<tr>
<th>Revenue:</th>
<th>Division III Institutions....</th>
</tr>
</thead>
<tbody>
<tr>
<td>Division III 3.18% Revenue</td>
<td></td>
</tr>
<tr>
<td>Allocation</td>
<td></td>
</tr>
<tr>
<td>$30,389,760</td>
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</tbody>
</table>

### Division III Philosophy Statement and Constitutional Principles Justifying Funded Programs

<table>
<thead>
<tr>
<th>Income:</th>
<th>Support student-athletes in their efforts to reach high levels of athletics performance, which may include opportunities for participation in national championships, by providing all teams with adequate facilities, competent coaching and appropriate competitive opportunities. (Division III Philosophy Statement – section r)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Championships Expense</td>
<td>Place special importance on the impact of athletics on the participants rather than on the spectators and place greater emphasis on the internal constituency (e.g., students, alumni, institutional personnel) than on the general public and its entertainment needs; (Bylaw 20.11-(b))</td>
</tr>
<tr>
<td>(excluding overhead)</td>
<td>The purpose of the NCAA is to assist its members in developing the basis for consistent, equitable competition while minimizing infringement on the freedom of individual institutions to determine their own special objectives and programs. (Bylaw 20.11)</td>
</tr>
<tr>
<td>Strategic Initiative Conference</td>
<td>Support ethnic and gender diversity for all constituents; (Bylaw 20.11-(p))</td>
</tr>
<tr>
<td>Grant Program</td>
<td>Seek to establish and maintain an environment in which a student-athlete's athletics activities are conducted as an integral part of the student-athlete's educational experience, and in which coaches play a significant role as educators. (Bylaw 20.11)</td>
</tr>
<tr>
<td>Conference Commissioners/SID</td>
<td>Seek to establish and maintain an environment in which a student-athlete's athletics activities are conducted as an integral part of the student-athlete's educational experience, and in which coaches play a significant role as educators. (Bylaw 20.11)</td>
</tr>
<tr>
<td>Meeting</td>
<td>Intercollegiate athletics programs shall be conducted in a manner designed to protect and enhance the physical and educational well-being of student-athletes. (Constitution 2.2)</td>
</tr>
<tr>
<td>Conference Rules Seminar</td>
<td></td>
</tr>
<tr>
<td>Association-wide</td>
<td></td>
</tr>
<tr>
<td>NACWAA Enhancement Grants</td>
<td>Support ethnic and gender diversity for all constituents; (Bylaw 20.11-(p))</td>
</tr>
<tr>
<td>Division-wide Sportsmanship</td>
<td>Support ethnic and gender diversity for all constituents; (Bylaw 20.11-(p))</td>
</tr>
<tr>
<td>Initiative</td>
<td>Support ethnic and gender diversity for all constituents; (Bylaw 20.11-(p))</td>
</tr>
<tr>
<td>Strategic Alliance Matching Grant</td>
<td>Seek to establish and maintain an environment in which a student-athlete's athletics activities are conducted as an integral part of the student-athlete's educational experience, and in which coaches play a significant role as educators. (Bylaw 20.11)</td>
</tr>
<tr>
<td>Women &amp; Minority Intern Program</td>
<td></td>
</tr>
<tr>
<td>Campus Based Student-Athlete</td>
<td></td>
</tr>
<tr>
<td>Leadership Programming</td>
<td></td>
</tr>
<tr>
<td>FAR Fellows Institute</td>
<td>Colleges and universities in Division III place highest priority on the overall quality of the educational experience and on the successful completion of all students' academic programs. They seek to establish and maintain an environment in which a student-athlete's athletics activities are conducted as an integral part of the student-athlete's educational experience (preamble to philosophy statement)</td>
</tr>
</tbody>
</table>

### Expenses:

<table>
<thead>
<tr>
<th>Income:</th>
<th>Support student-athletes in their efforts to reach high levels of athletics performance, which may include opportunities for participation in national championships, by providing all teams with adequate facilities, competent coaching and appropriate competitive opportunities. (Division III Philosophy Statement – section r)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Championships Expense</td>
<td>Place special importance on the impact of athletics on the participants rather than on the spectators and place greater emphasis on the internal constituency (e.g., students, alumni, institutional personnel) than on the general public and its entertainment needs; (Bylaw 20.11-(b))</td>
</tr>
<tr>
<td>(excluding overhead)</td>
<td>The purpose of the NCAA is to assist its members in developing the basis for consistent, equitable competition while minimizing infringement on the freedom of individual institutions to determine their own special objectives and programs. (Bylaw 20.11)</td>
</tr>
<tr>
<td>Strategic Initiative Conference</td>
<td>Support ethnic and gender diversity for all constituents; (Bylaw 20.11-(p))</td>
</tr>
<tr>
<td>Grant Program</td>
<td>Seek to establish and maintain an environment in which a student-athlete's athletics activities are conducted as an integral part of the student-athlete's educational experience, and in which coaches play a significant role as educators. (Bylaw 20.11)</td>
</tr>
<tr>
<td>Conference Commissioners/SID</td>
<td>Support ethnic and gender diversity for all constituents; (Bylaw 20.11-(p))</td>
</tr>
<tr>
<td>Meeting</td>
<td>Support ethnic and gender diversity for all constituents; (Bylaw 20.11-(p))</td>
</tr>
<tr>
<td>Conference Rules Seminar</td>
<td>Support ethnic and gender diversity for all constituents; (Bylaw 20.11-(p))</td>
</tr>
<tr>
<td>Association-wide</td>
<td>Support ethnic and gender diversity for all constituents; (Bylaw 20.11-(p))</td>
</tr>
<tr>
<td>Projected NCAA Division III 2016-17 Budget Breakdown</td>
<td>Division III Philosophy Statement and Constitutional Principles Justifying Funded Programs</td>
</tr>
<tr>
<td>-----------------------------------------------------</td>
<td>---------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td><strong>Expenses, continued:</strong></td>
<td></td>
</tr>
<tr>
<td>Division III Academic All-America (CoSIDA)</td>
<td>Colleges and universities in Division III place highest priority on the overall quality of the educational experience and on the successful completion of all students’ academic program (preamble to philosophy statement).</td>
</tr>
<tr>
<td>Other Working Groups/Task Forces</td>
<td>This initiative exists to provide opportunities for working groups/tasks forces in the future.</td>
</tr>
<tr>
<td>Identity Initiatives</td>
<td>This initiative is reflective of the entire Division III Philosophy Statement (Bylaw 20.11).</td>
</tr>
<tr>
<td>Special Olympics Partnership</td>
<td>Institutions seek to establish and maintain an environment in which a student-athlete’s athletics activities are conducted as an integral part of the student-athlete’s educational experience (preamble to philosophy statement).</td>
</tr>
<tr>
<td>Inclusion and Diversity Partnership</td>
<td>Support ethnic and gender diversity for all constituents; (Bylaw 20.11-(l))</td>
</tr>
<tr>
<td>Division III Financial Recovery Insurance (event cancelation coverage)*</td>
<td>Intercollegiate athletics programs shall be administered in keeping with prudent management and fiscal practices to assure the financial stability necessary for providing student-athletes with adequate opportunities for athletics competition as an integral part of a quality educational experience. (Constitution 2.16)</td>
</tr>
<tr>
<td>Voluntary Grad Rate Reporting Stipend</td>
<td>Honorarium for institutions that submit data for the voluntary graduation rate reporting program to compare student-athletes and nonstudent-athletes using data currently provided to the Association and the Department of Education.</td>
</tr>
<tr>
<td>Convention Programming including specific student-athlete programs</td>
<td>Continue to create specific programming for student-athletes attending the annual Convention to help better engage and educate on the Division III philosophy.</td>
</tr>
<tr>
<td>Athletics Direct Report (ADR) Institute</td>
<td>Conduct an inaugural ADR Institute in 2016 in conjunction with the annual NCAA Convention. For Division III member institutions that have an ADR reporting structure, ADRs should be consulted for input on key institutional and conference operational and strategic issues facing the athletics program. The Institute will allow for an intentional level of engagement and professional development to assist ADRs in overseeing and managing the athletics department on campus.</td>
</tr>
<tr>
<td>New Athletics Director Orientation</td>
<td>Create in-person, orientation programs for new athletics directors to assist with the knowledge, resources and philosophy of the division.</td>
</tr>
<tr>
<td>New Commissioner Orientation</td>
<td>Create in-person, orientation programs for new commissioners to assist with the knowledge, resources and philosophy of the division.</td>
</tr>
<tr>
<td>Additional SAAC in-person meeting</td>
<td>A planning meeting for the Division III national SAAC committee. At this meeting, SAAC provides an orientation for new members and sets its goals and objectives for the year.</td>
</tr>
<tr>
<td>NADIII A and D3CA leadership meeting</td>
<td>The executive leadership groups from NADIII A and D3CA come to Indianapolis in Sept. to discuss current hot topics in Division III and plan communication strategies for the upcoming year.</td>
</tr>
<tr>
<td>Other Division III Initiatives</td>
<td>This includes contracting costs, as well as money earmarked to support future initiatives.</td>
</tr>
<tr>
<td>Overhead Allocation (including National Office staffing)**</td>
<td>N/A</td>
</tr>
<tr>
<td><strong>Total Division III Expenses</strong></td>
<td>$29,256,709</td>
</tr>
</tbody>
</table>

* The $5 million event cancellation insurance protects the budget in case of a catastrophic event that would reduce or eliminate, for one year, the division’s share of Association-wide revenue.

**The $1,412,000 overhead fee covers time and miscellaneous expenses related to Division III staff and programs.
Appendix C

History of the

Division III Strategic Plan
History

The original Division III strategic plan was unveiled in 1998 as the division embarked on its new federated structure. The Division III Management Council Strategic Planning Subcommittee developed the framework for the plan with broad participation of the Presidents Council, Division III and Association-wide committee structure, and the Division III membership. The 2004 version of the Division III Strategic Plan was developed by a joint subcommittee of the Management and Presidents Councils consistent with the Association-wide Strategic Plan adopted by the NCAA Executive Committee in April, 2004. The 2004 plan contained many of the existing initiatives and priorities to ensure that the programs and results remain consistent with Division III objectives. However, significant changes occurred as the 2005 and 2006 plans linked the relationship to the Division III philosophy with each Association-wide goal and outlined a series of outcome measures for each goal. Another significant change occurred in 2006-07 as the Division III Strategic Initiatives Grant Program resources were funneled directly to Division III Conferences and the Association of Independents. With this change, many strategic initiatives previously administered at the NCAA national office moved to the local control of conferences and institutions. The localized program encourages collaboration and involvement of all Division III constituent group representatives in the planning, decision-making and accountability of programming and funding to achieve the goals established in the Division's Strategic Plan. Presidential oversight and accountability with the process and budget allocations, consistent with the legislated leadership role of presidents within conference governance, is paramount.

In 2008-09, the strategic plan underwent a format change to create a forward looking document that highlights the goals and expectations of a budget biennium. Much of the reporting done in previous plans was moved into a Division III Annual Report, and standard committee operations are now reflected in each committee's policy and procedure guide (available on each committee's home page on ncaa.org). The plan clearly articulates the division's funding priorities, and explains when an initiative is funded by Division III, and when it is funded by a broader Association-wide budget. The plan also includes an appendix to show the philosophical or constitutional justification for all programs funded with Division III dollars.

In 2009-10, the plan was updated to highlight the division’s near-term strategic priorities. Most 2009-10 priorities resulted from a series of presidentially authored white papers on membership growth published in September 2008. For 2010-12, the plan was updated based on the Division’s release of a Strategic Positioning Platform, and clearly defined the near- and medium-term goals the division needed to accomplish to be successful in embodying the platform.

For 2012-15, the plan was updated to reflect the Association’s move to a three-year budget cycle.

With the 2015-17 budget, the plan returns to a two-year budget cycle and emphasizes budget accountability and management to address recent championships budget overages.
### Year-to-date Difference

<table>
<thead>
<tr>
<th></th>
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<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Division III 3.18% Revenue Allocation</td>
<td>26,342,000</td>
<td>28,316,136</td>
<td>27,177,000</td>
</tr>
<tr>
<td>Division III Other Revenue</td>
<td>-42,170</td>
<td>19,741,136</td>
<td>25,939,760</td>
</tr>
<tr>
<td>Total Revenue</td>
<td>26,342,000</td>
<td>28,338,306</td>
<td>27,177,000</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Men's Championships</td>
<td>1,821,330</td>
<td>1,970,795</td>
<td>1,984,490</td>
</tr>
<tr>
<td>Women's Championships</td>
<td>784,810</td>
<td>1,055,412</td>
<td>1,053,002</td>
</tr>
<tr>
<td>Total Championships Expense</td>
<td>20,795,780</td>
<td>21,830,206</td>
<td>21,795,071</td>
</tr>
</tbody>
</table>

### Updated: 7/6/2016 2:20 PM

http://intra.ncaa.org/sites/gov/DIIICommittees/02ManagementCouncil/2016Meetings/JulyCopy_of_15-16DIIBudgettoActualSummary_Thu6.06.16at"DII_BtoA_Champ"tab

Updated: 7/6/2016 2:20 PM
## 2015-16 Division III Budget-to-Actual (Thru June 2016)

### PRELIMINARY

#### Expenses (continued):

- **Non-Championship Expenses**
- **Strategic Initiative Conference Grants**
  - Budget: 2,490,900
  - Actual: 2,482,953
  - Difference: 7,947
- **Other Division III Strategic Initiatives**
  - Women & Minority Intern Program
    - Budget: 820,000
    - Actual: 820,642
  - Strategic Alliance Matching Grant
    - Budget: 670,000
    - Actual: 542,746
  - Division III Identity Program
    - Budget: 600,500
    - Actual: 401,539
  - Student-Athlete Leadership Conference
    - Budget: 356,000
    - Actual: 332,555
  - DIII Diversity Initiatives
    - Budget: 50,000
    - Actual: 50,000
  - 360 Proof (formerly Drug Education and Research)
    - Budget: 380,000
    - Actual: 637,349
  - FAR Institute
    - Budget: 85,000
    - Actual: 71,410
  - Campus-based Student-Athlete Leadership Programs
    - Budget: 80,000
    - Actual: 65,653
  - ADR Institute
    - Budget: -
    - Actual: -
  - Annual Convention
    - Budget: 35,000
    - Actual: 50,248
  - Division III Event Cancellation Insurance
    - Budget: 109,000
    - Actual: 109,000
  - NAD3AA Partnership
    - Budget: 51,000
    - Actual: 51,967
  - New AD and Commissioner Orientation
    - Budget: -
    - Actual: -
  - Division-wide Sportsmanship Initiative
    - Budget: 15,000
    - Actual: 17,500
  - Co-SIDA Partnership
    - Budget: 44,000
    - Actual: 43,061
  - Special Olympics Partnership
    - Budget: 50,000
    - Actual: 28,000
  - SWA Enhancement Grant Program (NACWAA/HERS)
    - Budget: 26,000
    - Actual: 28,667
  - Academic Reporting Honorarium
    - Budget: 25,000
    - Actual: 24,797
  - Other Working Groups
    - Budget: 21,000
    - Actual: 20,877
  - Conference Commissioners Meeting
    - Budget: 15,000
    - Actual: 19,136
  - Additional Spring In-Person SAAC Meeting
    - Budget: 35,000
    - Actual: 12,316
  - Miscellaneous Division III Initiatives
    - Budget: 25,000
    - Actual: 1,125
  - Sickle Cell Trait Testing Reimbursement Program
    - Budget: 220,000
    - Actual: 8,271
  - Exploratory/Provisional Membership
    - Budget: -
    - Actual: -

#### Total Non-Championship Expenses

- Budget: 7,267,500
- Actual: 6,979,699
- Difference: 267,801

### Total Division III Expenses

- Budget: 28,063,280
- Actual: 28,727,905
- Difference: 664,625

### Excess Revenue over Expense

- Budget: (1,721,280)
- Actual: (369,599)
- Difference: (342,400)

### Add: Previous Year's Fund Balance (Unallocated/Unused Funds)

- Budget: 18,680,153
- Actual: 18,680,153
- Difference: -

### Total Fund Balance (Funds Available for Reserve/Future Use)

- Budget: 16,958,873
- Actual: 18,310,554
- Difference: 3,351,681

### Add: Event Cancellation Insurance Policy

- Budget: 10,000,000
- Actual: 5,000,000
- Difference: -

### Less: Mandated Reserve Funds Needed (Note 1)

- Budget: (11,073,600)
- Actual: (13,558,500)
- Difference: -

### Total Funds Available for Contingency/Future Use

- Budget: 15,885,273
- Actual: 9,152,486
- Difference: -

### Less: Encumbered for future year programs (Note 2)

- Budget: 602,080
- Actual: -
- Difference: -

### Less: Championships contingency

- Budget: -
- Actual: -
- Difference: -

### Total Division III Projected Unallocated Funds

- Budget: 16,487,393
- Actual: 9,152,486
- Difference: -
<table>
<thead>
<tr>
<th></th>
<th>2013-14 Budget</th>
<th>2014-15 Budget</th>
<th>2015-16 Budget</th>
<th>2016-17 Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Revenue:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Division III 3.18% Revenue Allocation</td>
<td>26,342,000</td>
<td>27,117,000</td>
<td>28,543,863</td>
<td>29,695,153</td>
</tr>
<tr>
<td>Division III Other Revenue</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td><strong>Total Revenue</strong></td>
<td>26,342,000</td>
<td>27,117,000</td>
<td>28,543,863</td>
<td>29,695,153</td>
</tr>
<tr>
<td><strong>Expenses:</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Championship Expenses</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Men's Championships</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Baseball</td>
<td>1,821,330</td>
<td>1,825,000</td>
<td>1,891,000</td>
<td>1,963,071</td>
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<tr>
<td>Basketball</td>
<td>874,810</td>
<td>959,900</td>
<td>997,000</td>
<td>1,039,241</td>
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<tr>
<td>Cross Country</td>
<td>569,530</td>
<td>519,000</td>
<td>541,000</td>
<td>560,320</td>
</tr>
<tr>
<td>Football</td>
<td>1,625,070</td>
<td>1,747,000</td>
<td>1,820,000</td>
<td>1,906,585</td>
</tr>
<tr>
<td>Golf</td>
<td>512,840</td>
<td>523,000</td>
<td>541,000</td>
<td>563,541</td>
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<tr>
<td>Ice Hockey</td>
<td>349,090</td>
<td>303,000</td>
<td>316,000</td>
<td>340,417</td>
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<tr>
<td>Lacrosse</td>
<td>493,280</td>
<td>431,000</td>
<td>446,000</td>
<td>480,824</td>
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<tr>
<td>Soccer</td>
<td>1,143,870</td>
<td>1,008,000</td>
<td>1,046,000</td>
<td>1,101,694</td>
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<tr>
<td>Swimming &amp; Diving</td>
<td>544,160</td>
<td>496,000</td>
<td>513,000</td>
<td>531,072</td>
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<tr>
<td>Tennis</td>
<td>575,220</td>
<td>592,000</td>
<td>615,000</td>
<td>631,301</td>
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<tr>
<td>Track, Indoor</td>
<td>478,050</td>
<td>546,000</td>
<td>569,000</td>
<td>625,267</td>
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<td>Track, Outdoor</td>
<td>716,650</td>
<td>744,000</td>
<td>771,000</td>
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<td>Volleyball</td>
<td>252,320</td>
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<td>Wrestling</td>
<td>312,720</td>
<td>367,500</td>
<td>381,000</td>
<td>391,355</td>
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<tr>
<td>Championship Other</td>
<td>15,000</td>
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<td>-</td>
<td>-</td>
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<tr>
<td>Total Men's Championships</td>
<td>10,283,940</td>
<td>10,225,600</td>
<td>10,614,000</td>
<td>11,110,363</td>
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<tr>
<td>Women's Championships</td>
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</tr>
<tr>
<td>Basketball</td>
<td>988,640</td>
<td>1,250,900</td>
<td>1,504,000</td>
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</tr>
<tr>
<td>Cross Country</td>
<td>543,870</td>
<td>538,000</td>
<td>561,000</td>
<td>581,323</td>
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<tr>
<td>Field Hockey</td>
<td>429,240</td>
<td>416,500</td>
<td>431,000</td>
<td>447,830</td>
</tr>
<tr>
<td>Golf</td>
<td>287,580</td>
<td>311,000</td>
<td>321,000</td>
<td>333,377</td>
</tr>
<tr>
<td>Ice Hockey</td>
<td>268,040</td>
<td>273,000</td>
<td>286,000</td>
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<td>Lacrosse</td>
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<td>Swimming &amp; Diving</td>
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<td>Tennis</td>
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<td>Track, Indoor</td>
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<td>Track, Outdoor</td>
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<td>Volleyball</td>
<td>991,730</td>
<td>963,000</td>
<td>999,000</td>
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<td>Championship Other</td>
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<td>Total Women's Championships</td>
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<td>9,785,400</td>
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<td><strong>Championships Expense</strong></td>
<td>20,374,230</td>
<td>20,011,000</td>
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<td>333,000</td>
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<td><strong>Total Championships Expense</strong></td>
<td>20,795,780</td>
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### Expenses (continued):

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<td>Non-Championship Expenses</td>
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<td>Strategic Initiative Conference Grants</td>
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<td>Other Division III Strategic Initiatives</td>
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<td>Women &amp; Minority Intern Program</td>
<td>820,000</td>
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<td>Strategic Alliance Matching Grant</td>
<td>670,000</td>
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<td>Student-Athlete Leadership Conference</td>
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<td>Division III Identity Program</td>
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<td>DIII Diversity Initiatives</td>
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<td>360 Proof (formerly Drug Education and Research)</td>
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<td>ADR Institute</td>
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<td>Campus-based Student-Athlete Leadership Programs</td>
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<td>FAR Institute</td>
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<td>Annual Convention</td>
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<td>New AD and Commissioner Orientation</td>
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<td>NAD3AA Partnership</td>
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<td>Division-wide Sportmanship Initiative</td>
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<td>Co-SIDA Partnership</td>
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<td>Division III Event Cancellation Insurance</td>
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<td>55,000</td>
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<td>Special Olympics Partnership</td>
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<td>SWA Enhancement Grant Program (NACWAA/HERS)</td>
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<td>Academic Reporting Honorarium</td>
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<td>Conference Commissioners Meeting</td>
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<td>20,000</td>
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<td>Other Working Groups</td>
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<td>21,000</td>
<td>16,000</td>
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<td>Additional Spring In-Person SAAC Meeting</td>
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<td>35,000</td>
<td>15,000</td>
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<td>Administrator and Commissioner Meeting (NADIII/A and D3CA)</td>
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<td>-</td>
<td>-</td>
<td>10,000</td>
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<tr>
<td>Miscellaneous Division III Initiatives</td>
<td>25,000</td>
<td>25,000</td>
<td>10,000</td>
<td>4,000</td>
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<tr>
<td>Sickle Cell Trait Testing Reimbursement Program</td>
<td>220,000</td>
<td>-</td>
<td>-</td>
<td>-</td>
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<tr>
<td>Non-Championships Expense</td>
<td>6,203,400</td>
<td>6,203,400</td>
<td>5,988,000</td>
<td>6,255,600</td>
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<tr>
<td>Overhead Allocation</td>
<td>1,064,100</td>
<td>920,000</td>
<td>957,000</td>
<td>1,069,000</td>
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<tr>
<td>Total Non-Championships Expenses</td>
<td>7,267,500</td>
<td>7,123,400</td>
<td>6,945,000</td>
<td>7,324,600</td>
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<tr>
<td>Total Division III Expenses</td>
<td>28,063,280</td>
<td>27,459,400</td>
<td>28,267,000</td>
<td>29,256,709</td>
</tr>
<tr>
<td>Excess (Deficit) Revenue over Expense</td>
<td>(1,721,280)</td>
<td>(342,400)</td>
<td>276,863</td>
<td>438,444</td>
</tr>
</tbody>
</table>
### Scenario 3 - Per Diems and Swim Equity + Bracket Expansion + 80/20 Split and Supplemental Spending

**Assumptions:**
- Game Operations increases by 2% each year based on FY2010-11 thru FY2014-15 average increases.
- Committee expenses increase by 2% each fiscal year based on cost per traveler analysis for FY2009-10 thru FY2014-15.
- $1.100 membership dues increase for institutions and $550 increase for conferences in 2017-18.
- 80/20 Split in champs/non-champs spending beginning in 2021-22

#### Potential Add-backs:

<table>
<thead>
<tr>
<th>No.</th>
<th>Description</th>
<th>Implementation Year</th>
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<tbody>
<tr>
<td>X1</td>
<td>Maximum amount needed to fund charter pilot, if there are no buy backs, but only for 2015-16</td>
<td>Yes (85,106)</td>
</tr>
<tr>
<td>X2</td>
<td>Increase per diem to $95 per person in 2017-18</td>
<td>Yes (96,000)</td>
</tr>
<tr>
<td>X3</td>
<td>Increase women's swim and dive budget to equate to male access ratio in 2017-18</td>
<td>Yes (96,000)</td>
</tr>
<tr>
<td>X4</td>
<td>Re-introduce host per diem at $30 per person in 2017-18</td>
<td>Yes (116,150)</td>
</tr>
<tr>
<td>X5</td>
<td>Increase per diem to $100 per person in 2020-21</td>
<td>Yes (125,000)</td>
</tr>
<tr>
<td>X6</td>
<td>Increase host per diem to $35 per person in 2020-21</td>
<td>Yes (125,000)</td>
</tr>
<tr>
<td>Y1</td>
<td>Increase bracket for men's basketball due to sports sponsorship figures in 2016-17</td>
<td>Yes (250,000)</td>
</tr>
<tr>
<td>Y2</td>
<td>Increase bracket for men's golf due to sports sponsorship figures in 2016-17</td>
<td>Yes (250,000)</td>
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<td>Y3</td>
<td>Increase bracket for men's ice hockey due to sports sponsorship figures in 2016-17</td>
<td>Yes (250,000)</td>
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<td>Y4</td>
<td>Increase bracket for men's lacrosse due to sports sponsorship figures in 2016-17</td>
<td>Yes (250,000)</td>
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<tr>
<td>Y5</td>
<td>Increase bracket for men's soccer due to sports sponsorship figures in 2016-17</td>
<td>Yes (250,000)</td>
</tr>
<tr>
<td>Y6</td>
<td>Increase bracket for men's volleyball due to sports sponsorship figures in 2016-17</td>
<td>Yes (250,000)</td>
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<tr>
<td>Y7</td>
<td>Increase bracket for women's golf due to sports sponsorship figures by one team in 2016-17</td>
<td>Yes (250,000)</td>
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<tr>
<td>Y8</td>
<td>Women's Ice Hockey joint championship with DI and DII in 2017 (one-time expense)</td>
<td>Yes (90,000)</td>
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<tr>
<td>Y9</td>
<td>Change men's basketball format to super regional</td>
<td>Yes (90,000)</td>
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<tr>
<td>Y10</td>
<td>Change at-large teams for women's rowing to full teams</td>
<td>Yes (90,000)</td>
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<tr>
<td>Y11</td>
<td>Increase men's wrestling committee by two members to match number of regions</td>
<td>Yes (90,000)</td>
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<td>Y12</td>
<td>Provide funding for a football coordinator of officials beginning in 2017-18</td>
<td>Yes (90,000)</td>
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<td>Y13</td>
<td>Mandatory 2-day regions, as needed for men's wrestling</td>
<td>Yes (90,000)</td>
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**Revenues:**

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<tr>
<td>$29,859,760</td>
<td>$29,877,760</td>
<td>$30,850,760</td>
<td>$31,850,760</td>
<td>$32,850,760</td>
<td>$33,850,760</td>
<td>$34,850,760</td>
<td>$35,850,760</td>
<td>$36,850,760</td>
<td></td>
</tr>
</tbody>
</table>

**Expenses:**

- **Championships Game Operations**
  - 4,134,762
- **Championships Committee**
  - 396,835
- **Championships Team Transportation**
  - 1,107,372
- **Championships Per Diem**
  - 617,300
- **Championships Overhead Allocation**
  - 325,000
- **Total Championship Expenses**
  - 19,993,969
- **Non-Championships Base Budget**
  - 5,379,107
- **Non-Championships Overhead Allocation**
  - 920,000
- **Total Non-Championship Expenses**
  - 6,299,107
- **Supplemental Non-Championships Spending from reserve**
  - -
- **Total Division III Expenses**
  - 26,293,076

**Net Change in Fund Balance**

- 6,466,094

**Projected Expense Increase**

- 8.5%

**End-of-End Fund Balance (Projected Reserve and Unallocated Funds)**

- 21,757,248

**Funding Balance**

- 21,757,248

**Mandated Reserve**

- (14,819,880)

**Cash available in excess of reserve policy**

- 7,037,368

**Percentage DIII Spend - Championships**

- 75%

**Percentage DIII Spend - Non-Championships**

- 25%

**ADJUSTED Percentage DIII Spend - Non-Championships**

- 25%

**Notes:**

1. Mandated reserve is 50% of the annual DIII revenue allocation, including S&I insurance policy coverage beginning in fiscal year 2014-15.
2. Percentage DIII Spend - Championships: 75%
3. Percentage DIII Spend - Non-Championships: 25%
4. ADJUSTED Percentage DIII Spend - Non-Championships: 25%

**Time:**

Updated 6/30/2016 at 2:32 PM

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**DIII Mgmt Council 07/16**

**SUPPLEMENT NO. 3d**

**NCAA Division III**

**Projection Model thru FY2023-24**
ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

1. **Welcome and Announcements.** The chair, Chris Ragsdale, welcomed the subcommittee members and noted that the subcommittee attendance did not meet quorum requirements. Thus, the subcommittee would not be able to take formal action.

2. **Review NCAA Division III Management Council Playing and Practice Seasons Subcommittee April 2016 Report.** The subcommittee reviewed the report from its April 17, 2016, in-person meeting and did not offer any changes.

3. **Review Standardization of Contest Exemptions Concept.** The subcommittee reviewed feedback regarding a concept to standardize contest exemptions across all sports. While the subcommittee was unable to take formal action, it agreed that Management Council should consider a Convention proposal that would:
   - Maintain the existing conference and season-ending championships exemptions, while eliminating all other existing annual exemptions;
   - Allow each sport to exempt two scrimmages, exhibitions or joint practices;
   - Continue to allow institutions to conduct and exempt an alumni contest during the nontraditional segment, but clarify that the alumni contest is the only exemption permitted during the nontraditional segment and that the alumni contest must be conducted as one of the two permitted scrimmages, exhibitions or joint practices; and
   - Allow institutions to conduct the exempted scrimmages, exhibitions or joint practices prior to the first permissible contest date in all sports other than wrestling.

4. **Review Baseball Two-Period Model Concept.** The subcommittee reviewed feedback regarding a concept that would establish an optional two-period model in the sport of baseball as well as a membership-sponsored proposal that would establish an optional two-period model in both baseball and softball. The subcommittee agreed that available data and feedback suggest that baseball and softball should be treated separately. The subcommittee also agreed that it did not have sufficient information to recommend a proposal establishing a two-period model.

Rather, the subcommittee will collaborate with the Division III baseball committee to collect additional information regarding membership interest in a baseball two-period model. The subcommittee will use the information gathered to provide feedback to the
sponsor of the membership proposal as well as to continue to monitor the potential impact of a baseball two-period model in Division III.

5. **Other Business.** None.

6. **Future Meetings.** The subcommittee reviewed the date and time for the October in-person meeting and noted the possible need for a September teleconference to review the baseball survey.

7. **Adjournment.** The subcommittee adjourned at 11:25 a.m. Eastern time.

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**Subcommittee Chair:** Chris Ragsdale, Heartland Collegiate Athletic Conference  
**Staff Support:** Sarah Otey, Academic and Membership Affairs  
Liz Suscha, Championships and Corporate Alliances  
Joni Williamson, Academic and Membership Affairs

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<tr>
<th>NCAA Division III Management Council Playing and Practice Seasons Subcommittee</th>
<th>July 17, 2016, Teleconference</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Attendees:</strong></td>
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</tr>
<tr>
<td>Chris Ragsdale, chair, Heartland Collegiate Athletic Conference.</td>
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<tr>
<td>Terry Small, New Jersey Athletic Conference.</td>
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<tr>
<td>Taryn Stromback, Student Athlete, Ohio Northern University; Ohio Athletic Conference.</td>
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<tr>
<td><strong>Absentees:</strong></td>
<td></td>
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<tr>
<td>Robert Davis, Jr., University of Scranton; Landmark Conference.</td>
<td></td>
</tr>
<tr>
<td>Dennis Leighton, University of New England; Commonwealth Coast Conference.</td>
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</tr>
<tr>
<td>Frank Millerick, Becker College; New England Collegiate Conference.</td>
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<tr>
<td>Terry Wansart, Hunter College; City University of New York Athletic Conference.</td>
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<tr>
<td><strong>NCAA Staff Support in Attendance:</strong></td>
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</tr>
<tr>
<td>Sarah Otey and Joni Williamson.</td>
<td></td>
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<tr>
<td><strong>Other NCAA Staff Members in Attendance:</strong></td>
<td></td>
</tr>
<tr>
<td>Dan Dutcher, Louise McCleary and Jeff Myers.</td>
<td></td>
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</tbody>
</table>
ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

1. **March teleconference report.** The NCAA Division III Championships Committee approved the March teleconference report as presented.

2. **Sport and Sports Rules Committee Appointments.** The committee approved the following committee appointments:
   
   a. Division III Baseball Committee – Central region: Bill Kurich, head baseball coach, Webster University.
   
   b. Division III Women’s Basketball Committee – Central region: David Petroff, director of athletics communications, Edgewood College.
   
   c. Division III Football Committee – East region: Erick Hart, director of athletics, College at Brockport, State University of New York. West region: Jim Catanzaro, head football coach, Lake Forest College.
   
   d. Football Rules Committee – Keith Emery, head football coach, Western New England University.
   
   e. Division III Men’s Ice Hockey Committee – West region: Mike Szkodzinski, head ice hockey coach, Lawrence University.
   
   f. Division III Women’s Ice Committee – William Mandigo, head women’s ice hockey coach, Middlebury College.
   
   g. Division III Men’s Soccer Committee – New England region: Justin Serpone, head men’s soccer coach, Amherst College. West region: David Hoffmann, head men’s soccer coach, University of Dallas.
h. Division III Softball Committee – Great Lakes region: Kimberly Tatro, associate director of athletics/head softball coach, Lawrence University. Midwest region: Steve Wammer, compliance coordinator/head softball coach, Northland College.

i. Wrestling Rules Committee – Lonnie Morris, head wrestling coach, Johnson & Wales University (Rhode Island).

Committee Chair: Gerald Young, Carleton College,

Staff Liaisons: Liz Turner Suscha, Championships and Alliances
Maureen Harty, Academic and Membership Affairs

<table>
<thead>
<tr>
<th>Attendees:</th>
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<tbody>
<tr>
<td>Corey Borchardt, Upper Midwest Athletic Conference.</td>
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<tr>
<td>Jennifer Chuks, Williams College; New England Small College Athletic Conference.</td>
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<tr>
<td>Bill Stiles, Alvernia University; Middle Atlantic Conference.</td>
</tr>
<tr>
<td>Susan Fumagalli, Gettysburg College; Centennial Conference.</td>
</tr>
<tr>
<td>Julie Johnson, Ripon College; Midwest Conference.</td>
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<tr>
<td>Tracey Ranieri, State University of New York at Oneonta; State University of New York Athletic Conference.</td>
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<tr>
<td>Terry Small; New Jersey Athletic Conference.</td>
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<tr>
<td>Joe Weber, University of Texas at Dallas; American Southwest Conference.</td>
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<td>Gerald Young, Carleton College; Minnesota Intercollegiate Athletic Conference.</td>
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<thead>
<tr>
<th>Other NCAA Staff Members in Attendance:</th>
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</thead>
<tbody>
<tr>
<td>None.</td>
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</table>
ACTION ITEMS.

1. Legislative items.
   a. Wrestling committee composition.
      (1) Recommendation. That the Division III Wrestling Committee increase from four to six members.
      (2) Rationale. In 2011, a regional format was established that created six competitive regions, which resulted in two regions not having representation on the four-member committee. The request to add two committee members aligns wrestling with other Division III sports in terms of having one committee member per region.

The Division III Management and Presidents Councils previously supported this recommendation and its associated expense as part of other championships budget recommendations. Support at this time will formalize support for a legislative change to expand the wrestling committee.

(3) Effective date. September 1, 2017.

(4) Estimated budget impact. The NCAA travel and insurance department calculated the estimated annual costs of adding two additional committee members at $6,320.

(5) Student-athlete impact. The recommendation provides student-athletes across regions representation on the national committee, and in turn, more equitable access to the championships.

b. Selection criteria – results versus ranked opponents.

   (1) Recommendation. That the selection criteria be adjusted to include consideration of the data from the final ranking and the preceding ranking when considering results versus ranked opponents, rather than the current criterion, which allows consideration for only the results versus teams ranked at the time of selection.
(2) **Rationale.** The Division III men’s and women’s soccer committee jointly proposed this selection modification in order to stabilize a team’s standing heading into selections. The committee reviewed feedback from all sport committees in response to the proposal from the soccer committees and recommends the change for all sports.

During the three years that the current selection criterion has been in place for results versus ranked opponents at the time of selection, some sport committees reported that there have been instances where the impact has been significant enough to shift teams several spots in the final rankings, simply based on the results of other ranked teams (or those that fall out of the rankings); a team may experience a major fluctuation in the standings during the final week of a season, regardless of its own results. Further, sport committees noted the timing of the final two rankings, specifically that they are only a few days apart and conducted during a highly competitive portion of the season (i.e., conference tournaments), therefore meriting the combination of the two rankings leading into selections. The proposed revision allows for a compromise between the former “once ranked always ranked” criterion and the current “ranked at the time of selection” criterion.

(3) **Effective date.** September 1, 2017.

(4) **Estimated budget impact.** None.

(5) **Student-athlete impact.** None.

c. **Selection criteria – nonconference strength of schedule.**

(1) **Recommendation.** That nonconference strength of schedule be added to secondary selection criteria.

(2) **Rationale.** The strength of a team’s conference influences its strength of schedule (SOS). A separate comparison of SOS outside of conference competition gives sport committees a strong indicator of how teams are competing and scheduling beyond their guaranteed conference contests. As a secondary criterion, the data point supplements the current SOS calculation that considers all contests (both conference and nonconference play), and helps sport committees evaluate teams for regional rankings and select them for at-large berths. The committee reviewed feedback from all sport committees in response to the proposal.
In 2013, the committee submitted a proposal to include nonconference SOS in primary criteria. At the time, the Management Council expressed concern that this policy could create a negative institutional budget impact by reducing conference play as teams look to schedule a stronger nonconference schedule. The committee believes this concern is mitigated by the move to secondary criteria coupled with the in-region competition requirement (i.e., 70 percent of scheduled contests against in-region opponents).

(3) **Effective date.** September 1, 2017.

(4) **Estimated budget impact.** None.

(5) **Student-athlete impact.** None.

2. **Nonlegislative items.**
   - None.

**INFORMATIONAL ITEMS.**

1. **April teleconference report.** The NCAA Division III Championships Committee approved the April teleconference report as presented.

2. **Governance update.** NCAA governance staff reviewed the following key items with the committee: 1) NCAA Board of Governors update; 2) Division III budget update; 3) Recent changes to the Fair Labor Standards Act and impact on NCAA member schools; 4) Recent NCAA Sport Science Institute initiatives; 5) Sportsmanship and Game Environment Working Group; 6) Playing and practice seasons review; 7) Financial Aid Committee legislative proposal; 8) ADR Institute; 9) FAR initiatives; 10) Diversity and Inclusion Working Group; 11) Eligibility Center changes; and 12) Recruiting Resource Guide.

3. **NCAA Division III Management Council/Presidents Council update.** A committee member provided additional updates from the recent Management Council and Presidents Council meetings.

4. **NCAA Division III Student-Athlete Advisory Committee update.** The SAAC liaison provided an update on behalf of the Division III SAAC from its April meeting.

5. **Playing Rules Oversight Panel update.** An NCAA playing rules staff member updated the committee on the panel’s most recent reports and the progress of the official’s background check pilot program.
6. **Academic and membership affairs update.** The committee took action on the following legislative topics:

   a. **Wrestling committee composition.** Refer to Legislative Item 1a.

   b. **Automatic qualification waiver request – Empire 8.** The committee approved the Empire 8 request to retroactively apply legislation effective September 1, 2016, to be eligible for an automatic qualification berth beginning with the 2017 Division III Baseball Championship. The new legislation will allow institutions in the final two years of the Division III provisional and reclassifying process to count toward the requisite number of institutions to satisfy the two-year waiting period. The committee acknowledged that the conference’s baseball teams have competed for two years together in the spirit of the new legislation. Based on staff research, no other conferences have a need to seek similar retroactive application (in any sport).

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8. **Allocation of berths for championship selection.** The committee continued discussing Pool B berths for championship selection. NCAA staff presented data summarizing Pool B data, including the number of Pool B schools and the Pool A access ratio by sport. After much discussion, the committee denied the original recommendation from the men’s and women’s tennis committees to combine all Pool B and C berths for at-large selections. The committee remained particularly sympathetic to independent schools and those that are in conferences that sponsor the sport but do not have enough schools to meet the automatic qualification requirements. Alternatively, the committee suggested that sport committees adopt a Pool B national ranking to be published in conjunction with the final weekly ranking. The committee will seek feedback on the idea of a Pool B ranking during its September 2016 in-person meeting with sport committee chairs.
9. **Host site selection priorities.** The committee reviewed a draft of site selection priorities to guide sport committee review of bids from potential hosts as part of the 2018-22 bid cycle. NCAA staff will incorporate suggestions from the committee and present a revised version during the committee’s next teleconference.

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11. **Recommended or required game times by sport.** After reviewing various sport committee policies on game times, the committee agreed that it is best to continue to allow sport committees to set their own game times or allow hosts to recommend times. The committee suggested that sport committees dedicate a section of the nonpredetermined bid materials to request that the hosts acknowledge required game times or propose alternate game times. Sport committee chairs will have the opportunity to provide feedback during the September in-person meeting.

12. **Championships and alliances updates.** Due to meeting time constraints, the committee agreed to review reports from the 2016 winter championships and web streaming metrics during its next teleconference.

13. **Championships budget.**
   a. **Fall and winter budget recap.** NCAA staff reviewed committee, game operations, team transportation and per diem expenses. Fall championship expenses are close to final, while some winter championship expenses remain outstanding. NCAA staff reported that there will likely be a favorable budget outcome for the year. A complete review of the budget and actual expenses for 2015-16 championships will take place in September.
   
   b. **Charter seat review.** NCAA staff reviewed results of the charter flight pilot program implemented through the winter championships. The pilot program provides a fixed ticket cost for student-athletes, staff or others affiliated with the participating team who do not fit within the reimbursable travel party size. Many of the institutions traveling by charter flight took advantage of the fixed ticket cost, particularly for football; as a result, the pilot program has not incurred any expense. The committee will monitor the results from the spring championship season to further evaluate the program.
14. **Women’s basketball joint championship.** NCAA staff provided a recap of the recent joint championship held with Division I and II. The championship was a success by many measures, including student-athlete experience and fan engagement; an estimated 6,000 spectators attended the Division III final. In addition, the semifinal round held a week prior received positive reviews from the Division III participating teams. The committee will review a final budget summary during its September in-person meeting as some championship expenses remain outstanding.

15. **Championships topics for sport committee feedback.**
   
   a. **Results vs. ranked opponents at the time of selection.** Refer to Legislative Item 1b.
   
   b. **Publishing of final ranking.** Based on sport committee feedback, the committee approved that the final regional advisory committee ranking will be published in conjunction with selections, effective with the 2016-17 championship season. Committee members agreed that the benefits of creating transparency in regional rankings outweigh any concerns about confusion generated by bracket pairings (i.e., that pairings may be determined by geographic proximity of teams versus team strength). The final ranking will be published in conjunction with or soon following the championship selections announcement.
   
   c. **Nonconference strength of schedule.** Refer to Legislative Item 1c.

16. **Governing sport committee reports.**
   
   a. **Women’s basketball.**
      
      (1) **Consolation game.** The committee approved that the consolation game contested during the Division III Women’s Basketball Championship be eliminated. After receiving feedback, the women’s basketball committee believes that the consolation game does not enhance the student-athlete experience and that student-athletes find it difficult to embrace a seemingly meaningless game after not advancing to the national championship game.
      
      (2) **Automatic qualifiers.** The committee approved the following 43 conferences for automatic qualification to the 2017 Division III Women’s Basketball Championship:

      Allegheny Mountain Collegiate Conference; American Southwest Conference; Capital Athletic Conference; Centennial Conference; City University of New York Athletic Conference; College Conference of Illinois and Wisconsin; Colonial State Athletic Conference;
Commonwealth Conference; Commonwealth Coast Conference; Empire 8 Conference; Freedom Conference; Great Northeast Athletic Conference; Heartland Collegiate Athletic Conference; Iowa Intercollegiate Athletic Conference; Landmark Conference; Liberty League; Little East Conference; Massachusetts State Conference; Michigan Intercollegiate Athletic Conference; Midwest Conference; Minnesota Intercollegiate Athletic Conference; New England Collegiate Conference; New England Small College Athletic Conference; New England Women’s and Men’s Athletic Conference; New Jersey Athletic Conference; North Atlantic Conference; North Coast Athletic Conference; North Eastern Athletic Conference; Northern Athletics Conference; Northwest Conference; Ohio Athletic Conference; Old Dominion Athletic Conference; Presidents’ Athletic Conference; Skyline Conference; Southern California Intercollegiate Athletic Conference; Southern Athletic Association; Southern Collegiate Athletic Conference; St. Louis Intercollegiate Athletic Conference; State University of New York Athletic Conference; University Athletic Association; Upper Midwest Conference; USA South Athletic Conference and Wisconsin Intercollegiate Athletic Conference.

(3) **Committee chair.** The committee approved that Bobbi Morgan, head women’s basketball coach and senior woman administrator at Haverford College, serve as chair of the Division III Women’s Basketball Committee.

b. **Field hockey.** The committee accepted the Division III field hockey committee report as presented; no action items were included.

c. **Football.** The committee accepted the Division III football committee report as presented; no action items were included.

d. **Men’s and women’s soccer.** The committee returned to two discussion items previously initiated by the Division III men’s and women’s soccer committees. First, NCAA staff provided an update regarding the strength of schedule multiplier, specifically to account for home and away contests. In conjunction with NCAA statistics staff, the soccer committees continue to evaluate the relevance of the multiplier and whether to move to an alternate method of calculation. The soccer committees along with the Division III men’s basketball committee (which also applies a multiplier) expect to present a recommendation in the near term to be implemented in the 2017-18 championship year. Second, the committee affirmed its support for the championship pairings policy that avoids conference matchups in the first round of competition as long as geographic proximity principles are maintained.

e. **Men’s and women’s swimming and diving.**
(1) **Increase number of officials at the championships.** The committee tabled the recommendation to increase the number of swimming officials at the Division III Men’s and Women’s Swimming and Diving Championships from six to 10. The committee agreed to review the request in conjunction with other 2017-19 budget requests during its September in-person meeting. The committee also requested that the swimming and diving committee provide additional rationale as to why 10 officials are needed.

(2) **Committee chair.** The committee noted that Amy Williams, assistant athletics director at Kenyon College, will serve a second year as chair of the Division III Men’s and Women’s Swimming and Diving Committee.

f. **Men’s and women’s track and field and cross country.** The committee approved that the following sites serve as the regional hosts for the 2016 NCAA Division III Regional Cross Country Championships:

<table>
<thead>
<tr>
<th>Region</th>
<th>Institution/Conference Host</th>
<th>Location</th>
</tr>
</thead>
<tbody>
<tr>
<td>Atlantic</td>
<td>Rowan University</td>
<td>Rowan University West Campus Glassboro, New Jersey</td>
</tr>
<tr>
<td>Central</td>
<td>Carleton College</td>
<td>Carleton College Cross Country Course Northfield, Minnesota</td>
</tr>
<tr>
<td>Great Lakes</td>
<td>Hope College</td>
<td>West Ottawa Golf Club Holland, Michigan</td>
</tr>
<tr>
<td>Mideast</td>
<td>DeSales University</td>
<td>DeSales Cross Country Course Center Valley, Pennsylvania</td>
</tr>
<tr>
<td>Midwest</td>
<td>University of Wisconsin-Oshkosh</td>
<td>Lake Breeze Golf Club Winneconne, Wisconsin</td>
</tr>
<tr>
<td>New England</td>
<td>Westfield State University</td>
<td>Stanley Park Westfield, Massachusetts</td>
</tr>
<tr>
<td>South/Southeast</td>
<td>Berry College</td>
<td>Berry College Clara Bowl Mt. Berry, Georgia</td>
</tr>
<tr>
<td>West</td>
<td>Willamette University</td>
<td>Bush’s Pasture Park Salem, Oregon</td>
</tr>
</tbody>
</table>

g. **Wrestling.**

(1) **Committee chair.** The committee approved that Dave Kemmy, director of athletics at Roger Williams University, serve as chair of the Division III Wrestling Committee.
(2) Regional realignment. The committee referred back to the wrestling committee the recommendation to realign regions. The committee requested that input be gathered from affected schools and conference offices before submitting a recommendation. Further, the wrestling committee should outline how proposed changes will change the number of schools assigned to each region and recommend a timeline for implementation (no earlier than 2017-18) to allow schools to adjust to changes.

(3) 2017 regionals. The committee approved that the following sites serve as the regional hosts for the 2017 NCAA Division III Wrestling Regionals:

<table>
<thead>
<tr>
<th>Region</th>
<th>Institution/Conference Host</th>
<th>Location</th>
</tr>
</thead>
<tbody>
<tr>
<td>Midwest</td>
<td>Manchester University</td>
<td>Memorial Coliseum Expo Center, Ft. Wayne, IN</td>
</tr>
<tr>
<td>Northeast</td>
<td>Rogers Williams University</td>
<td>Campus Recreation Center Gym, Bristol, RI</td>
</tr>
</tbody>
</table>

17. Playing and practice seasons subcommittee referrals. The committee discussed two referrals from the Division III Management Council’s playing and practice seasons subcommittee. Committee members offered the following feedback:

a. Standardization of contest exemptions. After reviewing sport committee feedback, the committee generally supported the concept of maintaining the conference championship exemption and the NCAA championship exemption, while eliminating all other exemptions (both standard and sport-specific) and permitting each sport two scrimmages, exhibitions or joint practices against any opponent. The committee emphasized the discretionary nature of the exemptions, which will still allow schools to hold alumni events, for instance. And, the standardization will create an administrative benefit to schools monitoring exemptions across all sports.

b. Two-period playing season model for baseball. The committee reviewed the concept of allowing schools the option of establishing a two-period model in baseball (note: feedback was gathered before discussion by the baseball and softball committees). Under this concept, schools would have the option of continuing to conduct the existing traditional and nontraditional segment model in baseball or choosing to establish a two-period model (split regular-season games between the fall and spring). Though the committee encouraged continued conversation on the concept, it expressed a number of reservations, including concern about multi-sport athletes, management of school personnel and other resources (e.g., facility access and athletic training services), the impact of mid-year transfers, and general health and safety concerns. The committee also raised questions about the application of championships selection criteria as the baseball committee evaluates teams with
different playing season models (e.g., would there be a limit on the number of fall games allowed; would the same consideration be applied to fall results and spring results, etc.).

18. **Sport and sports rules committee appointments.** The committee approved the following committee appointments:

a. Division III Women’s Basketball Committee – Great Lakes region: Kristin Huffman, women’s basketball coach/assistant director of athletics, DePauw University.

b. Division III Football Committee – North region: Kris Diaz, director of athletics, Baldwin Wallace University.

c. Men’s and Women’s Ice Hockey Rules Committee – Kristi Kehoe, head women’s ice hockey coach, New England College; and Arlen Marshall, head men’s ice hockey coach, Manhattanville College.

d. Division III Men’s Soccer Committee – North region: Kirk Artist, head men’s soccer coach, Wartburg College.


f. Division III Men’s and Women’s Swimming and Diving Committee – Johan Lopez, men’s and women’s diving coach, U.S. Merchant Marine.

g. Division III Men’s and Women’s Track and Field and Cross Country Committee – South/Southeast region: Natalie Bach-Prather, head men’s and women’s cross country/track and field coach, East Texas Baptist University.

h. Division III Men’s Volleyball Committee – John Garrett, associate director of athletics, Hunter College.

i. Division III Wrestling Committee – Eric Van Kley, director of athletics/head wrestling coach, Central College (Iowa).

*Committee Chair:* Gerald Young, Carleton College,

*Staff Liaisons:* Liz Turner Suscha, Championships and Alliances

Maureen Harty, Academic and Membership Affairs
### Division III Championships Committee  
**June 1-2, 2016, Meeting**

#### Attendees:

<table>
<thead>
<tr>
<th>Name</th>
<th>Affiliation</th>
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<tbody>
<tr>
<td>Corey Borchardt</td>
<td>Upper Midwest Athletic Conference</td>
</tr>
<tr>
<td>Jennifer Chuks</td>
<td>Williams College; New England Small College Athletic Conference</td>
</tr>
<tr>
<td>Bill Stiles</td>
<td>Alvernia University; Middle Atlantic Conference</td>
</tr>
<tr>
<td>Susan Fumagalli</td>
<td>Gettysburg College; Centennial Conference</td>
</tr>
<tr>
<td>Julie Johnson</td>
<td>Ripon College; Midwest Conference</td>
</tr>
<tr>
<td>Tracey Ranieri</td>
<td>State University of New York at Oneonta; State University of New York Athletic Conference</td>
</tr>
<tr>
<td>Terry Small</td>
<td>New Jersey Athletic Conference</td>
</tr>
<tr>
<td>Joe Weber</td>
<td>University of Texas at Dallas; American Southwest Conference</td>
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<tr>
<td>Gerald Young</td>
<td>Carleton College; Minnesota Intercollegiate Athletic Conference</td>
</tr>
</tbody>
</table>

#### Absentees:

None.

#### Guests in Attendance:

None.

#### NCAA Staff Support in Attendance:

<table>
<thead>
<tr>
<th>Name</th>
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<tbody>
<tr>
<td>Maureen Harty</td>
<td>Academic and Membership Affairs</td>
</tr>
<tr>
<td>Laura Peterson-Mlynski</td>
<td>Championships and Alliances</td>
</tr>
<tr>
<td>Liz Turner Suscha</td>
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</table>

#### Other NCAA Staff Members in Attendance:

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<tbody>
<tr>
<td>John Bugner</td>
<td>Championships and Alliances</td>
</tr>
<tr>
<td>Brian Burnsed</td>
<td>Communications</td>
</tr>
<tr>
<td>Dan Calandro</td>
<td>Championships and Alliances</td>
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<tr>
<td>Joni Comstock</td>
<td>Championships and Alliances</td>
</tr>
<tr>
<td>Dan Dutcher</td>
<td>Governance</td>
</tr>
<tr>
<td>Tracy Hitz</td>
<td>Championships and Alliances</td>
</tr>
<tr>
<td>Michelle Forkner</td>
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</tr>
<tr>
<td>Louise McCleary</td>
<td>Governance</td>
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<tr>
<td>Jeff O’Barr</td>
<td>Finance</td>
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<tr>
<td>John Pfefenberger</td>
<td>Finance</td>
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<tr>
<td>Juanita Sheely</td>
<td>Finance</td>
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<tr>
<td>Kelly Whitaker</td>
<td>Championships and Alliances</td>
</tr>
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During the three years that the current selection criterion has been in place for results versus ranked opponents at the time of selection, some sport committees reported that there have been instances where the impact has been significant enough to shift teams several spots in the final rankings, simply based on the results of other ranked teams (or those that fall out of the rankings); a team may experience a major fluctuation in the standings during the final week of a season, regardless of its own results. Further, sport committees noted the timing of the final two rankings, specifically that they are only a few days apart and conducted during a highly competitive portion of the season (i.e., conference tournaments), therefore meriting the combination of the two rankings leading into selections. The proposed revision allows for a compromise between the former “once ranked always ranked” criterion and the current “ranked at the time of selection” criterion.

(3) **Effective date.** September 1, 2017.

(4) **Estimated budget impact.** None.

(5) **Student-athlete impact.** None.

c. **Selection criteria for team sports – nonconference strength of schedule.**

(1) **Recommendation.** The nonconference strength of schedule be added to secondary selection criteria for all team sports.

(2) **Rationale.** The strength of a team’s conference influences its strength of schedule (SOS). A separate comparison of SOS outside of conference competition gives sport committees a strong indicator of how teams are competing and scheduling beyond their guaranteed conference contests. As a secondary criterion, the data point supplements the current SOS calculation that considers all contests (both conference and nonconference play), and helps sport committees evaluate teams for regional rankings and select them for at-large berths. The committee reviewed feedback from all sport committees in response to the proposal.
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   a. **Women’s basketball.**

      (1) **Consolation game.** The committee approved the elimination of the consolation game contested during the Division III Women’s Basketball Championship. After receiving feedback, the women’s basketball committee believes that the consolation game does not enhance the student-athlete experience and that student-athletes find it difficult to embrace a seemingly meaningless game after not advancing to the national championship game.

      (2) **Automatic qualifiers.** The committee approved the following 43 conferences for automatic qualification to the 2017 Division III Women’s Basketball Championship:
Allegheny Mountain Collegiate Conference; American Southwest Conference; Capital Athletic Conference; Centennial Conference; City University of New York Athletic Conference; College Conference of Illinois and Wisconsin; Colonial State Athletic Conference; Commonwealth Conference; Commonwealth Coast Conference; Empire 8 Conference; Freedom Conference; Great Northeast Athletic Conference; Heartland Collegiate Athletic Conference; Iowa Intercollegiate Athletic Conference; Landmark Conference; Liberty League; Little East Conference; Massachusetts State Conference; Michigan Intercollegiate Athletic Conference; Midwest Conference; Minnesota Intercollegiate Athletic Conference; New England Collegiate Conference; New England Small College Athletic Conference; New England Women’s and Men’s Athletic Conference; New Jersey Athletic Conference; North Atlantic Conference; North Coast Athletic Conference; North Eastern Athletic Conference; Northern Athletics Conference; Northwest Conference; Ohio Athletic Conference; Old Dominion Athletic Conference; Presidents’ Athletic Conference; Skyline Conference; Southern California Intercollegiate Athletic Conference; Southern Athletic Association; Southern Collegiate Athletic Conference; St. Louis Intercollegiate Athletic Conference; State University of New York Athletic Conference; University Athletic Association; Upper Midwest Conference; USA South Athletic Conference and Wisconsin Intercollegiate Athletic Conference.

(3) Committee chair. The committee approved that Bobbi Morgan, head women’s basketball coach and senior woman administrator at Haverford College, serve as chair of the Division III Women’s Basketball Committee.

b. Field hockey. The committee accepted the Division III field hockey committee report as presented; no action items were included.

c. Football. The committee accepted the Division III football committee report as presented; no action items were included.

d. Men’s and women’s soccer. The committee returned to two discussion items previously initiated by the Division III men’s and women’s soccer committees. First, NCAA staff provided an update regarding the strength of schedule multiplier, specifically to account for home and away contests. In conjunction with NCAA statistics staff, the soccer committees continue to evaluate the relevance of the multiplier and whether to move to an alternate method of calculation. The soccer committees along with the Division III Men’s Basketball Committee (which also applies a multiplier) expect to present a recommendation in the near term to be implemented in the 2017-18 championship year. Second, the committee affirmed its support for the championship pairings policy that avoids conference matchups
in the first round of competition as long as geographic proximity principles are maintained.

e. Men’s and women’s swimming and diving.

(1) **Increase number of officials at the championships.** The committee tabled the recommendation to increase the number of swimming officials at the Division III Men’s and Women’s Swimming and Diving Championships from six to 10. The committee agreed to review the request in conjunction with other 2017-19 budget requests during its September in-person meeting. The committee also requested that the swimming and diving committee provide additional rationale as to why 10 officials are needed.

(2) **Committee chair.** The committee noted that Amy Williams, assistant athletics director at Kenyon College, will serve a second year as chair of the Division III Men’s and Women’s Swimming and Diving Committee.

f. Men’s and women’s track and field and cross country. The committee approved that the following sites serve as the regional hosts for the 2016 NCAA Division III Regional Cross Country Championships:

<table>
<thead>
<tr>
<th>Region</th>
<th>Institution/Conference Host</th>
<th>Location</th>
</tr>
</thead>
<tbody>
<tr>
<td>Atlantic</td>
<td>Rowan University</td>
<td>Rowan University West Campus Glassboro, New Jersey</td>
</tr>
<tr>
<td>Central</td>
<td>Carleton College</td>
<td>Carleton College Cross Country Course Northfield, Minnesota</td>
</tr>
<tr>
<td>Great Lakes</td>
<td>Hope College</td>
<td>West Ottawa Golf Club Holland, Michigan</td>
</tr>
<tr>
<td>Mideast</td>
<td>DeSales University</td>
<td>DeSales Cross Country Course Center Valley, Pennsylvania</td>
</tr>
<tr>
<td>Midwest</td>
<td>University of Wisconsin-Oshkosh</td>
<td>Lake Breeze Golf Club Winneconne, Wisconsin</td>
</tr>
<tr>
<td>New England</td>
<td>Westfield State University</td>
<td>Stanley Park Westfield, Massachusetts</td>
</tr>
<tr>
<td>South/Southeast</td>
<td>Berry College</td>
<td>Berry College Clara Bowl Mt. Berry, Georgia</td>
</tr>
<tr>
<td>West</td>
<td>Willamette University</td>
<td>Bush’s Pasture Park Salem, Oregon</td>
</tr>
</tbody>
</table>
g. Wrestling.

(1) Committee chair. The committee approved that Dave Kemmy, director of athletics at Roger Williams University, serve as chair of the Division III Wrestling Committee.

(2) Regional realignment. The committee referred back to the wrestling committee the recommendation to realign regions. The committee requested that input be gathered from affected schools and conference offices before submitting a recommendation. Further, the wrestling committee should outline how proposed changes will affect the number of schools assigned to each region and recommend a timeline for implementation (no earlier than 2017-18) to allow schools to adjust to changes.

(3) 2017 regionals. The committee approved that the following sites serve as the regional hosts for the 2017 NCAA Division III Wrestling Regionals:

<table>
<thead>
<tr>
<th>Region</th>
<th>Institution/Conference Host</th>
<th>Location</th>
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</thead>
<tbody>
<tr>
<td>Midwest</td>
<td>Manchester University</td>
<td>Memorial Coliseum Expo Center, Ft. Wayne, IN</td>
</tr>
<tr>
<td>Northeast</td>
<td>Rogers Williams University</td>
<td>Campus Recreation Center Gym, Bristol, RI</td>
</tr>
</tbody>
</table>

17. Playing and practice seasons subcommittee referrals. The committee discussed two referrals from the Division III Management Council’s Playing and Practice Seasons Subcommittee. Committee members offered the following feedback:

a. Standardization of contest exemptions. After reviewing sport committee feedback, the committee generally supported the concept of maintaining the conference championship exemption and the NCAA championship exemption, while eliminating all other exemptions (both standard and sport-specific) and permitting each sport two scrimmages, exhibitions or joint practices against any opponent. The committee emphasized the discretionary nature of the exemptions, which will still allow schools to hold alumni events, for instance. And, the standardization will create an administrative benefit to schools monitoring exemptions across all sports.

b. Two-period playing season model for baseball. The committee reviewed the concept of allowing schools the option of establishing a two-period model in baseball (note: feedback was gathered before discussion by the baseball and softball committees). Under this concept, schools would have the option of continuing to conduct the existing traditional and nontraditional segment model in baseball or
choosing to establish a two-period model (split regular-season games between the fall and spring). Though the committee encouraged continued conversation on the concept, it expressed a number of reservations, including concern about multi-sport athletes, management of school personnel and other resources (e.g., facility access and athletic training services), the impact of mid-year transfers, and general health and safety concerns. The committee also raised questions about the application of championships selection criteria regarding how the baseball committee should evaluate teams with different playing season models (e.g., would there be a limit on the number of fall games allowed; would the same consideration be applied to fall results and spring results, etc.).

18. **Sport and sports rules committee appointments.** The committee approved the following committee appointments:

   a. Division III Women’s Basketball Committee – Great Lakes region: Kristin Huffman, women’s basketball coach/assistant director of athletics, DePauw University.

   b. Division III Football Committee – North region: Kris Diaz, director of athletics, Baldwin Wallace University.

   c. Men’s and Women’s Ice Hockey Rules Committee – Kristi Kehoe, head women’s ice hockey coach, New England College; and Arlen Marshall, head men’s ice hockey coach, Manhattanville College.

   d. Division III Men’s Soccer Committee – North region: Kirk Artist, head men’s soccer coach, Wartburg College.


   f. Division III Men’s and Women’s Swimming and Diving Committee – Johan Lopez, men’s and women’s diving coach, U.S. Merchant Marine.

   g. Division III Men’s and Women’s Track and Field and Cross Country Committee – South/Southeast region: Natalie Bach-Prather, head men’s and women’s cross country/track and field coach, East Texas Baptist University.

   h. Division III Men’s Volleyball Committee – John Garrett, associate director of athletics, Hunter College.

   i. Division III Wrestling Committee – Eric Van Kley, director of athletics/head wrestling coach, Central College (Iowa).
Committee Chair: Gerald Young, Carleton College,
Staff Liaisons: Liz Turner Suscha, Championships and Alliances
Maureen Harty, Academic and Membership Affairs

<table>
<thead>
<tr>
<th>Division III Championships Committee</th>
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<tr>
<td>June 1-2, 2016, Meeting</td>
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**Attendees:**

<table>
<thead>
<tr>
<th>Name</th>
<th>Conference</th>
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<tbody>
<tr>
<td>Corey Borchardt</td>
<td>Upper Midwest Athletic Conference.</td>
</tr>
<tr>
<td>Jennifer Chuks</td>
<td>Williams College; New England Small College Athletic Conference.</td>
</tr>
<tr>
<td>Bill Stiles</td>
<td>Alvernia University; Middle Atlantic Conference.</td>
</tr>
<tr>
<td>Susan Fumagalli</td>
<td>Gettysburg College; Centennial Conference.</td>
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<tr>
<td>Julie Johnson</td>
<td>Ripon College; Midwest Conference.</td>
</tr>
<tr>
<td>Tracey Ranieri</td>
<td>State University of New York at Oneonta; State University of New York Athletic Conference.</td>
</tr>
<tr>
<td>Terry Small</td>
<td>New Jersey Athletic Conference.</td>
</tr>
<tr>
<td>Joe Weber</td>
<td>University of Texas at Dallas; American Southwest Conference.</td>
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<tr>
<td>Gerald Young</td>
<td>Carleton College; Minnesota Intercollegiate Athletic Conference.</td>
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**Absentees:**

None.

**Guests in Attendance:**

None.

**NCAA Staff Support in Attendance:**

Maureen Harty, Academic and Membership Affairs.
Laura Peterson-Mlynski, Championships and Alliances.
Liz Turner Suscha, Championships and Alliances.

**Other NCAA Staff Members in Attendance:**

John Bugner, Championships and Alliances.
Brian Burnsed, Communications.
Dan Calandro, Championships and Alliances.
Joni Comstock, Championships and Alliances.
Dan Dutcher, Governance.
Tracy Hitz, Championships and Alliances.
Michelle Forkner, Championships and Alliances.
Louise McCleary, Governance.
Jeff O’Barr, Finance.
John Pfeffenberger, Finance.
Juanita Sheely, Finance.
Kelly Whitaker, Championships and Alliances.
Jeff Williams, Championships and Alliances.
JP Williams, Championships and Alliances.
ACTION ITEMS.

1. Legislative item.
   - None.

2. Nonlegislative item.
   - None.

INFORMATIONAL ITEMS.

1. Field hockey.
   a. Committee chair. The committee approved that Rebecca Begley, head coach and senior woman administrator at Hendrix College, serve as chair of the Division III Field Hockey Committee.
   b. Automatic qualification. The committee approved that the following 19 conferences receive automatic qualification to the 2016 Division III Field Hockey Championship:
      Capital Athletic Conference; Centennial Conference; Colonial States Athletic Conference; Commonwealth Conference; Commonwealth Coast Conference; Empire 8; Freedom Conference; Great Northeast Athletic Conference; Landmark Conference; Liberty League; Little East Conference; New England Small College Athletic Conference; New England Women’s and Men’s Athletics Conference; New Jersey Athletic Conference; North Atlantic Conference; North Coast Athletic Conference; Old Dominion Athletic Conference; and State University of New York Athletic Conference.

2. Host site selection priorities – updated draft. The committee finalized the summary of site selection priorities to guide sport committee review of bids from potential hosts as part of the 2018-22 bid cycle. Staff will distribute the document to all sport committees.

3. Playing and practice season subcommittee referral to baseball and softball. The committee did not have further discussion on the concept of allowing schools the option of establishing a two-period model in baseball, but staff confirmed that the feedback from the
baseball and softball committees will be distributed to Championships Committee members as an informational item as soon as it is received.

4. **2016 Winter championship reports.** The committee reviewed reports from the 2016 winter championships. The reports included highlights of fan enhancements at the finals site, as well as successes and challenges concerning the operational aspects of the championships.

5. **Future meeting dates and sites.**

   a. September 11-13, 2016, meeting.
      - **Meeting agenda.** The committee discussed the following topics to include in the agenda for the September 2016 meeting with sport committee chairs: 1) budget planning (e.g., bracket growth and officiating fees); 2) host site selection process; and 3) mock ranking exercise (to contrast current rankings criteria with potential changes). Staff agreed to distribute a draft of the meeting agenda during a future teleconference.


   c. February 7-8, 2017, meeting.

Committee Chair: **Gerald Young, Carleton College, Minnesota Intercollegiate Athletic Conference.**

Staff Liaisons: **Liz Turner Suscha, Championships and Alliances**

Maureen Harty, Academic and Membership Affairs

<table>
<thead>
<tr>
<th>Division III Championships Committee</th>
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<tr>
<td><strong>June 21, 2016, Teleconference</strong></td>
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</table>

**Attendees:**

Corey Borchardt, Upper Midwest Athletic Conference.
Jennifer Chuks, Williams College; New England Small College Athletic Conference.
Bill Stiles, Alvernia University; Middle Atlantic Conference.
Susan Fumagalli, Gettysburg College; Centennial Conference.
Tracey Ranieri, State University of New York at Oneonta; State University of New York Athletic Conference.
Terry Small; New Jersey Athletic Conference.
Gerald Young, Carleton College; Minnesota Intercollegiate Athletic Conference.
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<th><strong>Absentees:</strong></th>
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<tr>
<td>Julie Johnson, Ripon College; Midwest Conference.</td>
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<td>Joe Weber, University of Texas at Dallas; American Southwest Conference.</td>
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<th><strong>Guests in Attendance:</strong></th>
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<td>None.</td>
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<th><strong>NCAA Staff Support in Attendance:</strong></th>
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<tr>
<td>Maureen Harty, academic and membership affairs.</td>
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<tr>
<td>Laura Peterson-Mlynski, championships and alliances.</td>
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<tr>
<td>Liz Turner Suscha, championships and alliances.</td>
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<th><strong>Other NCAA Staff Members in Attendance:</strong></th>
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<tr>
<td>Louise McCleary, governance.</td>
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REPORT OF THE
NCAA DIVISION III COMMITTEE ON INFRACTIONS

ACTION ITEMS.

1. Legislative Item.


      (1) Recommendation. Adopt an administrative regulation to amend the deadline for receipt of written material to be considered by the NCAA Committee on Infractions from 10 days to 30 days prior to the date of the hearing.

      (2) Effective date. Immediate.

      (3) Rationale. In some recent Division II and Division III infractions cases, there has been a flood of “last-minute” submissions that have been burdensome for the committees. A deadline of 30 days in advance of the hearing for submission of written material allows the infractions committee members a more reasonable amount of time to collect, read and evaluate material prior to hearings. Division I adopted this change in 2013. The amendment is also being proposed in Division II.

      (4) Estimated Budget Impact. None.

      (5) Student-Athlete Impact. None.

2. Nonlegislative Items.

   • None.

INFORMATIONAL ITEMS.

• Editorial Revisions. The Committee on Infractions requested that staff complete the following editorial revisions to the NCAA Division III Manual to reflect current policies and practices:

   1. Amend Bylaw 19.5.2-(b)-(2), as follows: “Annual written reports shall be submitted to the Office of the Committees on Infractions for review. These reports shall detailing compliance with probationary conditions; and”

      This revision, which is currently in place in Division I and also being proposed in Division II, will allow for a standardized approach to the compliance review process for all three divisional Committees on Infractions. The staff of the Office of the Committees on Infractions (OCOI) will review institutions’ compliance reports. Any
issues identified by the OCOI will be brought to the attention of the subject institution and, if necessary, the COI, for resolution. Otherwise, the reports are approved.

2. **Amend Bylaw 19.5.2-(b)-(3), as follows:** “At the conclusion of probation, the institution’s president or chancellor shall certify **affirm in writing** that current athletics policies and procedures conform to all requirements of NCAA legislation and that all conditions of probation have been satisfied (see Bylaw 19.5.2.4.2).”

This revision clarifies the requirement that the institution’s president or chancellor shall attest that his/her institution is in compliance with NCAA legislation upon conclusion of probation and makes the language consistent with Bylaw 19.5.2.4.2

3. **Amend Bylaw 19.5.2.4.2, as follows:** “In the event the committee prescribes a penalty involving a probationary period, at the end of the probationary period, the institution's president or chancellor shall affirm in writing to the NCAA office of the Committees on Infractions that the institution's athletics policies and practices are in full compliance with NCAA rules. The NCAA Office of the Committees on Infractions shall review the written affirmation before action by the committee and will coordinate with the committee to restore the institution to full rights and privileges of membership in the Association.”

The revision, which is also proposed in Division II, will allow for a standardized approach to the end-of-probation process for all three divisional committees on infractions. The staff of the OCOI reviews the end-of-probation requirements and typically informs institutions that the probationary period has ended, if the conditions of probation have been met. The OCOI informs the COI of the end of probation or, if necessary, the OCOI identifies issues or concerns and brings them to the attention of the COI for possible action, including extending probation, at the COI’s discretion.

**Committee Chair:** Amy Hackett  
**Staff Liaison(s):** Joel McGormley, Shep Cooper and Jim Elworth

<table>
<thead>
<tr>
<th>Division III Committee on Infractions Members:</th>
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</thead>
<tbody>
<tr>
<td>Amy Elizabeth Hackett, University of Puget Sound</td>
<td></td>
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<tr>
<td>Effel Harper, University of Mary Hardin-Baylor</td>
<td></td>
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<tr>
<td>Tracey Hathaway, University of Massachusetts, Boston</td>
<td></td>
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<tr>
<td>Gerald Houlihan, Matteoni, O’Laughlin &amp; Hechtman</td>
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<tr>
<td>Gerald Young, Carleton College</td>
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NCAA/7/07/16/dsk
ACTION ITEMS.

1. Legislative Items.
   - None.

2. Nonlegislative Items.

      (1) Recommendation. Approve the following official interpretation:

      **Voluntary Out-of-Season Institutional Fundraising Events Involving Athletics Ability.** (III) The NCAA Division III Interpretations and Legislation Committee confirmed that student-athletes are not permitted to practice or compete under the guise of a fundraising event outside of the playing and practice season. As such, coaching staff members may not assess or provide instruction to student-athletes as part of a permissible fundraising event that occurs outside of the playing season.

      Additionally, a student-athlete may not compete as part of a team in the student-athlete's sport if the fundraising event is organized, administered by, or benefits the athletics department (in individual sports, such units as golf foursomes, doubles tennis teams and relay teams in track and field are not considered to be team competition). Student-athletes are permitted, however, to participate in out-of-season fundraising events: (1) as an individual in an individual sport (e.g., Institutional 5K, golf outing); (2) that are administered outside of athletics and do not benefit the athletics department; or (3) in a sport other than the sport(s) in which the student-athlete participates at the institution.

      [References: NCAA Division III Bylaws 17.02.1.1 (athletically related activities); 17.02.1.1.1 (exceptions) and 17.02.8 (intercollegiate competition)]

      (2) Effective Date. Immediate.
(3) **Rationale.** This interpretation was developed from the 2016 Question and Answer document addressing Question Nos. 2 and 3 for NCAA Division III Proposal No. 2016-6. The interpretation provides guidance on the types of fundraising activities that are exempt from the athletically related activities legislation based on the adoption of Proposal No. 2016-6.

(4) **Budget Impact.** None.

(5) **Student-Athlete Impact.** None.

b. **Approval of Official Interpretation – Varsity Coach Involvement with Institutional Club Team.**

(1) **Recommendation.** Archive an Official Interpretation (12/12/1994, Item No. 14) in the Attachment and approve the following official interpretation:

"**Varsity Coach Involvement with Institutional Club Team.** (III) The Interpretations and Legislation Committee determined that a member institution’s coach may not be involved in any capacity outside the declared playing and practice season with an institutional club team, in any sport, if the institutional club team includes a student-athlete from that coach’s sport.

[References: NCAA Division III Bylaws 17.1.5 (out-of-season athletically related activities), 17.1.5.2.1 (involvement of coaching staff) and an Official Interpretation (12/12/1994, Item No. 14), which has been archived.]

(2) **Effective Date.** Immediate.

(3) **Rationale.** During its February 2016 meeting, the Interpretations and Legislation Committee reviewed an existing interpretation [Reference: 12/12/1994, Item No. 14] that allowed a varsity outdoor track and field coach to coach his or her student-athletes outside of the playing season on an institutional indoor track and field club team. The committee agreed that Bylaw 17.1.5.2.1 (involvement of coaching staff) was intended to preclude a varsity coach from coaching his or her student-athletes outside of the season, even if that interaction occurs in the context of an institutional club team. Thus, the committee recommends archiving the existing official interpretation and adopting this interpretation to clarify the application of the legislation to institutional club teams.
(4) **Budget Impact.** None.

(5) **Student-Athlete Impact.** None.

c. **Approval of Official Interpretation – Conference Membership for Retention of the Automatic Qualification via the Grace Period.**

(1) **Recommendation.** Approve the following official interpretation:

Conference Membership Requirements for Retention of the Automatic Qualification via the Grace Period (III). The Interpretations and Legislation Committee confirmed that provisional and reclassifying members neither count toward the four institutions necessary to retain the automatic qualification via the grace period nor toward the seven institutions necessary to retain the automatic qualification at the expiration of the grace period.

[References: NCAA Division III Bylaws 3.3.1.2.4 (grace period) and 31.3.3.1.3 (grace period)].

(2) **Effective Date.** Immediate.

(3) **Rationale.** This interpretation was developed from the 2016 Question and Answer document addressing Question No. 1 for NCAA Division III Proposal No. 2016-9. The interpretation provides clarification that provisional and reclassifying members do not count towards retaining an automatic qualification via the grace period.

(4) **Budget Impact.** None.

(5) **Student-Athlete Impact.** None.

d. **Approval of Official Interpretation – Conference Membership for Retention of the Automatic Qualification via the Grace Period.**

(1) **Recommendation.** Approve the following official interpretation:

Conference Membership Requirements for Establishing a New Automatic Qualification (III). The Interpretations and Legislation Committee confirmed that a conference must have a minimum of seven active Division III member institutions (as opposed to provisional or reclassifying institutions) participating in a specific sport to be eligible for an automatic
qualification for the NCAA championship in that sport. Therefore, while a conference may count provisional or reclassifying members toward the requisite number of conference members necessary to begin the two-year waiting period, the conference would not be eligible for the automatic qualification until the two-year waiting period has been satisfied and those members have been granted active member status.

[References: NCAA Division III Bylaws 31.3.3.1.1 (additional requirements - multisport conference) and 31.3.3.1.2 (additional requirements - single-sport conferences)]

(2) Effective Date. Immediate.

(3) Rationale. This interpretation was developed from the 2016 Question and Answer document addressing Question No. 2 for NCAA Division III Proposal No. 2016-9. The interpretation provides clarification that while a provisional or reclassifying institution may be used to satisfy the requisite number of conference members necessary to begin the two-year waiting period, the conference would not be eligible for the automatic qualification until the two-year waiting period has been satisfied and those members have been granted active member status.

(4) Budget Impact. None.

(5) Student-Athlete Impact. None.

INFORMATIONAL ITEMS:

1. **Review March Report.** The committee reviewed and approved the report from its March 17, 2016, teleconference.

2. **Future Meetings.** The committee reviewed dates and times for upcoming meetings and teleconferences.
3. Other Business. None.

4. Adjournment. The committee adjourned at 12:19 p.m. Eastern time.

Committee Chair: Shana Levine, Lewis and Clark College
Staff Liaisons: Jeff Myers, Academic and Membership Affairs
                Sarah Otey, Academic and Membership Affairs
                Joni Williamson, Academic and Membership Affairs

<table>
<thead>
<tr>
<th>Attendees</th>
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<tbody>
<tr>
<td>Chuck Brown, Pennsylvania State University Erie, the Behrend College; Allegheny Mountain Collegiate Conference.</td>
</tr>
<tr>
<td>Jim Cranmer, St. Mary’s College of Maryland; Capital Athletic Conference.</td>
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<tr>
<td>Sara Beth Holman, Lawrence University; Midwest Conference.</td>
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<tr>
<td>Shantey Hill, St. Joseph’s College (Long Island); Skyline Conference.</td>
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<td>Gregg Kaye, Commonwealth Coast Conference.</td>
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<tr>
<td>Shana Levine, Lewis and Clark College; Northwest Conference.</td>
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<tr>
<td>Sean Cain, Adrian College, (Student-Athlete); Michigan Intercollegiate Athletic Association.</td>
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<th>NCAA Staff Liaisons in Attendance:</th>
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<tr>
<td>Jeff Myers, Sarah Otey and Joni Williamson.</td>
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<th>Other NCAA Staff Member in Attendance:</th>
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<tr>
<td>Audrey Hester.</td>
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Title: Application of Playing and Practice Seasons Legislation to Indoor Track and Field Club Team.

Date Issued: December 12, 1994.
Date Published: December 12, 1994.
Type: Official Interpretation.
Item Ref: 14.

Archive Info: Due to 4/22/16 official interpretation, Item No. 2b.

I/II/III 14. Application of Playing and Practice Seasons Legislation to Indoor Track and Field Club Team: If an institution sponsors a varsity outdoor track and field team and an indoor track and field "club" team, the institution must apply playing and practice seasons regulations to the sports of indoor and outdoor track and field if any student-athletes participating on the varsity outdoor track and field team also are participants on the institution's indoor track and field "club" team. [Reference: 17.18 (track, indoor/outdoor)]
ACTION ITEMS.

1. Legislative Items.
   - None.

2. Nonlegislative Items.
   - None.

INFORMATIONAL ITEMS.

1. Review March Report. The committee reviewed and approved the report from its April 21, 2016, teleconference.

2. Review Compliance Forms. The committee reviewed and approved the 2016-17 compliance forms.


4. Discussion of Division III Institutions that Sponsor Division I Sports. The committee discussed the requirement that Divisions III institutions that sponsor a Division I sport must apply the rules of both divisions, or the more stringent rule if both divisions have a rule concerning the same issue for that particular sport. The committee agreed that a recommendation to allow institutions in this position to apply Division I legislation in all areas other than financial aid was reasonable. The committee recognized that the burden of explaining the legislative distinctions amongst sports at the same institution (Division I sport versus Division III sports) would be on the individual institutions. The committee requested that staff provide this information to the NCAA Division III Membership Committee for its information.

5. Future Meetings. The committee reviewed dates and times for upcoming meetings and teleconferences and agreed to cancel the June teleconference.

6. Other Business. None.
7. **Adjournment.** The committee adjourned at 12:31 p.m. Eastern time.

---

**Committee Chair:** Shana Levine, Lewis and Clark College  
**Staff Liaisons:** Jeff Myers, Academic and Membership Affairs  
Sarah Otey, Academic and Membership Affairs  
Joni Williamson, Academic and Membership Affairs  

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| NCAA Division III Interpretations and Legislation Committee  
| May 19, 2016, Teleconference  

| **Attendees:**  
| Amy Backus, Case Western Reserve University, University Athletic Association  
| Chuck Brown, Pennsylvania State University Erie, the Behrend College; Allegheny Mountain Collegiate Conference.  
| Sean Cain, Adrian College, (Student-Athlete); Michigan Intercollegiate Athletic Association.  
| Jim Cranmer, St. Mary's College of Maryland; Capital Athletic Conference.  
| Shantey Hill, St. Joseph's College (Long Island); Skyline Conference.  
| Gregg Kaye, Commonwealth Coast Conference.  
| Shana Levine, Lewis and Clark College; Northwest Conference.  

| **Absentee:**  
| Sara Beth Holman, Lawrence University; Midwest Conference.  

| **NCAA Staff Liaisons in Attendance:**  
| Jeff Myers, Sarah Otey and Joni Williamson.  

| **Other NCAA Staff Member in Attendance:**  
| Audrey Hester.  

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Title: PLAYING AND PRACTICE SEASONS -- DEFINITIONS AND APPLICATIONS – CONTEST AND DATE OF COMPETITION, COUNTABLE, INSTITUTIONAL -- CLASSIFICATION OF VARSITY OR SUBVARSITY CONTEST

Convention Year: 2017

Date Submitted: February 12, 2016

Effective Date: Immediate

Official Notice Number: I-2017-1

Source: NCAA Division III Management Council (Interpretations and Legislation Committee).

Proposal Category: Incorporation of an interpretation

Topical Area: Playing and Practice Seasons

Intent: To specify that an institution shall define a contest as either varsity or subvarsity and may not change the classification of that contest once it has commenced.

NCAA Bylaws: Amend 17.02, as follows:

17.02 Definitions and Applications.

[17.02.1 through 17.02.2 unchanged.]

17.02.3 Contest, Countable, Institutional. A countable contest for a member institution, in those sports for which the limitations are based on the number of contests, is any contest by the member institution against an outside team in that sport, unless a specific exemption for a particular contest is set forth in this bylaw. An institution shall define each contest as varsity or subvarsity (e.g., junior varsity) and may not change the classification of that contest once the contest has commenced. Contests, including scrimmages, exhibitions or joint practices, by separate squads of the same team against different outside teams shall each count as one contest. During the traditional segment, countable contest limitations apply separately to multiple teams (e.g., varsity, subvarsity and freshman) of the same sport. During the nontraditional segment, all teams (e.g., varsity, subvarsity and freshman) of the same sport shall be treated as one team for purpose of the countable contest limitations.

[17.02.4 through 17.02.5 unchanged.]
17.02.6 Date of Competition, Countable, Institutional. A countable date of competition for a member institution, in those sports for which the limitations are based on the number of dates of competition, is a single date on which the institution's team engages in competition against an outside team, unless a specific exemption for a particular date of competition is set forth in this bylaw. **An institution shall define each contest as varsity or subvarsity (e.g., junior varsity) and may not change the classification of that contest once the contest has commenced. Each date of competition shall be defined as varsity and/or subvarsity (e.g., junior varsity) depending upon the classification of the contests played on that date.** During the traditional segment, countable date of competition limitations apply separately to multiple teams (e.g., varsity, subvarsity and freshman) of the same sport. During the nontraditional segment, all teams (e.g., varsity, subvarsity and freshman) of the same sport shall be treated as one team for purposes of the countable date of competition limitations.

[17.02.6.1 unchanged.]

[17.02.7 through 17.02.14 unchanged.]

**Estimated Budget Impact:** None.

**Student-Athlete Impact:** None.

**Review History:** April 16, 2016: Approved in Concept - Management Council Supplement No. 8a, Item No. 1a.

**Additional Information:** For purposes of championships selection, the NCAA championships and statistics staffs only consider varsity competition. In order to ensure that only varsity competition is reviewed for championships selection purposes, it is necessary for institutions to define varsity and subvarsity competition prior to the commencement of a given competition. The incorporation of this official interpretation [Reference: 12/17/2015, Item No. 2a] clarifies in the legislation that an institution shall define each contest as varsity or subvarsity and may not change the classification once the contest or date of competition has commenced. This incorporation will ensure consistent application amongst member institutions and will ensure that each contest is appropriately classified.
ACTION ITEMS.

- Legislative Items.

  a. Class Size Limit – NCAA Bylaw 20.3.2 (Class Size and Assignment).

     (1) Recommendation. Sponsor Convention legislation to modify the limitations on
         the number of institutions admitted to the provisional or reclassifying membership
         program to indicate that ‘there shall be a maximum of four institutions admitted in
         any one year, with no more than 12 total institutions participating in all years of
         the provisional or reclassifying program.’

     (2) Effective Date. September 1, 2017.

     (3) Rationale. In setting an overall limit on the number of schools in the new
         membership process at any time, the Membership Committee aims to improve the
         quality of attention and service provided to each new member institution. Limiting
         the number of institutions in the process to 12 will allow each school to have an
         experienced mentor, by allowing new committee members the opportunity to
         spend one year on the committee before assigning as mentor to a new school. Participant institutions in the exploratory year would not be included in calculations of the limit.

     (4) Estimated Budget Impact. None.

     (5) Student-Athlete Impact. None.

  b. Elements –Bylaw 20.3.2 (Class Size and Assignment).

     (1) Recommendation. Sponsor noncontroversial legislation to clarify that the four
         legislated elements to be reviewed during an institution’s evaluation for acceptance
         and placement in the provisional/reclassifying membership process are each items
         for consideration that are not ordered by priority, and to add an institution’s current
         provision of athletics aid as an additional element to be considered during
         evaluation.

     (2) Effective Date. Immediate.

     (3) Rationale. Each legislated criterion the Membership Committee reviews when
         conducting candidacy evaluations is evaluated in conjunction with the others, with
         no one element favored over another. When taken as a whole, these attributes are
         predictive of an incoming member institution’s membership success, and, are not
         reviewed independent of each other. In addition, the committee has noted over time
         that whether a membership candidate institution offers athletically related financial
         aid has an impact on future membership success. These revisions to the legislation
         will clarify how the committee evaluates candidate institutions.
INFORMATIONAL ITEMS.

1. Welcome and Announcements. The committee commenced business at 8:07 a.m. Eastern time Thursday, June 23, 2016. The chair welcomed President F. Javier Cevallos to his first in-person meeting and also welcomed Reed Fogle, the 2016-17 Division III governance intern, and Erin Burke, the 2016-17 academic and membership affairs intern.

2. Membership Committee Roster and Conflict of Interest. Committee members were reminded of the NCAA Conflict of Interest Policy and proper procedures for recusal in the event that a conflict of interest might arise. Committee members reviewed the conflict of interest documentation and updated as necessary. Committee members followed the recusal procedures during all deliberations.

3. Review updated policies and procedures. The committee reviewed and approved the committee policies and procedures documents, noting a change to the conflict of interest policy to ensure that committee discussions relate only to committee business. The committee asked NCAA staff to amend language on page three of the document to remove a current sentence fragment in the document.

4. Review February 10 teleconference report. The committee reviewed and approved the February 10 teleconference report, noting that the Division III Management Council has now acted on, and accepted the recommendations within the report.

5. Division III Governance Update. NCAA governance staff reviewed the following key items with the committee:

   a. Changes to the Division III reserve policy;
   b. NCAA and CBS/Turner contract extension and revenue disbursement;
   c. Playing and Practice Seasons Subcommittee review;
   d. Progress of the division’s Diversity and Inclusion working group;
   e. Championships access improvements;
   f. Technology users group;
   g. Changes to the composition of the NCAA Board of Governors;
h. Impact of Fair Labor Standards Act changes on Division III institutions;

i. ADR Institute; and

j. Sportsmanship and Game Environment educational modules.

6. Multidivision Institutions. The committee discussed the legislated requirement that Division III institutions that sponsor a Division I sport must apply the rules of both divisions, or the more stringent rule if both divisions have a rule concerning the same issue for that particular sport. Committee members noted the legislation can cause restrictions that detrimentally impact Division III transfer student-athletes when compared to their Division I counterparts. Specifically, the transfer student-athlete who leaves a Division III institution and goes to a Division I institution would use a season of participation, whereas the student-athlete who transfers to a Division III institution from a Division I institution would not use a season for any redshirting activity in the previous division. Committee members wondered whether it is fair to limit the student-athlete experience for Division III student-athletes because they are involved in Division I athletics, when the division has explicitly permitted Division III schools to operate under a multidivision construct. The committee agreed that a recommendation to allow institutions in this position to apply Division I legislation in all areas other than financial aid is not unreasonable. The committee reviewed the legislative history of the multidivision classification legislation and noted that when the membership adopted the legislation in 1983, the rationale behind the restrictions stemmed from fears that Division III institutions would sponsor multiple Division I sports and there would be a conflict of divisional philosophies across Division III campuses. The committee agreed that in the present environment, the Division III philosophy has been firmly established and is ingrained in the operation of all Division III athletics departments. NCAA staff informed the committee that only 12 Division III institutions have Division I sports, and that Division I legislation now prevents any additional institutions from becoming multidivisional classification in Division I. The committee noted that should any of those institutions want to propose legislation, the number of institutions directly impacted by this issue falls short of the minimum number of 20 sponsoring institutions or two conferences. Committee members cautioned the group that a wide selection of bylaws impact student-athletes, including benefits, recruiting and amateurism. The committee discussed concerns with the future state of amateurism in Division I and what impact that might have on multidivision institutions. The committee agreed not to take immediate action, but instead to solicit information from the institutions directly impacted by this legislation, with the intent to determine if those 12 institutions are in consensus on the direction that should be taken with this legislation and to discuss the feedback at a later meeting.

7. Division III Provisional/Reclassifying Membership.

a. Current Roster. The committee reviewed the current roster of provisional and reclassifying institutions and their mentors. NCAA staff answered committee questions regarding timing of initial communications between committee mentors and exploratory institutions. Staff recommended that mentors wait until after the exploratory institutions have completed the exploratory membership orientation, due to the potential of many of the exploratory institutions’ questions being answered by staff at that meeting.
b. **Process Advancement – 2015-16 Provisional and Reclassifying Institutions.** The committee reviewed annual reports from the following provisional and reclassifying institutions and took the following actions:

1. **Year One to Year Two – Approval.** The committee accepted the annual report from Belhaven University with feedback in areas where the institution could enhance its current practices, and approved their advancement in the membership process from year one to year two.

2. **Year Two to Year Three – Approval.** The committee accepted the annual reports of the following provisional and reclassifying institutions with feedback in any areas where the institutions could enhance their current practices, and approved their advancement in the membership process from year two to year three:
   
   (a) Alfred State College;
   
   (b) Berea College (see item 7(b)(4));
   
   (c) Bryn Athyn College (see item 7(b)(4));
   
   (d) Iowa Wesleyan College;
   
   (e) McMurry University (reclassifying); and
   
   (f) Pennsylvania College of Technology (see item 7(b)(4)).

3. **Year Two to Year Three – Conditional Approval.** The committee reviewed the annual report from Illinois Institute of Technology and confirmed that the institution fulfilled all requirements for provisional membership during the 2015-16 academic year; however, the committee also expressed deep concerns with the thoroughness and completeness of the institution’s annual report. As a result, the committee approved the school’s advancement from year two to year three on the condition that the institution satisfy additional requirements in addition to the established obligations of year three of the membership process.

4. **Approval of Membership Process Acceleration.** The committee accepted the annual reports of the following provisional institutions and approved the institutions’ requests to waive the four-year participation requirement in Bylaw 20.3.3 and bypass year three of the provisional membership process:
   
   (a) Berea College;
   
   (b) Bryn Athyn College; and
   
   (c) Pennsylvania College of Technology.

5. **Denial of Membership Process Acceleration.** The committee denied the request from McMurry University (reclassifying) to waive the four-year participation requirement in Bylaw 20.3.3 and bypass year three of the
provisional membership process. The committee noted that although the institution has been an excellent candidate throughout the process, the legislative language prevents the institution from being eligible to apply for the waiver.

(6) Election to active membership. Approval. The committee accepted the annual reports of the following provisional institutions with feedback in areas where the institutions could enhance their current practices, and approved their election to active membership status per NCAA Constitution 3.2.3.3:

(a) Houghton College;
(b) Southern Virginia University; and
(c) University of Valley Forge.

8. Division III Active Membership.

a. Athletics Program Assessment Review – 2015-16 Probation Institutions. The committee reviewed, and took action on, the following athletics program assessments from institutions that are in year one of their probationary period:

(1) Accepted. The committee accepted the program assessments of the following institutions:

(a) St. John’s University (Minnesota)
(b) York College (New York)
(c) SUNY Buffalo State; and
(d) Louisiana College.

(2) Accepted with Opportunities for Enhancement. The committee accepted the program assessments of the following institutions and provided feedback in areas where the institutions could enhance their current practices:

(a) Maranatha Baptist College; and
(b) Green Mountain College – resubmission.

(3) Approval with Restricted Status – Trinity Washington University. The committee approved the program assessment from Trinity Washington University. However, the committee noted that the institution failed to satisfy the overall sports-sponsorship requirements for the second time within the probationary period. Specifically, the institution failed to meet overall sports sponsorship for the 2015-16 academic year.
Due to this failure, the institution will automatically move to restricted status for the 2016-17 academic year, as of September 1, 2016. The committee did not require the institution to resubmit the athletics program assessment. However, in addition to all other conditions of restricted status, the institution must submit full and complete sports sponsorship results at the close of each of the fall, winter and spring seasons.

(4) Rejection and Restricted Status – Rust College. The committee rejected the program assessment from Rust College and voted to move the institution to restricted status for the 2016-17 academic year, as of September 1, 2016. During the review of Rust College, he committee noted the institution’s submission was not sufficiently thorough and incomplete. For this reason, the committee directed the institution to resubmit the athletics program assessment not later than May 15, 2017. The committee noted the institution also failed to satisfy the overall sports-sponsorship requirements for the second time within the probationary period. Specifically, the institution failed to meet sports sponsorship in three different sports during the 2015-16 academic year in the following sports, and had two additional sports that were unverified.

The committee noted that the sports sponsorship failure itself triggers restricted status. Due to the failure to satisfy the overall sports-sponsorship requirements for the second time within the probationary period, in addition to satisfying all other conditions of restricted status, the institution must also submit full and complete sports sponsorship results at the close of each of the fall, winter and spring seasons.

b. Co-ed Transition Update – Bylaw 20.11.3.10.2 (single-gender institution transitioning to co-educational institution). The committee reviewed updates from two institutions that summarized the institutions’ progress on transitioning from a single-gender institution to a co-educational institution:

(1) Pine Manor College. The waiver the institution previously received for the 2014-15, 2015-16 and 2016-17 academic years requires the institution to submit an annual update through the conclusion of the 2016-17 academic year. The committee expressed concerns regarding the institution’s progress through the transition. Specifically, the committee noted the institution has changed its transition plan for the second year in a row and does not demonstrate a clear or detailed plan moving forward. Noting the institution’s current probationary status for shortcomings with their women’s sports, the committee also noted the institution is currently on academic probation with its accrediting agency. The committee instructed staff to discuss the institution’s progress through the process with institutional executive administrators.

(2) Wilson College. The committee reviewed an update from Wilson summarizing the institution’s progress on transitioning from a single-gender institution to a co-educational institution. The waiver the institution previously received for the 2013-14, 2014-15 and 2015-16 academic years requires the institution to submit an annual update through the conclusion of the 2015-16 academic year. The committee noted the institution provided an exemplary and thorough update to its transition plan and showed substantial progress toward its goal and voted to grant
the institution a second three-year waiver for the 2016-17, 2017-18 and 2018-19 academic years, as is permitted under the legislation in Bylaw 20.11.3.10.2.

c. **Probation Institution Summary.** Staff noted that six institutions will complete the probationary period and return to active status in good standing on September 1, 2016. Staff informed the committee that historical records of institutional probationary and restricted status are kept on file should future issues arise.

d. **Overview of the 2015-16 Sports-Sponsorship Audit.** Staff informed the committee that six institutions were randomly selected to be audited for sports sponsorship for the 2015-16 academic year following the NCAA Sports Sponsorship and Demographic Form submission deadline of August 15, 2016. Staff also informed the committee that institutions will be identified to be audited for-cause for the 2015-16 academic year once the membership sports sponsorship data review is completed by NCAA research staff.

9. **Educational Initiatives.**

a. **2016 NCAA Regional Rules Seminar Attendance – Constitution 3.2.4.15.** Staff informed the committee that the institutions that were required to attend the 2016 NCAA Regional Rules Seminars achieved full attendance. The committee also recognized the following institutions that have had perfect attendance since the start of reporting of rules seminar attendance in 2007:

- College of Wooster;
- Colorado College;
- Morrisville State College;
- Plattsburgh State University of New York;
- State University of New York at Geneseo; and
- University of Chicago.

b. **Division III Commissioners Update.** The committee received an update on issues discussed at the June 2016 Division III Conference Commissioners Associations meeting. The information included development of best practice policies for transgender student-athletes and a communications and best practices guide for commissioners and presidents to effectively communicate. NCAA championships liaisons also presented the commissioners with information regarding changes to championships selections.

c. **Getting in the Game Video Update.** Staff noted the 2016-17 Getting in the Game videos will be ready for release August 1, 2016.

d. **Division III Rules Test.** NCAA staff provided the committee an updated version of the 2016-17 Division III Rules Test that incorporated the committee's previous suggestions. The committee approved the test as amended. The committee reviewed the current format and subject matter of the test and declined to make fundamental changes, noting that the current structure and level of difficulty is appropriate in light of the purpose of the exam. Specifically, the test is designed to encourage use of the Division III Manual
and LSDBi service and provides institutions with a method to augment general rules education.

e. **2016 NCAA Division III Institutional Self-Study Guide (ISSG) Update.** NCAA staff informed the committee that 155 Division III institutions were required to submit the 2016 ISSG per the once-in-five-years requirement in Constitution 6.3.1 were in compliance with the ISSG requirements.

The committee granted the College of New Rochelle an extension to complete the ISSG due to extenuating circumstances surrounding administrative transition that would prevent the successful completion of the ISSG prior to the deadline.

The committee discussed feedback it received from the membership regarding the new ISSG instrument. Institutions reported that the new substance of the instrument is thorough and helpful for institutions; however, institutions noted areas of overlap that caused repetitive responses. Specifically, documentation that answers multiple questions in the instrument must still be uploaded to the system for each question that the documentation answers.

f. **Provisional and Reclassifying Membership Process Question and Answer Document.** Staff provided the committee an updated version of the membership process question and answer document. The committee approved the document as amended.

10. **Institutions with Persistent Membership Issues.** The committee discussed the trend in institutions that continue to have issues that threaten their membership in the division. The committee was concerned with the seeming lack of comprehension of the gravity of reaching restricted status. Committee members agreed that presidential involvement at an earlier point is necessary to foster intervention and change at a point in the probationary process when effective changes are still possible. Committee members suggested that the presidents of institutions should have a private discussion with NCAA staff and/or the committee without the director of athletics involved.

The committee also strongly encouraged integrating an institution’s conference office into discussions and remedies. The committee believes that conversations with an institution’s conference leadership have had a significant impact in past cases and agree that including an institution’s conference is an appropriate element. Committee members also noted that the NCAA infractions process has a requirement that the institution must notify the conference of the situation. The committee agreed that such a requirement should be applied similarly in membership scenarios and determined that a probationary institution must have a discussion with the conference. For institutions not in a conference, having a conversation at the executive level with someone from the committee would be appropriate, or perhaps receiving a letter addressed by a sitting president or executive on the committee. The committee agreed that hearing from a committee member via phone also raises the level of importance in the situation.
The committee agreed that the original intent of the first year in the probationary process was to be an educational and non-punitive step; however, many committee members suggested comparing membership probation with the objectively stricter procedures within the infractions and enforcement process. Committee members noted that an institution that commits a major violation incurs significant consequences as a first step of the process, and is also required to take measures to remedy the violation. The committee noted that within the membership probationary process, the athletics program assessment has no questions that directly speak to the membership violation itself. The current assessment does not address how the institution came to commit the violation, nor does it have a corrective action plan to move forward. Committee members agreed that a corrective action plan should be included within the athletics program assessment and that it must be reviewed and signed by the top five administrators at the institution and the chief conference officer.

The committee instructed NCAA staff to draft a corrective action plan document with enhanced accountability requirements and develop a set of remedial standards that are appropriate for the probationary period and restricted status.

11. **Terminology for Probation.** Staff notified the committee of a conflict in the use of the word “probation” when compared for enforcement purposes and for membership purposes. Staff noted that the term is applied differently in each arena. Specifically, the enforcement and infractions operate independent of the membership legislation, conduct a penalty process that is wholly separate from the Membership Committee’s active member probationary structure, and assign different sanctions to probationary status than the membership structure. The staff sought clarification from the committee as to how the legislation should differentiate the two, whether by modifying the term or by replacing the word entirely. The committee strongly preferred to retain the term “probation” because of its connotations and the level of seriousness that it conveys, and declined to replace the word. Instead, the Membership Committee voted to make an editorial revision to change the terminology for probation in Constitution 3 and Bylaws 3, 6, and 20 from “probation” to “membership probation,” and also to modify references to probation in that legislation to read, “as defined by the membership committee.” The committee also requested that staff draft cross-reference notations (e.g., “see Bylaw 20”) in the legislation wherever such information is appropriate.

12. **2017 Conference Rules Seminar.** Staff informed the committee that the Boston Conference Rules Seminar group is currently working with staff to prevent conflicts between the dates of the seminar and other heavily-attended membership events, like CoSIDA and NACDA.

13. **New Member Working Group Recommendations.**

   a. **Financial aid videoconference.** The committee heard recommendations from the new member working group regarding possible changes within the membership process. As requested by the working group, staff consulted with the NCAA general counsel’s office regarding the feasibility of requiring incoming members to have greater sports-sponsorship minimums than active institutions. The committee concluded it would not pursue this concept. The committee continued reviewing the working group recommendations and directed staff to modify the current educational process to include a financial aid review
Report of the NCAA Division III Membership  
Committee June 23-24, 2016, In-Person Meeting  
Page No. 10

videoconference as a requirement for all year two provisional and reclassifying institutions, with an immediate effective date.

b. **Campus visit.** The committee then discussed the timing and purpose of the campus visit and considered whether the visit should be conducted during the exploratory year instead of during the first year of the provisional and reclassifying membership process. Ultimately, committee discussion resulted in several options: to make no changes to the current process for the exploratory year; to establish separate timelines for provisional institutions and reclassifying institutions; or to continue conducting the current process as is, but allow staff or the committee the discretion to conduct the exploratory year visit at the candidate institution's expense as necessary. The committee agreed to continue discussion of this issue on a future teleconference and instructed NCAA staff to return with revised options, as well as to provide a copy the provisional and exploratory application forms for review and enhancement at a later date.

14. **Selection of 2018 In-Person Meeting Dates.** The committee agreed to conduct its February 2018 in-person meeting Wednesday-Thursday, February 7-8, 2018; and its June in-person meeting Wednesday-Thursday, June 20-21, 2018. The committee will continue to conduct monthly teleconferences from 1 to 3 p.m. Eastern time on the second Thursday of each month.

15. **Committee Member Farewells.** The committee thanked Heather Benning, Kim Fierke, and Chris Ragsdale for their service on the committee. NCAA staff informed the committee that discussions of an election for the new committee chair will take place in November.

16. **Adjournment.** The committee concluded its business and adjourned at 12.11 p.m. Eastern time Friday, June 24, 2016.

*Committee Chair:* Heather Benning, Midwest Conference, chair  
*Staff Liaison:* Jay Jones, Division III Governance  
Kristin DiBiase, Academic and Membership Affairs  
Jean Orr, Academic and Membership Affairs
### June 23-24, 2016, In-Person Meeting

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<tr>
<td>Heather Benning, Midwest Conference, chair</td>
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<td>Keith Cecil, Transylvania University</td>
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<td>F. Javier Cevallos,</td>
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<td>Terri Deike, LeTourneau University</td>
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<td>William Fell,</td>
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<td>Kim Fierke, Hartwick College</td>
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<td>Julie Kline, University of La Verne</td>
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<td>Rob Larson, Luther College</td>
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<td>Chris Ragsdale, Heartland Collegiate Athletic Conference</td>
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<th>Absentees:</th>
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<tbody>
<tr>
<td>Charles Harris, Averett University</td>
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<th>Guests in Attendance:</th>
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<th>NCAA Staff Support in Attendance:</th>
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<td>Jay Jones, Kristin DiBiase, Jean Orr</td>
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<th>Other NCAA Staff Members in Attendance:</th>
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<td>Debbie Brown, Erin Burke, Dan Dutcher, Reed Fogle, Louise McCleary</td>
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ACTION ITEMS.

1. Legislative items.
   - None.

2. Nonlegislative items.
   - Governance Committee Appointment. (Attachment)
     (1) Recommendation. Approve the following committee appointment:
     - Committee on Competitive Safeguards and Medical Aspects of Sports
       – Josh Ellow, alcohol/other drugs counselor and educator, Swarthmore
       College.
     (2) Effective Date. September 1, 2016.
     (3) Rationale. The committee reviewed the slate of nominees for each committee
       and forwards these individuals for appointment.
     (4) Estimated Budget Impact. None.
     (5) Student-Athlete Impact. None.

INFORMATIONAL ITEMS.

1. Welcome. The chair welcomed the committee.

2. Report of Previous Meeting. The committee approved the reports from its February 16, 2016,
   meeting and February 17, 2016, electronic action.

3. Informational Documents. The committee reviewed the conference representation,
   resignation and under-represented conferences list.

4. Committee Vice Chair. Malcolm Huggins, assistant director of athletics, State University of
   New York at Oswego, was elected to serve as vice chair.

5. Solicitation of Additional nominees. The committee requested that additional nominations
   be sought for the following committees:
   a. Men’s and Women’s Ice Hockey Rules Committee (two vacancies); coaches; men’s or
      women’s interests.
   b. Division III Women’s Rowing Committee; administrator; any region.
c. Division III Softball Committee; New England region; coach or administrator.
d. Division III Men’s and Women’s Swimming and Diving Committee; diving coach.
e. Division III Men’s and Women’s Track and Field and Cross Country Committee (two
vacancies); females; South/Southeast and Central regions; coaches or administrators.
f. Division III Men’s Volleyball Committee; East region; administrator.
g. Division III Women’s Volleyball Committee; South region; administrator.

6. Future Meetings. The committee selected the following meeting dates:

b. In-person meeting – Monday, September 19, 2016. The meeting will begin at 8 a.m.
and adjourn by noon.

Committee Chair: Angela Baumann, Massachusetts State College Athletic Conference
Staff Liaison: Sharon Tufano, Governance

<table>
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<th>Division III Nominating Committee</th>
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<td>February 16, 2016, Meeting</td>
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### Attendees:
- Angela Baumann, Massachusetts State Collegiate Athletic Conference
- Stephen Briggs, Berry College, Southern Athletic Association
- Malcolm Huggins, State University of New York at Oswego, State University of New York Athletic Conference
- Jennifer Myhre, Anderson University (Indiana), Heartland Collegiate Athletic Conference
- Brian Roberts, Principia College, St. Louis Intercollegiate Athletic Conference
- Terry Small, New Jersey Athletic Conference
- Dick Strockbine, University of Dallas, Southern Collegiate Athletic Conference

### Absentees:
- Sharon Beverly, The College of New Jersey, New Jersey Athletic Conference

### Guests in Attendance:
- None

### NCAA Staff Support in Attendance:
- Gloria Roseman
- Sharon Tufano

### Other NCAA Staff Members in Attendance:
- Louise McCleary
2015-16 COMMITTEE ON COMPETITIVE SAFEGUARDS AND MEDICAL ASPECTS OF SPORTS

Legislated Requirements: 22 members total, including six positions allocated for men, six allocated for women (10 unallocated). In Division I all subdivisions must be represented.

- 2 AD or SWA (1 M/1 F);
- 3 medicine (at least 1 F, 1 primary-care physician who is board certified in family practice, internal medicine or emergency medicine, and shall have a current Certificate of Added Qualifications in Sports Medicine; 1 board-certified orthopedic surgeon; 1 physician from the general public.
- 1 Football Rules Committee;
- 1 active coach;
- 2 athletic trainers (1 M and 1 F; one must be responsible for total athletic training program);
- 1 secondary school representative (National High School Federation);
- 1 drug testing expert
- 3 S/As (1 from each division (SAAC);
- 1 licensed clinical/counseling sports psychologist;
- 1 registered dietitian who specializes in sports nutrition;
- 1 strength and conditioning specialist certified by an accredited strength and conditioning certification body;
- 1 law (active lawyer practicing in sports law or a related field, or a faculty member in sports law or a related field);
- 1 sport-science research;
- 1 expertise in drug education
- 1 Division II MC representative;
- 1 Division III MC representative;

Policies: Representatives from all divisions and subdivisions.

Chair: 1/06 – All divisions approved a proposal that would allow the committee chair's term to be extended if he was appointed as chair with one year of less remaining on his or her four-year term.

Vacancies: One September 2016 vacancy, drug education expert.

Staff Liaisons: Mary Wilfert, John Parsons

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<td>Kimberly Patterson Walpert University of Georgia</td>
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<td>Board certified orthopedist</td>
<td>Larry Bowman Clemson University</td>
<td>Atlantic Coast</td>
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<td>Medicine – HTP</td>
<td>Douglas Ramos Creighton University</td>
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<td>Drug education FAR</td>
<td>Gregory Frazer Duquesne University</td>
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<td>Asst AD for athletic performance</td>
<td>Joseph Hannant University of North Carolina at Pembroke</td>
<td>Peach Belt</td>
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<td>Sport Psychologist (Clinical Sports Psychologist)</td>
<td>Jessica Mohler U.S. Naval Academy</td>
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<td>Division II MC</td>
<td>Jim Crawley2 Dominican College</td>
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<td>III</td>
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<td>(Football Rules Committee Rep)</td>
<td>John Chandler Coe College</td>
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<td>Abbey Miklitsch University of Rhode Island</td>
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* Not eligible for reappointment. ² Term concurrent with service on Division II Management Council ³ Term concurrent with service on Division III Management Council 2/12/16
Committee on Competitive Safeguards and Medical Aspects of Sports Nominee Information

Name: Josh Ellow  
Job Title: Alcohol/Other Drugs Counselor & Educator  
Gender: M  
Ethnic Minority: N  
Institution: Swarthmore College  
Conference: Centennial Conference  
Meets 50% requirement: Y

Qualification Statement:
Drug and alcohol issues have become a national epidemic and a public health concern. With these challenges present in the athletic communities, I am eager to offer my expertise. I hold a M.S. in Clinical & Counseling Psychology, specializing in Addiction Studies, from Chestnut Hill. I am currently certified as a Co-Occurring Disorder Professional and TIPS Trainer. Additional qualifications for a role as a NCAA drug and alcohol expert include my commitment to trauma-informed behavioral health care. I maintain up-to-date knowledge of current trends and topics, while advocating for the fair treatment of anyone struggling with drug and alcohol problems. My career has provided me with extensive training, public speaking, and clinical care. I am also extremely well organized and capable of expanding roles, as I did with my current job as the Alcohol and Other-Drug Counselor and Educator. Current expansions have included the use of 360Proof, dorm-based trainings, and a large athletic focus.

Past Committee Service:

Professional Development participation:
My professional development has most recently included NASPA's national conference on Alcohol and Other-drug use in Higher Education. Focal topics did relate to the athletic population, electronic assessment offerings (360Proof), and up-to-date data on trends and statistics. Additionally, I am currently certified as a co-occurring disorder diplomate, which requires annual training and development. My professional development always includes a trauma-informed approach and a commitment to at-risk populations and the recovering communities.

Employment History:
I've worked in counseling and self-development fields since 2005. I reached a supervisory level, while heading two-programs for Brandywine Counseling and Community Services. During this work, I did interact with opioid-dependent athletes (and non-athletes) and collaborated with physical therapists and other providers as the clinical supervisor. I began work as the sole Alcohol and Other-Drug (AOD) Counselor & Educator @ Swarthmore College following a national search in 2013. Once on campus, I immediately developed a close relationship with the athletic department. Since then, I have incorporated 360Proof into our school's resources, offered team-specific services, and campus-wide programs. Furthermore, I have networked with the Wellness Program Manager to further involve AOD awareness, prevention, and treatment into our athletic programming. Currently, I am looking into doctorate programs.

Education (old form requested institutions. New form asks for highest level degree received):
Master's

References:
Adam Hertz, Director of Athletics, Swarthmore College  
- ahertz1@swarthmore.edu  
NNennaa Aketaobi, Assistant Director of Athletics, Swarthmore College - nakotao2@swarthmore.edu  
Dr. Alice Holland, Director of Health and Wellness, Swarthmore College - ahollan3@swarthmore.edu  
Liz Braun, Dean of Students, Swarthmore College - lbraun1@swarthmore.edu

Nominee has contacted listed references: Y
ACTION ITEMS.

1. Legislative items.
   • None.

2. Nonlegislative items.
   • Governance Committee Appointment. (Attachment)
     (1) Recommendation. Approve the following committee appointment:
        • Division III Nominating Committee (immediate vacancy replacing Sharon Beverly) – Andrea Belis, senior woman administrator, Becker College.
     (2) Effective Date. Immediate.
     (3) Rationale. The committee reviewed the slate of nominees for each committee and forwards these individuals for appointment.
     (4) Estimated Budget Impact. None.
     (5) Student-Athlete Impact. None.

INFORMATIONAL ITEMS.

1. Welcome. The chair welcomed the committee.

2. Report of Previous Meeting. The committee approved the report from its April 4, 2016, conference call.

3. Informational Documents. The committee reviewed the conference representation, resignation and under-represented conferences list.
4. Solicitation of Additional nominees. The committee requested that additional nominations be sought for the following committees:

a. Division III Women’s Rowing Committee; administrator; any region.

b. Division III Men’s and Women’s Track and Field and Cross Country Committee (one vacancy); female; Central region; coach or administrator.

c. Division III Women’s Volleyball Committee; South region; administrator.

5. Future Meetings. The committee selected the following meeting dates:


b. In-person meeting – Monday, September 19, 2016. The meeting will begin at 8 a.m. and adjourn by noon.

Committee Chair: Angela Baumann, Massachusetts State College Athletic Conference
Staff Liaison: Sharon Tufano, Governance

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<td>Malcolm Huggins, State University of New York at Oswego, State University of New York Athletic Conference</td>
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<td>Jennifer Myhre, Anderson University (Indiana), Heartland Collegiate Athletic Conference</td>
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<td>Brian Roberts, Principia College, St. Louis Intercollegiate Athletic Conference</td>
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<td>Terry Small, New Jersey Athletic Conference</td>
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<td>Dick Strockbine, University of Dallas, Southern Collegiate Athletic Conference</td>
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<th>Guests in Attendance:</th>
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<tr>
<td>Gloria Roseman</td>
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<td>Sharon Tufano</td>
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**2016 DIVISION III NOMINATING COMMITTEE**

Composition: Eight members, including one former officer or Council member, one Management Council member, three men and three women, and one ethnic minority. One CEO. One member from each region.

Committee preference: At least one commissioner and FAR.

Vacancies: One immediate vacancy. Sharon Beverly has left collegiate athletics. Female.

Staff Liaison: Sharon Tufano

Chair: Angela Baumann (1/16-1/17)

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<td>Angela Baumann</td>
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<td>Asst VP/Exec Dir</td>
<td>Sharon Beverly</td>
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<td>AD/Fmr Council</td>
<td>Richard Stockbine</td>
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*Not eligible for reappointment.

#Term concurrent with service on Division III Management Council. 4/5/16
Qualification Statement:
The role Nominating Committee is very important to me. They review and determine who will serve on the committees for the Division. Over the past 10 years in Higher Education, I have chaired many successful institutional search committees. While I understand that they are not the exact same thing, the guiding principles are the same. That is to find the best fit based on the needs of the committee and the applications submitted. I am fair and equitable when reviewing candidates and strive to find the best match for all parties involved.

Past Committee Service:
Currently serving on the NACWAA Education and Professional Development Committee, 2013 NCAA Student-Athlete Leadership Forum Facilitator, New England Collegiate Conference Women's Volleyball Sport Chair

Employment History:
June 2009- Present
Coordinator of Student-Athlete Services/ SWA/ Compliance Coordinator
Becker College, Worcester, MA

June 2009- November 2011
Head Coach Women's Volleyball
Becker College, Worcester, MA

July 2006- June 2009
Academic Enrichment Coordinator
Medaille College, Buffalo, NY

Education:
Student Affairs in Higher Education, M.A.
Indiana University of Pennsylvania

Child and Youth Services, B.S.
Medaille College

Professional Development participation:
2008 NACWAA/HERS participant, Mentor to 2014-2016 Ethnic Minority and Women's Internship Grant Recipient

References:
Frank Millerick
Assistant VP/ Director of Athletics
Becker College
774-354-0481
Louise McCleary
NCAA Director of Division III
317-917-6637
ACTION ITEMS.

1. Legislative items.
   - None.

2. Nonlegislative items.
   - Newly elected incoming SAAC members funding to attend Convention – Recommendation of policy change to Division III Strategic Planning and Finance Committee. [See Supplement No. 3a, Informational Item 5]

   (1) **Recommendation.** Refer to the Strategic Planning and Finance Committee, for potential policy change, a recommendation that elected national Student-Athlete Advisory Committee members beginning their term following the conclusion of Convention be provided funding to attend Convention before the start of their committee term.

   (2) **Effective date.** 2017 Convention.

   (3) **Rationale.** The committee believes it would be beneficial for newly appointed committee members to attend Convention before their official committee service begins. This would allow the outgoing committee members an opportunity to mentor and expose the new committee member to the proceedings of the committee. The committee feels that this experience would allow SAAC members to learn about the committee without the pressure of having to make decisions and make their committee service more effective as they will be more accustomed to the policies and procedures of the committee. Unlike members on other Division III committees, appointed national SAAC members often have had no exposure to the Division III committee or governance structure.

   (4) **Estimated budget impact.** $2,100 per additional attendee.

   (5) **Student-athlete impact.** This would allow better preparation for the newly appointed member on the committee, thus providing better representation for all Division III student-athletes.
INFORMATIONAL ITEMS.

1. **New Committee Members and Orientation.** The committee welcomed the following new committee members to the meeting:

   a. Kyera Bryant, women’s soccer student-athlete at Eastern Nazarene University;

   b. Sean Cain, men’s soccer student-athlete at Adrian College;

   c. Christopher Deddo, baseball student-athlete at State University of New York Maritime College;

   d. Jessica Jean, women’s soccer student-athlete at John Jay College of Criminal Justice;

   e. Nicole Monick, women’s tennis student-athlete at Johnson State College;

   f. Elissa Pheneger, women’s volleyball student-athlete at North Central University;

   g. Rosamaria Riccobono, women’s lacrosse student-athlete at Eastern Connecticut State University;

   h. Michael Rubayo, men’s basketball student-athlete at Swarthmore College.

   The new committee members received new member orientation and completed DiSC assessments. DiSC is a behavioral assessment tool used to improve work productivity, teamwork and communication. Ryan Fennelly, men’s lacrosse student-athlete at Rhodes College, and Zachary Cook, men’s soccer student-athlete at College Brockport, State University of New York, were identified as new members of the committee, but were unable to attend the meeting.

2. **Administrative Items.**

   a. **Roster.** The committee reviewed and updated its current roster.

   b. **January meeting report.** The committee approved its January meeting report.

   c. **Policies and procedures.** The committee reviewed its policies and procedures and approved as presented.

   d. **Committee assignments.** The committee reviewed the current committee assignment roster for Association-wide and Division III governance committees. The committee
discussed the importance of regular attendance at Association-wide and Division III governance meetings, as well as the responsibility of the committee member to find a substitute if he or she cannot attend.

e. **Expectations of serving on SAAC.** The committee reviewed the Division III SAAC expectations document, including expectations for attendance and behavior. The committee voted to add the requirement for committee members unable to attend a meeting to submit proxy votes for both conference and partner conference when necessary.

3. **Special Olympics update and engagement.**

   a. **Talking points and activity report.** The committee reviewed the Special Olympics activity report and emphasized the importance for institutions to report activity to accurately illustrate the partnership.

   b. **2016 summer meeting activity.** The committee discussed ideas for a Special Olympics activity at the July 2016 meeting. The committee considered feedback from Special Olympics Indiana from the 2015 activity and discussed both indoor and outdoor options. The committee noted their preference for basketball if the activity is indoors and kickball if the activity is outdoors. NCAA staff will continue to work on details to be prepared for the July activity.

   c. **2017 Convention – Polar Plunge.** The committee discussed the logistics of conducting a polar plunge in Nashville during the 2017 Convention. The committee would like to see this activity conducted on-site at Convention if possible. If an on-site event is not possible, the committee would forego a polar plunge and instead conduct a unified sports activity as has been done in previous years.

4. **Review of Association-wide and Division III committee reports.** Committee members provided updates on recent attendance at Association-wide and Division III committee meetings.

5. **Legislative training.** NCAA staff provided an overview of the NCAA legislative process, including, but not limited to, the annual legislative cycle and how legislation can be proposed and adopted in Division III.
6. **Review of potential membership-sponsored proposal related to off-season workouts.** The committee reviewed a draft version of a potential membership-sponsored proposal that would allow off-season workouts with coaches. The committee opposed the concept based on time-demand concerns.

7. **Committee goals, partnerships and communications for 2016-17.** The committee discussed its goals and priorities for the 2016-17 academic year and determined it should focus on three primary items.
   
a. **Funding for SAAC leaders at Convention.** See the recommendation above regarding newly elected incoming SAAC members being provided funding to attend Convention.

b. **Game environment.** The committee continued its discussion concerning an appropriate Division III game environment and determined that the ideal game environment varies from sport to sport. The committee also reviewed data that showed that parents seem to be the biggest contributing factor to a negative game environment. The committee formed a working group to brainstorm ways in which SAAC can promote a positive game environment and will explore avenues to equip campus student-leadership groups with the tools necessary to support a better game environment across all athletics contests on campus.

c. **Mental illness.** The committee discussed mental illness and the well-being of student-athletes when seeking help for mental health concerns. The committee formed a small working group to determine how it would like to move forward with this topic and also will meet with the Sport Science Institute during its July meeting.

8. **Division III Week.** NCAA staff joined the committee to discuss Division III Week and how the committee can continue to promote and grow the number of institutions that participate in Division III Week activities. The committee joined NCAA national office staff for a celebratory breakfast and several committee members participated in a discussion panel to highlight their Division III experience.

9. **Governance update.** NCAA governance staff provided the committee with an update on Division III governance including the following topics:
   
a. Playing and practice seasons review;

b. Budget planning;
c. Diversity and inclusion initiatives; and

d. NCAA student-athlete ID.

10. **Future meetings.**

a. July 16-17, 2016; Indianapolis.

b. November 13-14, 2016; Indianapolis.


---

**Committee Chair:** Rob Wingert, Stevenson University, Middle Atlantic Conference

**Staff Liaison:**
- Jay Jones, Governance
- Brynna Barnhart, Enforcement
- Jean Orr, Academic and Membership Affairs
- Laura Peterson-Mlynski, Championships

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**Attendees:**

<table>
<thead>
<tr>
<th>Attendee</th>
<th>Conference</th>
</tr>
</thead>
<tbody>
<tr>
<td>Nnenna Akotaobi, Swarthmore College</td>
<td>Centennial Conference</td>
</tr>
<tr>
<td>Brad Bankston, Old Dominion Athletic Conference</td>
<td></td>
</tr>
<tr>
<td>Kyera Bryant, Eastern Nazarene College</td>
<td>Commonwealth Coast Conference</td>
</tr>
<tr>
<td>Sean Cain, Adrian College; Michigan Intercollegiate Athletic Association</td>
<td></td>
</tr>
<tr>
<td>Christopher Deddo, State University of New York Maritime College; Skyline Conference</td>
<td></td>
</tr>
<tr>
<td>Jessica Jean, John Jay College of Criminal Justice; City University of New York Athletic Conference</td>
<td></td>
</tr>
<tr>
<td>Tanner Milliron, University of Wisconsin-River Falls; Wisconsin Intercollegiate Athletic Conference</td>
<td></td>
</tr>
<tr>
<td>Nicole Monick, Johnson State College; North Atlantic Conference</td>
<td></td>
</tr>
<tr>
<td>Callie Olson, Lakeland College; Northern Athletics Collegiate Conference</td>
<td></td>
</tr>
<tr>
<td>Christopher O’Rourke, Becker College; New England Collegiate Conference</td>
<td></td>
</tr>
<tr>
<td>Elissa Phener, North Central University; Upper Midwest Athletic Conference</td>
<td></td>
</tr>
<tr>
<td>Kayla Porter, Frostburg State University; Capital Athletic Conference</td>
<td></td>
</tr>
<tr>
<td>Rosamaria Riccobono, Eastern Connecticut State University; Little East Conference</td>
<td></td>
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<tr>
<td>Marissa Robinson, Kean University; New Jersey Athletic Conference</td>
<td></td>
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<tr>
<td>Michael Rubayo, Swarthmore College; Centennial Conference</td>
<td></td>
</tr>
<tr>
<td>Taryn Stromback, Ohio Northern University; Ohio Athletic Conference</td>
<td></td>
</tr>
</tbody>
</table>
Megan Warren, Defiance College; Heartland Collegiate Athletic Conference  
Joseph Weber, University of Texas at Dallas; American Southwest Conference  
Robert Wingert, Stevenson University; Middle Atlantic Conference  
Alaina Woo, Pomona-Pitzer Colleges; Southern California Intercollegiate Athletic Conference  

**Absentees:**  
Zach Cook, College at Brockport, State University of New York; State University of New York Athletic Conference  
Ryan Fennelly, Rhodes College; Southern Athletic Association  
Greg Woods, Springfield College; New England Women’s and Men’s Athletic Conference  

**Guests in Attendance:**  
Mattie White, Indiana University, Bloomington  

**NCAA Staff Support in Attendance:**  
Brynna Barnhart, Jay Jones, Jean Orr, and Laura Peterson-Mlynski  

**Other NCAA Staff Members in Attendance:**  
Kate Broshears, Dan Dutcher, Louise McCleary
REPORT OF THE
NCAA DIVISION III COMMITTEE ON STUDENT-ATHLETE REINSTATEMENT
MAY 17-18, 2016, MEETING

ACTION ITEMS.

1. Legislative Items.

   • Noncontroversial Legislation – Hardship Waiver Numerator.

      (1) **Recommendation:** Sponsor in concept noncontroversial legislation to clarify that, in order to qualify for a hardship waiver or a season of participation – participation while eligible waiver, a student-athlete may not have participated in more contests or dates of competition than a number equivalent to one-third of the standard denominator, where the standard denominator is determined by the maximum number of contests or dates of competition plus one contest or date of competition.

      (2) **Effective Date:** Immediate.

      (3) **Rationale:** Figure 14-1 (Attached) clearly identifies both the standard denominator and the number of contests or dates of competition equal to one-third of the standard denominator. Member institutions, however, have suggested that the legislative language pertaining to hardship waivers and season of participation – participation while eligible waivers, suggests an alternative calculation. This proposal would amend the legislative language to more clearly reflect the intended method of calculating one-third of the maximum contests or dates of competition plus one contest or date of competition.

      (4) **Budget Impact:** None.

      (5) **Student-Athlete Impact:** None.

2. Nonlegislative Items.

   • None.

INFORMATIONAL ITEMS.

1. Review of Violations Involving Competition While Enrolled in Less Than Full-Time Program of Studies. The committee modified its guidelines to reflect the evolving landscape of academic enrollment and curriculum patterns.
2. **Review of Medical Documentation Standards for Hardship Waiver Appeals and Extension Requests.** The committee reviewed current legislated medical documentation requirements and supported current legislation requiring contemporaneous medical documentation and diagnosis from a treating physician. The committee noted NCAA student-athlete reinstatement staff should have continued flexibility on a case-by-case basis (e.g., cases involving diagnosis by a nurse practitioner or physician assistant). Additionally, the committee supported further research and collaboration with the Committee on Competitive Safeguards and Medical Aspects of Sport regarding nonphysician practitioners diagnosing season-ending injuries or illnesses.

3. **Update Regarding Financial Aid Legislation.** The staff provided the committee an update regarding the number of reinstatement cases processed since December 2015 involving financial aid violations. The committee requested the staff provide additional information and case data regarding financial aid violations at its December 2016 committee meeting.

4. **Review of Hardship Waiver Legislation.** The committee received an update regarding an editorial revision to clarify the application of Bylaw 14.2.5.3 (Eligibility for Practice After Receipt of Hardship Waiver) following review by the Interpretations and Legislation Committee.

5. **Flexible Student-Athlete Reinstatement Decisions.** The committee reviewed and discussed cases recently decided in the Requests/Self-Reports Online Case Management System (RSRO). As a result of the committee’s discussions, Eligibility Case No. 869197 was archived; however, all other cases reviewed were accepted and will remain available for precedent within the database consistent with the NCAA Divisions I, II and III Committees on Student-Athlete Reinstatement Policies and Procedures.

6. **Review of Guidelines.** The committee reviewed and approved editorial revisions to its Division III Committee on Student-Athlete Reinstatement Guidelines.

8. **Division III Summary of Actions.** The committee received an update regarding recently adopted legislation relevant to student-athlete reinstatement.

9. **Governance Update.** The director of Division III updated the committee on several items, including the following: composition of the NCAA Board of Governors; playing and practice seasons review; budget planning; impact of the Fair Labor Standards Act on the athletics landscape; possible changes to financial aid legislation related to receipt of outside aid; continuation of the Athletics Direct Report (ADR) Institute at the January 2017 NCAA Convention; engagement of faculty athletics representatives; diversity and inclusion initiatives; and changes to the NCAA Eligibility Center registration process for prospective student-athletes.

10. **Future Meetings.** The committee established the following future meeting dates and locations:

   a. November 30-December 1, 2016, Indianapolis.

   b. To be determined, 2017, Indianapolis.

---

**Committee Chair:** Lisa Sardinia, Pacific University (Oregon); Northwest Conference  
**Staff Liaisons:** Stephanie Grace, Academic and Membership Affairs  
Brad Rochman, Academic and Membership Affairs

<table>
<thead>
<tr>
<th>Attendees:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Matthew Burke, Mount Ida College; Great Northeast Athletic Conference.</td>
</tr>
<tr>
<td>Frank Millerick, Becker College; New England Collegiate Conference.</td>
</tr>
<tr>
<td>Carlton Pickron, Westfield State University; Massachusetts State Collegiate Athletic Conference.</td>
</tr>
<tr>
<td>Lisa Sardinia, Pacific University (Oregon); Northwest Conference.</td>
</tr>
<tr>
<td>Brian Williams, State University of New York at New Paltz; State University of New York Athletic Conference.</td>
</tr>
<tr>
<td>Robert Wingert, Stevenson University; Middle Atlantic Conference.</td>
</tr>
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</table>

<table>
<thead>
<tr>
<th>NCAA Staff Support in Attendance:</th>
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<tbody>
<tr>
<td>Stephanie Grace, Brad Rochman.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Other NCAA Staff Members in Attendance:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Louise McCleary, Jeff Myers.</td>
</tr>
</tbody>
</table>
### FIGURE 14-1

**Hardship Waiver Calculations — Standard Denominators Based on Bylaw 17 Maximums**

<table>
<thead>
<tr>
<th>Sport</th>
<th>Standard Denominator*</th>
<th>First Half of Playing Season</th>
<th>One-third of Contests or Dates of Competition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Baseball</td>
<td>41</td>
<td>Before the start of the 21st contest</td>
<td>14</td>
</tr>
<tr>
<td>Men’s Basketball</td>
<td>26</td>
<td>Before the completion of the 13th contest</td>
<td>9</td>
</tr>
<tr>
<td>Women’s Basketball</td>
<td>26</td>
<td>Before the completion of the 13th contest</td>
<td>9</td>
</tr>
<tr>
<td>Women’s Bowling</td>
<td>33</td>
<td>Before the start of the 17th date of competition</td>
<td>11</td>
</tr>
<tr>
<td>Men’s Cross Country</td>
<td>11#</td>
<td>Before the start of the sixth date of competition</td>
<td>4</td>
</tr>
<tr>
<td>Women’s Cross Country</td>
<td>11#</td>
<td>Before the start of the sixth date of competition</td>
<td>4</td>
</tr>
<tr>
<td>Men’s Fencing</td>
<td>12</td>
<td>Before the completion of the sixth date of competition</td>
<td>4</td>
</tr>
<tr>
<td>Women’s Fencing</td>
<td>12</td>
<td>Before the completion of the sixth date of competition</td>
<td>4</td>
</tr>
<tr>
<td>Field Hockey</td>
<td>21</td>
<td>Before the start of the 11th contest</td>
<td>7</td>
</tr>
<tr>
<td>Football</td>
<td>11</td>
<td>Before the start of the sixth contest</td>
<td>4</td>
</tr>
<tr>
<td>Men’s Golf</td>
<td>21</td>
<td>Before the start of the 11th date of competition</td>
<td>7</td>
</tr>
<tr>
<td>Women’s Golf</td>
<td>21</td>
<td>Before the start of the 11th date of competition</td>
<td>7</td>
</tr>
<tr>
<td>Men’s Gymnastics</td>
<td>14</td>
<td>Before the completion of the seventh date of competition</td>
<td>5</td>
</tr>
<tr>
<td>Women’s Gymnastics</td>
<td>14</td>
<td>Before the completion of the seventh date of competition</td>
<td>5</td>
</tr>
<tr>
<td>Men’s Ice Hockey</td>
<td>26</td>
<td>Before the completion of the 13th contest</td>
<td>9</td>
</tr>
<tr>
<td>Women’s Ice Hockey</td>
<td>26</td>
<td>Before the completion of the 13th contest</td>
<td>9</td>
</tr>
<tr>
<td>Men’s Lacrosse</td>
<td>18</td>
<td>Before the completion of the ninth date of competition</td>
<td>6</td>
</tr>
<tr>
<td>Women’s Lacrosse</td>
<td>18</td>
<td>Before the completion of the ninth date of competition</td>
<td>6</td>
</tr>
<tr>
<td>Men’s Rifle</td>
<td>14</td>
<td>Before the completion of the seventh date of competition</td>
<td>5</td>
</tr>
<tr>
<td>Women’s Rifle</td>
<td>14</td>
<td>Before the completion of the seventh date of competition</td>
<td>5</td>
</tr>
</tbody>
</table>

* = Maximum number of contests or dates of competition plus one pursuant to Bylaw 14.2.5-(a)-(b).

# = Maximum of nine dates of competition (Bylaw 17) plus two dates of competition pursuant to Bylaw 14.2.5.2.5.1.

** = See Bylaw 14.2.5.2.5.1.
<table>
<thead>
<tr>
<th>Sport</th>
<th>Standard Denominator*</th>
<th>First Half of Playing Season</th>
<th>One-third of Contests or Dates of Competition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Women's Rowing</td>
<td>21</td>
<td>Before the start of the 11th date of competition</td>
<td>7</td>
</tr>
<tr>
<td>Women's Rugby</td>
<td>16</td>
<td>Before the completion of the eighth date of competition</td>
<td>5</td>
</tr>
<tr>
<td>Men's Skiing</td>
<td>17</td>
<td>Before the start of the ninth date of competition</td>
<td>6</td>
</tr>
<tr>
<td>Women's Skiing</td>
<td>17</td>
<td>Before the start of the ninth date of competition</td>
<td>6</td>
</tr>
<tr>
<td>Sand Volleyball</td>
<td>17</td>
<td>Before the start of the ninth date of competition</td>
<td>6</td>
</tr>
<tr>
<td>Men's Soccer</td>
<td>21</td>
<td>Before the start of the 11th contest</td>
<td>7</td>
</tr>
<tr>
<td>Women's Soccer</td>
<td>21</td>
<td>Before the start of the 11th contest</td>
<td>7</td>
</tr>
<tr>
<td>Softball</td>
<td>41</td>
<td>Before the start of the 21st contest</td>
<td>14</td>
</tr>
<tr>
<td>Men's Swimming and Diving</td>
<td>17</td>
<td>Before the start of the ninth date of competition</td>
<td>6</td>
</tr>
<tr>
<td>Women's Swimming and Diving</td>
<td>17</td>
<td>Before the start of the ninth date of competition</td>
<td>6</td>
</tr>
<tr>
<td>Men's Tennis</td>
<td>21</td>
<td>Before the start of the 11th date of competition</td>
<td>7</td>
</tr>
<tr>
<td>Women's Tennis</td>
<td>21</td>
<td>Before the start of the 11th date of competition</td>
<td>7</td>
</tr>
<tr>
<td>Men's Track and Field (Indoor)</td>
<td>9**</td>
<td>Before the start of the fifth date of competition</td>
<td>3</td>
</tr>
<tr>
<td>Men's Track and Field (Outdoor)</td>
<td>9**</td>
<td>Before the start of the fifth date of competition</td>
<td>3</td>
</tr>
<tr>
<td>Women's Track and Field (Indoor)</td>
<td>9**</td>
<td>Before the start of the fifth date of competition</td>
<td>3</td>
</tr>
<tr>
<td>Women's Track and Field (Outdoor)</td>
<td>9**</td>
<td>Before the start of the fifth date of competition</td>
<td>3</td>
</tr>
<tr>
<td>Men's Volleyball</td>
<td>23</td>
<td>Before the start of the 12th date of competition</td>
<td>8</td>
</tr>
<tr>
<td>Women's Volleyball</td>
<td>23</td>
<td>Before the start of the 12th date of competition</td>
<td>8</td>
</tr>
<tr>
<td>Water Polo</td>
<td>22</td>
<td>Before the completion of the 11th date of competition</td>
<td>8</td>
</tr>
<tr>
<td>Wrestling</td>
<td>17</td>
<td>Before the start of the ninth date of competition</td>
<td>6</td>
</tr>
</tbody>
</table>

* = Maximum number of contests or dates of competition plus one pursuant to Bylaw 14.2.5-(a)-(b).
# = Maximum of nine dates of competition (Bylaw 17) plus two dates of competition pursuant to Bylaw 14.2.5.2.5.1.
** = See Bylaw 14.2.5.2.5.1.
EXEMPLARY EXCERPT FROM THE REPORT OF THE COMMITTEE FOR COMPETITIVE SAFEGUARDS AND MEDICAL ASPECTS OF SPORTS
JUNE 15-17, 2016, MEETING

**ACTION ITEM.**

- Legislative Item.
  - NCAA Constitution 3 – NCAA Membership – Conditions and Obligations of Membership – Independent Medical Care
    1. **Recommendation.** In Divisions II and III, sponsor legislation to specify that an active member institution shall establish an administrative structure that provides independent medical care and affirms the unchallengeable autonomous authority of primary athletics health care providers (team physicians and athletic trainers) to determine medical management and return-to-play decisions related to student-athletes; and further, an active institution shall designate an Athletics Healthcare Administrator to oversee the institution's athletics health care administration and delivery.
    2. **Effective date.** August 1, 2017.
    3. **Rationale.** The NCAA Principle of Health and Safety makes it the responsibility of institutions to protect the health of, and provide a safe environment for its student-athletes. As a continuum of Inter-Association Consensus: Independent Medical Care for College Student-Athlete Guidelines, this proposal supports this principle and requires further administrative controls in the delivery of integrated sports medicine and athletic training services. Specifically, this proposal addresses the issue of medical providers at institutions having unchallengeable autonomous authority to determine medical management and return-to-play decisions of student-athletes. Further, the administrative structure should ensure that no coach serve as the primary supervisor for any medical provider, nor have hiring, retention, and dismissal authority over that provider. Current legislation gives some authority to the sports medicine staff member to be empowered to have the unchallengeable authority to cancel or modify workouts for health and safety reasons, but it does not address medical management of student-athletes. This is an issue facing institutions that directly impacts the health and well-being of student-athletes and this proposal will help ensure that appropriate medical care controls and authority exists.
    4. **Estimated budget impact.** Minimal.
    5. **Student-athlete impact.** This legislation will improve athletics healthcare administration by affirming that medical care is being delivered in an independent manner.
REPORT OF THE
NCAA COMMITTEE ON WOMEN’S ATHLETICS
APRIL 14-15, 2016, MEETING

KEY ITEM.

• Status of equestrian. The Committee on Women’s Athletics (CWA) recommends that equestrian continue to be considered an emerging sport for women due to new organizational efforts, membership feedback and potential growth. The CWA will continue to monitor equestrian through the process outlined in the new “NCAA Emerging Sports for Women Process Guide” that will be released in fall 2016.

ACTION ITEMS.

1. Legislative items.
   • None.

2. Nonlegislative item.
   a. Equestrian Remain on the Emerging Sports for Women list. [Division I only]
      (1) Recommendation. Retain equestrian on the emerging sport lists for Divisions I and II.
      (2) Effective date. Immediate.
      (3) Rationale. The committee discussed the importance of the emerging sport designation to grow a sport within the NCAA structure and to create meaningful participation opportunities for female student-athletes. After hearing an update on the organizational efforts in equestrian within the past year, the committee voted to recommend that equestrian remain on the emerging sport lists for Divisions I and II. The committee will continue to monitor the sport’s growth and engage directly with sport leadership.
      (4) Estimated budget impact. None.
      (5) Student-athlete impact. None.
b. **NCAA Ethnic Minority and Women Enhancement Postgraduate Scholarship Increase.**

(1) **Recommendation.** Increase the Ethnic Minority and Women Enhancement Postgraduate scholarship from $7,500 to $8,500 for each award.

(2) **Effective date.** September 2016 for possible dispersal in February 2017.

(3) **Rationale.** The committee considers the alignment of this scholarship’s mission with the NCAA Board of Governors’ Resolution Reaffirming Commitment to Diversity and Inclusion as a key effort in developing ethnic minorities and women for careers in athletics. The committee also determined an overall increase in higher education tuition and fees and the recent proposal for a matching increase in the NCAA Postgraduate Scholarship as support for a request to increase scholarship awards. The committee therefore recommends an increase from $7,500 to $8,500 for each scholarship award. If approved, the earliest the funds would be available is September 2016 for possible dispersal in February 2017.

(4) **Estimated budget impact.** The increase will add $26,000 to the current $195,000 budget annually, which constitutes a 12 percent increase.

(5) **Student-athlete impact.** An increase in the scholarship amount increases the overall value of the scholarship for each recipient thereby creating more educational opportunities.

**INFORMATIONAL ITEMS.**

1. **Administrative items.** The committee welcomed new members Alyssa Drachslin, Temple University and Kayla Robles, Valdosta State University.

2. **Approval of February 2016 teleconference report.** The committee approved the February teleconference report.

3. **Woman of the Year selection guidelines.** NCAA staff provided an overview of the updated selection guidelines for the 2016 Woman of the Year award. During its February meeting, the committee voted to change the criteria of the award with the following the point total: 30 percent would reflect academics, 30 percent would reflect athletics, 30 percent would reflect service and leadership, and the remaining 10 percent would reflect the personal statement. Updated selection guidelines also include more detailed descriptions for the 5 to 1 rating scales for each section. The committee voted that all nominee applications will be forwarded to their respective core conference office for the selection of conference honoree(s) for the 2016 award.
4. **Division II equestrian discussion.** Staff provided an update on the recent vote by the Division II membership to overturn the removal of equestrian from the Division II Emerging Sports for Women list. The committee discussed the importance of the emerging sport designation to grow a sport within the NCAA structure and to create meaningful participation opportunities for female student-athletes. After hearing an update on the organizational efforts in equestrian within the past year, the committee voted to recommend that equestrian remain on the emerging sport lists for Divisions I and II and the committee will continue to monitor the sport’s growth and engage directly with sport leadership. The recommendation will be sent to the Division I Strategic Vision and Planning Committee and the Division II Management Council for their consideration.

5. **Emerging Sports updates.** The committee received updates on the status of women’s rugby and women’s triathlon.

Eleven institutions sponsored women’s rugby in 2015-16 (according to the NCAA Sports Sponsorship Database) and sponsorship is projected to increase to 17 varsity programs in 2016-17. The National Collegiate Women’s Varsity Rugby Association hosted its first championship in November 2015 at Brown University. Quinnipiac University won the inaugural championship.

Three NCAA institutions sponsored women’s triathlon in 2015-16, and sponsorship is projected to increase to 11 varsity programs in 2016-2017. Arizona State University and East Tennessee State University will be the first Division I women’s triathlon programs. Growth can be attributed to the introduction of the USA Triathlon grant program in which the national governing body provides funding for NCAA member institutions to establish varsity programs.

6. **Emerging Sports for Women process guide.** The committee had an extensive discussion on the latest version of the new process guide. The guide provides more comprehensive information on the emerging sport process, from required content for proposals to be considered for the list to the potential legislative recommendation to each divisional body. The committee discussed the appropriate reporting timeline for current emerging sports on the list to ensure that emerging sports are progressing toward the minimum number of 40 varsity programs to be eligible for an NCAA championship. The updated process guide will be distributed to the committee and further discussion will take place in an early summer teleconference. The committee’s goal is to make the finalized process guide available to the leadership of Divisions I, II, and III governance committees this summer and to the entire membership this fall.

7. **Gender Equity Task Force preliminary recommendations to Board of Governors Ad Hoc Committee.** The committee reviewed the Gender Equity Task Force recommendations to the Board of Governors Ad Hoc Committee and emphasized the
importance of appropriate funding for such recommendations and the resolution that was approved in January. The committee strongly supports the recommendations, offering only some minor suggestions on wording focused primarily on using stronger language such as “requests” instead of “encourages.” A letter will be sent to the Board of Governors showing support for the task force’s recommendation.

8. **Division III women’s golf.** The committee received an update on the recent legislation that permits Division III women’s golf teams to utilize four participants to count toward meeting minimum sports sponsorship requirements and viewed this legislation positively. NCAA governance and championship staff members as well as the chair of the Division III women’s golf committee reviewed the rationale for the legislation and noted the importance of continued monitoring of the average size of women’s golf team rosters.

9. **Board of Governors Ad Hoc Committee to Promote Cultural Diversity and Equity.** Staff provided an overview of the upcoming Ad Hoc Committee to Promote Cultural Diversity and Equity meeting, including preliminary initiatives that will be reviewed.

10. **Women’s basketball championship update.** The 2016 women’s basketball championships included all championship games for Divisions I, II and III. Staff provided a detailed description of the Final Four weekend’s many activities. Committee members inquired about NCAA policies for creating gender equitable championship experiences for student-athletes. In particular, questions were asked about the differing gift packages provided for Division I male and female basketball student-athletes at the respective Final Fours. The committee expressed support for equitable championship experiences for each gender by sport and by division.

11. **Other business.** Staff described upcoming events and initiatives that the committee will be engaged in, including the 45th anniversary of Title IX in 2017 and the release of the sexual assault toolkit, through a partnership between the NCAA Sports Science Institute and the Office of Inclusion.

12. **Committee Recognitions.** The committee thanked outgoing members President Daniel Bradley, Indiana State University; Chris McKibbens, Georgian Court University; and Dean Snider, Whitman College for their service to the committee.

13. **Future meeting dates and site.**

   - September 14-15, 2016 in Indianapolis.
Committee Chair: Julie Soriero, Massachusetts Institute of Technology, New England Men’s and Women’s Athletic Conference

Staff Liaison(s): Emily Capehart, Academic and Membership Affairs  
Kristin Fasbender, Championships and Alliances  
Jan Gentry, Championships and Alliances  
Amy Wilson, Office of Inclusion

| NCAA Committee on Women’s Athletics  
<table>
<thead>
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<th>Attendees:</th>
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</thead>
<tbody>
<tr>
<td>Karen Baehler, University of Washington; Pacific 12 Conference.</td>
</tr>
<tr>
<td>Julia Beeman, Belmont Abbey College; Conference Carolinas.</td>
</tr>
<tr>
<td>Daniel Bradley, Indiana State University; Missouri Valley Conference.</td>
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<tr>
<td>Julie Cromer Peoples, University of Arkansas, Fayetteville; Southeastern Conference.</td>
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<tr>
<td>Jim Donovan, California State University, Fullerton; Big West Conference.</td>
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<tr>
<td>Alyssa Drachslin, Temple University; American Athletic Conference.</td>
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<td>Donna Ledwin, Allegheny Mountain Collegiate Conference.</td>
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<td>Heather MacCulloch, State University of New York Maritime College; Skyline Conference.</td>
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<td>Christopher McKibben, Georgian Court University; Central Atlantic Collegiate Conference.</td>
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<td>Sherika Montgomery; Big South Conference.</td>
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<td>Kayla Robles, Valdosta State University; Gulf South Conference.</td>
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<td>Dean Snider, Whitman College; Northwest Conference.</td>
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<td>Deb Steward, William Smith College; Liberty League.</td>
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<td>Julie Soriero, Massachusetts Institute of Technology; New England Men’s and Women’s Athletic Conference.</td>
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<th>Absentees:</th>
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<tr>
<td>Vincent Baldemor, Hawaii Pacific University; Pacific West Conference.</td>
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<td>Anne Blackhurst, Minnesota State University Moorhead; Northern Sun Intercollegiate Conference.</td>
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<td>Diana Kling; Peach Belt Conference.</td>
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<td>Marvin Krislov, Oberlin College; North Coast Athletic Conference.</td>
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<td>Marissa Robinson, Kean University; New Jersey Athletic Conference.</td>
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<th>Other NCAA Staff Members in Attendance:</th>
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REPORT OF THE
NCAA MINORITY OPPORTUNITIES AND INTERESTS COMMITTEE
APRIL 14-15, 2016 MEETING

ACTION ITEMS.

1. Legislative items.
   - None.

2. Nonlegislative items.
   - NCAA Ethnic Minority and Women Enhancement Postgraduate Scholarship Increase.
     - Recommendation. Increase in the Ethnic Minority and Women Enhancement Postgraduate scholarship from $7,500 to $8,500 for each award.
     - Rationale. The committee considers the alignment of this scholarship’s missive with the NCAA Board of Governors resolution reaffirming commitment to diversity and inclusion as a key effort in developing ethnic minorities and women for careers in athletics. The committee also determined an overall increase in higher education tuition and fees and the recent proposal for a matching increase in the NCAA Postgraduate Scholarship as support for a request to increase scholarship awards. The committee therefore recommends an increase from $7,500 to $8,500 for each scholarship award. If approved, the earliest the funds would be available is September 2016 for possible dispersal in February 2017.
     - Estimated budget impact. The increase will add $26,000 to the current $195,000 budget annually, which constitutes a 12 percent increase.
     - Student-athlete impact. An increase in the scholarship amount increases the overall value of the scholarship for each recipient thereby creating more educational opportunities.

INFORMATIONAL ITEMS.

1. Welcome and announcements. The Minority Opportunities and Interests Committee vice chair, Nnenna Akotaobi, opened the meeting and called roll of the members in attendance. Ralph Bertrand, Brady Bramlett, Robert Head, Francesca Ceppi, Robert Head, China Jude, and Julie Muller were acknowledged for their service to the committee in recognition of the
end of their terms. Catherine Ragon, Division I SAAC representative, was recognized as a new member to the committee. It was noted that she was unable to attend the meeting, and Liz Wood was welcomed as her substitute. The committee was informed that a schedule conflict prevented Jon Duncan from visiting with the group and would be rescheduled for the Fall 2016 meeting.

2. Approval and review of meeting reports. The committee approved the report of the February 3, 2016 teleconference with no changes, and reviewed the Committee on Women’s Athletics September 15-16, 2015 report.

3. Committee structure, policy and procedures. The committee discussed the demographic composition of the current roster and acknowledged the need for greater participation of individuals from various underrepresented populations. Committee members suggested intentional recruitment of individuals to help diversify the representation of minority identities as referenced in the MOIC mission statement. The committee also discussed the current composition of the committee as it relates to student athlete representation and voice. The committee weighed the possibility of allowing the three student-athlete representatives to vote independently. Currently, the student-athletes have one combined vote. The proposed change would create 18 voting members instead of 15 individual votes and one combined student-athlete vote. In addition, the change supports the Association’s desire to increase student-athlete engagement and voice within the governance structure. As there are other Association-wide committees with student-athlete representation that have a similar composition, the committee charged staff with researching the possibility of changing the legislation to allow each student-athlete to have one vote and discussing with these other committees whether there is an interest in changing this legislation across the board.

4. Division II update. Maritza Jones, director of Division II, discussed the division’s six-year strategic plan and shared that diversity is one of the five areas of emphasis. An update was provided related to the different initiatives/strategies the division sponsors in this area. There are three grants aimed at hiring and retaining ethnic minorities and women: the Coaching Enrichment Grant, the Strategic Alliance Matching Grant, and the Ethnic Minority and Women’s Internship Grant. The committee requested follow up on data tracking the number of individuals who persist in their positions after the third, and final, year of funding through the Strategic Alliance Matching Grant. Division II also has partners with affiliate members to promote diversity. The Division provides grant funding for an individual to attend governance academies facilitated by the Minority Opportunities Athletics Association (MOAA) and the National Association of Collegiate Women Athletic Administrators (NACWAA). The division also sponsors a mentor program for 10 participants annually.

5. Division III update. Louise McCleary, director of Division III, provided an update on the division’s diversity initiatives, particularly on the work of the Division III Diversity and Inclusion Working Group. The working group recently entered its second year of existence and has been charged with exploring data and the challenges around underrepresented populations in the division. Guided by the working group’s efforts, Division III has funded programs intended to grow the pipeline, including a program that
provided grants for 40 ethnic minority students and student-athletes to attend the 2016 NCAA convention, and an allocation of $2 million in grants to assist member institutions in increasing diversity in their coaching and administrative positions. The division has created a database of ethnic minority and female candidates from Division III that can be shared with hiring managers. Division III also sponsors the Institute for Administrative Advancement for up to 30 minority mid-level administrators. The Institute is a professional development program held in conjunction with a Regional Rules Seminar. The importance of retention as an element of increasing diversity was discussed. The division continually communicates with former participants through quarterly newsletters highlighting personal profiles and opportunities for career advancement and NCAA committee involvement.

6. **Academic Misconduct.** Kathy Sulentic, associate director of enforcement and head of the Academic Integrity Unit, reported on the new academic misconduct legislation. Sulentic provided the committee with a memo from the vice president of enforcement that was sent to the Division I membership regarding NCAA Division I Proposal 2015-66 (Academic Integrity). The memo explained that the enforcement staff would not be involved with policies and procedures regarding academic misconduct at the institutional level, and clarified the consideration of violation levels applied to school representatives by proximity to the sport program. Information about the areas of focus under the new legislation were shared with the committee and members were informed that while it is largely believed that academic misconduct is primarily a Division I issue, it touches all three NCAA divisions and has a heightened impact on HBCUs. The focus areas of the new legislation include: pre-enrollment, student-to-student misconduct, the definition of an institutional staff member, the three elements that constitute academic misconduct, impermissible academic assistance, and manipulation of the APP process.

7. **Discussion of membership updates and current issues.** The committee discussed its role in providing education and advocacy to, and on behalf of, the membership. MOIC recognized its ability to assist membership groups distinguish between legislated and non-legislated acts regarding policies that impact diverse and underrepresented communities. The committee determined that it will give attention to the review of institutions on lists connected to the Confederate Flag and Native American Mascot policies. The committee requested that staff provide historical context and updated data regarding these policies and suggested the MOIC and the SAAC representatives as vehicles to help educate student-athletes on the reason these policies exist.

8. **Update from Bernard Franklin, NCAA Executive Vice President for Education and Community Engangement and Chief Inclusion Officer.** The committee received an update regarding new initiatives by the office of inclusion, including a LGBTQ/HBCU pilot program which was conducted with four schools, a grant funding female coaches from HBCUs to attend programming offered by the Alliance of Women Coaches, and the release of the revised inclusion website. Franklin presented demographic data about the three divisions and emphasized the low representation of ethnic minority females in positions of athletics leadership. He then shared an update on the NCAA Board of Governors resolution and the Ad Hoc Committee to Promote Cultural Diversity and Inclusiveness. Priority areas
for the ad hoc committee were identified as: gaining presidential support of a pledge toward diversity and inclusion on individual campuses, developing a digital database for candidate promotion and exposure, increasing impact in developing the hiring pipeline, implementing a diversity and inclusion recognition metric, and engaging minority-serving institutions. While the ad hoc committee recognized that the Association could not mandate a requirement such as the NFL’s Rooney Rule, it suggested a voluntary alternative that could be connected to the aforementioned pledge. The MOIC shared concerns about accountability for campuses regarding diversity metrics and supported the initiatives related to creating opportunities for minority candidate exposure to hiring managers.

9. **Office of Inclusion update.** The committee received an update on the Office of Inclusion’s programming held in conjunction with the Men’s and Women’s Final Fours and the current initiatives led by staff. The committee learned of the forthcoming update to the transgender guide, the scheduling of campus diversity reviews, the plans for a hiring best practices guide, and the agenda for the 2016 NCAA Inclusion Forum.

10. **Ethnic Minority and Women Enhancement Postgraduate Scholarship.** The committee suggested that the EMWE postgraduate scholarship be raised from $7,500 to $8,500 in parity with the proposed amount being considered for the NCAA Postgraduate Scholarship (see Nonlegislative Action Item).

11. **Strategic plan discussion.** The committee spent time brainstorming about their 2016-18 action plan, placing consideration on alignment of their strategic initiatives with the Board of Governors resolution. Specifically, the committee suggested the following strategic priorities be integrated as the MOIC leadership finalizes the plan in the summer:

   a. Providing recommendations on grant funding, programs, and partnerships related to the hiring and development of ethnic minority coaches, administrators, and student-athletes.

   b. Collaborating with appropriate NCAA and higher education entities in the creation of evidenced-based best practice toolkits supporting inclusive campus environments.

   c. Assist in the creation, review, and tracking of diversity and inclusion plans among member institutions.

   d. Participate in efforts to create educational resources for senior leadership.

The committee discussed its mission and the expanded focus that was approved in April 2015. The language of the current statement identifies LGBTQ communities and individuals with disabilities as additional areas of the committee’s focus. The committee will continue to define if the purview of the committee encompasses those groups as a whole or on issues impacting racial/ethnic minority members within those communities as subgroups.
12. **Next meeting.** September 12-13, 2016 in Indianapolis.

*Committee Chair: Mark Alnutt, University of Memphis, Conference*

*Staff Liaison(s): Sahar Abdur-Rashid, Championships and Alliances*

*Derrick Crawford, Enforcement*

*Sonja Robinson, Inclusion*

| NCAA Minority Opportunities and Interests Committee Meeting  
| April 14-15, 2016 |

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<tr>
<th><strong>Attendees:</strong></th>
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<tbody>
<tr>
<td>Nnenna Akotaobi, Swarthmore College; Centennial Conference.</td>
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<tr>
<td>Ralph Bertrand, Colorado College; Southern Collegiate Athletic Conference.</td>
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<td>Sharon Brummell, Georgetown University; Big East Conference.</td>
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<tr>
<td>Thomas Bullock, University of the District of Columbia; East Coast Conference</td>
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<tr>
<td>William Burnett Bynum Jr. Mississippi Valley State University; Southwestern Athletic Conference</td>
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<tr>
<td>G. Anthony Grant, Metropolitan State University of Denver; Rocky Mountain Athletic Conference.</td>
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<tr>
<td>Ashley Hodges, Southern Vermont College; New England Collegiate Conference.</td>
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<td>China Jude, Queens College, The City University of New York; East Coast Conference.</td>
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<tr>
<td>Robert Minnix, Washington State University; Pac-12 Conference.</td>
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<td>Julie Muller, North Atlantic Conference.</td>
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<td>Angela Red, McKendree University; Great Lakes Valley Conference.</td>
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<td>Nona Richardson, University of Utah; Pac-12 Conference.</td>
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<tr>
<td>Mark Alnutt, The University of Memphis; American Athletic Conference.</td>
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<tr>
<td>Catherine Ragon, Tiffin University; Great Lakes Intercollegiate Athletic Conference.</td>
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<td>Greg Woods, Springfield College; Great Lakes Intercollegiate Athletic Conference.</td>
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<td>Elizabeth Wood, The University of Maine, Orono; America East Conference.</td>
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<td>Sahar Abdur-Rashid, Derrick Crawford and Sonja Robinson.</td>
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<th><strong>Other NCAA Staff Members in Attendance:</strong></th>
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<tr>
<td>Kina Davis, Bernard Franklin, Maritza Jones, Louise McCleary, Kathy Sulentic and Brittany Washington.</td>
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REPORT OF THE
COMMITTEE ON WOMEN’S ATHLETICS AND MINORITY OPPORTUNITIES
AND INTERESTS COMMITTEE JOINT
APRIL 15, 2016 MEETING

ACTION ITEMS.
1. Legislative items.
   • None.
2. Nonlegislative items.
   • None.

INFORMATIONAL ITEMS.
1. Committee updates. The committees provided an update on each of their respective meetings from the previous day and morning.
2. CWA/MOIC Subcommittee Updates.
   a. Student-Athletes with Disabilities. A representative from the sub-committee discussed the Eastern College Athletic Conference’s inclusive sport initiative and the conference’s efforts to incorporate adaptive events at its championships. The subcommittee reviewed a video of the adaptive demonstration the conference presented at their swimming and diving championship in collaboration with U.S. Paralympics.
   b. LGBTQ. The subcommittee chair discussed recent legislation enacted in North Carolina restricting public restroom and locker-room use to individuals based on their identified sex at birth. The group decided to draft a joint letter from both committees to be sent to the NCAA Board of Governors. The letter will note that the group would like to empower President Emmert and senior staff to advance beyond the message of monitoring the various state laws, involve NCAA Chief Medical Officer Dr. Brian Hainline and the Sport Science Institute in efforts to educate and communicate to member institutions, and establish that NCAA events be held in cities and states that can ensure a safe, respectful and non-discriminatory environment for all persons. Both CWA and MOIC agreed to poll their respective members via email to solicit feedback and votes of approval on the draft letter before sending it to the Board of Governors.
3. **Women of Color.** The subcommittee chair informed the group that the Women of Color Barriers Study report was available on the [NCAA publications website](#), and that a new story from the NCAA communication staff announcing the release would be forthcoming. The subcommittee shared information about the roundtable discussion they would be facilitating in conjunction with the NCAA Inclusion Forum on Saturday, April 16. The roundtable discussion will give the subcommittee the opportunity to discuss the findings of the report and generate feedback and next steps from attendees.

4. **Woman of the Year update.** CWA shared information about their efforts to make award criteria clearer, fairer and more objective. During their April 2016 meeting, the committee voted to change the criteria of the award so that of the point total, 30 percent would reflect academics, 30 percent would reflect athletics, 30 percent would reflect leadership, and the remaining 10 percent would reflect the personal statement.

5. **2016 Inclusion Forum.** Staff shared information about the projected attendance and highlights from the agenda of the Inclusion Forum scheduled to begin April 16, 2016.

6. **Next meeting.** To be determined.

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**Committee Chairs:** Mark Alnutt, University of Memphis, Conference  
Julie Soriero, Massachusetts Institute of Technology  

**Staff Liaison(s):** Sahar Abdur-Rashid, Championships and Alliances  
Emily Capehart, Academic and Membership Affairs  
Derrick Crawford, Enforcement  
Kristin Fasbender, Championships and Alliances  
Jan Gentry, Championships and Alliances  
Sonja Robinson, Office of Inclusion  
Amy Wilson, Office of Inclusion

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| Committee on Women’s Athletics and Minority Opportunities and Interests Committee Joint  
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<th>April 15, 2016 Meeting</th>
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<tr>
<td>Nnenna Akotaobi, Swarthmore College</td>
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<td>Karen Baebler, University of Washington</td>
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<td>Julie Beeman, Belmont Abbey College</td>
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<td>Ralph Bertrand, Colorado College</td>
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<td>William Burnett Bynum Jr., Mississippi Valley State University</td>
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<td>Julie Cromer Peoples, University of Arkansas, Fayetteville</td>
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<td>G. Anthony Grant, Metropolitan State University of Denver</td>
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<td>Jim Donovan</td>
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<td>Kina Davis, Jessica Duff, Brittany Washington and Richard Zhu.</td>
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ACTION ITEMS.

- None.

INFORMATION ITEM.

- NCAA Men’s and Women’s Fencing uniform policy recommendation. The committee reviewed and approved a recommendation from the NCAA Men’s and Women’s Fencing Committee to mandate the removal (or covering up) of country codes from the fencing uniform, effective with the 2016 Men’s and Women’s Fencing Championships.

Committee Chair: Jon Steinbrecher, Mid-American Conference
Staff Liaison(s): Ben Brownlee, Championships and Alliances, Playing Rules and Officiating
                Dan Calandro, Championships and Alliances, Playing Rules and Officiating
                Ashlee Ferguson, Championships and Alliances, Playing Rules and Officiating
                Ty Halpin, Championships and Alliances, Playing Rules and Officiating
                Emily Parkins, Championships and Alliances, Playing Rules and Officiating
                Rachel Seewald, Championships and Alliances, Playing Rules and Officiating

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<th>Respondents:</th>
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<tr>
<td>Shelley Appelbaum, Michigan State University;</td>
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<td>Big Ten Conference.</td>
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<td>Pat Britz, South Atlantic Conference.</td>
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<td>William J. Fusco, Sonoma State University;</td>
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<td>California Collegiate Athletic Association.</td>
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<td>Jeff Hurd, Western Athletic Conference.</td>
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<td>Noreen Morris, Northeast Conference.</td>
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<td>Lynn Oberbillig, Smith College; New England</td>
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<td>Women's and Men's Athletic Conference.</td>
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<td>Derita Ratcliffe, University of Alabama at</td>
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<td>Birmingham; Conference USA.</td>
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<td>Larry Scott, Pac-12 Conference.</td>
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<td>Jon Steinbrecher, Mid-American Conference.</td>
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<td>Kevin White, Truman State University; Great</td>
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<td>Lakes Valley Conference.</td>
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<td>Douglas Zipp, Shenandoah University; Old</td>
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<td>Dominion Athletic Conference.</td>
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ACTION ITEMS.

1. Legislative items.
   - None.

2. Nonlegislative items.
   - None.

INFORMATIONAL ITEMS.

1. Welcome and Announcements. Shelley Appelbaum, acting chair, welcomed those on the call and thanked them for their time.

2. Review of January 13, 2016 annual meeting report and February 9, 2016 email conference report. The panel approved the reports as written.

3. NCAA Soccer Rules Committee annual meeting report and comment period report. The panel reviewed and approved eleven proposals from the NCAA Men’s and Women’s Soccer Rules Committee, including allowing artificial noisemakers to be used during the run of play, and rewriting the offside rule to be consistent with FIFA laws. The panel tabled a proposal on allowing video review for three specific situations and requested more information from the committee. In addition, the panel denied a request to permit conferences to experiment with restricting substitutions to no re-entry in the second half.

4. NCAA Women’s Volleyball Rules Committee annual meeting report and comment period report. The panel reviewed and approved the five proposals submitted by the NCAA Women’s Volleyball Rules Committee. Items of note included the approval of the challenge review system, which will allow each team three challenges per match, and the elimination of the pursuit rule.

5. NCAA Water Polo Rules Subcommittee annual meeting report, teleconference report and comment period report. The panel reviewed and approved the six proposals from the NCAA Men’s and Women’s Water Polo Rules Subcommittee, including recommendations that the field of play be uniformly and adequately lighted and the host institution assign a representative to handle game management issues, adding a one-game suspension for any player accumulating three misconducts in the same season, and excluding a player for receiving a second Minor Act of Misconduct in the same game.
6. **Update from February oversight committee meetings.** Staff noted that the Division I football, women’s basketball and men’s basketball oversight committees continue to consider various models related to the role of the oversight committees in the playing rules process.

7. **Future meeting dates and times.**
   - Teleconferences: Tuesday, March 8 at noon Eastern time: football; Wednesday, June 15 at noon Eastern time: basketball, swimming and diving, wrestling, field hockey and rifle; Wednesday, July 20 at noon Eastern time: bowling, ice hockey, women’s lacrosse, skiing, softball, track and field, fencing, women’s gymnastics, rowing and DIII men’s volleyball; Wednesday, August 17 at 11 a.m. Eastern time: baseball, golf, men’s gymnastics and tennis; Tuesday, September 13 at 11 a.m. Eastern time: men’s lacrosse.

8. **Other Business.** As part of its review of the Men’s and Women’s Soccer Rules Committee’s request to implement a video review process, the panel asked the staff to develop a chart summarizing the rules utilized by each sport that currently has video review.

9. **Adjournment.** The call was adjourned at 1 p.m. Eastern time.

**Committee Chair:** Jon Steinbrecher, Mid-American Conference  
**Staff Liaison(s):** Ben Brownlee, Championships and Alliances, Playing Rules and Officiating  
Dan Calandro, Championships and Alliances, Playing Rules and Officiating  
Ashlee Ferguson, Championships and Alliances, Playing Rules and Officiating  
Ty Halpin, Championships and Alliances, Playing Rules and Officiating  
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<td>William J. Fusco, Sonoma State University; California Collegiate Athletic Association.</td>
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<td>Julie Johnson, Ripon College; Midwest Conference.</td>
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<td>Larry Scott, Pac-12 Conference.</td>
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<td>Jon Steinbrecher, Mid-American Conference.</td>
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<th>Guests in Attendance:</th>
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<td>None.</td>
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<tr>
<td>Ben Brownlee, NCAA; Dan Calandro, NCAA; Ashlee Ferguson, NCAA; Emily Parkins, NCAA; Rachel Seewald, NCAA.</td>
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<tr>
<td>Greg Johnson, NCAA; Terri Jackson, NCAA.</td>
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INFORMATIONAL ITEMS.

1. **Welcome and announcements.** The chair welcomed those on the call and thanked them for their time.

2. **Duties and conflict of interest.** The panel briefly reviewed its duties and the NCAA conflict of interest policy.

3. **Review of February 25 teleconference report.** The panel approved the February 25 teleconference report as written.

4. **NCAA Football Rules Committee annual meeting report and comment period report.** Larry Scott reviewed the Football Rules Committee’s report and noted the high level of participation in the rules survey and comment period. Overall, the rules change proposals were well supported in the comment period process and particularly noteworthy changes dealt with the expanded use of replay to review targeting fouls, as well as allowances to utilize digital technology in the coaching booths and locker room areas for coaching purposes. One item was separated from the report for further discussion: Proposal e., Rule 3-3.7, which allows each team one full timeout (making the timeout 1:30 total instead of one minute) in each half and any extra periods. The panel voted to request comment from the Division I Football Oversight Committee due to image of the game concerns and in particular the ongoing review of length of game issues. The panel will review these comments and take a position on the proposal in time for the 2016 season. [Subsequent to the call, the Football Oversight Committee was contacted in this regard and two-thirds of the oversight committee members who responded supported the Football Rules Committee’s recommendation. As a result, the rule change will be effective with the 2016 season.]

5. **Instant/video replay policy summary.** The panel reviewed a chart listing various guidelines for the 10 sports that allow for some version of instant/video replay. [Note: During its February 25 conference call, the panel tabled a request from the Men’s and Women’s Soccer Rules Committee to add instant/video replay and requested the staff compile such a chart.] The panel noted that:

   - None of the sports currently list a maximum amount of time that officials have to complete a review,
Two of the sports (wrestling and swimming and diving) stipulate where the camera(s) must be located, and

Six of the sports stipulate where the monitor must be located.

6. Future meeting dates and times. The panel was reminded of the upcoming teleconference schedule as follows:

   • Teleconferences:

      o Wednesday, June 15 at noon Eastern time: basketball, swimming and diving, wrestling, field hockey and rifle;
      o Wednesday, July 20 at noon Eastern time: bowling, ice hockey, women’s lacrosse, skiing, softball, track and field, fencing, women’s gymnastics, rowing and DIII men’s volleyball;
      o Wednesday, August 17 at 11 a.m. Eastern time: baseball, golf, men’s gymnastics and tennis; and
      o Tuesday, September 13 at 11 a.m. Eastern time: men’s lacrosse.

7. Other Business. None.

8. Adjournment. The call was adjourned at 12:55 p.m. Eastern time.
<table>
<thead>
<tr>
<th>Attendees:</th>
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<tbody>
<tr>
<td>Shelley Appelbaum, Michigan State University; Big Ten Conference.</td>
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<tr>
<td>Pat Britz, South Atlantic Conference.</td>
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<tr>
<td>William J. Fusco, Sonoma State University; California Collegiate Athletic Association.</td>
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<td>Julie Johnson, Ripon College; Midwest Conference.</td>
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<td>Kevin White, Truman State University; Great Lakes Valley Conference.</td>
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<td>Douglas Zipp, Shenandoah University; Old Dominion Athletic Conference.</td>
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<tr>
<td>Lynn Oberbillig, Smith College; New England Women's and Men's Athletic Conference.</td>
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<tr>
<td>Guests in Attendance:</td>
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<td>None.</td>
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<tr>
<td>NCAA Staff Support in Attendance:</td>
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<tr>
<td>Ben Brownlee, NCAA; Dan Calandro, NCAA; Ashlee Ferguson, NCAA; Ty Halpin, NCAA; Emily Parkins, NCAA; Rachel Seewald, NCAA.</td>
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<tr>
<td>Other NCAA Staff Members in Attendance:</td>
<td></td>
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<tr>
<td>Greg Johnson, NCAA; Mark Lewis, NCAA; Ron Prettyman, NCAA.</td>
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</tbody>
</table>
ACTION ITEMS.

1. Legislative items.
   - None.

2. Nonlegislative items.
   - None.

INFORMATIONAL ITEMS.

1. Welcome and announcements. The chair welcomed those on the call and thanked them for their time.

2. Review of March 8 teleconference report. The panel approved the March 8 teleconference report as written.

3. Review of memorandum from NCAA Division I Men’s Basketball, Women’s Basketball and Football Oversight Committees. The staff reviewed a memorandum requesting PROP’s feedback on two options the oversight committees are considering for recommendation to the Division I Council when the Council meets in June. The panel decided to conduct a call on April 15 to discuss this request in more detail.

4. Review NCAA Soccer Rules Committee proposal regarding video review. The panel reviewed the updated video review proposal from the Men’s and Women’s Soccer Rules Committee. The panel previously tabled the proposal and requested more information from the committee. Upon their review of the updated proposal, the panel approved the request, which includes allowing video review for three specific situations. The secretary-rules editor and national coordinator will develop guidelines and best practices for referees, institutions, conferences and coaches to refer to if utilizing video review during the 2016 season.

5. Review NCAA Football Rules Committee proposal regarding digital technology. The panel reviewed a request from the Football Rules Committee to delay implementation of the previously-approved technology allowances until the 2017 season. After the permissive rules were approved, it became clear that implementation, and in particular
equity in several areas, was more challenging to achieve than expected. A request for delay was made to the committee from the Division I Collegiate Commissioners Association, and this was supported by the Division II Commissioners as well as several Division III Commissioners. The panel approved the request to delay implementation of these permissive rules.

6. **Future meeting dates and times.** The panel was reminded of the upcoming teleconference schedule as follows:

   • Teleconferences:
     - Friday, April 15 at 1 p.m. Eastern time: feedback for oversight committees;
     - Wednesday, June 15 at noon Eastern time: basketball, swimming and diving, wrestling, field hockey and rifle;
     - Wednesday, July 20 at noon Eastern time: bowling, ice hockey, women’s lacrosse, skiing, softball, track and field, fencing, women’s gymnastics, rowing and DIII men’s volleyball;
     - Wednesday, August 17 at 11 a.m. Eastern time: baseball, golf, men’s gymnastics and tennis; and
     - Tuesday, September 13 at 11 a.m. Eastern time: men’s lacrosse.

7. **Other Business.** None.

8. **Adjournment.** The call was adjourned at 12:45 p.m. Eastern time.

*Committee Chair:* Jon Steinbrecher, Mid-American Conference  
*Staff Liaison(s):* Ben Brownlee, Championships and Alliances, Playing Rules and Officiating  
Dan Calandro, Championships and Alliances, Playing Rules and Officiating  
Ashlee Ferguson, Championships and Alliances, Playing Rules and Officiating  
Ty Halpin, Championships and Alliances, Playing Rules and Officiating  
Emily Parkins, Championships and Alliances, Playing Rules and Officiating  
Rachel Seewald, Championships and Alliances, Playing Rules and Officiating
### Playing Rules Oversight Panel
#### April 11, 2016, Teleconference

**Attendees:**
- Shelley Appelbaum, Michigan State University; Big Ten Conference.
- Pat Britz, South Atlantic Conference.
- William J. Fusco, Sonoma State University; California Collegiate Athletic Association.
- Jeff Hurd, Western Athletic Conference.
- Noreen Morris, Northeast Conference.
- Lynn Oberbillig, Smith College; New England Women's and Men's Athletic Conference.
- Derita Ratcliffe, University of Alabama at Birmingham; Conference USA.
- Larry Scott, Pac-12 Conference.
- Jon Steinbrecher, Mid-American Conference.
- Kevin White, Truman State University; Great Lakes Valley Conference.
- Douglas Zipp, Shenandoah University; Old Dominion Athletic Conference.

**Absentees:**
- Julie Johnson, Ripon College; Midwest Conference.

**Guests in Attendance:**
- None.

**NCAA Staff Support in Attendance:**
- Ben Brownlee, NCAA; Dan Calandro, NCAA; Ashlee Ferguson, NCAA; Ty Halpin, NCAA; Rachel Seewald, NCAA.

**Other NCAA Staff Members in Attendance:**
- Terri Jackson, NCAA; Greg Johnson, NCAA; Ron Prettyman, NCAA.
REPORT OF THE
NCAA PLAYING RULES OVERSIGHT PANEL
APRIL 15, 2016, TELECONFERENCE

ACTION ITEMS.

• None.

INFORMATIONAL ITEMS.

1. Welcome and announcements. The chair welcomed those on the call and thanked them for their time.

2. Duties and conflict of interest. The panel briefly reviewed its duties and the NCAA conflict of interest policy.

3. Review of April 11 teleconference report. The panel approved the April 11 teleconference report as written.

4. Review memorandum from NCAA Division I Men’s Basketball, Women’s Basketball and Football Oversight Committees. The panel reviewed a memorandum from the Division I Football, Men’s and Women’s Oversight Committees requesting feedback on two alternative models intended to increase Division I influence on the playing rules process. The panel’s feedback is attached.

5. Future meeting dates and times. The panel was reminded of the upcoming teleconference schedule as follows:

   • Teleconferences:
     o Wednesday, June 15 at noon Eastern time: basketball, swimming and diving, wrestling, field hockey and rifle;
     o Wednesday, July 20 at noon Eastern time: bowling, ice hockey, women’s lacrosse, skiing, softball, track and field, fencing, women’s gymnastics, rowing and DIII men’s volleyball;
     o Wednesday, August 17 at 11 a.m. Eastern time: baseball, golf, men’s gymnastics and tennis; and
     o Tuesday, September 13 at 11 a.m. Eastern time: men’s lacrosse.

6. Other Business. None.

7. Adjournment. The call was adjourned at 1:50 p.m. Eastern time.

Committee Chair: Jon Steinbrecher, Mid-American Conference
Staff Liaison(s): Ben Brownlee, Championships and Alliances, Playing Rules and Officiating
Dan Calandro, Championships and Alliances, Playing Rules and Officiating
Ashlee Ferguson, Championships and Alliances, Playing Rules and Officiating
Ty Halpin, Championships and Alliances, Playing Rules and Officiating
Emily Parkins, Championships and Alliances, Playing Rules and Officiating
Rachel Seewald, Championships and Alliances, Playing Rules and Officiating

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<td>Douglas Zipp, Shenandoah University; Old Dominion Athletic Conference.</td>
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</table>

| Absentees:                   |
| Derita Ratcliffe, University of Alabama at Birmingham; Conference USA. |

| Guests in Attendance:       |
| None.                       |

| NCAA Staff Support in Attendance: |
| Ben Brownlee, Dan Calandro, Ashlee Ferguson, Ty Halpin, Rachel Seewald. |

| Other NCAA Staff Members in Attendance: |
| Terri Jackson, Greg Johnson, Ron Prettyman. |
MEMORANDUM

May 3, 2016

VIA EMAIL

TO: NCAA Division I Football, Men’s and Women’s Basketball Oversight Committees.

FROM: Jon Steinbrecher
            Chair, Playing Rules Oversight Panel.


This is in response to the request from the NCAA Division I Football, Men’s and Women’s Basketball Oversight Committees for feedback to the alternative ways to increase Division I influence on playing rules.

The Playing Rules Oversight Panel (PROP) met by teleconference April 15 to discuss the alternatives, including the two models that were attached to the oversight committees’ April 7 memorandum to PROP. PROP’s feedback to the five questions included in that memorandum is summarized below.

1. What are PROP’s thoughts as to Model 1?
   - Support.
   - Would like more discussion/information about who would make appointment to rules committees.
   - Would like further discussion on expectations of how feedback from the oversight committees on proposed rules is to be handled by PROP (e.g., if oversight committee suggests a rules change but rules committee does not forward to PROP or if PROP does not support a rules proposal that rules committee and oversight committee both support).
   - Emphasized the importance of effective collaboration between the oversight committees and the rules committees and suggested that having representatives from the oversight committees on the rules committee could enhance this collaboration.
   - Expressed concern regarding the phrase “Tighten parameters for PROP to reject any recommendations.” If this implies changing the current parameters, then a legislative change might be required.

2. What are PROP’s thoughts as to Model 2?
   - No support.
   - If Model 2 is adopted, PROP recommends that Division I membership on the rules committee be increased minimally and not doubled (e.g., 1, 2 or 3 additional Division
3. Is PROP supportive of increasing Division I representation on the rules committee as a way to increase Division I influence in playing rules?

- If the decision is made to increase Division I representation, PROP recommends that Division I membership on the rules committee be increased minimally and not doubled (e.g., add 1, 2 or 3 Division I members).

4. Is PROP supportive of increasing Division I representation on PROP as a way to increase Division I influence in playing rules?

- No support.
  - PROP believes current composition is appropriate for handling association-wide issues.

5. Are there other changes that may increase Division I influence in playing rules and should be considered?

- None to offer at this time.

Please know that PROP appreciated the opportunity to provide this feedback and that we hope it is helpful. Do not hesitate to contact me if you have any questions or need additional information.

JS:dc

cc: Playing Rules Oversight Panel
    Selected NCAA Staff Members
REPORT OF THE
NCAA POSTGRADUATE SCHOLARSHIP COMMITTEE
MAY 5-6, 2016, MEETING

ACTION ITEMS.

1. Legislative Items.
   - None.

2. Nonlegislative Items.
   - None.

INFORMATIONAL ITEMS.

1. Previous meeting report. The committee voted to approve the report for the February 25-26, 2016 Postgraduate Scholarship Committee meeting.

2. Budget approval process. Jeff O’Barr provided an update regarding the budget approval process with regard to increase the scholarship from $7500 to $8500. He explained the progression and when we should hear from the Finance and Audit Committee.

3. Discuss regional committee review. The discussion was centered around how the regional committee reviewed the applications. The national committee trusts the decisions made by the regional committees with the use of the new scoring guide and believes the process is consistent across all seven regions.

4. Conference call with regional committee chairs. The regional committee chairs have requested a call with the national committee. The call will take place during the July meeting.
5. **Review of nominations.** The committee reviewed 98 winter sports nominations (39 men and 59 women). The committee awarded 29 postgraduate scholarships for men’s sports and 29 postgraduate scholarships for women’s sports.

*Committee Chair: Rich Loosbrook, Adams State University, Rocky Mountain Athletic Conference*

*Staff Liaisons: Jeff O’Barr, Administrative Services, Accounting*

*Lori Thomas, Administrative Services, Accounting*

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<thead>
<tr>
<th>NCAA Postgraduate Scholarship</th>
<th>May 5-6, 2016, Meeting</th>
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<tr>
<td><strong>Attendees:</strong></td>
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<tr>
<td>Bert Carter, Sunbelt Conference.</td>
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<td>Gail Cummings-Danson, Skidmore College, Liberty League.</td>
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<tr>
<td>Rich Loosbrook, Adams State University, Rocky Mountain Conference.</td>
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<td>Neil Norton, Creighton University, Big East Conference.</td>
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<td>Kimberly Ross-Watkins, Morgan State University, Mid-Eastern Athletic Conference.</td>
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<td>Mattie White, Indiana University, Big Ten Conference.</td>
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<td><strong>Absentees:</strong></td>
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<td>Samantha Mitchell, The Ohio State University Big Ten Conference.</td>
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<td><strong>Guests in Attendance:</strong></td>
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<td>None.</td>
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<td><strong>NCAA Staff Support in Attendance:</strong></td>
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<tr>
<td>Jeff O’Barr</td>
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<td><strong>Other NCAA Staff Members in Attendance:</strong></td>
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<td>None.</td>
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REPORT OF THE
WALTER BYERS SCHOLARSHIP COMMITTEE
APRIL 9-10, 2016, MEETING

ACTION ITEMS.

1. Legislative Items.
   • None.

2. Nonlegislative Items.
   • None.

INFORMATIONAL ITEMS.

1. Opening Remarks. The committee chair, Andrew Alia welcomed those participating in the meeting. He reviewed the process for telephone interviews with finalists for the Jim McKay Scholarship Program.

2. Previous Meeting Report. The committee voted and approved the report for the March 16, 2016, Walter Byers Scholarship Committee’s teleconference meeting.

3. Scoring Guide. The committee approved the scoring guide and feels it is very helpful and provides structure to the review process.

4. Select Chair Starting 2016-17. The committee voted and elected Christopher Woodard as chair of the NCAA Walter Byers Scholarship Committee.

5. Jim McKay Criteria Change. The committee requested the liaison to make changes to the criteria and qualifications of the Jim McKay scholarship. They want to broaden the language with regard to the requirements to increase the pool of applicants.

6. Interview of Jim McKay Scholarship Finalist. The committee interviewed two finalists via telephone. The committee selected Ms. Emalia Seto, The University of Tulsa as the 2015-16 Jim McKay Scholarship award recipient.
7. **Interview of Walter Byers Scholarship Finalists and Selection of Scholars.** Committee members attended a dinner with the three female and three male finalists for the 2015-16 Walter Byers Scholarship. The committee interviewed each scholarship finalist and made the following selection: Ms. Katherine Riojas, The University of Tulsa and Mr. Mitchell Black, Tufts University as the 2015-16 Walter Byers Scholarship award recipients.

*Committee Chair: Andrew Alia, Northeast Conference*

*Staff Liaisons: Jeff O’Barr, Administrative Services, Accounting  
Lori Thomas, Administrative Services, Accounting*

| NCAA Walter Byers Scholarship  
April 9-10, 2016, Meeting |
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<tr>
<td><strong>Attendees:</strong></td>
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<tr>
<td>Andrew Alia, Northeast Conference</td>
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<tr>
<td>Gail Barksdale, Indiana University, Purdue University-Indianapolis, Big Ten Conference</td>
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<tr>
<td>Paul Leidig, Grand Valley State University, Great Lakes Intercollegiate Athletic Conference</td>
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<td>Dennis Leighton, University of New England, Commonwealth Coast Conference</td>
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<td>Dennis Thomas, Mid-Eastern Athletic Conference</td>
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<td>Christopher Woodard, Colorado State University, Mountain West Conference</td>
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<td><strong>Absentees:</strong></td>
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</table>
Noncontroversial Proposals - These proposals are considered noncontroversial and necessary in the normal and orderly administration of the Association's legislation. They become effective when approved in legislative format by a three-fourths majority of the NCAA Division III Management Council and are ratified at the annual Convention business session.

Note – in the following proposals:
- Those letters and words that appear in **italics and strikethrough** are to be deleted.
- Those letters and words that appear in **bold and underlined** are to be added; and
- Those letters and words that appear in normal text are unchanged from the current Division III legislation.

<table>
<thead>
<tr>
<th>Proposal Number</th>
<th>Title</th>
<th>Status</th>
<th>Source</th>
<th>Effective Date</th>
<th>Intent</th>
<th>Budget Impact</th>
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<tbody>
<tr>
<td>NC-2017-1</td>
<td>COMMITTEES -- COMMON COMMITTEES -- COMMITTEES WITH PLAYING RULES AND CHAMPIONSHIPS ADMINISTRATION RESPONSIBILITES -- MEN'S AND WOMEN'S SKIING COMMITTEE</td>
<td>Ready for Consideration by Management Council</td>
<td>NCAA Division III Management Council (Championships Committee).</td>
<td>Immediate</td>
<td>To specify that one member of the men's and women's skiing committee shall be selected from the Central skiing region and two members shall be selected at large.</td>
<td>None</td>
</tr>
<tr>
<td>NC-2017-2</td>
<td>ELIGIBILITY -- CRITERIA FOR DETERMINING SEASON OF ELIGIBILITY -- PRACTICE WITH PENDING SUBCOMMITTEE FOR LEGISLATIVE RELIEF WAIVER</td>
<td>Ready for Consideration by Management Council</td>
<td>NCAA Division III Management Council (Subcommittee for Legislative Relief).</td>
<td>Immediate</td>
<td>To clarify that the existing provision that allows a student to practice while a Division III Subcommittee for Legislative Relief waiver is pending only suspends the season of participation legislation and does not permit the student to practice if the student is not otherwise eligible for practice.</td>
<td>None</td>
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<td>NC-2017-3</td>
<td>AWARDS AND BENEFITS -- COMPETITION WHILE REPRESENTING INSTITUTION ON A FOREIGN TOUR</td>
<td>Ready for Consideration by Management Council</td>
<td>NCAA Division III Management Council (Interpretations and Legislation Committee)</td>
<td>Immediate</td>
<td>To specify that an institution may provide the cost of round trip transportation for a student-athlete to travel from campus to the locale of the institutional foreign tour and back to campus even if the student-athlete does not travel with the team on an institutional foreign tour.</td>
<td>None</td>
</tr>
<tr>
<td>NC-2017-4</td>
<td>MEMBERSHIP -- CONDITIONS AND OBLIGATIONS OF MEMBERSHIP -- SELF-STUDY GUIDE</td>
<td>Ready for Consideration by Management Council</td>
<td>NCAA Division III Management Council (Membership Committee)</td>
<td>Immediate</td>
<td>To remove from the legislation, the identification of subjects to be covered by the Conference Self-Study Guide and Institutional Self-Study Guide.</td>
<td>None</td>
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<tr>
<td>NC-2017-5</td>
<td>MEMBERSHIP -- CONVENTION AND REGIONAL RULES SEMINAR ATTENDANCE -- SATISFYING REGIONAL RULES ATTENDANCE REQUIREMENT WITH DIVISION III CONFERENCE RULES SEMINAR</td>
<td>Ready for Consideration by Management Council</td>
<td>NCAA Division III Management Council (Membership Committee)</td>
<td>Immediate</td>
<td>To clarify that Division III member institutions may satisfy the Division III Regional Rules Seminar attendance requirement by attending a Division III Conference Rules Seminar.</td>
<td>None</td>
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<tr>
<td>Proposal Number</td>
<td>Title</td>
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<td>Effective Date</td>
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<td>NC-2017-6</td>
<td>MEMBERSHIP -- CREDIT FOR TIME SERVED IN THE PROVISIONAL OR RECLASSIFYING MEMBERSHIP PERIOD</td>
<td>Ready for Consideration by Management Council</td>
<td>NCAA Division III Management Council (Membership Committee).</td>
<td>Immediate</td>
<td>To remove the requirement that an institution's previous time in the provisional or reclassifying membership process must be completed within the last 10 years in order to be eligible for a waiver of a portion of the provisional or reclassifying membership period.</td>
<td>None</td>
</tr>
</tbody>
</table>
Title: COMMITTEES -- COMMON COMMITTEES -- COMMITTEES WITH PLAYING RULES AND CHAMPIONSHIPS ADMINISTRATION RESPONSIBILITIES -- MEN'S AND WOMEN'S SKIING COMMITTEE

Convention Year: 2017

Effective Date: Immediate

Official Notice Number: NC-2017-1

Source: NCAA Division III Management Council (Championships Committee).

Category: Noncontroversial

Topical Area: Committees

Status: Ready for Consideration by Management Council

Intent: To specify that one member of the men's and women's skiing committee shall be selected from the Central skiing region and two members shall be selected at large.

Bylaws: Amend 21.4.5, as follows:

21.4.5 Skiing Committee, Men's and Women's. The Men's and Women's Skiing Committee shall consist of seven members and shall be constituted as follows:

[21.4.5-(a) unchanged.]

(b) One member shall be selected from the West skiing region, **one member shall be selected from the Central skiing region**, two members shall be selected from the East skiing region, **three two** members shall be selected at large and one member shall be secretary-rules editor; and

[21.4.5-(c) unchanged.]

Budget Impact: None.

Additional Information: The committee should be required to have representation from each of the three skiing regions (Central, East and West) in order to best represent the skiing membership. The current legislation requires representation only from the East and West regions. Any representative from the Central region is currently an at-large member. Currently, there are more institutions in the Central region that sponsor skiing than in the West region. This proposal does not increase the overall number of committee members. This proposal is being recommended as noncontroversial legislation, inasmuch as broader consultation and debate are unlikely to improve the proposal in any substantial way, significant disagreement or alternative points of view will not be generated and there does not appear to be a significant impact on existing or proposed legislation.

Title: ELIGIBILITY -- CRITERIA FOR DETERMINING SEASON OF ELIGIBILITY -- PRACTICE WITH PENDING SUBCOMMITTEE FOR LEGISLATIVE RELIEF WAIVER

Convention Year: 2017

Date Submitted: June 29, 2016

Effective Date: Immediate

Official Notice Number: NC-2017-2

Source: NCAA Division III Management Council (Subcommittee for Legislative Relief).

Category: Noncontroversial

Topical Area: Eligibility

Status: Ready for Consideration by Management Council

Intent: To clarify that the existing provision that allows a student to practice while a Division III Subcommittee for Legislative Relief waiver is pending only suspends the season of participation legislation and does not permit the student to practice if the student is not otherwise eligible for practice.

Bylaws: Amend 14.2.4.1.2, as follows:

14.2.4.1.2 Exception -- Pending Subcommittee for Legislative Relief Waiver. A student-athlete who is eligible for practice may practice, but not compete, after the first contest or date of competition without using a season of participation while a Subcommittee for Legislative Relief waiver is pending, for whom a Subcommittee for Legislative Relief waiver request is pending may practice, but not compete, after the first contest or date of competition in the traditional segment in the student-athlete's sport without using a season of participation provided To be eligible for this exception, the institution has must have received official notification that the national office has received the institution's completed waiver application and all relevant supporting documentation. If the waiver request is denied, the student-athlete must cease practicing immediately on notification to the institution of the decision or the student-athlete shall be charged with the use of a season of participation. If the institution chooses to appeal a waiver denial, the student-athlete shall not resume practice until the institution receives official notification that any information required for appeal has been received. If the subcommittee denies the appeal, the student-athlete must cease practicing immediately upon notification to the institution of the decision or the student-athlete shall be charged with the use of a season of participation.
**Review History:**  *April 16, 2016: Approved in Concept - Management Council Supplement No. 4a, Item No. 1*

**Additional Information:** In January 2016, the Division III Management Council combined the Subcommittee for Legislative Relief (SLR) and the Academic Issues Subcommittee so that SLR is now responsible for reviewing requests to waive the full-time enrollment legislation. Current legislation allows a student-athlete to practice but not compete while a SLR waiver is pending without using a season of participation. In combining the two subcommittees, SLR agreed that this provision was only intended relief from the season of participation legislation while a waiver is pending and would not allow students to practice if they are not otherwise eligible for practice.
Title: AWARDS AND BENEFITS -- COMPETITION WHILE REPRESENTING INSTITUTION ON A FOREIGN TOUR

Convention Year: 2017

Date Submitted: June 29, 2016

Effective Date: Immediate

Official Notice Number: NC-2017-3

Source: NCAA Division III Management Council (Interpretations and Legislation Committee).

Category: Noncontroversial

Topical Area: Awards and Benefits

Status: Ready for Consideration by Management Council

Intent: To specify that an institution may provide the cost of round trip transportation for a student-athlete to travel from campus to the locale of the institutional foreign tour and back to campus even if the student-athlete does not travel with the team on an institutional foreign tour.

A. Bylaws: Amend 16.8, as follows:

16.8 Expenses Provided by the Institution for Practice and Competition.

[16.8.1 through 16.8.1.1.4 unchanged.]

16.8.1.2 - Competition While Representing Institution. An institution may provide actual and necessary travel expenses (e.g., transportation, lodging and meals) for a student-athlete to represent the institution in competition, provided the student-athlete is eligible for intercollegiate competition.

16.8.1.2.1 Travel to Regular-Season Contests or Institutional Foreign Tour during Vacation Period. If a student-athlete does not travel with the team an institution may provide the cost of round trip transportation from campus to the site of a regular-season contest or institutional foreign tour and back to campus. The student-athlete shall only pay the difference in cost associated with traveling from/to a site other than the institution's campus. [R]

[16.8.1.2.1 through 16.8.1.2.3 renumbered as 16.8.1.2.4 through 16.8.1.2.6, unchanged.]
16.8.1.4 Travel to Regular Season Contests during Vacation Period.

16.8.1.4.1 General Rule. An institution may provide team transportation for a student-athlete to travel from campus to the site of a regular-season contest and back to campus. [R]

16.8.1.4.1.1 Exception. If a student-athlete travels to a site other than the event site during the vacation period, the institution may provide the cost of round-trip transportation for the student-athlete to travel from campus to the event site and back to campus even if the student-athlete does not travel with the team. The student-athlete shall pay only the difference in cost associated with traveling to a site other than the event site. [R]

[16.8.1.5 through 16.8.1.6 renumbered as 16.8.1.2.2 through 16.8.1.2.3, unchanged.]

[16.8.1.7 through 16.8.1.8 renumbered as 16.8.1.4 through 16.8.1.5, unchanged.]

B. Bylaws: Amend 17.29.1.9, as follows:

17.29.1.9 Expenses. (See Bylaw 16.8.1.2)

[17.29.1.9.1 through 17.29.1.9.3 unchanged.]

Review History: April 16, 2016: Approved in Concept - Management Council Supplement No. 8a, Item No. 1b.

Additional Information: Current legislation allows an institution to provide actual and necessary travel expenses for a student-athlete to represent the institution on a foreign tour; however, institutions are limited to providing round-trip travel expenses from campus to the competition site and back to campus. For a regular season contest during a vacation period, an institution is permitted to provide travel expenses equivalent to the cost of round-trip transportation for a student to travel from campus to the event site and back to campus, even if the student-athlete does not travel with the team. This proposal allows an institution to have the same flexibility in providing travel expenses for foreign tour travel.
Title: MEMBERSHIP -- CONDITIONS AND OBLIGATIONS OF MEMBERSHIP -- SELF-STUDY GUIDE

Convention Year: 2017

Date Submitted: June 29, 2016

Effective Date: Immediate

Official Notice Number: NC-2017-4

Source: NCAA Division III Management Council (Membership Committee).

Category: Noncontroversial

Topical Area: Membership

Status: Ready for Consideration by Management Council

Intent: To remove from the legislation the identification of subjects to be covered by the Conference Self-Study Guide and Institutional Self-Study Guide.

A. Constitution: Amend 3.3.4.6, as follows:

3.3.4.6 Self-Study Report Guide. Multisport conferences shall conduct a comprehensive self-study and evaluation of their intercollegiate athletics programs at least once every five years, using the Conference Self-Study Guide (CSSG). The report of the self-study CSSG shall be completed and submitted to the national office not later than June 1 of the academic year in which it is due. Subjects covered by the self-study shall include:

(a) Institutional mission;
(b) Conference philosophy;
(c) Academic profiles;
(d) Geographical proximity;
(e) Athletics profile;
(f) Diversity;
(g) President or chancellor involvement;
(h) Conference governance, including the role of athletics directors, faculty athletics representatives and senior woman administrators;

(i) Student-Athlete Advisory Committee activity;

(j) Financial Aid Reporting Process;

(k) Convention attendance;

(l) Rules compliance; and

(m) Commitment to citizenship, leadership and sportsmanship.

B. Constitution: Amend 6.3.1, as follows:

6.3.1 Self-Study Report Guide. Institutions shall conduct a comprehensive self-study and evaluation of their intercollegiate athletics programs at least once every five years, using the Institutional Self-Study Guide (ISSG). The report of the self-study ISSG shall be submitted to the national office not later than June 1 of the academic year in which it is due. The report ISSG shall be available for examination on request by an authorized representative of the Association and may be reviewed by the Membership Committee with a focus on legislative issues and results of action plans in areas of deficiencies. Subjects covered by the self-study shall include:

(a) Institutional purpose and athletics philosophy;

(b) Principles of sportsmanship and ethical conduct;

(c) The authority of the president or chancellor and institutional oversight of athletics;

(d) Institutional control and accountability of athletics program finances;

(e) Athletics program organization and administration;

(f) Employment of athletics program personnel;

(g) Sports programs;

(h) Sports medicine;

(i) Recruiting, admissions, financial aid and academic eligibility;

(j) Institutional student services; and

(k) Student-athlete profiles.
Review History:  April 18, 2016:  Approved in Concept – Management Council Supplement No. 9, Item No. 1-(b).

Additional Information:  As the needs and concerns of the Division III membership change, various subject areas previously covered in the institutional and conference self-study guides may no longer be relevant. Conversely, subject areas not currently listed may become central to a model athletics program. Removing the specified subject areas from the self-study legislation provides the Membership Committee flexibility to more appropriately modify subject areas within its policies and procedures. Further, the self-study instruments are readily available to member institutions if an institution or conference would like to review the subject areas included therein.
Title: MEMBERSHIP -- CONVENTION AND REGIONAL RULES SEMINAR ATTENDANCE -- SATISFYING REGIONAL RULES ATTENDANCE REQUIREMENT WITH DIVISION III CONFERENCE RULES SEMINAR

Convention Year: 2017

Date Submitted: June 30, 2016

Effective Date: Immediate

Official Notice Number: NC-2017-5

Source: NCAA Division III Management Council (Membership Committee).

Category: Noncontroversial

Topical Area: Membership

Status: Ready for Consideration by Management Council

Intent: To clarify that Division III member institutions may satisfy the Division III Regional Rules Seminar attendance requirement by attending a Division III Conference Rules Seminar.

Constitution: Amend 3.2.4.15, as follows:

3.2.4.15 Convention and Regional Rules Seminar Attendance. Each year, an active member institution must be represented by an institutional staff member who is certified as a voting delegate (see Constitution 5.1.3.4) and who votes for his or her institution at the NCAA Convention Division III business session. At least once every three years, an active member institution must be represented by at least one institutional staff member at the NCAA Regional Rules Seminar.

3.2.4.15.1 Conference Rules Seminar. An institution may satisfy the Regional Rules Seminar attendance requirement by attending a Division III Conference Rules Seminar that has been approved by the Membership Committee.

[3.2.4.15.1 through 3.2.4.15.2 renumbered as 3.2.4.15.2 through 3.2.4.15.3, unchanged.]

Review History: April 18, 2016: Approved in Concept – Management Council Supplement No. 9, Item No. 1-(a).

Additional Information: In 2014, the Division III Management Council granted a waiver to permit the membership of eight conferences to attend the 2015 Conference Rules Seminar to satisfy the Regional Rules Seminar requirement. In approving that waiver, the council noted that
the curriculum of the Conference Rules Seminar provides educational programming on par with
the programming provided at the NCAA Regional Rules Seminar. The council also noted the
ability for a broader group of attendees to receive rules education when attending a localized
seminar. For those reasons, the committee wishes to codify the practice of allowing institutions to
satisfy the Regional Rules Seminar attendance requirement by attending a Conference Rules
Seminar.
Title: MEMBERSHIP -- CREDIT FOR TIME SERVED IN THE PROVISIONAL OR RECLASSIFYING MEMBERSHIP PERIOD

Convention Year: 2017

Date Submitted: June 30, 2016

Effective Date: Immediate

Official Notice Number: NC-2017-6

Source: NCAA Division III Management Council (Membership Committee).

Category: Noncontroversial

Topical Area: Membership

Status: Ready for Consideration by Management Council

Intent: To remove the requirement that an institution's previous time in the provisional or reclassifying membership process must be completed within the last 10 years in order to be eligible for a waiver of a portion of the provisional or reclassifying membership period.

Bylaws: Amend 20.3.3.1.2, as follows:

20.3.3.1.2 Credit for Time Completed Previously as Provisional Member. The Membership Committee may waive any portion of the first two years of the four-year provisional period the institution previously completed as a provisional or reclassifying member, provided the portion was completed within the last 10 years.

Review History: April 18, 2016: Approved in Concept - Management Council Supplement No. 9, Item No. 1-(c).

Additional Information: Currently, the legislation allows the Membership Committee to waive any portion of the first two years of the four-year provisional or reclassifying membership process that an institution previously completed, provided the institution completed that portion of the process within the last 10 years. By removing the timeline, it allows the committee to be more discerning in its review of an institution that might be repeating the membership process by providing additional flexibility to waive a portion of the process for institutions that began the process more than 10 years prior and also to clarify that even institutions that are within the 10-year time frame are not guaranteed waiver approval.
Membership Sponsored Convention Legislation - The following proposals were properly submitted by the July 15 deadline. To be voted on at the 2017 NCAA Convention, proposals will need to be properly co-sponsored by September 1.

The NCAA Division III Presidents Council and NCAA Division III Membership Council play a key role in the legislative process by taking a position on membership sponsored proposals. The Presidents Council takes a position on proposals deemed strategic in nature while NCAA Division III Management Council provides a position on proposals of an operational nature.

<table>
<thead>
<tr>
<th>Title and Intent</th>
<th>Rationale</th>
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<tbody>
<tr>
<td>ORGANIZATION – BOARD OF GOVERNORS – INCREASE DIVISION II AND DIVISION III REPRESENTATION</td>
<td>The current representation on the Board does not appropriately represent the divisional membership numbers of the association. Specifically, Division III represents 40 percent of all member institutions in the NCAA and is the largest division with 439 members. A change in the composition would more accurately reflect the membership. Further, given the significant authority and oversight of the Board, this proposal should be effective immediately to ensure a timely appointment of representatives from Division II and III to allow for greater input into the leadership and direction of the NCAA and to ensure broad perspective and consideration of impact in decisions on all three divisions. The Board of Governors duties include: (1) Provide strategic planning for the Association as a whole; (2) Identify core issues that affect the Association as a whole; (3) Act on behalf of the Association by adopting and implementing policies to resolve core issues and other Association-wide matters. To truly fulfill these duties and responsibilities effectively from an Association-wide perspective, more representation from Division II and III is necessary. Also, it is the Board’s overarching responsibility to ensure the fulfillment of the entire Association's mission and purpose.</td>
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<td>Intent: To increase, from two to four, the number of Division II and III voting representatives (chancellors or presidents) on the NCAA Board of Governors.</td>
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<td>Source: Upper Midwest Athletic Conference</td>
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<td>Effective Date: Immediate</td>
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<td>Title and Intent</td>
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<td>LEGISLATIVE AUTHORITY AND PROCESS -- AMENDMENT PROCESS - - RECONSIDERATION -- ELIMINATE WINDOW OF RECONSIDERATION AND PROHIBIT ADDITIONAL RECONSIDERATION</td>
<td>The window of reconsideration for items just debated and voted on is unnecessary. Voting delegates have ample time to research and determine their institutional opinion, debate the merits, and cast informed final votes on proposals. Allowing any additional opportunity to discuss and revote on a previously decided proposal is superfluous. The window of reconsideration provides opportunities for unethical voting. Many delegates may depart after an initial vote on Convention proposals; as a result, a delegate could strategically vote on the prevailing side of a proposal with the intent to use the window of reconsideration to take advantage of the change in the composition of the room and reverse the outcome on the proposal. Removing the window of reconsideration and any additional opportunity to request a revote will be a positive change and will streamline the business session at Convention.</td>
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**Intent:** To eliminate the opportunity to reconsider an amendment following confirmation of an affirmative or negative vote on that amendment by the presiding officer.

**Source:** Minnesota Intercollegiate Athletic Conference.

**Effective Date:** August 1, 2017.
### Title and Intent

**RECRUITING -- TRYOUTS AND CAMPS AND CLINICS -- Deregulating Camps and Clinics**

**Intent:** To deregulate the tryout events and camps and clinics legislation to allow institutions to host or conduct events involving prospective student-athletes, provided those events are: (1) open to the general public; and (2) do not offer free or reduced admission to prospective student-athletes.

**Source:** North Coast Athletic Conference and Middle Atlantic Conferences.

**Effective Date:** Immediate

### Rationale

Camps and clinics provide benefits to institutions and conveniences to prospective student-athletes. Camps and clinics are one of the most effective recruiting tools for any institution. Additionally, athletics departments and athletics department staff, can realize revenue and income from these and similar events. Much confusion exists surrounding the application of the existing legislation and the nuances in the legislation do little to minimize recruiting advantages or demand on prospective student-athletes. This proposal would allow for instruction, practice-type activities and competition amongst prospective student-athletes without the nuanced and unnecessary restrictions that exist in the current legislation. This proposal would continue to require that events involving prospective student-athletes are open to the general public and could not offer free or reduced admission to prospective student-athletes. Additionally, institution-hosted events would continue to be precluded from offering recruiting or scouting services. Maintaining those limited restrictions while eliminating all other existing criteria related to camps and clinics will make it easier for institutions to productively host events involving prospective student-athletes without opening the door for abuse. An immediate effective date will allow institutions to take advantage of the more reasonable restrictions for camps and clinics occurring in the summer of 2017.
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<th>Title and Intent</th>
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<tr>
<td>ELIGIBILITY -- GRADUATE AND POSTBACCALAUREATE TRANSFERS</td>
<td>Current legislation permits a graduate or postbaccalaureate student to participate only at the institution from which the student-athlete received his or her undergraduate degree. This legislation is overly restrictive in that it prohibits student-athletes who have already achieved the primary goal of collegiate enrollment (i.e., the attainment of an undergraduate degree) from continuing to pursue athletics endeavors while simultaneously progressing toward a postgraduate degree and the ultimate fulfillment of their academic and career goals. Currently, student-athletes on the verge of earning a baccalaureate degree with both seasons of participation and terms of attendance remaining must either delay graduation or potentially enroll in a graduate program that is not consistent with their career aspirations in order to continue their athletics participation.</td>
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<td>Intent:  To permit a graduate student to participate in intercollegiate athletics at the institution of his or her choice.</td>
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<td>Source: Wisconsin Intercollegiate Athletic Conference.</td>
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<td>Effective Date: August 1, 2017</td>
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<td>LAYING AND PRACTICE SEASONS -- BASEBALL AND SOFTBALL -- ESTABLISHING AN OPTIONAL FALL COMPETITION PERIOD</td>
<td>The ability to practice outdoors and complete contests in the sports of baseball and softball are directly affected by the climate in which an institution is located. Tennis, golf and rowing are similarly affected, and are permitted the opportunity to structure their seasons as periods rather than segments. Baseball and softball should also have the opportunity to structure playing seasons using a two-period model. Requiring baseball and softball teams to schedule contests exclusively in the spring disrupts class attendance for those student-athletes. A majority of Division III members are located in areas of the country regularly impacted by winter storms and frequent spring rain that extends well into the playing season, impacting the ability of baseball and softball teams to complete contests. Even if weather is good, fields are often unplayable. Thus, many institutions are forced to frequently reschedule and cancel contests, which negatively impacts both the academic and athletics schedules of student-athletes. This proposal would not require institutions to conduct a two-period model in softball and baseball, but rather would allow each institution the option of structuring its playing season in the way that makes the most sense for its student-athletes.</td>
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<td>Intent:  To provide member institutions with the option, in the sports of baseball and softball, of: (1) continuing to conduct a nontraditional segment in the fall and a traditional segment in the spring; or (2) establishing a two-period model that allows for traditional segment practice and competition during the fall.</td>
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<td>Source: Allegheny Mountain Collegiate Conference.</td>
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<td>Effective Date: August 1, 2017</td>
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<td>Title and Intent</td>
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<td>PLAYING AND PRACTICE SEASONS - GENERAL PLAYING SEASON REGULATIONS -- REQUIRED DAY OFF FOR TRACK AND FIELD INDOOR/OUTDOOR AND SWIMMING AND DIVING</td>
<td>Currently, the required day off must apply to each team as a whole. Division III indoor and outdoor track and field and swimming and diving teams have difficulty accommodating the number of different disciplines within the sports and the distinct training regimens amongst those disciplines. A number of variables go into planning practices and athletically related activities for these two sports. Allowing institutions, the flexibility to schedule athletically related activities independently for each student-athlete will minimize challenges such as limited facility availability, staffing concerns, and student-athlete class schedule conflicts without increasing the time demands on individual student-athletes. Additional flexibility to accommodate each student-athlete's individual schedule and training requirements will also prevent injuries. An immediate effective date will allow institutions to take advantage of more appropriate scheduling practices during the spring of 2017.</td>
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**Intent:** To eliminate the requirement that the mandatory day off for track and field and swimming and diving programs be the same day for every student-athlete.

**Source:** Minnesota Intercollegiate Athletic Conference and Heartland Collegiate Athletic Conference.

**Effective Date:** Immediate.
### Title and Intent

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<th>PLAYING AND PRACTICE SEASONS - FIELD HOCKEY AND LACROSSE -- PRESEASON JOINT PRACTICE, SCRIMMAGE OR EXHIBITION -- EXEMPTION FROM MAXIMUM CONTEST AND DATE OF COMPETITION LIMITATIONS</th>
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**Intent:** To allow field hockey and lacrosse teams to conduct an exempted scrimmage, exhibition or joint practice with outside competition prior to the first permissible contest or date of competition.

**Source:** Middle Atlantic Conferences.

**Effective Date:** August 1, 2017.

### Rationale

At the 2015 Convention, the membership adopted Proposal 2015-13, which established an exempted preseason scrimmage, exhibition or joint practice in the sports of soccer and women's volleyball. Because the conference sponsor of Proposal 2015-13 does not sponsor lacrosse or field hockey, neither of those two sports were included in the proposal. Field hockey and lacrosse are very similar to soccer and should be treated in the same fashion. Thus, field hockey and lacrosse should be afforded the opportunity for a preseason exemption that is already available to soccer. This proposal creates consistency between similar sports, which will ease administrative and tracking burden on institutional campuses.
INFORMATIONAL ITEMS.

1. **Welcome and roster.** The NCAA Division III Diversity and Inclusion Working Group commenced business at 10:05 a.m. Eastern time Tuesday, May 31, 2016. Chancellor Dennis Shields welcomed the group. Staff conducted a roll call.

2. **Report of April 14, 2016, teleconference.** The working group reviewed the report and had no changes.

3. **2017 D.A.R.E.S. Convention Program.** Staff asked the working group for its feedback on the acronym D.A.R.E.S. – Division III Advancing Racial and Ethnic Minority Students. Similar to the 2016 Institute for Administrative Advancement participants did not endorse the acronym. However, the working group did support “Division III Student Immersion Program”.

   Nicolle Wood, Gerard Bryant and Natalie Winkelfoos volunteered to serve on the selection committee for the 2017 Division III Student Immersion Program. Committee responsibilities will consist of ranking the applicants in early October, and participating in one or two teleconferences to select the 40 participants.

4. **Confirm new diversity initiatives for 2016-17.** The working group agreed that the following three new diversity and inclusion initiatives for 2016-17 should be forwarded to the Strategic Planning and Finance Committee for feedback:

   a. Additional programming for ethnic minority students – model the NCAA’s Leadership Institute (e.g., a three- to four-day intensive professional development program in Indianapolis in June for ethnic minority students selected to attend Convention. Participants must show a high level of interest in pursuing a Division III athletics career).

   b. Senior woman administrator (SWA) professional development. Enhance the current programming offered in conjunction with the annual NACWAA Convention in October (e.g., expand the program from a half-day to a day and a half professional development opportunity).

   c. Conference grant funding specifically for programming and/or internships. Provide funding to conference offices, on request, to fund either internships for ethnic minority and female students (e.g., the North Coast Athletic Conference’s Branch Rickey Program) or programming for ethnic minority and female students.

The working group noted that with the current NCAA Division III biennial budget, $230,000 additional dollars have been earmarked for new diversity and inclusion initiatives and programming. The three recommended initiatives, in addition to the Division III Student Immersion Program, would use all of the funding.
a. Division III Student Immersion Program $50,000
b. Additional grants for conference offices $50,000
c. SWA programming $70,000
d. Programming for ethnic minority students $60,000

5. **Best Practices Resources.** The working group discussed next steps in developing best practices resources. Concepts discussed included:

a. A hiring best practices guide;
b. Collaborating with the Office of Inclusion in updating the Role of the Senior Woman Administrator resource; and
c. Modeling 360 Proof and developing a web-based program that provides an assessment tool, strategic planning and a feedback instrument for the entire campus.

The working group will develop all three resources in the following order:

a. Hiring best practices guide January 2017
b. SWA resource August 2017
c. Web-based program August 2018

The working group will divide into four subcommittees to begin researching the following components of the hiring best practices guide:

a. Developing a diverse candidate pool: Amy Wilson, Nicole Hollomon, Dennis Shields and Heather Benning.
b. The search process and engagement with search firms: Sarah Sadowski, Joe Onderko, Gerard Bryant and Callie Olson.
c. Search committee training: Louise McCleary, Jason Fein, Keith Brandon and Nicolle Wood.
d. Retention and exit interview training: Sonja Robinson, Nnenna Akotaobi and Natalie Winkelfoos.

NCAA staff will set up one or two planning calls from late June until early August. The subcommittees will use the existing [Division II best hiring practice guide](#) as a starting point.
6. **Update on NCAA Association-wide Ad Hoc Committee.** Staff provided an updated on the recent work of the Association’s Ad Hoc Committee on Diversity and Equity, in particular the newly created diversity resolution and pledge for presidents and chancellors. The NCAA Board of Governors is currently seeking feedback on the pledge that requests presidents and chancellors to be more engaged in ensuring a diverse candidate pool with athletics searches. The Ad Hoc Committee is also involved in making sure NCAA championships and events are in cities that can ensure a safe environment for LGBT student-athletes and spectators.

7. **Next steps.** The working group will begin working on the development of the hiring best practices guide.

8. **Other business.** Staff will develop a strategic plan for the working group to review on its August teleconference. With Sharon Beverly’s recent resignation from the College of New Jersey, staff will seek a replacement from the west coast to provide greater geographic representation on the committee. The next teleconference call is August 16 at 1 p.m. Eastern.

9. **Adjournment.** The call adjourned at 11:00 a.m. Eastern time.

**Staff Liaisons:**  
Louise McCleary, Division III Governance  
Nicole Hollomon, Research  
Sonja Robinson, Office of Inclusion  
Sarah Sadowski, Leadership Development  
Amy Wilson, Office of Inclusion  

Teleconference date: May 31, 2016

<table>
<thead>
<tr>
<th>Attendees:</th>
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<tbody>
<tr>
<td>Keith Brandon, Penn State University, Abington</td>
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<td>Gerard Bryant, John Jay College of Criminal Justice</td>
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<td>Jason Fein, Drew University</td>
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<td>Callie Olson, Lakeland College</td>
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<td>Joe Onderko, Presidents Athletic Conference</td>
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<td>Dennis Shields, University of Wisconsin-Platteville</td>
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<tr>
<td>Natalie Winkelfoos, Oberlin College</td>
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<td>Nicolle Wood, Salem State University</td>
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<th>Absentees:</th>
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<tbody>
<tr>
<td>Nnenna Akotaobi, Swarthmore College</td>
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<tr>
<td>Heather Benning, The Midwest Conference</td>
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<td>George Koonce Jr., Marian University (WI)</td>
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<td>Chris O’Rourke, Becker College</td>
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**NCAA Staff Support in Attendance:**  
Nicole Hollomon, Louise McCleary, Sonja Robinson, Sarah Sadowski, and Amy Wilson.
ACTION ITEMS.

- None.

INFORMATIONAL ITEMS.

1. **Review of the report from February 25, 2016, teleconference.** The working group reviewed and approved the set of minutes from its February 25, 2016, teleconference.

   During its review of the report, the working group was reminded of the tentative learning modules developed during the group’s September teleconference. These five modules were referenced throughout the remainder of the teleconference. The five tentative learning modules are:

   a. Why a certification program is important;

   b. A self-assessment tool for an institution’s current game environment;

   c. A learning module focused on creating service excellence for institutional events, regardless of resources and staff capabilities;

   d. Assistance with conflict resolution or bystander intervention; and

   e. A campus action plan.

2. **Report from Committee on Sportsmanship and Ethical Conduct’s February 16, 2016, teleconference.** The working group received a report from Ben Brownlee and Karen Tompson-Wolfe regarding the outcomes from the NCAA Committee on Sportsmanship and Ethical Conduct’s February 16, 2016, teleconference. Mr. Brownlee focused particular attention on the revamped RESPECT campaign and noted that materials and co-branded signage templates would be made available to the membership as a part of the revamped campaign and that a third-party company was being used to prepare those materials.

3. **Discussion of feedback received from outside groups on the five tentative learning modules.** The working group discussed feedback that was received from conference meetings, classroom discussions on campuses, the Division III Student-Athlete Advisory Committee and the Division III Management Council. Highlights from this feedback included:
a. Mr. Jones noted that the Student-Athlete Advisory Committee is planning to develop a ‘student-athlete’s perspective’ learning module that can be used as a sixth module within the program. As a deliverable included within this particular module, the Student-Athlete Advisory Committee also is considering drafting a template letter to parents that member institutions can use for distribution at the beginning of each season.

b. There were some concerns expressed about the idea that an institution would not objectively be able to complete a ‘self-assessment’. Instead, it was noted that the working group might want to consider the option of having someone from a different institution conduct the audit or consider alternative methods to access an institution’s current game environment.

c. It was noted that the overall training program might need to be required, or at least have some certification or recognition attached to it.

d. The Management Council noted that interaction with campus safety, institutional presidents and athletic direct reports would be key elements to the success of the overall program.

e. One element noted for consideration was the inclusion of best practices and ‘ideas that work’. Examples of these include putting team benches on the opposite side of the playing field from the main grandstand, not allowing visiting fans to sit directly behind home benches, etc.

4. **Review of potential concepts for tentative learning modules.** The working group reviewed two working proposals from outside firms. Both of the proposals were obtained by Mr. Jones and are aimed at building some of the learning modules. The working group determined it was best to wait on making any official decisions on proposals until after the learning modules have been more fully vetted. The working group also reviewed examples of ‘mystery shopper’ programs within the athletics context in order to determine how those programs might work within the overall program and whether that concept could serve as a potential deliverable within the program.

5. **Discussion of next steps and short-range timeline for work.** The working group agreed to use a form to provide individual input on potential learning outcomes and delivery methods for each of the tentative learning modules. Upon receipt of all individual feedback forms, the working group will be broken into smaller subsets to begin synthesizing the results in order to develop a firm plan for each learning module.

6. **Other business.** The next teleconference will be at 2 p.m. Eastern time June 30, 2016.
7. **Adjournment.** The meeting adjourned at 3:15 p.m. Eastern time.

*Chair:* Gary Williams, Wittenberg University, North Coast Athletic Conference  
*Staff Liaison:* Jay Jones, Division III Governance

<table>
<thead>
<tr>
<th>Teleconference: April 21, 2016</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Attendees</strong></td>
<td><strong>Absentees</strong></td>
</tr>
<tr>
<td>Erik Bitterbaum, State University of New York at Cortland</td>
<td>Chris O’Rourke, Becker College</td>
</tr>
<tr>
<td>Jennifer Jacobs, Augsburg College</td>
<td>Brian Wigley, Shenandoah University</td>
</tr>
<tr>
<td>Tracy King, Liberty League</td>
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</tr>
<tr>
<td>Chuck Mitrano, Empire 8</td>
<td></td>
</tr>
<tr>
<td>Laura Mooney, Massachusetts College of Liberal Arts</td>
<td></td>
</tr>
<tr>
<td>Karen Tompson-Wolfe, Westminster College</td>
<td></td>
</tr>
<tr>
<td>Gary Williams, Wittenberg University, chair</td>
<td></td>
</tr>
<tr>
<td>Other Participants:</td>
<td></td>
</tr>
<tr>
<td>Ben Brownlee, NCAA</td>
<td></td>
</tr>
<tr>
<td>Jay Jones, NCAA</td>
<td></td>
</tr>
</tbody>
</table>
REPORT OF THE NCAA DIVISION III
SPORTSMANSHIP AND GAME ENVIRONMENT WORKING GROUP
JUNE 30, 2016, TELECONFERENCE

ACTION ITEMS.

• None.

INFORMATIONAL ITEMS.

1. Review and approval of report from April 21, 2016, teleconference. The working group reviewed and approved the report from its April 21, 2016, teleconference.

2. Discussion on small group review of learning modules and feedback received from NACDA/CEFMA meeting. The working group held a follow-up discussion regarding the work conducted since its previous call. Following the April call, the working group used a form and asked each member to provide individual input on the potential learning outcomes and delivery methods for all of the learning modules. Upon receipt of all individual feedback forms, the working group then conducted a series of calls with two working group members and staff that allowed the small groups to focus on learning modules one at a time and with more depth. The summarized outcomes from those efforts were shared with a group of Division III administrators at a NACDA/CEFMA meeting in Dallas. The administrators shared additional input and direction.

The following list of five modules and goals were developed and codified for final approval by the larger working group. During this teleconference, the working group also discussed some of the practical steps and budgeting needed to accomplish the goals within each module and the method of delivery that might work best for the module.


The identified goals of module one are:

(1). To establish why this program is needed;

(2). To provide social and DIII Philosophy background;

(3). To establish what the training program will accomplish; and

(4). To clarify and define how the training program will work.

b. Module Two: Assessment Tool.

The identified goals of module two are:

(1). Using the standards of what constitutes a positive game environment (developed and explained in Module One and with Division III SAAC input), create an assessment tool that can be used to evaluate the institution on those standards;
(2). Look to the Olympics, FIFA or other governing bodies as potential resources that likely have broad standards based on multicultural differences. Use those broad standards to ‘drill down’ and identify what can be improved at the Division III level;

(3). Create objective criteria that can be used to evaluate those standards and produce an honest evaluation of the institution’s game culture. Include coaching and team behaviors as part of the accessed cultural elements; and

(4). Assess current and potentially modified approaches and policies with campus security and local law enforcement.


The identified goals of module three are:

(1). To provide training to create a proactive service-based mindset and allow service empowerment for departmental staff;

(2). To learn techniques to deal with the feeling of entitlement that parents or fans might have;

(3). To create training so that the NCAA championship experience and fan decorum can carry throughout Division III on a daily basis;

(4). To teach the program participant how to change interactions with fans so that they are not ‘transactional experiences’ and instead focus on the overall quality of the institution and program; and

(5). To use an NCAA championship event to work backwards and determine how you create the same level of preparedness for daily events on campus.

d. Module Four: Assistance with Conflict Resolution and Bystander Intervention Training.

The identified goals of module four are:

(1). To gain a better understanding of conflict and why it occurs;

(2). To focus on providing institutions with information and training techniques and strategies to assist in resolving conflicts, focusing techniques on: de-escalation strategies, bystander intervention techniques, conflict resolution intense environments and conflict resolution with vested customers (in our case relatives of student-athletes) and how to have difficult conversations.
(3). Work with experts in this area of human resources training. Some potential resources include Cornell University experts, experts from other industries such as airline, hotel, etc.; and

(4). To learn best practices in regards to ‘pre-event’ strategies that will help the institution ensure a positive environment (e.g., how to set-up facility to circumvent potential issues before they start).

e. **Module Five: Creating an Action Plan for your Institution.**

The identified goals of module five are:

(1). To proactively put a plan in place which allows the institution to conduct events with greater success;

(2). To take into account available resources (this will vary institution to institution);

(3). To identify individuals or groups on campus that can serve on a campus-based committee to assess and implement game environment strategies (student-athletes, coaches, faculty, student-affairs, campus security are some groups that might not always be thought of but could be included);

(4). To determine logical steps necessary to implement an action plan; and

(5). To demonstrate a means by which the institution can create a list of priority items that need to be addressed or changed and assist in creating a timeline (including any budget implications) to carry out improvements and action plan.

The working group also noted and discussed a sixth module, which is being developed by the Division III Student-Athlete Advisory Committee. The working group noted that the student-athlete perspective might not ultimately be used as a separate stand-alone learning module and might instead be dispersed throughout the other modules of the program.

f. **Module Six: Student-Athlete Input and Resource(s).**

The identified goals of module six are:

(1). Provide the institution with the student-athlete perspective on a positive game environment and desired behaviors of relatives and other fans;

(2). Provide details on what Division III students expect from the environment related to fan behavior; and

(3). Give institutions a template letter that can be used to communicate these expectations to parents and other campus community members.
3. **Discussion of next steps and short-range timeline for work.** The working group agreed on the following short-range timeline and next steps.

<table>
<thead>
<tr>
<th>July 18-19</th>
<th>Present module plans to Division III Management Council.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>June 30 – August 10</strong></td>
<td>NCAA Staff - Reach out to various resources and companies and seek proposals and costs for module building.</td>
</tr>
<tr>
<td><strong>August 18 Teleconference</strong></td>
<td>Discuss and finalize recommendations for how to utilize resources in getting modules built.</td>
</tr>
</tbody>
</table>

4. **Other business.** The working group noted that the next teleconference is scheduled for 2 p.m. Eastern time August 18, 2016.

5. **Adjournment.** The meeting adjourned at 2:58 p.m. Eastern time.

*Chair:* Gary Williams, Wittenberg University, North Coast Athletic Conference  
*Staff Liaison:* Jay Jones, Division III Governance

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**June 30, 2016, Teleconference**

**Attendees:**

- Erik Bitterbaum, State University of New York at Cortland  
- Jennifer Jacobs, Augsburg College  
- Tracy King, Liberty League  
- Laura Mooney, Massachusetts College of Liberal Arts  
- Karen Tompson-Wolfe, Westminster College

**Absentees:**

- Chuck Mitrano, Empire 8  
- Chris O’Rourke, Becker College  
- Brian Wigley, Shenandoah University  
- Gary Williams, Wittenberg University, chair

**Guests in Attendance:**

None or list out guests.

**NCAA Staff Support in Attendance:**

- Jay Jones

**Other NCAA Staff Members in Attendance:**

- Reed Fogle
NCAA Division III and NASPA Alcohol and Other Drug Collaborative Update
June 8, 2016

1. **Registration Update.** 191 institutions are currently registered for 360 Proof (an increase of two since the March report). 713 institutions are eligible to use the program.

2. **Portal Updates.** An error was discovered in the reporting feature of the 360 Proof PFI. This error was corrected in May.

3. **Program Assessment.** Results of the March 22 user experience survey were limited but positive. Phone interviews with low, medium and high level users are currently underway. Learning Collaborative Webinar attendance rosters will be analyzed as part of this program use assessment. The contractor will plan the next round of program communications, with the goal of enhancing the level of use by institutions already registered for the program.

4. **Outreach and Feedback.** 360 Proof will offer a demonstration booth and one-on-one technical assistance at the NCAA Regional Rules Seminar, June 14-15 in Dallas, TX. Activity at the NASPA Annual Conference included an exhibit booth and an education session featuring 360 Proof users sharing their experiences with the program. Also, Leah Kareti provided a feature demonstration to NASPA Culture of Respect and Strategic Initiatives staff (Joseph DeSanto Jones and Allison Tombros Korman). Finally, the NCAA Division III Management Council received a program updated and provided feedback about potential barriers to campus implementation.

5. **Budget Summary.** Year to date spending is under budget. Please see additional detail in the Attachment.
<table>
<thead>
<tr>
<th>360 Proof Annual Operating Costs</th>
<th>2015-16 Budget</th>
<th>2015-16 Actual to date</th>
<th>2016-17 Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hosting fee ($1,400 per month)</td>
<td>$16,800</td>
<td>$16,145</td>
<td>$16,800</td>
</tr>
<tr>
<td>Annual portal Trouble shooting, $187/hr, conservative estimate</td>
<td>$20,000</td>
<td>$3,100</td>
<td>$20,000</td>
</tr>
<tr>
<td>Analytics, two reports per year</td>
<td>$3,500</td>
<td>$1,700</td>
<td>$3,500</td>
</tr>
<tr>
<td>Vimeo video hosting annual fee</td>
<td>$200</td>
<td>$200</td>
<td>$200</td>
</tr>
<tr>
<td>General 360 Proof administrative management, eight hours per week, 45 weeks per year at $75/hr</td>
<td>$27,000</td>
<td>$21,821</td>
<td>$27,000</td>
</tr>
<tr>
<td>Content Experts to staff webinars. 2 experts at 3 hours each for 6 calls at $125/hr</td>
<td>$4,500</td>
<td>$4,500</td>
<td>$4,500</td>
</tr>
<tr>
<td>Content expert travel to in-person learning sessions</td>
<td>$5,000</td>
<td>$2,000</td>
<td>$5,000</td>
</tr>
<tr>
<td>Other technical assistance costs. 6 expert hours per week, 45 weeks per year at $125/hr</td>
<td>$33,750</td>
<td>$21,000</td>
<td>$33,750</td>
</tr>
<tr>
<td>Promotional costs</td>
<td>$10,000</td>
<td></td>
<td>$9,000</td>
</tr>
<tr>
<td>Evaluation costs. The pilot evaluation was $14,000. There would not be an evaluation expense incurred every year, but there could be a large, periodic expense.</td>
<td>$5,000</td>
<td></td>
<td>$5,000</td>
</tr>
<tr>
<td>Miscellaneous operations (teleconference, printing, postage)</td>
<td>$1,000</td>
<td>$1,000</td>
<td>$1,000</td>
</tr>
<tr>
<td><strong>Annual Operating Budget Total</strong></td>
<td><strong>$125,750</strong></td>
<td><strong>$66,966</strong></td>
<td><strong>$125,750</strong></td>
</tr>
</tbody>
</table>

**2015-16 Development: Integration of the updated NIAAA Recommended Strategies Tool**

$74,250

$1,300

**2015-16 Total Budget**

$200,000

**Duties included in administrative management**

- Maintain list of eligible institutions and contact information for AD and Chief Student Affairs Officer (CSAO).
- Manage 360 Proof in box and requests for technical assistance from participating institutions.
- Work with content experts to plan and conduct Learning Collaborative webinars and other educational opportunities.
- Post materials (webinar video and other guides) to web portal.
- Plan, conduct, and draft report of bi-monthly steering committee calls.
- Plan and execute promotions.
- Plan and execute program assessment and evaluation.
Other notes

• All estimates other than hosting and analytics are conservative.
• NASPA is absorbing the cost of the Learning Collaborative Webinar platform.
• Dr. Jason Kilmer, University of Washington, provides most of the technical assistance and is on an annual contract to provide an average of four hours per week to support 360 Proof. (This is a $18,470 contract, which is paid at the start of the academic year and equates to an hourly rate of $88.80. All other contract support is paid on a monthly basis at the hourly rates noted above.)
• The $74,250 development budget covers the integration of the updated NIAAA recommended strategies tool. It will cover updates to training and other program materials, design costs and web updates resulting from the integration of the new the NIAAA recommended strategies tool. This is the last planned development project for the 360 Proof program.
• Spending for all non-fixed items is currently at or under budget.
DIVISION III IDENTITY INITIATIVE

Following is a status report on activation of the Division III Identity Initiative.

Assistant Director for Governance Communications

Adam Skaggs, as assistant sports information director and marketing coordinator at Simmons College in Boston, will assume the role of assistant director for governance communications August 1. Skaggs will be charged with overseeing and coordinating Division III messaging and strategic communications, including: The Division III Identity Initiative, the division’s website, Division III social media accounts, and the division’s partnership with Special Olympics, among other duties. Skaggs will also work closely with the division’s leadership to develop other communications and educational resources.

Skaggs joined the athletics department staff at Simmons, a Division III school, in 2014. In his role as assistant sports information director and marketing coordinator, he maintained the athletics department’s website and social media accounts, publicized the accomplishments of the school’s student-athletes, compiled statistics and composed stories. He managed those responsibilities while pursuing a master’s degree in management with a concentration in communications at Simmons, which he earned in May. He was a basketball student-athlete at Trinity College (CT) where he earned his BA.

Division III Week

Sixty-nine (69) percent of Division III member institutions and conferences (339 total) celebrated the fifth annual Division III Week, April 4-10. This year’s activities and events were held in conjunction with the Women’s Basketball Joint National Championship, National Student-Athlete Day and many events featured the division’s partnership with Special Olympics.

This year, the Division III National SAAC invited all Division III campuses and conferences to create a video promoting the It's On Us campaign, focusing on sexual assault prevention, consent and bystander intervention, and to enter the video in a national contest. An award of $500 was provided to the SUNY Oneonta, who was the inaugural winner. The winning video debuted at the 2016 NCAA Division III Women's Basketball Championship, which was held during Division III Week in conjunction with the Women's Final Four in Indianapolis. In total, 27 institutions and conferences participated in the video contest.

Division III raised $3,128 for Special Olympics North America by encouraging new “likes” on our Facebook page and new followers on Twitter; a $500 increase from 2015. In addition to social media engagement, NCAA staff donated to the campaign during a celebratory event at the national office in which the Division III Student-Athlete Advisory Committee participated in a panel discussion on the life of a Division III student-athlete.

The sixth annual Division III Week will occur April 3-9, 2017.

Championships

Updated Division III identity signage and banners were used at all spring championship finals sites. Each championship was also provided with a $1,000 allocation for the planning and promotion of Special Olympics-related programming.

Staff continued to view broadcast and webcast to assess signage, branding, and presentation. This summer, staff will identify additional ways to enhance the webcasts while also driving more people to the webcasts.
Division III/D3SIDA Recognition Award
A panel of D3SIDA members select Tim Volkmann at SUNY Geneseo as the spring 2016 recipient for his work producing the “We R Team” video, that pays tribute to a women’s basketball student-athlete. The Division III and D3SIDA Recognition Award is a partnership between the Division III governance staff and the Division III College Sports Information Directors of America (D3SIDA) to honor the best work - including news releases, feature articles, videos, blogs and other materials - produced by Division III campus and conference athletics communication offices. Each top honoree, named three times annually, will receive a $1,500 credit to attend Division III Day at the CoSIDA convention.

Social Media
The NCAA Division III Facebook has surpassed 23,600 fans, while the @NCAADIII Twitter feed has over 33,200 followers. The staff has developed a social media strategic plan to leverage social media as a primary channel for sharing the Division III story with current student-athletes, administrators, potential student-athletes, parents, and supporters.

In April, staff launched the hashtag #myD3. This hashtag will be used to share individual voices and unique experiences with Division III. These stories are individual anecdotes about the comprehensive educational environment in Division III, and how that has shaped current and former student-athletes and administrators.

Special Olympics
Since September 1, 65 institutions and conference offices have reported Division III Special Olympics activities. Staff continues to encourages schools and conferences to report their event.

Division III Purchasing Website
The Division III Purchasing Website is in its sixth year in operation, and its fourth year of management by Source One Digital. A $500 credit is being offered to institutions and conferences for purchases from the site during the 2015-16 year. Thus far, approximately half of the institutions and conference offices have used their credit, which ends on August 31. Staff conducted a quality audit on the site’s promotional items in the spring of 2016, and anticipates signing a three-year renewal contract with the vendor, Source One Digital.

Website Content
NCAA.org continues to provide Division III with additional opportunities to use its home page to share more stories portraying its unique student-athlete experience. The page regularly highlights Division III feature stories from sources such as member websites and Champion magazine, and videos produced by the NCAA and by member schools and conferences. Sports information directors are encouraged to send human interest stories and record-breaking performances to d3identity@ncaa.org.
Division III Governance Scorecard - 2016

Methods

• Survey items were replicated from previous iterations of the Governance Scorecard

• The “importance” questions were a modified version of the previously used questions

• Online survey link was provided to each member of Division III Governance and Sport committees

• Respondents were asked to evaluate how well their liaisons performed specific tasks and how important those tasks were to the committee members

• Survey responses were on a 5 point scale with 1=extremely poorly and 5=extremely well
Respondent Demographics

Responses were received from:

<table>
<thead>
<tr>
<th>Role</th>
<th>2016</th>
<th>2015</th>
</tr>
</thead>
<tbody>
<tr>
<td>President/chancellors</td>
<td>31</td>
<td>30</td>
</tr>
<tr>
<td>Directors of Athletics</td>
<td>40</td>
<td>52</td>
</tr>
<tr>
<td>Other Athletics Administrator</td>
<td>37</td>
<td>38</td>
</tr>
<tr>
<td>Coaches</td>
<td>60</td>
<td>60</td>
</tr>
<tr>
<td>Student-athletes</td>
<td>23</td>
<td>18</td>
</tr>
<tr>
<td>Faculty Athletics Representative</td>
<td>7</td>
<td>6</td>
</tr>
<tr>
<td>Conference Commissioners</td>
<td>15</td>
<td>21</td>
</tr>
<tr>
<td>Athletics Direct Report</td>
<td>1</td>
<td>5</td>
</tr>
<tr>
<td>Other</td>
<td>19</td>
<td>14</td>
</tr>
<tr>
<td><strong>Total valid responses</strong></td>
<td><strong>233</strong></td>
<td><strong>244</strong></td>
</tr>
</tbody>
</table>

*Note: not all respondents answered all questions

Respondent Demographics

How many NCAA councils or committees have you served on in the past?

<table>
<thead>
<tr>
<th>Number of Councils or Committees</th>
<th>2016</th>
<th>2015</th>
</tr>
</thead>
<tbody>
<tr>
<td>None</td>
<td>84</td>
<td>57</td>
</tr>
<tr>
<td>1</td>
<td>57</td>
<td>48</td>
</tr>
<tr>
<td>2</td>
<td>48</td>
<td>21</td>
</tr>
<tr>
<td>3 or more</td>
<td>17</td>
<td>7</td>
</tr>
<tr>
<td>4 or more</td>
<td>26</td>
<td>12</td>
</tr>
</tbody>
</table>

How long have you served on the council or committee that you are currently a part of?

<table>
<thead>
<tr>
<th>Duration</th>
<th>2016</th>
<th>2015</th>
</tr>
</thead>
<tbody>
<tr>
<td>6 months or less</td>
<td>47</td>
<td>40</td>
</tr>
<tr>
<td>7-12 months</td>
<td>40</td>
<td>29</td>
</tr>
<tr>
<td>13-24 months</td>
<td>66</td>
<td>17</td>
</tr>
<tr>
<td>25-36 months</td>
<td>40</td>
<td>17</td>
</tr>
<tr>
<td>37 months +</td>
<td>38</td>
<td>16</td>
</tr>
</tbody>
</table>

*Note: not all respondents answered all questions*
Respondent Appointment and Preparation

Which of the following BEST describes how you were nominated to be a part of this council or committee:

- Self-nominated = 131 (57%)
- Conference nominated = 42 (18%)
- Nominated by someone else = 39 (17%)
- Appointed through another position = 19 (8%)

Indicate how true the statement is regarding your preparation to be part of this council or committee: *I was provided with enough training and information prior to my first meeting to be able to effectively participate at all council or committee meetings.*

- True = 174 (74%)
- Somewhat true/untrue = 46 (20%)
- Untrue = 14 (6%)
All Committees | How well did the staff liaison meet your needs?
---|---
| 2016 | 2015 |
- Provided direction, guidance and leadership | 4.67 | 4.58 |
- Encouraged, enabled and facilitated collaboration and discussion | 4.68 | 4.66 |
- Developed substantive and relevant agendas | 4.68 | 4.57 |
- Tracked, researched and synthesized pertinent/relevant and high-priority topics and issues | 4.51 | 4.47 |
- Developed insights and strategies and recommended solutions | 4.57 | 4.49 |
- Provided appropriate data and information to support decision-making | 4.61 | 4.59 |
- Effectively and appropriately influenced outcomes in the best interest of intercollegiate athletics | 4.64 | 4.52 |
- Demonstrated good communication skills including listening | 4.71 | 4.69 |
- Coordinated logistics including meetings, conference calls, presentation equipment and meeting materials | 4.73 | 4.71 |
- Produced informative reports and communications in a timely manner | 4.64 | 4.58 |
**Overall Performance** | **4.64** | **4.59** |

Total respondents (2016) = 229 out of 317 members = 73% response rate (2015 rate 73%)
Total respondents = 229 out of 317 members = 73% response rate

Summary of Comments

There were a total of 54 comments, 33 were positive, 6 were negative, 2 were mixed and the remainder either addressed a specific issue (such as issues specific to managing the meetings or issues with rules administration) or were general non-specific comments (e.g. not applicable, no comment, too new to committee, etc.).

Of the 33 positive comments, 28 offered, in whole or in part, praise for the service provided by their liaisons. The remaining five were more general comments on their satisfaction with their committee service.

The 6 negative comments were more broadly dispersed in their dissatisfaction.
• Better communication either during the meeting preparation or as part of the new member orientation was cited in half the negative comments
• Other negative comments related to travel issues, dissatisfaction with other committees, dissatisfaction with other members of the committee, etc.
183 members – 124 responses = 68% response rate

134 members – 105 responses = 78% response rate
Governance Committees
- Championships Committee
- Chancellors/Presidents Advisory Committee
- Committee on Infractions
- Convention Planning Subcommittee
- Ethnic Minority & Women’s Internship
- Grant Selection Committee
- Financial Aid Committee
- Infractions Appeals Committee
- Interpretations and Legislation Committee
- Management Council
- Membership Committee
- Nominating Committee
- Presidents Council
- Strategic Alliance Matching Grant
- Selection Committee
- Strategic Planning & Finance Committee
- Student-Athlete Advisory Committee
- Student-Athlete Reinstatement Committee

Sport Committees
- Baseball Committee
- Field Hockey Committee
- Football Committee
- Men’s and Women’s Swimming Committee
- Men’s and Women’s Track & Field Committee
- Men’s Basketball Committee
- Men’s Golf Committee
- Men’s Ice Hockey Committee
- Men’s Lacrosse Committee
- Men’s Soccer Committee
- Men’s Tennis Committee
- Men’s Volleyball Committee
- Softball Committee
- Women’s Basketball Committee
- Women’s Golf Committee
- Women’s Ice Hockey Committee
- Women’s Lacrosse Committee
- Women’s Rowing Committee
- Women’s Soccer Committee
- Women’s Tennis Committee
- Women’s Volleyball Committee
- Wrestling Committee
Committee on Infractions

- Provided informative reports and communications in a timely manner
- Coordinated logistics including meetings, conference calls, presentation equipment and meeting materials
- Demonstrated good communication skills including listening
- Effectively and appropriately influenced outcomes in the best interest of intercollegiate athletics
- Provided appropriate data and information to support decision-making
- Developed insights and strategies and recommended solutions
- Tracked, researched and synthesized pertinent/relevant and high-priority topics and issues
- Developed substantive and relevant agendas
- Encouraged, enabled and facilitated collaboration and discussion
- Provided direction, guidance and leadership

4 members – 2 responses

Convention Planning Subcommittee

- Produced informative reports and communications in a timely manner
- Coordinated logistics including meetings, conference calls, presentation equipment and meeting materials
- Demonstrated good communication skills including listening
- Effectively and appropriately influenced outcomes in the best interest of intercollegiate athletics
- Provided appropriate data and information to support decision-making
- Developed insights and strategies and recommended solutions
- Tracked, researched and synthesized pertinent/relevant and high-priority topics and issues
- Developed substantive and relevant agendas
- Encouraged, enabled and facilitated collaboration and discussion
- Provided direction, guidance and leadership

16 members – 10 responses
Ethnic Minority and Women’s Internship Grant Selection Committee

- Provided informative reports and communications in a timely manner
- Coordinated logistics including meetings, conference calls, presentation equipment and meeting materials
- Demonstrated good communication skills including listening
- Effectively and appropriately influenced outcomes in the best interest of intercollegiate athletics
- Provided appropriate data and information to support decision-making
- Developed insights and strategies and recommended solutions
- Tracked, researched and synthesized pertinent/relevant and high-priority topics and issues
- Developed substantive and relevant agendas
- Encouraged, enabled and facilitated collaboration and discussion
- Provided direction, guidance and leadership

5 members – 4 responses

Financial Aid Committee

- Produced informative reports and communications in a timely manner
- Coordinated logistics including meetings, conference calls, presentation equipment and meeting materials
- Demonstrated good communication skills including listening
- Effectively and appropriately influenced outcomes in the best interest of intercollegiate athletics
- Provided appropriate data and information to support decision-making
- Developed insights and strategies and recommended solutions
- Tracked, researched and synthesized pertinent/relevant and high-priority topics and issues
- Developed substantive and relevant agendas
- Encouraged, enabled and facilitated collaboration and discussion
- Provided direction, guidance and leadership

12 members – 10 responses
7 members – 7 responses

Management Council

Provided informative reports and communications in a timely manner
Coordinated logistics including meetings, conference calls, presentation equipment and meeting materials
Demonstrated good communication skills including listening
Effectively and appropriately influenced outcomes in the best interest of intercollegiate athletics
Provided appropriate data and information to support decision-making
Developed insights and strategies and recommended solutions
Tracked, researched and synthesized pertinent/relevant and high-priority topics and issues
Developed substantive and relevant agendas
Encouraged, enabled and facilitated collaboration and discussion
Provided direction, guidance and leadership

21 members – 16 responses
8 members – 10 responses

Membership Committee

- Provided informative reports and communications in a timely manner
- Coordinated logistics including meetings, conference calls, presentation equipment and meeting materials
- Demonstrated good communication skills including listening
- Effectively and appropriately influenced outcomes in the best interest of intercollegiate athletics
- Provided appropriate data and information to support decision-making
- Developed insights and strategies and recommended solutions
- Tracked, researched and synthesized pertinent/relevant and high-priority topics and issues
- Developed substantive and relevant agendas
- Encouraged, enabled and facilitated collaboration and discussion
- Provided direction, guidance and leadership

Nominating Committee

- Produced informative reports and communications in a timely manner
- Coordinated logistics including meetings, conference calls, presentation equipment and meeting materials
- Demonstrated good communication skills including listening
- Effectively and appropriately influenced outcomes in the best interest of intercollegiate athletics
- Provided appropriate data and information to support decision-making
- Developed insights and strategies and recommended solutions
- Tracked, researched and synthesized pertinent/relevant and high-priority topics and issues
- Developed substantive and relevant agendas
- Encouraged, enabled and facilitated collaboration and discussion
- Provided direction, guidance and leadership

6 members – 8 responses
Presidents Council

- Provided informative reports and communications in a timely manner
- Coordinated logistics including meetings, conference calls, presentation equipment and meeting materials
- Demonstrated good communication skills including listening
- Effectively and appropriately influenced outcomes in the best interest of intercollegiate athletics
- Provided appropriate data and information to support decision-making
- Developed insights and strategies and recommended solutions
- Tracked, researched and synthesized pertinent/relevant and high-priority topics and issues
- Developed substantive and relevant agendas
- Encouraged, enabled and facilitated collaboration and discussion
- Provided direction, guidance and leadership

17 members – 13 responses

Strategic Alliance Matching Grant Selection Committee

- Produced informative reports and communications in a timely manner
- Coordinated logistics including meetings, conference calls, presentation equipment and meeting materials
- Demonstrated good communication skills including listening
- Effectively and appropriately influenced outcomes in the best interest of intercollegiate athletics
- Provided appropriate data and information to support decision-making
- Developed insights and strategies and recommended solutions
- Tracked, researched and synthesized pertinent/relevant and high-priority topics and issues
- Developed substantive and relevant agendas
- Encouraged, enabled and facilitated collaboration and discussion
- Provided direction, guidance and leadership

4 members – 5 responses
Strategic Planning & Finance Committee

- Produced informative reports and communications in a timely manner
- Coordinated logistics including meetings, conference calls, presentation equipment and meeting materials
- Demonstrated good communication skills including listening
- Effectively and appropriately influenced outcomes in the best interest of intercollegiate athletics
- Provided appropriate data and information to support decision-making
- Developed insights and strategies and recommended solutions
- Tracked, researched and synthesized pertinent/relevant and high-priority topics and issues
- Developed substantive and relevant agendas
- Encouraged, enabled and facilitated collaboration and discussion
- Provided direction, guidance and leadership

15 members – 5 responses

Student-Athlete Advisory Committee

- Produced informative reports and communications in a timely manner
- Coordinated logistics including meetings, conference calls, presentation equipment and meeting materials
- Demonstrated good communication skills including listening
- Effectively and appropriately influenced outcomes in the best interest of intercollegiate athletics
- Provided appropriate data and information to support decision-making
- Developed insights and strategies and recommended solutions
- Tracked, researched and synthesized pertinent/relevant and high-priority topics and issues
- Developed substantive and relevant agendas
- Encouraged, enabled and facilitated collaboration and discussion
- Provided direction, guidance and leadership

23 members – 18 responses
Student-Athlete Reinstatement Committee

Produced informative reports and communications in a timely manner
Coordinated logistics including meetings, conference calls, presentation equipment and meeting materials
Demonstrated good communication skills including listening
Effectively and appropriately influenced outcomes in the best interest of intercollegiate athletics
Provided appropriate data and information to support decision-making
Developed insights and strategies and recommended solutions
Tracked, researched and synthesized pertinent/relevant and high-priority topics and issues
Developed substantive and relevant agendas
Encouraged, enabled and facilitated collaboration and discussion
Provided direction, guidance and leadership

6 members – 3 responses

Questions?
The Division III philosophy states an expectation that “institutional presidents and chancellors have the ultimate responsibility and final authority for the conduct of the intercollegiate athletics program at the institutional, conference and national governance levels.”

Directed at conference commissioners and presidents, this guide aims to:
- Enhance communication between the groups.
- Encourage informed decision-making.
- Define the role each group plays within their conference and the NCAA governance structure.

Through our united commitment to academics, fairness and well-being, we can create a pathway that leads to a lifetime of opportunity for student-athletes.

NCAA is a trademark of the National Collegiate Athletic Association.
CONFERENCE ONBOARDING

Commissioners should schedule a meeting to onboard new chancellors and presidents, highlighting the following topics:
- Conference history.
- Philosophy and mission statement.
- Governance and reporting structures.
- Budget.
- Conference dues.
- NCAA conference grant funding.
- Strategic plan.
- Meeting dates and expectations.
- Conference membership requirements.
- Roles of and interactions with athletics department and key campus stakeholders.
- Conference-sponsored sports and championships.
- NCAA, Division III and conference issues, including:
  - Academics.
  - Championships.
  - Compliance and bylaws.
  - Diversity and inclusion.
  - Health and safety.
  - Sportspersonship.

In preparation for the onboarding meeting, chancellors and presidents may want to familiarize themselves with the following areas of their athletics program:
- Philosophy and mission statement.
- Budget.
- Strategic plan.
- Roles and effectiveness of athletics department and key campus stakeholders.

And, also be familiar with key campus initiatives:
- Academics.
- Diversity and inclusion.
- Financial aid policies.
- Health, safety and overall student-athlete well-being.
- Performance expectations for student-athletes.

Data from a recent survey shows that, on average, conference commissioners meet with their presidents twice in-person and once via teleconference on an annual basis.

COMMUNICATIONS

Correspondence regarding key campus, conference, Division III and NCAA Association topics should be sent from commissioners to chancellors and presidents on a regular basis. To ensure nothing of importance is missed, the following best practices may prove beneficial.

Commissioners should highlight issues of the utmost importance on a quarterly basis and provide direction on what to do with the information. This communication could include:
- Summarizing and emphasizing key information and action items.
- Discussing different types of NCAA communications chancellors and presidents can expect. For example:
  - NCAA updates:
    - Division III monthly newsletter.
    - Presidents Council quarterly update.
  - Reports:
    - Annual Division III report.
    - Committee meetings reports.
    - Meeting summaries.
  - Event information:
    - NCAA Convention.
    - National and conference events.
  - Division III manual.
  - Guides and best practices.
- Regularly communicate with and engage athletics department and key campus stakeholders.

Chancellors and presidents are encouraged to share information, resources and best practices learned in NCAA committee meetings or working groups with peer chancellors and presidents from their conference.

CONFERENCE INVOLVEMENT

To evaluate communications and foster engagement, the commissioner and chancellors and presidents should frequently discuss the following conference requirements and expectations:
- Conference budget.
- NCAA conference grant funding requirements and expectations.
- Strategic plan.
- Governance and reporting structures.
- Roles and expectations for athletics department and key campus stakeholders.
- NCAA, Division III and conference issues, including:
  - Academics.
  - Championships.
  - Compliance and bylaws.
  - Diversity and inclusion.
  - Health and safety.
  - Sponsored sports.
  - Sportspersonship.

At least 30 Division III chancellors and presidents serve on committees at the national level annually.

In addition to engaging with the commissioner, chancellors and presidents should also:
- Discuss key athletics topics with athletics director report, director of athletics and faculty athletics representative.
- Engage the commissioner on a regular basis.
- Be an active voice in conference substructures.

Key stakeholders to consider in all conversations include:
- Athletics direct report.
- Directors of athletics.
- Faculty athletics representatives.
- Senior woman administrators.
- Coaches.
- Student-Athlete Advisory Committee.
- Athletic trainers.
- Directors of athletics communications.

NCAA INVOLVEMENT

The NCAA national office administers rules; organizes national championships; provides educational services; manages financial systems for the membership; gives direction on health and safety; and conducts research into the experiences of those involved in college sports.

Serving on national committees is an important role for chancellors and presidents in representing both their school and conference.

Every Division III conference has a representative on either the NCAA Presidents Council or the Presidents Advisory Group.

Commissioners should make chancellors and presidents aware of committee openings and encourage involvement on the following NCAA legislative governance committees:
- Association-wide.
  - Committee on Women's Athletics (CWA).
  - Honors Committee.
  - Minority Opportunities and Interests Committee (MOIC).
- Division III.
  - Presidents Council.
  - Management Council.
  - Financial Aid Committee.
  - Infractions Appeals Committee.
  - Membership Committee.
  - Nominating Committee.
  - Strategic Planning and Finance Committee.

Other nonlegislative opportunities available for chancellors and presidents include topical working groups.

Because Division III operates under a “one institution, one vote” model, all chancellors and presidents are encouraged to attend the annual NCAA Convention, where legislation is discussed and voted on.
Dennis Leighton

Email Address: dleighton@une.edu

Conference Visited:
Commonwealth Coast Conference

Date:
May 17, 2016

Attendees:
AD, Assistant/Associate ADs, Commissioner

Division III Campus Issues to Report:
None

Hot Topics/Initiatives

Playing and Practice Season Review:
In general, very limited support for split season in baseball, primarily due to increased burden on staff. If it were available, they would probably not use it. If it were available, it should be no more than 4 weeks, no more than 25% of contests, and all non-conference games. It should be limited to September. There was one Assistant AD/Softball Coach who was very much in support for a split season in softball, but she acknowledged that she is in the minority. She said she would use it if it were available to softball. Also, the Alumni contest should be exempt.

Sport Science Updates:
The ADs agreed that when a SSI Best Practice comes out, it alone may not have a severe financial impact, but when considering all of the best practices, there is an eventual financial impact.

Sportsmanship Working Group:
The concept of a certification program was well received.

Diversity and Inclusion:
The ADs will consider nominating a student to attend the National Convention, and the concept of a cohort and database for diversity applicants for positions was well received.

Other General Comments:
Given the new CBS contract and the financial stability it affords, there was a suggestion that if Division III really wants prospective student-athletes to receive an NCAA ID, then the cost to register through the EC should be totally waived. "It's silly to have if be just $5."
Dennis Leighton

Email Address: dleighton@une.edu

Conference Visited:
Little East Conference

Date:
May 16, 2016

Attendees:
AD, Commissioner

Division III Campus Issues to Report:
None

Hot Topics/Initiatives

Playing and Practice Season Review:
The ADs in this conference had no interest in a split season in baseball. If it were allowed, they thought it very unlikely that they would use it. This is mostly due to the added burden this would have on facilities and staff. This group was also generally in favor of having the Alumni game be exempt.

Sport Science Updates:
The ADs agreed that when a SSI Best Practice comes out, it alone may not have a severe financial impact, but when considering all of the best practices, there is an eventual financial impact. One AD also commented on the slide that stated that a result of the Division III Health and Safety Summit was the "Creation of a campus director of medical services" that his President thought this to be beyond the purview of the NCAA to suggest a campus policy.

Sportsmanship Working Group:
The concept of a certification program was well received.

Diversity and Inclusion:
The ADs will consider nominating a student to attend the National Convention, and the concept of a cohort and database for diversity applicants for positions was well received.

Other General Comments:
The ADs were interested in the status of the restructuring of the Board of Governors and urged continued work for a more equal representation.
Kate Roy

Email Address: katherine.roy@lyndonstate.edu

Conference Visited:
North Atlantic Conference

Date:
May 17-18, 2016

Attendees:
Conference office staff, Presidents, ADs, SWAs, FARs, SAAC president

Division III Campus Issues to Report:
Noted powerpoint has been uploaded with additional information from management council. Next meeting is in July and Roy would like feedback in advance.

Hot Topics/Initiatives

Playing and Practice Season Review:
Roy noted several sports had one or two team increase in NCAA postseason based on access ratio; super regional for baseball forthcoming; no further discussion regarding non-traditional season changes based on hot topics at 2016 NCAA Convention; baseball and softball have been referred back to sport committees to examine possibility of allowing baseball and softball to have a two-period season similar to golf and tennis. Feedback should be provided to Roy. Specific information management council is seeking – start date, number of contests, weekday contests, and general impact feedback. Contest exemptions have been sent back to committees. Appetite for standardizing exemptions in some way. NCAA and conference postseason, plus two exemptions have most traction.

Sport Science Updates:
Sports Science Institute has placed cardiac care on their agenda. Appointment of a Director of Medical Services on campus.

Sportsmanship Working Group:
%value[sportsmanship_working_group]

Diversity and Inclusion:
Ad hoc committee on cultural and ethnic diversity from President’s Council. Coaches and support staff are falling behind national demographic trends.

Other General Comments:
We had limited time for discussion; I asked for feedback prior to the July MC meeting.
Karen Tompson-Wolfe

Email Address:  
karen.tompsonwolfe@westminster-mo.edu

Conference Visited:  
St. Louis Intercollegiate Athletic Conference

Date:  
May 19, 2016

Attendees:  
AD, SWA, FAR

Division III Campus Issues to Report:

Hot Topics/Initiatives

Playing and Practice Season Review:  
There is clear indication that the conference does not wish to pursue a split baseball/softball season. Furthermore there was discussion about why the NCAA does not treat softball and baseball separately.

Sport Science Updates:  
The group is looking forward to hearing more on the concussion results

Sportsmanship Working Group:  
%value[sportsmanship_working_group]

Diversity and Inclusion:

Other General Comments:  
The SLIAC Administrative Council did not have many questions about the current hot topics presented. There was some discussion based off the results of the sportsmanship survey.

The Council also wants guidance on the Fair Labor Standards legislation that is coming down the pipeline.
REPORT OF THE
NCAA BOARD OF GOVERNORS
APRIL 27, 2016, MEETING

KEY ITEMS.
None.

ACTION ITEMS.
None.

INFORMATIONAL ITEMS.

1. Welcome and announcements. NCAA Board of Governors Chair, Kirk Schulz, convened the meeting at 2 p.m. and requested members of the Board of Governors to make introductions. NCAA staff confirmed that a quorum was present.

2. Matters unanimously approved by way of the Consent Agenda. The Board of Governors approved the reports of its January 2016 and February 2016 meetings. The Governors received a late-arriving vote authorizing NCAA legal counsel to proceed in a matter involving ongoing litigation. The Governors also received a late-arriving vote approving the Division I revenue distribution recommendations. The records of those separate voting opportunities will be updated. Finally, the Governors received an informational item to update it on the conversations across the divisions as they relate to the Playing Rules Oversight Panel.

It was unanimously VOTED.

The NCAA Board of Governors approved all items presented by way of Consent Agenda for the April 27, 2016 meeting.

3. NCAA President's report. Because President Schulz will leave Kansas State University to become president at Washington State University effective May 13, 2016, the April 27 meeting was his last in-person meeting of the Board of Governors. NCAA President Mark Emmert recognized President Schulz's leadership and expressed gratitude on behalf of the body for his service to the Association.

President Emmert also provided his perspective on Association-wide policies and several matters impacting the membership such as the recently negotiated media rights contract, daily fantasy sports, and current discussions in Division I regarding football camps.

4. Association-wide matters and policy discussions.

a. Sports wagering. Members of NCAA staff facilitated a discussion concerning the Association-wide policy that prohibits NCAA championships in states that permit single-game sports wagering. Staff provided advance material on the background and history of the sports wagering policy and its changes over time and executive vice president of law,
policy and governance provided an overview and recap of the prior discussions by the Governors. Mark Lewis, executive vice president of championships, described the landscape for identifying sites for current and future championships. Naima Stevenson, deputy general counsel, provided a privileged and confidential briefing on the Association's legal position. With respect to NCAA governance, the Governors directed and Jim Phillips, NCAA Division I Council chair, confirmed that the Council would take no action on current legislative proposals related to the sports wagering policy until the Governors acted. After the Governors' general discussion, it charged the staff to examine the issue further and make recommendations exploring positions consistent with the Association's core values and legal positions. The Governors took no action, keeping the current policy in effect, and determined that discussions would continue at a subsequent meeting of the body.

b. Amateurism and championships. The Governors received a report from Oliver Luck, executive vice president of regulatory affairs, reviewing eligibility procedures that originated in the 1970s and require individual student-athletes to certify their amateur status. The Governors determined that requiring student-athletes to provide affidavits was an outdated procedure given compliance responsibilities and certification requirements currently conducted on member campuses.

It was unanimously VOTED.

*The NCAA Board of Governors determined that its discretionary authority to require student-athletes to certify their eligibility for championships, as provided in NCAA Bylaw 31.2.2 Individual Eligibility, is no longer necessary and that the practice will be discontinued effective immediately.*

c. Championship host bidding criteria. The Governors reaffirmed its policy to conduct NCAA events that protect student-athlete well-being and safeguard the experience of students, fans and campus communities. The Governors also took action to define its expectation that when awarding contracts to host events, the selection process will include as one of many criteria that each bidder must provide a statement certifying its ability to deliver and maintain an environment that is safe, healthy and free of discrimination.

- NCAA events covered by the reaffirmation of Association-wide policy include, but are not limited to:

  (1) NCAA national convention.

  (2) NCAA championship sessions, series and final events.

  (3) NCAA regional compliance seminars.

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(4) NCAA summits and conferences.

(5) NCAA Association-wide and divisional committee meetings.

(6) Other events where the Association is a lead, primary or co-host or sponsor.

It was unanimously VOTED.

The NCAA Board of Governors approved an impact statement and directed NCAA staff to inquire of all current and future sites for all NCAA sponsored or hosted events how they intend to deliver and maintain an environment that is safe, healthy and free of discrimination. Further, the Governors directed staff to finalize details around a bid selection process that includes a similar certification requirement for selection and how it will be implemented. Staff will report to the Governors Ad Hoc Committee on Cultural Diversity and Equity on or before June 15, 2016, with full implementation expected during the current bidding process.

• See Attachment A –"Impact Statement of NCAA Core Values Related to Student-Athlete Well-Being and Championships Experience."

5. NCAA Board of Governors ad hoc committee reports.

a. Ad Hoc Committee on Structure and Composition. President Judy Bense reported on the successful work of the committee. The ad hoc committee previously proposed and received approval for the creation of a vice chair position. The ad hoc committee also created education opportunities like sessions at convention and the membership survey. And it was largely responsible for the "How the NCAA Works" a special report published in the Fall 2015 edition of the NCAA Champion Magazine. On behalf of the ad hoc committee, President Bense requested that members of the Governors discuss in their upcoming divisional meetings whether a proposal to increase the number of voting members representing Divisions II and III on the Board of Governors would receive support. A decision about a legislative proposal, if any, could be made at the August 2016 Governors meeting. President Schulz recognized President Bense's leadership and engagement. He noted that while her extended term had come to an end this month (April), there was opportunity for the ad hoc committee to continue the conversation.

d. Ad Hoc Committee to Promote Cultural Diversity and Equity. President Jay Lemons, Board of Governors vice chair, reminded the body of the ad hoc committee's Association-wide leadership and composition. In addition to President Lemons (Division III), Chancellor Deborah Ford, University of Wisconsin, Parkside (Division II) and President Michael Drake, The Ohio State University (Division I) serve as co-chairs. Additional committee members are presidents and athletics administrators who also represent each division.
In his report to the Governors, President Lemons described the progress of ad hoc committee initiatives. One of those initiatives is to seek broad-based support for a voluntary membership pledge. The objective of the pledge is to promote diversity, gender equity and inclusion in hiring practices across the membership and the national office. The Governors supported the pledge and sought additional time to discuss with campus and conference stakeholders. Additional discussion and possible action on the pledge should take place at the August 2016 meeting. Finally, President Lemons also acknowledged that the charge of the ad hoc committee would now include a review of the staff's work pursuant to the "Impact Statement of NCAA Core Values Related to Student-Athlete Well-Being and Championships Experience" (See Attachment A).

6. **Law, Policy and Governance strategic discussion.** NCAA chief legal officer, Donald M. Remy, and NCAA general counsel, Scott Bearby, provided a privileged and confidential briefing and facilitated a dialogue on current litigation.

7. **Communications update.** The Board of Governors received a report from senior vice president of communications, Bob Williams and the NCAA's communications consultants. The Governors expressed continued support for the direction of the communications plan.

8. **NCAA Board of Governors Finance and Audit Committee update.** President Lemons provided the report of the Finance and Audit Committee. Informational items included in the committee's report highlighted certain features of the NCAA's 990 tax return such as the over 800 grants that are made to Division I and Division II institutions via distributions. The report summarized a comparison of actual revenues and expenses versus budgeted revenues and expenses during the second quarter of the current fiscal year. And it advised the Governors that the Finance and Audit Committee had approved a three-year contract extension with Deloitte for audit and tax services.

   - The Finance and Audit Committee recommended for Governors' approval the addition of Stacy Dervin to the Governors Finance and Audit Investment Subcommittee. Ms. Dervin is the Director of Investments with the University of Oregon Foundation.

   It was **unanimously VOTED.**

   *The NCAA Board of Governors approved the selection of Stacy Dervin as a member of the Governors Finance and Audit Investment Subcommittee.*

9. **Division I revenue distribution update.** Kathleen McNeely, NCAA's senior vice president of administration and chief financial officer, reported on the Division I revenue distribution policy and the Finance and Audit Committee's recommended change to implement the policy. Specifically, the Finance and Audit Committee proposes a procedure that would defer to conference bylaws when processing Division I revenue distributions, instead of annually requiring a unanimous vote of a conference's institutional presidents or chancellors.

   It was **unanimously VOTED.**
The NCAA Board of Governors voted to approve the Division I Revenue Distribution Plan and a new procedure that will defer to Division I conference bylaws when processing Division I revenue distributions for funds related to Academic Enhancement, Grants-in-Aid, and Sports Sponsorship.

The Governors' unanimous approval thereby rescinds a former procedural requirement in the Division I revenue distribution plan that sought a unanimous vote of a conference's institutions prior to distributing certain funds to the conference (as opposed to the individual institutions of that conference).

10. Executive Session. The Governors concluded its meeting in executive session to discuss various administrative matters.

11. Adjournment. The meeting was adjourned at approximately 6 p.m.

12. Future meeting dates.

- August 3, 2016, NCAA national office.
- October 26, 2016, NCAA national office.

Board of Governors chair: Kirk Schulz, president of Kansas State University
Staff liaisons: Donald M. Remy, Law, Policy and Governance
Terri Carmichael Jackson, Law, Policy and Governance

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<thead>
<tr>
<th>Attendees- Board of Governors Only</th>
<th>Absentees</th>
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<tbody>
<tr>
<td>Stan Albrecht, Utah State University</td>
<td>Roderick McDavis, Ohio University</td>
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<td>Gene Block, University of California, Los Angeles</td>
<td>John Hitt, University of Central Florida</td>
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<td>LTG. Robert Caslen, Jr., United States Military Academy</td>
<td>Daniel Papp, Kennesaw State University</td>
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<td>Alan Cureton, University of Northwestern</td>
<td>Baker Pattillo, Stephen F. Austin State University</td>
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<td>Mark Emmert, NCAA</td>
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<td>Dianne Harrison, California State University, Northridge</td>
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<td>Glendell Jones, Jr., Henderson State University</td>
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<td>David Leebron, Rice University</td>
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<td>L. Jay Lemons, Susquehanna University</td>
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<td>Jacqie McWilliams (Non-Voting), Central Intercollegiate Athletic Association</td>
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<td>Harris Pastides, University of South Carolina, Columbia</td>
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<td>Jim Phillips, Northwestern University</td>
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<td>Tracey Ranieri, State University of New York, Oneonta</td>
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<td>Joseph Savoie, University of Louisiana, Lafayette</td>
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<td>Kirk Schulz, Kansas State University</td>
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<td>Steve Scott, Pittsburg State University</td>
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Report is not final until approval of the Board of Governors
### Other Participants

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<thead>
<tr>
<th>Name</th>
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<tbody>
<tr>
<td>Scott Bearby</td>
<td>NCAA staff</td>
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<tr>
<td>Andrew Bleecker</td>
<td>BPI + Partners</td>
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<td>Matt Chandler</td>
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<td>Bernard Franklin</td>
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<td>Jenn Fraser</td>
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<td>Terri Gronau Steeb</td>
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<td>Brian Hendrickson</td>
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<td>Terry &quot;Neal&quot; Hilderbrand</td>
<td>U.S. Military Academy</td>
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<td>Kevin Lennon</td>
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<td>Oliver Luck</td>
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<td>Bob Williams</td>
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IMPACT STATEMENT OF NCAA CORE VALUES RELATED TO STUDENT-ATHLETE WELL-BEING AND CHAMPIONSHIPS EXPERIENCE

Source/Date: April 2016.

On Wednesday, April 27, 2016, the Board of Governors of the National Collegiate Athletic Association (NCAA) approved the following impact statement.

As an integral component of the higher education mission of our members, our Association strives to enhance the sense of community and strengthen the identity of a diverse membership. There are many challenges in today’s intercollegiate athletics environment. And we are faced continuously with evolving social dynamics that require us to adjust in an appropriate and effective manner. This Impact Statement calls on the membership, the national office staff and communities to ensure that NCAA events are conducted in a manner consistent with the Association’s core values.

Accordingly, today we, the NCAA Board of Governors, reaffirm that it is the policy of the NCAA to conduct events that protect the student-athlete well-being and safeguard the experience of our students, fans and campus communities alike. To that end, we expect that in awarding contracts to host events, the selection process will include as one of many criteria that each bidder must provide a statement certifying its ability to deliver and maintain an environment that is safe, healthy and free of discrimination and respects the dignity of all persons. Furthermore, we are directing the NCAA staff to inquire of all sites, which includes presently awarded predetermined sites and/or non-predetermined sites, as to how they intend on assuring its ability to deliver and maintain an environment that is safe, healthy and free of discrimination and respects the dignity of all persons.

Staff is directed to provide a full report on new selection criteria, procedures and the status of currently awarded sites to the Governors Ad Hoc Committee on Cultural Diversity and Equity by June 15, 2016. Full implementation is expected during the current bidding process.

NCAA events covered by this impact statement as well as resulting certification requirements and the implementing selection procedures or guidelines include, but are not limited to:

- NCAA National Convention
- NCAA Championship sessions, series and final events
- NCAA Regional Compliance Seminars
- NCAA summits and conferences
- NCAA Association-wide and divisional committee meetings
- Other events where the Association is a lead, primary or co-host or sponsor.

We must and we shall operate our championships and events in alignment with our values as we strive to promote an inclusive atmosphere in which student-athletes participate, coaches and administrators lead and fans engage.
Overtime Final Rule and Higher Education

Higher Education Sector: Higher education is a complex and important sector in our economy and civil society. It includes a large variety of institutions: public and private schools; community colleges, four-year colleges, and large research institutions; and small campuses of only a few hundred students and faculty and large campuses of thousands of people.

Overtime Final Rule: The Department of Labor’s final overtime rule updates the salary level required for the executive, administrative, and professional (“white collar”) exemption to ensure that the Fair Labor Standards Act’s (FLSA) intended overtime protections are fully implemented, and it provides greater clarity for workers and employers, including for higher education institutions. The final rule will also lead to better work-life balance for many workers, and it can benefit employers by increasing productivity and reducing turnover.

The final rule updates the salary threshold under which most white collar workers are entitled to overtime compensation to equal the 40th percentile of weekly earnings of full-time salaried workers in the lowest wage Census region, currently the South. The final rule will raise the salary threshold from $455 a week ($23,660 for a full-year worker) to $913 a week ($47,476 for a full-year worker) effective December 1, 2016.

FLSA Includes Several Provisions that Limit Its Impact for Higher Ed: Although employees at higher education institutions are generally covered by the FLSA’s minimum wage and overtime provisions, several provisions apply to many personnel at these institutions that make them ineligible for overtime and unaffected by this rule, regardless of whether they earn above the new salary threshold or not:

- **Bona fide teachers:** Teachers are not subject to the salary level requirement for the white collar exemption. Teachers are exempt if their primary duty is teaching, tutoring, instructing, or lecturing. Teachers include professors, adjunct instructors, and teachers of skilled and semi-skilled trades and occupations.  
- **Coaches:** Athletic coaches and assistant coaches may fall under the exemption if their primary duty is teaching, which may include instructing athletes in how to perform their sport. If, however, their duties primarily include recruiting athletes or doing manual labor, they are not considered teachers. A coach could primarily be responsible for instructing athletes but also spend some time recruiting or doing manual labor and still be considered ineligible for overtime.  
- **Graduate and undergraduate students:** Generally, the Department views graduate and undergraduate students who are engaged in research under a faculty member’s supervision in the course of obtaining a degree to be in an educational relationship and not an employment relationship with the school or with a grantor. As such, the Department will not assert such workers are entitled to overtime. Graduate students whose primary duty is teaching or serving as a teaching assistant fall under the FLSA’s teaching exemption. Students who are participants in a bona fide educational program and who serve as resident advisors in exchange for reduced room and board charges or tuition credit similarly are not considered to be in an employment relationship with the institution.  
- **Academic administrative personnel:** The administrative personnel that help run higher education institutions and interact with students outside the classroom, such as department heads, academic counselors and advisors, intervention specialists and others with similar responsibilities are subject to a special salary threshold that does not apply to white-collar employees outside of higher education. These employees are not entitled to overtime compensation if they are paid at least as much as the entrance salary for teachers at their institution.

Public Higher Education Institutions May Utilize Provisions for State and Local Employees: Employees of public higher education institutions may also be public sector employees for whom specific provisions
in the FLSA will further limit the impact of the final rule. Specifically, public institutions may be able to use compensatory ("comp") time as an option to satisfy their obligation to provide overtime compensation.

Comp time: Pursuant to an agreement with employees or their representatives, state or local government agencies, including higher education institutions whose employees are treated as state employees under state law, may provide their employees with comp time instead of cash payment for overtime hours. Any comp time arrangement must be established pursuant to the applicable provisions of a collective bargaining agreement, memorandum of understanding, any other agreement between the public agency and representatives of overtime-protected employees, or an agreement or understanding arrived at between the employer and employee before the performance of the work. This agreement may be evidenced by a notice to the employee that comp time will be given in lieu of overtime pay (for example, providing the employee a copy of the personnel regulations). The comp time must be provided at a rate of one-and-one-half hours for each overtime hour worked, instead of cash overtime pay. For example, for most state government employees, if they work 44 hours in one workweek (4 hours of overtime), they would be entitled to 6 hours (1.5 times 4) of comp time. When used, the comp time is paid at the regular rate of pay.

Most state and local government employees may accrue up to 240 hours of comp time. Employees engaged in seasonal activities (such as admissions counselors) may accrue up to 480 hours of comp time. An employee must be permitted to use comp time on the date requested unless doing so would “unduly disrupt” the operations of the agency.

Higher Ed Impact Is Limited by Other Rules and Exemptions: Many employees of higher-education institutions will not be affected by the rule, even if they do not qualify for the special rules for teachers:

- **Hourly workers**: The new threshold has no impact on the pay of workers paid hourly. Generally, hourly workers are entitled to overtime regardless of how much they make if they work more than 40 hours – nothing in the new rule changes that.

- **Workers with regular workweeks of 40 or fewer hours**: To the extent that many salaried white-collar employees at higher-education institutions have office jobs where they work no more than 40 hours, the changes to the overtime rules will have no effect on their pay.

- **Workers who fail the duties test**: Salaried workers who do not primarily perform executive, administrative, or professional duties are not eligible for the white collar overtime exemption and therefore are not affected by the final rule. Those employees already should be getting paid overtime for any hours they work over 40 in one week.

- **Highly compensated workers**: White collar workers who fail the standard duties test but are “highly compensated”—earn more than $134,004 in a year—are almost all ineligible for overtime under the highly compensated employee exemption, which has a minimal duties test. This exemption would cover some high-level managers at institutions of higher education. (You can see more information on HCE duties in WHD Fact Sheet #17H.)

A Limited Number of Higher Education Workers Will Be Affected: The overtime rule will impact limited groups of workers at higher-education institutions, including:

- **Postdoctoral researchers**:  
  - **Sciences**: Postdoctoral researchers in the sciences who engage only in research activities and do not teach are not covered by the teaching exemption. These employees are generally considered professional employees and are subject to the salary threshold for exemption from overtime. DOL has been working closely with NIH regarding their mutual interest in this area.
  - **Humanities**: Many postdoctoral researchers in the humanities also teach. To the extent that they have a primary duty of teaching, they will be subject to the teaching exemption and not entitled to overtime compensation. If they do not teach, however, and earn less than the new threshold, they will be eligible for overtime.

- **Non-academic administrative employees**: For administrative employees who do not meet the special provision for academic administrative employees, such as admission counselors and re-
cruieters, they will be eligible for overtime if they earn below the salary level set in the final rule and they work more than 40 hours in a week.

• Other salaried workers: To the extent that higher-education institutions employ workers whose duties are not unique to the education setting—like managers in food service or supervisors of security guards—they will be covered by the final rule, just like their counterparts at other kinds of institutions and businesses, unless another exemption applies.

Higher Education Employers Have Discretion to Choose Between Several Options

The Department does not dictate what option employers should use to comply with the revised regulations. In fact, many options are available to all employers for complying with the new salary threshold. These options include:

• Raise salaries: For workers whose salaries are close to the new threshold and who meet the duties test, employers may choose to raise these workers’ salaries to meet the new threshold and maintain their exempt status.

• Evaluate and realign employee workload: Employers can limit the need for employees to work overtime by ensuring that workloads are distributed to minimize overtime and that staffing levels are appropriate for the workload.

• Pay overtime above a salary: Employers also can continue to pay newly overtime-eligible employees a salary basis and pay overtime for hours in excess of 40 per week. The law does not require that newly overtime-eligible workers be paid on an hourly basis.

This approach works for employees who usually work 40 hours or fewer, but have seasonal “spikes” or periods of activity when overtime hours are required, for which employers can plan and budget the extra pay during those periods.

• For employees who work a fixed schedule that rarely varies, the employer may simply keep a record of the schedule and indicate the number of hours the worker actually worked only when the worker varies from the schedule.

• For an employee with a flexible schedule, an employer does not need to require an employee to sign in each time she starts and stops work. The employer must keep an accurate record of the number of daily hours worked by the employee. So an employer could allow an employee to just provide the total number of hours she worked each day, including the number of overtime hours, by the end of each pay period.

• For public schools, utilize comp time: Public sector employers—unlike private sector employers—can provide comp time at time and one-half rather than cash overtime payments, in appropriate circumstances.

• Adjust employees’ base pay and pay overtime: Employers can adjust the amount of an employee’s earnings to reallocate it between regular wages and overtime pay. This method works for employees who work a relatively small amount of predictable overtime. The revised pay may be on a salaried or hourly basis (there is no requirement to convert workers to hourly pay status), but it must include payment of overtime when the employee works more than 40 hours in a week.

For more detail on the FLSA and higher education, please see here.
PAYMENT OF COACHES & ATHLETIC TRAINERS UNDER FEDERAL LAW

On May 18, 2016, the U.S. Department of Labor (“DOL”) announced its Final Rule revising the “white-collar” exemptions from the Fair Labor Standards Act’s (FLSA) minimum wage and overtime requirements. Although sweeping changes were possible, the Final Rule’s key revision is a significant increase to the minimum salary level generally required for exemption, raising it from $455 per week (i.e., $23,660 annually) to $913 per week (i.e., $47,476 annually). This new salary level will go into effect on December 1, 2016.¹

Although the Final Rule did not make any revisions to the duties required to take advantage of the exemption, the substantial increase to the salary level brings increased importance to consideration of the duties required for the exemption. For example, as is discussed below in more detail, the exemption for employees who can be classified as “teachers” does not carry any salary requirement, and, thus, is unaffected by the Final Rule.

This white paper provides guidance to members in determining whether individuals employed by their institution as coaches or trainers may be exempt under the FLSA following the implementation of the Final Rule. In addition, for those coaches and/or trainers who are not determined to qualify for the exemption, this white paper provides guidance on best practices for ensuring compliance with the FLSA’s minimum wage and overtime requirements.

Because the FLSA’s overtime requirements depend largely upon facts and circumstances that likely vary by school and even by team, however, this white paper is intended only to provide a general overview for compliance—it is not definitive. Although we consulted our outside legal counsel in preparing this white paper—the labor and employment lawyers at Seyfarth Shaw LLP—it is not a substitute for consulting your own counsel. Members classifying, or contemplating classifying, coaches or trainers as exempt should conduct their own evaluation and consult counsel to assess the applicability of the FLSA’s exemptions.

I. OVERVIEW

The FLSA and its implementing regulations generally require employers to pay employees at least the minimum wage of $7.25 for all hours worked, and an overtime premium of one-and-one-half the regular rate of pay for all hours worked in excess of 40 hours in a workweek. The FLSA and its regulations, however, exempt some employees from these requirements. The most prominent of these exemptions are known as the “white-collar”

¹ The Final Rule also increases the minimum salary level required for the “highly compensated” employee provision (from $100,000 to $134,004), introduces an automatic update of the salary levels every three years, and permits employers to use incentive compensation to satisfy part of their salary obligation. These revisions are not discussed in detail in this white paper.
exemptions, which can be found in Part 541 of Title 29 of the Code of Federal Regulations. Part 541 includes exemptions for administrative employees (including certain academic administrators), professionals (including certain teachers), executives, and outside salesmen.

Generally, there are three requirements for an employee to qualify for these exemptions:

- The employee must earn a salary of at least $455 per week (i.e., $23,660 annually). As of December 1, 2016, that level will increase to $913 per week (i.e., $47,476 annually). ² Notably, however, the salary level does not apply to teachers and outside sales employees, and can be limited for employees classified as “academic administrators.”

- The employee must receive that pay on a “salary basis,” a term that is defined in the regulations and limits the types of deductions that can be made from an employee’s salary; and

- The employee’s “primary” (i.e., most important) duty must be a recognized exempt duty under the pertinent exemption(s).

For each of the above-mentioned exemptions, the duties requirements are the most complex and difficult requirements to analyze. That said, the salary requirements are just as important, especially given that the salary level is set to substantially increase on December 1, 2016. We detail the duties and salary requirements below.

II. DETERMINING THE EXEMPT STATUS OF COACHES AND TRAINERS

An employee’s job title alone is insufficient to establish exempt status. Rather, whether an employee qualifies for one or more of the FLSA’s white-collar exemptions generally turns on the “primary” duty of the employee performing the job and, depending on the exemption, the employee’s salary.

An employee’s “primary” is “the principal, main, major or most important duty that the employee performs.” Thus, the primary duty inquiry is qualitative, not quantitative, and accounts for factors such as “the relative importance of the [employee’s] exempt duties as compared with other types of duties; the amount of time spent performing exempt work; … relative freedom from direct supervision; and the relationship between the employee’s salary and the wages paid to other employees for the kind of nonexempt work performed by the employee.” While an employee spending 50% of her time on exempt work will typically satisfy the primary duty requirement, it is important to note that “[t]ime alone … is not the sole test, and nothing … requires that exempt employees spend more than 50% of their time performing exempt work.”

A coach or trainer can generally be classified exempt if his or her “primary” duty fits one of the categories described below. She may also satisfy the duty requirement if her primary duty is a combination of multiple of the responsibilities below. This “combination exemption” may

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² The salary threshold is not be prorated for part-time work, but it may be prorated for partial-year employees whose salary is paid throughout the year. For example, if an athletic trainer coach works a ten-month schedule, but receives paychecks over a twelve-month period, then the amount of the checks may be prorated over the actual period of work (i.e., ten months) to determine whether she is paid at least the salary threshold.
be important to the exempt status of coaches at your institution, given the variety of duties many coaches perform.

Below, we discuss the potential exemptions applicable to coaches and trainers. Due to the expected increase in salary level, we separate the discussion of those exemptions by their reliance upon that salary level.

A. Exemptions Without a Minimum Salary Requirement

Two exemptions potentially applicable to coaches do not require the payment of any minimum salary. These exemptions are the teacher exemption (which is part of the professional exemption) and the outside sales employee exemption.

1. Coaches as Exempt Teachers [29 C.F.R. § 541.303]

Coaches may qualify as exempt teachers. This exemption applies to employees whose “primary” duty is “teaching, tutoring, instructing or lecturing in the activity of imparting knowledge and who is employed and engaged in this activity as a teacher in an educational establishment by which the employee is employed.” Having a primary duty of teaching generally involves exercising discretion and judgment. Although possession of a teaching certificate provides a fairly clear means of identifying employees who qualify for the exemption, the exception does not require possession of a certificate or even a bachelor’s degree.

The U.S. Department of Labor has provided guidance on the application to coaches of the current duties test for the teacher exemption. In 2009, the Department issued an opinion letter regarding the application of the teacher exemption to coaches at a local public school. The school employed no full-time coaches, instead relying upon community members to meet its coaching needs. According to the letter, the coaches spent most of their time instructing student athletes in the rules and fundamentals of their sports, with the balance of their time going to activities such as recruiting, supervising team members during trips to and from games, disciplining team members, and accounting for equipment. On these facts, the Department concluded that the coaches qualified as exempt teachers.

These principles were elaborated upon in guidance issued by the Department in connection with the Final Rule. In a guidance document, the Department notes that

Athletic coaches and assistant coaches may fall under the [teacher] exemption if their primary duty is teaching, which may include instructing athletes in how to perform their sport. If, however, their duties primarily include recruiting athletes or doing manual labor, they are not considered teachers. A coach could primarily be responsible for coaching athletes but also spend some time recruiting or doing manual labor and still be [exempt].

Thus, where a coach’s duty is primarily -- that is, in terms of relative importance, no necessarily in terms of time spent -- instructing athletes in how to perform their sport, it appears

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3 An athletic trainer with significant instructional responsibilities may also qualify under the teacher exemption.
that the Department will consider them exempt as teachers. Where the coach’s responsibilities include instruction of physical health, team concepts, and safety and/or where the coach is responsible for designing instructions for individual student-athletes and for specific team needs, the ability to use the exemption is improved. Although not necessary, a student-athlete’s ability to receive academic credit may further enhance the ability to use the teacher exemption.4

2. Coaches as Outside Sales Employees [29 C.F.R. § 541.500]

“Outside sales” work is also exempt. And outside sales employees are not subject to a salary requirement under the FLSA. Although coaching is not the traditional field of work in which the outside sales exemption has been considered and applied, it might be possible at a for-profit institution to classify coaches’ on-the-road recruiting duties as exempt outside sales work, if, among other things, the coach plays a decisive role in determining whether recruits are admitted and become tuition-paying students. 5 We are not aware of any guidance by the Department of Labor or any federal court decision regarding the possible application of the outside sales exemption to coaching. Accordingly, members considering the outside sales exemption should consult counsel prior to relying upon this fairly unique interpretation.

B. Exemption with Potentially Reduced Salary Level: Coaches as Exempt Academic Administrators [29 C.F.R. § 541.204]

Coaches may perform the work of exempt academic administrators. To qualify as academic administrators, the coach must satisfy the “normal” salary requirements (i.e., a salary of at least $913 per week as of December 1, 2016), or the minimum salary for teachers at their institution in order to be considered for this exemption, and her “primary” duty must consist of “administrative functions directly related to academic instruction or training.” For example, coaches who are responsible for administration of an academic department or who act as academic advisors to players, assisting them with academic issues and advising them on degree requirements, are performing exempt work. 6

C. Exemptions that Require Payment of the Minimum Salary

1. Coaches and Trainers as Exempt Administrators Over Other Areas [29 C.F.R. § 541.200]

Depending on the circumstances, a coach or trainer may also perform the work of an exempt administrative employee. To satisfy the administrative exemption, the coach must satisfy the salary requirements (i.e., a salary of at least $913 per week as of December 1, 2016),

4 There are two cautionary points worth noting with respect to using the teacher exemption for coaches. First, the opinion letter referenced was withdrawn for technical reasons unrelated to the substance. The Department’s more recent statements, however, indicate an acceptance of the principles articulated in the letter. Second, a prior opinion letter -- issued under the pre-2004 rules -- reached a different conclusion because the coaches spent an insufficient amount of their time (25%) in teaching-type activities.

5 It does not appear that the outside sales exemption has even potential application to the work performed by athletic trainers.

6 Of course, an athletic trainer who performs academic administrative duties would qualify as well.
and her primary duty must be office or non-manual work that requires discretion or independent judgment with respect to significant matters. Such work could include, for example, recruiting, establishing game schedules, financial planning and budgeting, procurement and purchasing, public relations, marketing, compliance, facilities management, and fundraising.

To qualify as exempt, the coach’s or trainer’s administrative duties must involve the exercise of discretion and independent judgment as to significant matters. For instance, recruiting work is not likely to qualify if it involves using objective standards established by the head coach to assess recruits pre-selected by the head coach. On the other hand, if an assistant coach plays a decisive role in determining which schools to visit, which students to recruit and offer scholarships, and how to recruit those students, that portion of his job is likely to qualify. Similarly, a primary duty that entails establishing a departmental or team budget would likely qualify, while one that entails merely submitting expense reports likely would not.

Athletic trainers may similarly have administratively exempt duties. For example, where an athletic trainer has the responsibility for developing the overall sports medicine program, assisting in development of the budget, scheduling staff training, ensuring coverage at athletic events, and managing inventory (including the authority to order supplies and materials), the athletic trainer may qualify as an exempt administrative employee, provided that she performs these tasks as her primary duty.

2. Coaches and Trainers as Exempt Executives [29 C.F.R. § 541.100]

Some coaches and trainers may qualify as exempt executives. To satisfy the executive exemption, the coach or trainer must satisfy the salary requirements (i.e., a salary of at least $913 per week as of December 1, 2016), and it must be the case that (1) her primary duty is management of a recognized part of the sports medicine program or athletic department or the team; (2) she customarily and regularly directs the work of two or more full-time equivalent employees; and (3) she has meaningful input into hiring, firing, or other changes in status of subordinate employees.

3. Coaches and Trainers as Exempt Professionals [29 C.F.R. § 541.300]

Athletic trainers who have successfully completed four academic years of pre-professional and professional study in a specialized curriculum accredited by the Commission on Accreditation of Allied Health Education Programs and who are certified by the Board of Certification of the National Athletic Trainers Association generally meet the duties requirements for the learned professional exemption. They must also be paid a minimum salary of at least $913 per week (as of December 1, 2016).

Similarly, coaches who are degreed and certified athletic trainers, and who possess and use those qualifications in their work, may qualify for the professional exemption.

D. The Combination Exemption [29 C.F.R. § 541.708]

A coach or athletic trainer who performs a combination of exempt duties described above for executive, administrative, professional, and outside sales employees may still qualify for
exemption. Thus, a coach whose primary duty involves a combination of exempt administrative and exempt executive work may qualify for exemption.

In using the combination exemption, however, it is important to remember that only the primary duty is “combined.” The remaining elements of the exemption -- e.g., the requisite salary level -- continue to apply. For example, if it is necessary to “tack” together the teacher exemption and the executive exemption in order to establish an exempt primary duty for a coach, the salary requirement would apply. If, on the other hand, the coach’s primary duty was clearly teaching and no additional duties were necessary to establish an exempt primary duty, no salary would be required.

III. BEST PRACTICES FOR ENSURING MINIMUM WAGE AND OVERTIME COMPLIANCE FOR NON-EXEMPT EMPLOYEES

In the wake of the significant salary increase, members may find that budgetary constraints require that certain coaching or trainer positions be classified as non-exempt (i.e., overtime eligible). If the position previously had been exempt, it is critical to develop a plan to communicate the change to the affected employee(s), including the new method of pay, the change to benefits (if any), and the need to record time. In addition, it is advisable to provide training to the newly-reclassified employee(s) regarding their timekeeping obligations.

Regardless of whether the coach or athletic trainer is newly-reclassified or has been non-exempt previously, there are a few areas in which members should take special care to ensure minimum wage and overtime compliance.

A. Best Practices for Timekeeping

Whether using paper timesheets, computer-based log-ins, time clocks, or some other method of timekeeping, a favored practice is a daily or weekly review and certification of time records by the employee. Such a certification would address both that the hours identified were actually worked and that the employee did not perform any work not recorded on the time record. Following the employee’s review and certification, time records should be reviewed by the employee’s manager for potential inaccuracies. If adjustments are made to an employee’s time, both the manager and the employee should sign-off on the adjustment.

B. Travel Time

One difficulty members will face with a non-exempt member of a coaching staff is handling travel time. The FLSA addresses travel time in a variety of contexts. For example, commuting time is expressly excluded from the hours worked by an employee. On the other hand, time spent traveling from place-to-place during the course of a day is included in the hours worked. Thus, if a non-exempt coach arrives at the office to begin paperwork or film review, then travels to the field for practice later in the day, then the time spent traveling to the field must be included in the coach’s hours worked.

Out-of-town travel can cause particular problems. If the coach’s trip takes place in a single day -- for example, a visit to an in-state recruit who lives in a town 60 miles from campus -- then all of the time spent traveling will be included in the hours worked by the coach. If the
trip is out-of-town and overnight, then only those hours spent traveling during the coach’s normal working hours are included in the hours worked -- unless the coach is performing work during the travel. For example, if a coach normally works from 7 am to 7 pm, and the team boards a bus to an out-of-state meet at 7:30 pm, arriving at the hotel at 11:30 pm, then the time spent as a passenger on the bus is not compensable. If the coach was reviewing team-related paperwork, preparing a game plan, or watching film on his tablet, however, then the time spent doing so would be included in the hours worked. Similarly, if the coach was responsible for supervision of the student-athletes while on the bus, then the time would be included.

Resolution of these travel time issues will depend on a wide variety of factors, including the number of coaches for the team, the ability to assign supervisory responsibility to one (but not another) coach during the travel, and the likelihood or necessity that the coach will otherwise perform work while traveling. In addition, in many circumstances, it may not be possible to schedule the travel outside of the coach’s normal workday, and, therefore, all of the travel time would be included in hours worked regardless of what else the coach did on the bus.

C. Remote Access/Cellphone/Smartphone

Another significant problem area for non-exempt coaches is their ability to work outside of normal hours, such as accessing networks remotely and using cellphones and smartphones to communicate with others on the coaching staff, student-athletes, or recruits. These actions are all likely “work” under the FLSA and thus would need to be included in the hours worked by that coach. If the coach used her smartphone to text a recruit outside of her normal working hours, the time spent texting would need to be added to her time for the day. In addition, due to the application of some legal principles developed for a 1960s workforce, time spent waiting for a call or in between text and response may also become time that must be included in the coach’s work hours.

Unfortunately, there are limited solutions for the remote access/cellphone issue. The coach cannot agree that he will not be paid for the hours spent on these tasks outside of his normal workday. The law requires that the coach be paid for those working hours -- particularly if those hours would cause the coach to work more than 40 hours in the workweek. If a member does not want to pay for the time, the work must not be performed. This could involve prohibiting remote access or smartphone usage, limiting the use during normal working hours, and/or crafting working hours to accommodate these tasks as part of the coach’s “normal” schedule.

D. Meetings/Training

As a general rule, meetings and training sessions must be included in working hours. Only when the meeting meets the following four criteria can it be excluded from work hours: (1) attendance is outside of the employee's regular working hours; (2) attendance is in fact voluntary; (3) the course, lecture, or meeting is not directly related to the employee's job; and (4) the employee does not perform any productive work during such attendance.

“Working” lunches or similar lunch meetings typically do not meet these criteria and must be included in work hours. It’s also important to remember that providing the food that is
eaten during the lunch does not change the meeting from working hours to non-working hours. Only when all four of the above criteria are met can a meeting be excluded from working hours.

E. Managing Working Hours

As the employer, it is the institution’s obligation to manage non-exempt coaches and athletic trainers to ensure that only the work desired is performed. Off-the-clock work -- whether voluntary or involuntary -- cannot be permitted. Ensuring that all work is properly compensated requires vigilance by the employer.

The precise contours of how an employer goes about doing so is dependent on the specific facts and circumstances of the situation. In some cases, it may be possible to prevent remote network access or cellphone use by the coach; in others, it may be necessary to schedule specific blocks of time for the coach to work remotely or use her cellphone, and to make those blocks part of the expected work hours; in still others, the member may decide to allow continued cellphone usage and remote access without restrictions, and to deal with the ramifications of the “extra” hours through overtime pay.

Ultimately, an employer can decide to pay for the hours worked or the employer can decide not to allow the hours to be worked or it can land somewhere in the middle with limitations on the hours worked. There is no one-size-fits-all solution and members should consult with counsel to ensure they are addressing these issues as best they can.
KEY ITEMS.

1. **Gender Equity Town Hall.** The NCAA Gender Equity Task Force hosted a town hall during the 2016 NCAA Convention in San Antonio to receive feedback concerning gender equity issues throughout the Association.

2. **Recommendations for the NCAA Board of Governors.** The task force will develop recommendations to address the lack of diversity in coaching and administrative positions in intercollegiate athletics. The task force will present these recommendations to the NCAA Board of Governors Ad Hoc Committee to Promote Cultural Diversity and Equity for its review during its April in-person meeting.

INFORMATIONAL ITEMS.

1. **Welcome.** Jeff Orleans and Judy Sweet welcomed task force members to the February conference call.

2. **Approval of November 2015 report.** The task force approved the November 2015 report.

3. **Gender Equity Town Hall.** Sweet provided an overview of the Gender Equity Town Hall session. Participants expressed ongoing concern for the lack of women administrators and coaches, particularly women of color, and stated that nearly 44 years after Title IX became law, institutions continue not to meet Title IX compliance for athletics.

   President Ambrose noted the diverse positions of town hall participants that included presidents, directors of athletics, athletics administrators and coaches from all three divisions.

4. **Board of Governors Resolution on Cultural Diversity and Inclusiveness.** Noreen Morris provided context for the recent NCAA Board of Governors Resolution Reaffirming the Association’s Commitment to Cultural Diversity and Inclusiveness in Athletics Leadership. The task force recognized that the resolution calls for the commitment of each NCAA member institution, conference office and the national office to establish and maintain an environment that values cultural diversity.

   The task force noted the resolution’s primary focus on cultural diversity and discussed ways that gender diversity could be included in the initiatives to support the resolution. Jacqueline McWilliams noted the timeliness of the resolution coinciding with Martin
Luther King Jr. Day and emphasized that the approved resolution provides further opportunity to introduce gender equity initiatives to the NCAA Board of Governors. Greg Sankey encouraged the inclusion of decision makers and stakeholders, such as directors of athletics, when developing initiatives as such decision makers are conducting the hiring on campus. Additionally, Sankey advised reaching out to women and individuals who have left intercollegiate athletics to understand why they have left the profession.

NCAA staff explained that the Board of Governors was in the process of forming the Ad Hoc Committee to Promote Cultural Diversity and Equity to oversee and support the resolution. The task force determined that it would develop recommendations for the ad hoc committee to review prior to the NCAA Board of Governor’s April in-person meeting. The task force’s initial thoughts about recommendations included the importance of presidential leadership and involvement in diverse hiring practices and of developing the pipeline of female administrators and coaches.

5. **2016 NCAA Inclusion Forum.** Jeff Orleans suggested work-life integration and best practices for supporting diverse hiring and retention as session topics for the upcoming 2016 NCAA Inclusion Forum in Indianapolis. Suggestions for speakers included representatives from member institutions and corporations who have been recognized for modeling best practices.

6. **April in-person meeting.** The task force will decide on its next in-person meeting at a later date.

7. **NACWAA Letter of Support.** The task force appreciated the letter of support from the National Association of Collegiate Women Athletics Administrators and looks forward to future collaboration to promote women within intercollegiate athletics and develop strategies to address gender equity issues.

**Task Force Chairs:** Jeff Orleans, Hirschfeld Kraemer LLP  
Judy Sweet, Former NCAA Membership President  

**Staff Liaisons:** Naima Stevenson; Law, Policy and Governance  
Amy Wilson, Office of Inclusion  
Richard Zhu, Office of Inclusion  

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Noreen Morris, Northeast Conference.
Julie Muller, North Atlantic Conference.
Bernard Muir, Stanford University; Pac-12 Conference.
Jeff Orleans, Hirschfeld Kraemer LLP.
Greg Sankey, Southeastern Conference.
Judy Sweet, Former NCAA Membership President.

**Absentees:**
Nancy Hogshead-Makar, Champion Women.

**NCAA Staff Liaisons in Attendance:**

**Other NCAA Staff Members in Attendance:**
Gail Dent and Rachel Stark.
REPORT OF THE
NCAA GENDER EQUITY TASK FORCE
FEBRUARY 26, 2016, TELECONFERENCE

KEY ITEMS.

1. The Gender Equity Task Force will have its next in-person meeting on the evening of April 17 at the Inclusion Forum.

2. The Gender Equity Task Force plans to form small working groups to focus on its identified priorities and to develop recommendations to support gender equity.

INFORMATIONAL ITEMS.

1. NCAA staff provided an update on the work of the Board of Governors Ad Hoc Committee to Promote Cultural Diversity and Equity. A timeline for ad hoc committee’s work is being established. It is likely that the ad hoc committee will want to hear preliminary recommendations from the Gender Equity Task Force in April.

2. Gender Equity Task Force members agreed that it would be helpful to form small working groups to address the list of priorities that were developed in the September 2015 meeting. The goal is to create actionable items from this list of priorities.

3. The Gender Equity Task Force discussed the importance of integrating the work of diversifying intercollegiate athletics leadership (coaches and administrators) at the Divisional level.

Task Force Chairs: Jeff Orleans, Hirschfeld Kraemer LLP
Judy Sweet, Former NCAA Membership President

Staff Liaisons: Naima Stevenson; Law, Policy and Governance
Amy Wilson, Office of Inclusion
Richard Zhu, Office of Inclusion

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**Absentees:**

Amy Backus, Case Western Reserve University; Presidents’ Athletic Conference.  
Jacqueline McWilliams, Central Intercollegiate Athletic Association.  
Naima Stevenson and Amy Wilson  

**Other NCAA Staff Members in Attendance:**

Gail Dent, Jaime Dicks and Rachel Stark.
KEY ITEMS.

1. **Support of Diversity and Inclusion Initiatives.** The Gender Equity Task Force received an update on the April 15 meeting of the Board of Governors Ad Hoc Committee on Cultural Diversity and Equity. The Task Force voted unanimously to strongly support the idea of a volunteer version of the "Rooney Rule" for intercollegiate athletics and for the allocation of the necessary resources for initiatives and programs that support all three Divisions and align with the resolution’s commitment to diversity and inclusion.

2. **Strategic Priorities Development.** The Task Force plans to form small working groups this spring to focus on its remaining identified priorities and to develop recommendations to review during a Fall 2016 in-person meeting.

INFORMATIONAL ITEMS.

1. **February 2016 Reports.** Meeting reports from two February teleconferences were approved.

2. **Leading With Diversity.** Task Force members positively acknowledged President Emmert's letter titled "Leading with Diversity" that is featured in the Spring 2016 edition of the NCAA's Champion magazine.

3. **Board of Governors Ad Hoc Committee on Cultural Diversity and Equity.** Jacqie McWilliams, member of the Board of Governors and its Ad Hoc Committee on Cultural Diversity and Equity and member of the NCAA Gender Equity Task Force, and NCAA staff provided an update on the ad hoc committee's April 15. The Task Force learned that the Governors' ad hoc committee accomplished the following at its meeting: (i) approved a “value statement” that indicates the "why" explanation for its work; (ii) engaged in a thorough conversation about the NFL’s "Rooney Rule" and (iii) recommended the concept of a voluntary version of the "Rooney Rule" for intercollegiate athletics.

The Task Force was informed that the Governors' ad hoc committee discussed the need for significant resources to support the cultural diversity and inclusion resolution and focused on ideas for how to increase the number of persons of color and women in the "pipeline" that leads to coaching and administrative positions. These ideas include expanding professional development opportunities for various levels of the pipeline, exploring new and enhancing current partnerships with affiliate groups, and creating databases that provide information to the membership about potential minority and female candidates.
4. **Value Statement.** The Task Force discussed the draft of the Governors' ad hoc committee's Value Statement, and after receiving clarification that it would be inclusive of gender equity, supported the statement.

5. **Preliminary Recommendations.** The Task Force reviewed the preliminary recommendations and noted that the Task Force's three main recommendations align with proposed recommendations currently considered by the Ad Hoc Committee on Cultural Diversity and Equity. In particular, both sets of recommendations begin with the volunteer "Rooney Rule" for intercollegiate athletics. A few differences exist in the action items that support the two recommendation documents. For instance, the Task Force calls for institutional accountability for a transparent diversity, inclusion and gender equity review of its athletics department and for a reconsideration of NCAA funding reductions to professional development programs for women in college coaching and administrative positions.

The Task Force decided on a few minor changes to its preliminary recommendation document. These included expanding references to hiring practices to integrate an overall focus on the employment process as well as additional language on how the Institutional Performance Program (IPP) database should incorporate gender equity measures such as a proportional comparison of enrollment by gender to athletics participation by gender.

6. **Voluntary “Rooney Rule” Support.** The Task Force voted unanimously to strongly support the idea of a volunteer version of the "Rooney Rule" for intercollegiate athletics. It also supports the allocation of the necessary resources for Association-wide initiatives and programs that align with the resolution’s commitment to diversity and inclusion.

7. **Priorities Development.** Gender Equity Task Force members agreed that it would be helpful to form small working groups to address the list of its four remaining priorities that were developed in the September 2015 meeting. The priority of improving hiring and overall employment practices to increase diversity in coaching and administration is being addressed through engagement with the Ad Hoc Committee's work. Task Force co-chairs will identify specific focus areas for the small working groups and collaborate with NCAA staff to communicate with the Task Force about their interest in serving on particular working groups as well as their availability for a Fall 2016 in-person meeting.

**Task Force Chairs:** Jeff Orleans, Hirschfeld Kraemer LLP  
Judy Sweet, Former NCAA Membership President

**Staff Liaisons:** Naima Stevenson; Law, Policy and Governance  
Amy Wilson, Office of Inclusion  
Richard Zhu, Office of Inclusion
### NCAA Gender Equity Task Force
### April 17, 2016, Meeting

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Inter-Association Consensus:
Year-Round Football Practice Contact Recommendations for College Athletes

Purpose:
The Second Safety in College Football Summit (see Appendices A and B for agenda and participants, respectively) resulted in inter-association consensus documents for four paramount safety issues in collegiate athletics:

1. Independent medical care in the collegiate setting.
2. Concussion diagnosis and management.
3. Year-round football practice contact for college athletes.
4. Preventing catastrophic injury in football and other college athletes.

This document addresses year-round football practice contact for college athletes. The final recommendations in this document result from presentations and discussions during the summit on key items that address safety and head impact exposure in football. Following the presentations and discussions, endorsing organization representatives agreed on foundational statements and practice contact limitation statements that became the basis for a draft consensus paper that was reviewed further by relevant stakeholders and the endorsing organizations. Those recommendations coming out of the summit were reflected in this final document which was then formally endorsed by 15 medical and 5 football organizations. The final, endorsed year-round football practice contact recommendations for college athletes follow.

This document is divided into the following sections:

1. Background. This section provides an overview of the challenges of football practice as an aggressive, rugged, contact sport.
2. Data-driven decision making. This section provides an overview of emerging data relevant to guiding decision-making for football practice contact.
3. Foundational statements. This section outlines the concepts in the statements that were voted on by representatives of medical and football organizations during the summit, and provides a rationale for the statements.
4. References. This section provides the relevant references for this document.
5. Year-round football practice recommendations for college athletes. This section provides the final, endorsed recommendations of the medical and football organizations for revised year-round football practice for college athletes.
6. Appendices. This section lists the agenda, summit attendees, and endorsing organizations.

Background
Football is an aggressive, rugged, contact sport, yet the rules clearly state that there is no place for maneuvers deliberately designed to inflict injury on another player.\(^1\) Rules changes disallowing the head as the point of contact in tackling have yielded behavioral change resulting in marked reduction of catastrophic cervical spine injury and death.\(^2\) Rules enforcement is critical for player safety.\(^3\) Because football practices remain a major source of injury including concussion and
repetitive head impact exposure in all three NCAA division football practices, enhancing a culture of safety in college football practice is foundational and the basis for bringing college athletics stakeholders to a summit in 2014 and reconvening in 2016.

The 2014 Safety in College Football Summit document: Inter-Association Consensus: Year-Round Football Practice Contact Guidelines, states that no more than two live contact inseason practices per week are allowed in college football. In that document, live contact is defined as: “Any practice that involves live tackling to the ground and/or live or full-speed blocking. Live-contact practice may occur in full-pad or half-pad (also known as ‘shell,’ in which the player wears shoulder pads and shorts, with or without thigh pads). Live contact does not include ‘thud’ sessions or drills that involve ‘wrapping up,’ during which players are not taken to the ground and contact is not aggressive in nature. Live contact practices are to be conducted in a manner consistent with existing rules that prohibit targeting to the head or neck area with the helmet, forearm, elbow, or shoulder, or the initiation of contact with the helmet.” In 2015, the Big 12 Conference adopted a conference-wide limit on inseason live contact exposures in practice or competition to no more than two times per week, including game-day, and this was associated with a decreased incidence of practice concussions. In 2016, the Ivy League voted to eliminate all inseason live tackling practices, although contact is still permitted and not specified further.

Football practices allow for improved conditioning plus mastery of technique and skill, and are deemed under the control of the coach. Contemporary research in NCAA football reveals that the risk of concussion is greater in practices that allow tackling versus practices that allow contact without tackling. Such research also reveals that head-to-head contact carries a greater risk of concussion in football than head-to-ground contact. While the intensity and pace of a game is difficult to control, practice should be intentionally managed to limit player-to-player contact, particularly head-to-head, i.e. ‘taking the head out of the game’. The fundamental cause of concussion is impact to the head. Intentional contact with or to a helmet is illegal in football, and has no place in practice or competition. Avoidance of such helmet use warrants rigorous emphasis in practice and enforcement in competition.

Because contact practices carry a greater risk of concussion than non-contact practices, defining ‘contact’ is necessary, albeit daunting. The continuing rationale for defining and reducing live contact practice is to improve safety, including possibly decreasing athlete exposure for concussion—including repeat concussion—and overall head impact exposure. The biomechanical threshold (acceleration/deceleration) at which sport-related concussion occurs is unknown. Data supports football players are more frequently diagnosed with sport-related concussion on days with increased frequency and higher magnitude of head impact. However, there are no conclusive data for understanding the short- or long-term clinical sequelae of exposure to repetitive head impacts.

In addition to lowering concussion and repetitive head impact exposure risk, reduced frequency of live contact practice may also allow more time for teaching of proper tackling technique. Practice affords teaching technique. In particular, tackling and blocking should be performed with technique emphasizing hands and shoulder contact and elimination of head contact.

‘Performance’ is the expression of sport, and performance is impaired following concussion. Less obvious is that impaired performance may persist for weeks or months following concussion. For example, diminished performance plagues concussed major league baseball players even as
symptoms have subsided, post-concussion testing has returned to pre-injury levels and they have returned to full participation. In particular, batting average, on-base percentage, slugging percentage and on-base plus slugging are diminished two weeks after return to play following concussion.24 Vestibular dysfunction, which is common after concussion, often persists in football players following concussion, which can impede performance and predispose to injury.25-27 Visual and sensory performance are factors key to any athlete and “…may influence an individual’s ability to interpret environmental cues, anticipate opponents’ actions, and create appropriate motor responses…”28 Research demonstrates that these deficits may persist in football players despite no longer displaying any concussion related symptoms and being cleared by their team physician to return to participation.28 Minimizing concussion risk and head contact exposure is part of “safe” football, which continues to mean “good” football.

Data-Driven Decision Making
Emerging data from the historic NCAA-Department of Defense CARE Consortium study, which is part of the larger NCAA-DoD Grand Alliance, are helping to shape a science-driven approach to addressing concussion and head impact exposure in sport.29-30 In addition, many NCAA member schools have obtained important clinical and accelerometer data in football. Such emerging data, coupled with available science, were presented and discussed at the Second Safety in College Football Summit. Following presentations and discussions, all attendees were invited to weigh in on “foundational statements” and updated football practice contact guidelines. Foundational statements and recommendations were amended based on feedback, and when more than 80 percent consensus was reached among the participants, the statements and recommendations were then voted on by representatives of both medical and football endorsing organization representatives (see Appendix C for endorsing organizations). Only those statements and recommendations that were agreed upon by 100 percent of both endorsing organization representatives were then placed into this document for further review and final endorsement. We revised the foundational statements for this document and updated football practice contact guidelines with recommendations that follow, including a brief synopsis of the rationale that was agreed upon by the endorsing organization representatives.

Foundational Statements
Head accelerometers are currently unable to function as concussion detectors. One can envision a future in which head impact exposure data can be individualized for each football player, and thus general football contact guidelines that apply to an entire team would no longer be necessary. At this point in time, the science of accelerometers, and accelerometer data coupled with clinical outcomes, are inadequate to provide such guidance.31-33 Although accelerometers are improving in providing head impact kinematics, all have limitations, ranging from inaccuracy in counting head impacts, measuring head acceleration, over-predicting rotational acceleration, or inadequate field testing relative to dummy testing. Furthermore, there is no clear relationship between accelerometer measurements and clinical outcome.34-35 Thus, general guidelines for football practice are still necessary until the science of accelerometers can provide individualized guidance.

Head accelerometers can be utilized to assess group differences among types of football practices and competition. Although head accelerometers cannot provide individualized data that allows a personalized approach to head contact exposure, the science has advanced sufficiently to allow group
differences among various positions (e.g., lineman, safety, quarterback) with regard to head impact exposure risk. Such data can guide coaches and the medical team in devising a head contact exposure reduction plan for various football positions.

Offensive and defensive linemen have a greater likelihood of sustaining repetitive head impact during practice. Analysis of group accelerometer data provide evidence that offensive and defensive linemen are exposed to more frequent repetitive head impacts during practice than football players in other positions. As emerging evidence points to both the importance of understanding the management of concussion, there is also emerging evidence that cumulative head impact exposure needs to be better understood with regard to long-term neurologic sequelae. Coaches and clinicians should be mindful of reducing unnecessary head impact exposure among offensive and defensive linemen. For example, there may be significant helmet-to-helmet contact between linemen even in individual line board drills, “pass pro” or one-on-one blocking, often as a result of poor technique such as offensive linemen dropping their heads and defensive linemen not using their hands.

Across practice, the pre-season period has the highest rate of concussion. Just as the acclimatization rule was put in place to address the documented increased risk of heat illness during pre-season, emerging data inform us that pre-season is also a time of considerable increased injury risk in general, and concussion risk in particular. Indeed, conference-wide data inform us that pre-season practices have the highest injury rate of any practices, and 58 percent of all-season practice concussions occur during pre-season.

Contact tackling practice carries a greater risk of concussion than contact non-tackling practice. As concussion risk is in part mediated by contact/collision forces, it makes intuitive sense that concussion risk is increased when comparing contact tackling practice to contact non-tackling practice. Such data help inform decision making for football practice guidelines, differentiating the higher concussion risk practice of contact with tackling versus the lower concussion risk practice of contact without tackling. Proper tackling is an essential aspect of the sport of football, and thus the concussive risk of learning proper tackling technique must be balanced with the unforeseen risks of tackling with improper technique.

Tackling and blocking should be performed with technique emphasizing hands and shoulder contact and elimination of head contact. Proper tackling means that the helmet is not utilized as a weapon, which increases the chance of catastrophic injury. Minimizing head contact in both tackling and blocking is an important learned technique that not only lessens head impact exposure, but also decreases overall injury risk.

Head-to-head contact accounts for the greatest risk of concussion, followed by head-to-ground contact. Helmets cannot eliminate all concussion risk, but rather minimize the risk of skull fracture and intracranial hemorrhage. The helmet should not be used as part of football technique in tackling or blocking and should simply serve as protective gear. Thus, blocking and tackling technique must minimize all head-to-head contact, which would decrease concussion risk. Furthermore, live tackling to the ground must be practiced safely and with less regularity in order to decrease concussion risk in football practice.
Full pad practice, shell practice and helmet only practice all carry a risk of concussion. No helmet and no shoulder pad practice is the only evidence-based non-contact practice with negligible concussion risk.

Even with the best of intent, emerging data inform us that football practice with equipment leads to behavior that increases concussion risk. That being said, wearing full pads in practice can be utilized for conditioning purposes, and helmets may protect the skull from fracture due to inadvertent falls to the ground or other types of collisions. Thus, coaches and clinicians need to balance equipment as a conditioning/protection factor versus equipment that may lead to increased head impact exposure. Importantly, data can drive the intent of practice, and the nature of non-contact practice was discussed considerably during the summit. Although we have data that practices without helmet and shoulder pad are the only evidence-based non-contact practice with negligible concussion risk, we do not have data on potential downside risks of practicing without equipment.

Given this foundational data, a post-meeting consensus was developed regarding the following definitions, with the intent of providing a framework on varying intensity levels from non-contact practices to live contact/tackling to the ground practices. This framework is consistent with USA Football as follows (italicized content is from USA Football):

**Non-contact practices** do not involve tackling, thud, “wrapping up,” or full-speed blocking. Non-contact practices are those practices in which drills are not run at a competitive speed, as follows:

- **Air.** Players run a drill unopposed without contact.
- **Bags.** Drill is run against a bag or other soft-contact surface.
- **Control.** Drill is run at an assigned speed until the moment of contact. One player is designated by the coach ahead of time as the pre-determined winner. Contact remains above the waist and players stay on their feet.

Live contact/thud is any practice in which players are not taken to the ground, including “thud” sessions or drills that involve “wrapping up,” irrespective of uniform worn. **Drill is run at competitive speed through the moment of contact with no predetermined winner. Contact remains above the waist, players stay on their feet and a quick whistle ends the drill.** This definition provides a foundation for differentiating the increased concussion risk in live contact/tackling versus live contact practice that does not include tackling to the ground.

Live contact/tackling is any practice that involves live tackling to the ground and/or full-speed blocking irrespective of uniform worn. **Drill is run in gamelike conditions and is the only time that players are taken to the ground.** This definition provides a foundation for allowances of live contact/tackling practice during the inseason, and differentiates live contact/tackling (which carries a higher concussion risk) from other types of contact practice.

**Preseason practice:** In any given seven days following the five-day acclimation period:

- Up to three days of practice may be live contact (tackling or thud).
- There must be three non-contact practices in a given week.
- A non-contact practice must also follow a scrimmage.
- One day must be no football practice.
Pre-season is an intense practice time that focuses on proper conditioning and mastery of football technique, including tackling and blocking. Emerging data help us to make informed decisions that balance conditioning and mastery of technique with safety. Based on the increased risk of concussion in pre-season and emerging data regarding the importance of recovery, non-contact days must be factored into the week’s schedule, and live contact needs to be decreased relative to prior pre-season guidelines. As noted above, non-contact practice is conducted with the intent of a practice without shoulder pads or helmet. Coaches and medical staff should be cognizant of the behavioral risk of increased head impact exposure when equipment is worn.

Preseason practice: Two-a-day practices should not occur. A second session of activity can include walk-throughs or meetings. Recovery is multi-dimensional, and proper recovery not only decreases the risk of exertional heat illness and overuse injuries, but also plays an important role in decreasing the risk of exertion after repetitive head impact exposure or possible concussion. In this regard, football is different from other sports where an initial practice does not involve potential repetitive head impact or concussion. Thus, the benefit of improved conditioning and technique mastery from two-a-day practices must be mitigated by the increased risk of catastrophic injury and concussion. Importantly, walk-throughs or meetings do not include any conditioning activities.

Inseason practice (all division):

- Three days of practice should be non-contact.
- One day of live contact/tackling is allowed.
- One day of live contact/thud is allowed.

Inseason practices provide an ongoing opportunity to maintain/improve conditioning and to further master proper technique. This opportunity must always be balanced with recovery from potential head impact exposure and minimizing head impact exposure while learning the essential aspects of blocking and tackling.

Postseason and bowl practices must be separated from inseason practice because there can be up to 6 weeks of non-competition time between the end of the season and the next bowl or post-season game. This time period provides an opportunity for refinement in skill and technique on the one hand while providing an opportunity for more intense training for those team players who have had little to no game experience. Although there was no foundational statement regarding postseason practice, a consensus developed following much discussion with key stakeholders as follows:

- If there is a two week or less period of time between the final regular season game or conference championship game (for participating institutions) and the next bowl or post-season game, then inseason practice recommendations should remain in place.
- If there is greater than two weeks between the final regular season game or conference championship game (for participating institutions) and the next bowl or post-season game, then:
  - Up to three days may be live-contact (two of which should be live contact/thud).
  - There must be three non-contact practices in a given week.
  - The day preceding and following live contact/tackling should be non-contact or no football practice.
  - One day must be no football practice.
Spring practice (Division I/Division II): The day following live scrimmage should be non-contact. This follows the theme of the importance of recovery following increased risk of head impact exposure from live scrimmage.

Year-round training (Division I): Coaches may work with players for two hours a week on football skills (with use of footballs, sleds, dummies, etc.) without helmets or pads during the following times:

- Before and after spring football during the school year.
- For four weeks over the summer.
- This can include 7-on-7 and team work of full offensive and defensive plays; all must be non-contact.
- This will be INCLUDED in the eight hour Countable Athletically Related Activities (CARA) time.

Proper football technique, i.e., football skills, is critically important in order to minimize potential unintended consequences of catastrophic injury from improper technique in an aggressive, rugged, contact sport such as football. Teaching proper technique must be balanced with the overall time demands of the student-athlete. The NCAA membership presently is examining the demands placed upon college athletes’ time, including football players, from an academic and collegiate experience perspective. The recommendations in this foundational statement must be examined in the context of that overall review, including any changes to legislation. Currently, legislation prohibits an institution’s football team from conducting walk-throughs (slower-based practices without equipment that serve as strategic and mental rehearsal of football plays) as permissible conditions or film review activities during the offseason and summer conditioning period. However, walk-throughs are a valuable way for student-athletes who have varying learning styles to learn plays and schemes to improve their overall understanding of the sport beyond sitting in a film room. Permitting walk-throughs in conjunction with current film review parameters incorporates the same teaching principles while maintaining physical contact restrictions for out-of-season activities. By maintaining total CARA time but allowing increased exposure to improving football technique, safety measures improve while honoring the intent of CARA. The foundational statement addressing CARA time would require a change in current legislation, as inter-association recommendations do not supersede legislation.

References


17. Trulock S, Oliaro S. Practice contact. Safety in College Football Summit. Presented January 22, 2014, Atlanta, GA.


YEAR-ROUND FOOTBALL PRACTICE CONTACT RECOMMENDATIONS FOR COLLEGE ATHLETES
The above foundational statements became the basis for the year-round football practice contact recommendations below, which must be differentiated from legislation. As these recommendations are based on consensus and emerging science, they are best viewed as a “living, breathing” document that will be updated, as we have with other health and safety inter-association guidelines, best practices and recommendations, based on emerging science or sound observations that result from application of such documents. The intent is to reduce injury risk, but we must also be attentive to unintended consequences of shifting a practice paradigm based on consensus.

Preseason practice recommendations*
Two-a-day practices are not recommended. A second session of no helmet/pad activity may include walk-throughs or meetings; conditioning in the second session of activity is not recommended.

The preseason will begin one week earlier in the calendar year to accommodate the lost practice time from elimination of two-a-days, and to help ensure that players obtain the necessary skill set for competitive play.

In any given seven days following the five-day acclimation period:

- Up to three days of practice can be live contact (tackling or thud).
- There should be a minimum of three non-contact practices in a given week.
- A non-contact practice should follow a scrimmage.
- One day should be no football practice.

* Difference from the 2014 guidelines:
1. Recommendation to discontinue two-a-day practices.
2. Recommendation to increase the preseason by one week (beginning one week earlier).
   This requires a legislative change
3. Recommendation to reduce weekly live contact practices from four to three.
4. Non-contact practice recommendations have been added.
5. Non-contact practice recommendation the day following a scrimmage has been added.’
6. One day of no football practice recommendation has been added.
7. Legislation 17.10.2.1.2 would need to be revoked.

Inseason practice recommendations**

Inseason is defined as the period between six (6) days prior to the first regular-season game and the final regular-season game or conference championship game (for participating institutions). In any given week:

- Three days of practice should be non-contact.
- One day of live contact/tackling should be allowed.
- One day of live contact/thud should be allowed.
** Difference from the 2014 guidelines:
1. Recommendation to no longer allow two live contact/tackling days per week.
2. Non-contact day recommendations have been added.

Postseason (Football Championships Subdivision/Division II/Division III), bowl (Football Bowl Subdivision) practice recommendations***

- If there is a two week or less period of time between the final regular season game or conference championship game (for participating institutions) and the next bowl or post-season game, then inseason practice recommendations should remain in place.
- If there is greater than two weeks between the final regular season game or conference championship game (for participating institutions) and the next bowl or post-season game, then:
  - Up to three days may be live-contact (two of which should be live contact/thud).
  - There must be three non-contact practices in a given week.
  - The day preceding and following live contact/tackling should be non-contact or no football practice.
  - One day must be no football practice.

***Difference from the 2014 guidelines: Current guidelines do not differentiate postseason/bowl practice from inseason practice.

Spring practice recommendations (Division I/II)****
Of the 15 allowable sessions that may occur during the spring practice season, eight (8) practices may involve live contact (tackling or thud); three (3) of these live contact practices may include greater than 50 percent live contact (scrimmages). Live contact practices should be limited to two (2) in a given week and should not occur on consecutive days. The day following live scrimmage should be non-contact.

****Difference from the 2014 guidelines:
- Non-contact practice recommendation the day following live scrimmage.

Year-round training (Division I)***** Coaches may work with players for two hours a week on football skills (with use of footballs, sleds, dummies, etc.) without football equipment during the following times:

- Before and after spring football during the school year.
- For four weeks over the summer.
- This can include 7-on-7 and team work of full offensive and defensive plays; all must be non-contact.
- This will be INCLUDED in the eight hour Countable Athletically Related Activities (CARA) time.

*****Difference from current legislation: Current legislation prohibits such coaching contact.

This Inter-Association Consensus: Year-Round Football Practice Contact Guidelines for College Student-Athletes, has been endorsed by:
APPENDIX A

AGENDA

National Collegiate Athletic Association

Safety in College Football Summit

Orlando, Florida

February 10-11, 2016

DAY 1

1. Welcome and summit overview. (Scott Anderson and Brian Hainline)

2. Topic 1: Sensor and clinical data regarding football practice and head exposure.
   a. Campus research. (Stefan Duma, Thomas Druzgal, Jacob Marucci, Jason Mihalik)
   b. Big 12 research. (Scott Anderson, Allen Hardin)
   c. Roundtable discussion and report out.
   d. Referendum: Year-round football practice contact.

   a. Traumatic. (Kevin Guskiewicz)
   b. Non-traumatic. (Scott Anderson, Doug Casa)
   c. Roundtable discussion and report out.

4. Topic 3: Diagnosis and management of sport-related concussion guidelines.
   a. Guidelines overview. (Brian Hainline, Scott Anderson).
   b. Concussion diagnosis and management update: New data from CARE Consortium. (Steven Broglio, Thomas McAllister, Michael McCrea)
   c. Re-examining concussion treatment: Agreements from the TEAM meeting. (Anthony Kontos)
   d. Roundtable discussion and report out.
   e. Referendum: Diagnosis and management of sport-related concussion.

DAY 2

1. Opening remarks. (Scott Anderson and Brian Hainline)

2. Topic 4: Independent medical care. (Scott Anderson and Brian Hainline)
   a. Roundtable discussion and report out.
3. Topic 5: Inter-association consensus statements.
   a. Year-round football practice contact.
   b. Catastrophic injury in football.
   c. Diagnosis and management of sport-related concussion.
   d. Independent medical care.

APPENDIX B

Safety in College Football Summit participants

**Jeff Allen**: Head Athletic Trainer, University of Alabama (*attending on behalf of Nick Saban*)

**Scott Anderson**: College Athletics Trainers Society, University of Oklahoma

**Doug Aukerman**: Pacific 12 Conference

**Julian Bailes, MD**: Congress of Neurological Surgeons, American Association of Neurological Surgeons

**Stevie Baker-Watson**: Director of Athletics, DePauw University

**Brad Bankston**: Commissioner, Old Dominion Athletic Conference

**Karl Benson**: Commissioner, Sun Belt Conference

**Bob Boerigter**: Commissioner, Mid-America Intercollegiate Athletics Association

**Bob Bowlsby**: Commissioner, Big 12, Chair, Football Oversight Committee

**Matthew Breiding**: Centers for Disease Control and Prevention

**Steve Broglio, MD**: Principal Investigator CARE Consortium, University of Michigan

**William Bynum**: President, Mississippi Valley State University

**Jeff Bytomski, DO**: American Osteopathic Academy of Sports Medicine

**Carolyn Campbell-McGovern**: Ivy League

**Doug Casa, Ph.D.**: Consortium Director, Division on Exertional Injury, National Center for Catastrophic Sport Injury

**Bob Casmus**: CSMAS, Catawba College

**Scott Caulfield**: National Strength & Conditioning Association

**Randy Cohen**: National Athletic Trainers’ Association

**Bob Colgate**: National Federation of State High School Associations

**Dawn Comstock**: Associate Professor, University of Colorado, Denver

**Julie Cromer Peoples**: Senior Woman Administrator, University of Arkansas Fayetteville

**Kevin Crutchfield, MD**: American Academy of Neurology

**Ty Dennis**: Division II Student-Athlete Advisory Committee, Minnesota State University-Mankato
Jon Divine, MD; President, American Medical Society for Sports Medicine

Tom Dompier, Ph.D.; President, Datalys

Jason Druzgal, MD; Neuroradiologist, University of Virginia

Stefan Duma, Ph.D.; Director, School of Biomedical Engineering and Sciences, Virginia Polytechnic University

Ruben Echemendia, Ph.D.; President, Sports Neuropsychological Society

Brent Feland, MD; Collegiate Strength & Conditioning Coaches’ Association

Scott Gines; Director of Athletics, Texas A&M University, Kingsville

Kevin Guskiewicz, Ph.D.; University of North Carolina, Chapel Hill

Allen Hardin; Senior Associate Athletics Director, University of Texas

Steven Hatchell; President, National Football Foundation

Bill Heinz; Chair, Sports Medicine Advisory Committee, NFHS

Jamie Hixson; Associate Commissioner, Mountain West Conference

Peter Indelicato; American Orthopaedic Society for Sports Medicine

Nick Inzerello; Senior Director, Football Development, USA Football

Jay Jacobs; SVPC, Auburn University

Chris Jones; Division I Football Oversight Committee (proxy), University of Richmond

Kerry Kenny; Associate Commissioner, Big Ten Conference

Zachary Kerr; Director, Datalys

Anthony Kontos, Ph.D.; Assistant Research Director, Sports Medicine Concussion Program, University of Pittsburgh Medical Center

William Lawler; Southeastern Conference

Josephine Lee; Executive Director, College Athletics Trainers’ Society

Donald Lowe; Board Member, College Athletics Trainers’ Society

Jack Marucci; Louisiana State University

Thomas McAllister, MD; Principal Investigator, CARE Consortium
Michael McCrea, Ph.D.; Principal Investigator, CARE Consortium

William Meehan, MD; American Academy of Pediatrics

Jason Mihalik, Ph.D.; University of North Carolina, Chapel Hill

Bob Murphy; Board Member, College Athletics Trainers Society

Bob Nielson; Chair, NCAA Rules Committee

Scott Oliaro; Board Member, College Athletics Trainers’ Society

Kene Orjioke; Division I Student-Athlete Advisory Committee (SAAC), University of California, Los Angeles

Steve Pachman, JD; Montgomery McCracken

Sourav Poddar, MD; American College of Sports Medicine

Kayla Porter; Division III Student-Athlete Advisory Committee, Frostburg State University

Rogers Redding; Secretary Rules Editor, NCAA Football Rules Committee

Yvette Rooks; Board Member, College Athletics Trainers’ Society

Eric Rozen; Board Member, College Athletics Trainers’ Society

Scott Sailor; President, National Athletic Trainers’ Association

Jon Steinbrecher; Commissioner, Mid-American Conference

Ken Stephens; National Operating Committee on Standards for Athletic Equipment

Edward Stewart; Senior Associate Commissioner, Big 12 Conference

Michael Strickland; Senior Associate Commissioner, Atlantic Coast Conference

Grant Teaff; President, American Football Coaches Association

Buddy Teevens; Coach, Dartmouth University

James Tucker, MD; Board Member, College Athletics Trainers Society

Steve Walz; Associate Director of Athletics, University of South Florida

Alfred White; Senior Associate Commissioner, Conference USA
NCAA Staff Participants

Brian Burnsed, Associate Director, Communications
Dawn Buth, Associate Director, Sport Science Institute
Cassie Folck, Coordinator, Sport Science Institute
Brian Hainline, Chief Medical Officer
Kathleen McNeely, Chief Financial Officer
Terrie Meyer, Executive Assistant, Sport Science Institute
John Parsons, Director, Sport Science Institute
Chris Radford, Associate Director, Public & Media Relations
Stephanie Quigg, Director, Academic & Membership Affairs
APPENDIX C

ENDORsing Medical Organizations

- American Academy of Neurology
- American College of Sports Medicine
- American Association of Neurological Surgeons
- American Academy of Pediatrics
- American Medical Society for Sports Medicine
- American Orthopaedic Society for Sports Medicine
- American Osteopathic Academy of Sports Medicine
- Collegiate Athletic Trainers’ Society
- Collegiate Strength and Conditioning Coaches Association
- Competitive Safeguards and Medical Aspects of Sports
- Congress of Neurological Surgeons
- National Athletic Trainers’ Association
- National Operating Committee on Standards for Athletic Equipment
- National Strength and Conditioning Association
- Sports Neuropsychology Society

ENDORsing Football Organizations

- American Football Coaches Association
- National Football Foundation
- NCAA Football Oversight Committee
- NCAA Football Rules Committee
- USA Football
NCAA Government Relations Report
(June 2016)

Congressional Overview

The 114th Congress is scheduled to finish its work for the summer in mid-July and begin an extended period away from Washington, D.C. This year’s recess will not only include Congress’ annual August recess but also the last two weeks in July, to allow Members to attend the Democratic and Republican National Conventions. With limited legislative days remaining before Congress recesses for the summer, there are a number of lingering matters that have yet to be completed. Those include, legislative efforts to ease Puerto Rico’s debt crisis, fund the Zika virus battle, and address the opioid epidemic.

Congress has continued to display an interest in college athletics. While the health and safety of student-athletes remains of chief importance to policymakers, the NCAA office of government relations has received inquiries on a diverse set of issues including the NCAA’s recently adopted anti-discrimination process for championship bids and college football satellite camps.

Federal Issues

Fair Labor Standards Act

On May 18, 2016, the Department of Labor (DOL) released long awaited revisions to the Fair Labor Standards Act’s minimum wage and overtime standards. This was the end of a process, which began in March 2014, when President Obama directed the Secretary of Labor to modernize the overtime regulations. The final rule increases the salary threshold for overtime eligibility from $23,660 ($455/week) to $47,476 ($913/week) and requires that it be automatically updated every three years. The final rule does not make any changes to the duties test and will become effective on December 1, 2016.

Since the release of the DOL’s proposed regulations in July 2015, the NCAA has worked closely with the College and University Professional Association for Human Resources (CUPA-HR) and other higher education associations to share information on the significant impact the proposed changes would have on intercollegiate athletics and the broader higher education community. Despite the collective effort from the higher education community and others, the final rule contained only slight changes from the proposed rule. The salary threshold is slightly lower than the $50,440 figure originally proposed and will not be updated annually.

In an effort to assist membership with the new rule, the NCAA partnered with CUPA-HR on a white paper that analyzes the teacher exemption for coaches and trainers and highlights several compliance matters of importance to athletic departments. The white paper was shared with membership on May 20, 2016. It is our hope that this resource will assist NCAA member institutions as they work to comply with the new overtime standards.
With a short legislative calendar remaining, Congressional relief from the final overtime rule is unlikely. However, advocacy efforts have shifted toward Congress and support of the Protecting Workplace Advancement and Opportunity Act (S. 2707 and H.R. 4773). S. 2707 was introduced by Sen. Tim Scott (R-SC) on March 17, 2016, and the bill currently has 44 cosponsors. H.R. 4773 was introduced by Rep. Tim Walberg (R-MI) on March 17, 2016, and the proposal currently has 181 cosponsors. These bills would nullify the final rule and require the DOL to perform additional analysis on the impact these changes would have on small businesses, nonprofits, institutions of higher education, and others. Both bills would also prevent future changes to the salary threshold from occurring through automatic increases.

In addition to active legislation, Congress has conducted formal examinations of the final rule. On May 11, 2016, the Senate Small Business and Entrepreneurship Committee conducted a hearing titled “An Examination of the Administration’s Overtime Rule and the Rising Costs of Doing Business.” In the House, the Education and the Workforce Committee conducted a similar hearing on June 9, 2016, titled “The Administration’s Overtime Rule and Its Consequences for Workers, Students, Nonprofits, and Small Businesses.” Both hearings allowed for further discussion on how the final rule will impact the higher education and business communities and some corrective actions that could be taken to alleviate the negative effects.

The NCAA government relations office will continue to work in a collaborative manner with CUPA-HR and the broader higher education community to share resources as institutions work toward complying with the new overtime rule by the December 1 effective date.

**Daily Fantasy Sports**

On May 11, 2016, the House Energy and Commerce Subcommittee on Commerce, Manufacturing, and Trade, conducted a hearing titled “Daily Fantasy Sports: Issues and Perspectives.” The hearing occurred at the request of Rep. Frank Pallone (D-NJ), Ranking Member of the House Energy and Commerce Committee, who has been a proponent of legalized sports betting. Members of the Subcommittee heard from eight witnesses, including academics, representatives of fantasy sports trade associations and a representative from the casino industry.

The hearing examined the inner workings of the industry and consumer protections for the average daily fantasy sports player. The hearing also included discussion on whether there is an appropriate role for the federal government to play in this evolving industry. It is not clear what, if any, next steps Congress may take related to daily fantasy sports.

The NCAA government relations office will continue to work with other interested groups to share our concerns with daily fantasy sports contests that involve college, high school and youth athletes.
Concussions

Earlier this year, the House Energy and Commerce Committee announced that it would launch a comprehensive review of the state of concussion research. The committee hosted an initial examination into this matter during a roundtable discussion on March 14, 2016. NCAA Chief Medical Officer, Dr. Brian Hainline participated in the roundtable along with representatives from the research and medical community and the National Football League. The roundtable discussion focused primarily on knowledge gaps in the understanding of concussions and CTE, and the obstacles in gaining a deeper understanding of these health concerns.

As a next step in the committee’s effort, the House Energy and Commerce Subcommittee on Oversight and Investigations conducted a hearing titled “Concussions in Youth Sports: Evaluating Prevention and Research,” on May 13, 2016. The goal of the hearing was to evaluate concussions in youth sports, specifically issues related to prevention and research. Members of the Subcommittee heard from nine witnesses on two separate panels.

Panelists discussed a wide range of topics including, the need to better educate about the dangers of CTE, changes to playing and practice rules to better protect youth athletes, and the need for increased research on traumatic brain injuries in youth. The subcommittee did not give any indication on what, if any, next steps will be taken in this comprehensive review of the state of concussion research.

State Issues

Daily Fantasy Sports

Daily fantasy sports continue to be a topic of interest at the state level. Actions have ranged from prohibiting daily fantasy sports contests within individual states due to concerns with the legality of the activity, to regulating and taxing the activity in other states. The NCAA office of government relations has continued to closely monitor daily fantasy sports bills due to concerns with those contests including college, high school or youth athletes. In an effort to address those concerns, the NCAA has continued to work with membership institutions and other interested organizations to seek a carve-out that would prohibit contests including college, high school or youth athletes in any proposal.

To date, at least 35 states have seen the introduction of legislation to regulate daily fantasy sports. Seven of those states (Indiana, Massachusetts, Mississippi, Tennessee, Virginia, Colorado and Missouri) have adopted laws to regulate daily fantasy sports. The laws in each of those states, except Virginia, contain language prohibiting daily fantasy sports contests including college athletes. With the vast majority of state legislatures adjourning by June 30th, we expect limited activity on this matter at the state level the remainder of the year.
The NCAA office of government relations will continue to work with NCAA member institutions and other interested parties to ensure that daily fantasy sports regulations provide proper protections for college, high school and youth athletes.

**Higher Education Associations**

NCAA government relations staff continues to build strong relationships with various higher education associations. The American Council on Education (ACE), the Association of American Universities (AAU) and the National Association of College and University Business Officers (NACUBO), among others, continue to provide guidance and support on issues of common interest. Also, the NCAA has been working closely with the Association of Public and Land-grant Universities (APLU), which created an advisory group that will focus on policy issues related to collegiate athletics. The NCAA government relations staff looks forward to continuing these mutually beneficial relationships to better formulate and further the NCAA’s legislative goals.