AGENDA
The National Collegiate Athletic Association
Division III Presidents Council

January 19, 2017
Canal C-D
9:15 to 11:15 a.m.

1. Welcome and introductions. (Alan Cureton)

2. General Information. (Cureton)
   a. Roster. [Supplement No. 1]
   b. 2017 Committee assignments. [Supplement Nos. 2a and 2b]

3. Minutes, summaries and agendas. (Cureton)
   a. Summary of fall 2017 Quarterly Meeting. [Supplement No. 3]
   b. Administrative Committee actions. [Supplement Nos. 4a and 4b]
   c. NCAA Board of Governors agenda – January 18. [Supplement No. 5 will be distributed at a later date.]

4. Division III Philosophy Statement and Strategic Positioning Platform. [Supplement Nos. 6a and 6b] (Dan Dutcher)

   a. 2016-17 Budget-to-actual report. [Supplement No. 7b]
   b. Future projections. [Supplement No. 7c]

6. Management Council report. [Supplement No. 8 will be distributed at the meeting] (Tracey Ranieri/Brit Katz)

7. 2017 NCAA Division III Convention legislation. (Jeff Myers/Sarah Otey)
   a. 2017 NCAA Convention Notice and Program.
   b. Review of proposed legislation:
      • Review of proposals and speaker assignments. [Supplement No. 9]
      • Review of parliamentary and voting issues. [Supplement No. 10]
      • Legislative question and answer guide. [Supplement No. 11]

8. Review 2017 Convention logistics. (Louise McCleary)
   a. Presidents/Chancellors schedule. [Supplement No. 12]
   b. Board of Governors composition session.
c. Joint PC/MC/SAAC meeting. [Supplement No. 13]
d. Division III Issues Forum. [Supplement No. 14]
e. Presidents/Chancellors Issues Forum and Luncheon. [Supplement No. 15]
f. Division III Business Session. [Supplement No. 16]

9. Governmental relations update. [Supplement No. 17] (Information Only)

10. Board of Governors update. (Cureton/Lemons/Ranieri)

   • Commission to Combat Campus Sexual Violence. [Supplement No. 18]
   • Board of Governors Roles and Responsibilities. [Supplement No. 19]

11. Future meetings.

      • April 25 – 5:45 to 9 p.m. – Presidents Council dinner/meeting.
      • April 26 – 7:30 to 9 a.m. – Joint breakfast with Divisions I and II.
      • April 26 – 9 a.m. to noon – Presidents Council meeting.
   b. August 8-9, 2017 – Indianapolis.
      • August 8 – 5:45 to 9 p.m. – meet with Presidents/Chancellors Advisory Group.
      • August 9 – 7:30 to 9 a.m. – Joint breakfast with Divisions I and II.
      • August 9 – 9 a.m. to noon – Presidents Council meeting.
   c. October 24-25, 2017 – UCLA campus, California.
      • October 24 – 5:45 to 9 p.m. – Presidents Council dinner/meeting.
      • October 25 – 7:30 to 9 a.m. – Joint breakfast with Division I and II.
      • October 25 – 9 a.m. to noon. – Presidents Council meeting.
      • January 18 – 9:15 to 11:15 a.m. (tentative).

12. Other Business.

   • Recognition of departing members.


© Denotes key action items.
* Denotes key discussion topics.
Teresa Amott
President
Knox College [Midwest Conference]
640 N. Prairie Street
Galesburg, Illinois 61401
Phone: 309/341-7211
FAX: 309/341-7856
Cell Phone: 309/335-2546
Email: tamott@knox.edu
Assistant: Peggy Ware
Phone: 309/341-7211
Email: pjware@knox.edu
Term Expiration: January 2018

Margaret Drugovich
President
Hartwick College [Empire 8]
P.O. Box 4020
1 Hartwick Drive
Oneonta, New York 13820-4020
Phone: 607/431-4990
FAX: 607/431-4206
Email: drugovichm@hartwick.edu
Assistant: Kerri Green
Phone: 607/431-4162
Email: greenk2@hartwick.edu
Term Expiration: January 2020

Alan Cureton [Chair]
President
University of Northwestern [Upper Midwest Conference]
3003 Snelling Avenue North
St. Paul, MN 55113
Phone: 651/631-5250
FAX: 651/631-5129
Cell: 612/281-0094
Email: alancureton@unwsp.edu
Assistant: Rachel Morgan
Phone: 651/631-5249
Email: ramorgan@unwsp.edu
Term Expiration: January 2018

Thomas P. Foley
President
Mount Aloysius College [Allegheny Mountain Collegiate Conference]
7373 Admiral Peary Highway
Cresson, PA 16630
Phone: 814/886-6411
FAX: 814/886-2978
Email: tfoley@mtaloy.edu
Assistant: Carla Nelen
Email: cnelen@mtaloy.edu
Term Expiration: January 2018

Jeffrey Docking
President
Adrian College [Michigan Intercollegiate Athletic Association]
Administration Building
110 South Madison Street
Adrian, MI 49221-2575
Phone: 517/264-3167
FAX:
Email: idocking@adrian.edu
Assistant: Andrea Burt
Email: aburt@adrian.edu
Phone: 517/264-3100
Term Expiration: January 2019

William J. Fritz, Ph. D
President
College of Staten Island [City University of New York Athletic Conference]
2800 Victory Boulevard
Staten Island, New York 10314
Phone: 718/982-2400
FAX: 718/982-2404
Email: William.Fritz@csi.cuny.edu
Assistant: Janet Arata
Email: Janet.Arata@csi.cuny.edu
Assistant: Debbie Mahoney
Email: Debbie.Mahoney@csi.cuny.edu
Term Expiration: January 2020
Tori Haring-Smith  
President  
Washington & Jefferson College [Presidents Athletic Conference]  
60 South Lincoln Street  
Washington, PA  15301  
Phone: 724/223-6000  
FAX: 724/250-3329  
Email: tharingsmith@washjeff.edu  
Assistant: Debbie Morris  
Phone: 724/223-6000  
Email: dmorris@washjeff.edu  
Term Expiration: June 2017

Dr. Sue Henderson 
President  
New Jersey City University [New Jersey Athletic Conference]  
2039 Kennedy Boulevard  
Jersey City, New Jersey  07305  
Phone: 201/200-3111  
FAX: 201/200-3111  
Email: shenderson@njcu.edu  
Assistant: Virginia Melendez  
Email: ymelendez@njcu.edu  
Term Expiration: January 2019

Sharon Hirsh 
President  
Rosemont College [Colonial States Athletic Conference]  
1400 Montgomery Avenue  
Rosemont, PA  19010  
Phone: 610/527-0200  
FAX: 610/527-1041  
Email: shirsh@rosemont.edu  
Assistant: Barb Walsh  
Email: bwalsh@rosemont.edu  
Term Expiration: January 2019

Robert Huntington 
President  
Heidelberg University [Ohio Athletic Conference]  
310 East Market Street  
Tiffin, Ohio  44883  
Phone: 419-448-2202  
FAX: 419-448-2126  
Email: president@heidelberg.edu  
Assistant: Monica Verhoff  
Email: mverhoff@heidelberg.edu  
Term Expiration: January 2019

L. Jay Lemons [Vice Chair]  
President  
Susquehanna University [Landmark Conference]  
514 University Avenue  
Selinsgrove, PA  17870  
Phone: 570/372-4130  
FAX: 570/372-4040  
Cell Phone: 570/556-9070  
Email: lemonsj@susqu.edu  
Assistant: Sharon Pope  
Email: popes@susqu.edu  
Term Expiration: June 2017

Lex McMillan III 
President  
Albright College [Middle Atlantic Conference]  
P.O. Box 15234  
13th and Bern Streets  
Reading, PA  19612-5234  
Phone: 610/921-7600  
FAX: 610/921-7737  
Email: lmcmillan@albright.edu  
Assistant: Kathy Cafoncelli  
Email: kcafoncelli@albright.edu  
Assistant: Lana Hafler  
Email: lhafler@albright.edu  
Term Expiration: January 2019

Tori Murden McClure 
President  
Spalding University [St. Louis Intercollegiate Athletic Conference]  
845 South Third Street  
Louisville, Kentucky  40203  
Phone: 502/588-7164  
FAX: 502/992-2404  
Email: tmclure@spalding.edu  
Assistant: Jackie Howard  
Email: jhoward@spalding.edu  
Term Expiration: January 2021
Elsa Nunez  
President  
Eastern Connecticut State University [Little East Conference]  
83 Windham Street  
Willimantic, CT 06226  
Phone: 860/465-5222  
FAX: 860/465-4690  
Email: nunez@easternct.edu  
Assistant: Katherine Atkinson  
Email: atkinsonk@easternct.edu  
Phone: 860/465-4484  
Term Expiration: January 2020

Dennis J. Shields  
Chancellor  
University of Wisconsin, Platteville [Wisconsin Intercollegiate Athletic Conference]  
1 University Plaza  
Platteville, Wisconsin 53818  
Phone: 608/342-1234  
FAX: 608/342-1270  
Email: shieldsd@uwplatt.edu  
Assistant: Joyce Burkholder  
Email: burkholj@uwplatt.edu  
Term Expiration: January 2017

Zorica Pantic  
President  
Wentworth Institute of Technology [The Commonwealth Coast Conference]  
550 Huntington Avenue  
Boston, MA 02115  
Phone: 617/989-4476  
FAX: 617/989-4480  
Cell Phone: 857/991-3695  
Email: panticz@wit.edu  
Assistant: Rebecca Coakley  
Phone: 617/989-4476  
Email: coakleyr1@wit.edu  
Term Expiration: January 2018

Dave Wolk  
President  
Castleton University [North Atlantic Conference]  
119 Alumni Drive  
Castleton, VT 05735  
Phone: 802/468-1201  
FAX: 802/468-6470  
Email: dave.wolk@castleton.edu  
Assistant: Rita Geno  
Email: rita.gen0@castleton.edu  
Term Expiration: January 2019

Matthew Shank  
President  
Marymount University (Virginia) [Capital Athletic Conference]  
2807 North Glebe Rd.  
Arlington, Virginia 22207  
Phone: 703/284-1598  
FAX: 703/284-1595  
Email: mshank@marymount.edu  
Assistant: Hilary Phillips  
Email: Hilary.phillips@marymount.edu  
Term Expiration: January 2021

Management Council

Tracey Ranieri [Chair]  
Director of Athletics  
State University College at Oneonta [SUNYAC]  
Alumni Fieldhouse  
Room 312, Ravine Parkway  
Oneonta, New York 13820  
Phone: 607/436-2446  
FAX: 607/436-3581  
Cell Phone: 607/437-0056  
Email: Tracey.Ranieri@oneonta.edu  
Term Expiration: January 2017
R. Brit Katz [Vice Chair]
Vice President and Dean of Student Life
Millsaps College [Southern Athletic Association]
1701 N. State Street
Jackson, Mississippi 39210
Phone: 601/974-1206
FAX: 601/974-1229
Cell Phone: 601/842-7064
Email: brit.katz@millsaps.edu
Assistant: Larcie Burnett
Email: burneld@millsaps.edu
Term Expiration: January 2018

Division III Governance Staff:

Dan Dutcher
Vice President for Division III
E-Mail: ddutcher@ncaa.org
317/917-6942

Louise McCleary
Director of Division III
E-Mail: lmccleary@ncaa.org
317/917-6637

Jay Jones
Associate Director of Division III
Email: jkjoness@ncaa.org
317/917-6004

Jeff Myers
Governance Liaison
Director of Academic and Membership Affairs for Division III
E-Mail: jmyers@ncaa.org
317/917-6870

Sarah Otey
Governance Liaison
Associate Director of Academic and Membership Affairs for Division III
E-Mail: sotey@ncaa.org
317/917-6721

BrianBurnsed
Assistant Director of Membership Communications
E-Mail: bburnsed@ncaa.org
317/917-6685

Eric Hartung
Associate Director of Research for Division III
E-Mail: ehartung@ncaa.org
317/917-6306

Debbie Kresge
Executive Assistant for Division III
E-Mail: dkresge@ncaa.org
317/917-6907

Debbie Brown
Administrative Assistant for Division III
Email: dbrown@ncaa.org
317/917-6617

US MAIL ADDRESS
NCAA, P.O. Box 6222
Indianapolis, IN 46206-6222

OVERNIGHT SHIPPING ADDRESS
NCAA Distribution Center
1802 Alonzo Watford Sr. Drive
Indianapolis, IN 46202
Telephone: 317/917-NCAA (6222)
Facsimile: 317/917-6972
2017 PRESIDENTS COUNCIL COMMITTEE/SUBCOMMITTEE ASSIGNMENTS

Amott, Teresa [January 2018]
- Nominations Subcommittee
- BOG Commission to Conduct Campus Sexual Violence

Cevallos, Javier [January 2021]
- Diversity and Inclusion Working Group

Cureton, Alan, vice chair [January 2018]
- Administrative Committee
- Board of Governors
- Strategic Planning and Finance Committee, chair

Docking, Jeffrey [January 2019]
- Strategic Planning and Finance Committee

Drugovich, Margaret [January 2020]
- Strategic Planning and Finance Committee

Foley, Thomas [January 2018]
- Nominations Subcommittee
- Presidents & Commissioners Communication Strategies Subcommittee

Fritz, William [January 2020]
- Convention-Planning Subcommittee

Haring-Smith, Tori [June 2017]
- No assignment

Henderson, Sue [January 2019]
- Nominations Subcommittee
- PC/MC Joint Legislative Steering Committee

Hirsh, Sharon [January 2019]
- Convention-Planning Subcommittee

Huntington, Robert [January 2019]
- Nominations Subcommittee

Lemons, L. Jay, chair [June 2017]
- Administrative Committee
- Board of Governors
- PC/MC Joint Legislative Steering Committee

McMillan, Lex [June 2017]
- Nominations Subcommittee

Murden McClure, Tori [January 2021]
- Nominations Subcommittee

Nunez, Elsa [January 2020]
- Nominations Subcommittee

Pantic, Zorica [January 2018]
- Nominations Subcommittee
- Infractions Appeals

Shank, Matthew [January 2021]
- Convention-Planning Subcommittee
- PC/MC Joint Legislative Steering Committee

Wolk, Dave [January 2019]
- Strategic Planning and Finance Committee
## ASSOCIATION-WIDE COMMITTEES

### Board of Governors
*Composition: 1MC/2PC*
- Cureton, Alan [PC vice chair]
- Lemons, Jay [PC chair]
- Katz, Brit [MC chair]

### Competitive Safeguards and Medical Aspects of Sports
*Composition: 1MC*
- Baker-Watson, Stevie [MC]

### Honors
*Composition: 1MC*
- Benning, Heather [MC]

### Minority Opportunities and Interests
*Composition: 1MC*
- Akotaobi, Nnenna [MC]

### Olympic Sports Liaison
*Composition: 1MC*
- Walsh, Joe [MC]

### Postgraduate Scholarship
*Composition: 1MC*
- Cummings-Danson, Gail [MC]

### Research
*Composition: 1MC*
- Leighton, Dennis [MC]

### Sportsmanship and Ethical Conduct
*Composition: 1MC*
- Tompson-Wolfe, Karen [MC]

### Walter Byers Scholarship
*Composition: 1MC*
- Leighton, Dennis [MC]

### Women's Athletics
*Composition: 1MC*
- Udelhofen, Denise [MC]

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## DIVISION III COMMITTEES

### Championships
*Composition: 3MC*
- Katz, Brit [MC chair]
- Vienna, Michael [MC]
- Young, Gerald [MC]

### Financial Aid
*Composition: 1MC*
- Schram, Kandis [MC]

### Infractions
*Composition: 1MC*
- Young, Gerald [MC]

### Infractions Appeals
*Composition: 1MC/1 PC*
- Pantic, Zorica [PC]
- Roy, Kate [MC]

### Interpretations and Legislation
*Composition: 1MC*
- Hill, Shantey [MC]

### Membership
*Composition: 1MC*
- Mooney, Laura [MC]

### Nominating
*Composition: 1MC*
- Roy, Kate [MC]

### Student-Athlete Advisory
*Composition: 4MC*
- Akotaobi, Nnenna [MC] *EX OFFICIO*
- Bankston, Brad [MC] *EX OFFICIO*
- Cain, Sean [SAAC]
- Warren, Megan [SAAC]

### Student-Athlete Reinstatement
*Composition: 1MC*
- Benning, Heather [MC]
MANAGEMENT COUNCIL SUBCOMMITTEES

Subcommittee for Legislative Relief
Composition: 6MC
- Akotaobi, Nnenna [MC]
- Baker-Watson, Stevie [MC]
- Roy, Kate [MC]
- Udelhofen, Denise [MC]
- Tompson-Wolfe, Karen [MC]
- Young, Gerald [MC]

Playing and Practice Seasons Subcommittee
Composition: 7MC/1SAAC
- Bankston, Brad [MC]
- Davis, Robert [MC]
- Leighton, Dennis [MC]
- Mooney, Laura [MC]
- Vienna, Michael [MC]
- Walsh, Joe [MC]
- Warren, Megan [SAAC]

PRESIDENTS COUNCIL SUBCOMMITTEES

Nominations Subcommittee
Composition: 6 PC
- Amott, Teresa [PC]
- Foley, Thomas [PC]
- Henderson, Sue [PC]
- Huntington, Robert [PC]
- McMillan, Lex [PC]
- Murden-McClure, Tori [PC]
- Nunez, Elsa [PC]
- Pantic, Zorica [PC]

JOINT MC/PC COMMITTEES AND SUBCOMMITTEES AND SPECIAL COMMITTEES

Administrative Committee
Composition: 3MC/2PC
- Cureton, Alan [PC vice chair]
- Lemons, Jay [PC chair]
- Hill, Shantey [MC vice chair]
- Katz, Brit [MC chair]
- VanAken, Troy [MC president]

Convention-Planning Subcommittee
Composition: 3MC/3PC/1ME/1INDEPENDENT/1COMMISSIONER/1NAD3AA/1SAAC/5AL
- Bankston, Brad [MC]
- Eggen, Carey [At-Large]
- Fox, Allie [Independents]
- Freed, Karen [At-Large]
- Fritz, William [PC]
- Granata, Brian [At-Large]
- Schram, Kandis [MC]
- Hirsh, Sharon [PC]
- Katz, R. Brit [MC chair] EX OFFICIO
- Kilgallon, Scott [At-Large]
- Kline, Julie [Membership Committee]
- Kuchowski, Keri [NADIIAA]
- Marin, Angela [At-Large]
- Porter, Kayla [SAAC]
- Benning, Heather [MC]
- Shank, Matthew [PC]
- Yrigoyen, Chuck [Commissioner]

PC/MC Joint Legislative Steering Committee
Composition: 2 PC/2 MC presidents/2 MC ADRs/PC/MC chairs
- Lemons, Jay [PC chair]
- Davis, Robert [MC]
- Haring-Smith, Tori [PC]
- Henderson, Sue [PC]
- Katz, R. Brit [MC chair]
- Kimball, Chris [MC]
- McMillan, Lex [PC]
- Shank, Matthew [PC]
- VanAken, Troy [MC]

MC=Management Council  PC=Presidents Council  ME=Membership Committee  AL=At-Large
JOINT MC/PC COMMITTEES AND SUBCOMMITTEES AND SPECIAL COMMITTEES

Strategic Planning and Finance Committee
Composition: 4PC/6MC [one commissioner]
CHAMPIONSHIPS CHAIR/1 SAAC/2 AT LARGE [one commissioner] [Note: Two members must be conference representatives.]

Borchardt, Corey [Chair of the DIII Championships Committee]
Cain, Sean [MC/SAAC]
Cummings-Danson, Gail [MC]
Docking, Jeff [PC]
Drugovich, Margaret [PC]
Davis, Bob [MC]
Hill, Shantey [MC vice chair]
Cureton, Al [PC vice chair] CHAIR
Poiss Murray, Candice [At large]
Katz, Brit [MC] EX OFFICIO
VanAken, Troy [MC]
Walsh, Joe [MC]
Wigley, Brian [At large]
Wolk, Dave [PC]
KEY ACTION/DISCUSSION ITEMS:

2017 Convention Legislation.................................................................Page Nos. 16-20

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Board of Governors Update.................................................................Page No. 25
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<th>Division III Presidents Council</th>
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<td><strong>October 17-18, 2016</strong></td>
<td><strong>October 26-27, 2016</strong></td>
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<tr>
<td><strong>Indianapolis, IN</strong></td>
<td><strong>Indianapolis, IN</strong></td>
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<td><strong>ATTENDEES</strong></td>
<td><strong>ATTENDEES</strong></td>
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<tr>
<td>Nnenna Akotaobi, Swarthmore College</td>
<td>Teresa Amott, Knox College</td>
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<tr>
<td>Stevie Baker-Watson, DePauw University</td>
<td>Alan Curent, University of Northwestern, chair</td>
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<td>Brad Bankston, Old Dominion Athletic Conference</td>
<td>Jeff Docking, Adrian College</td>
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<td>Gail Cummings-Danson, Skidmore College</td>
<td>Margaret Drugovich, Hartwick College</td>
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<td>Robert Davis, Jr., University of Scranton</td>
<td>Thomas Foley, Mount Aloysius College</td>
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<td>Shantey Hill, St. Joseph’s College</td>
<td>William Fritz, College of Staten Island</td>
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<td>Brit Katz, Millsaps College, vice chair</td>
<td>Sue Henderson, New Jersey City University</td>
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<td>Chris Kimball, California Lutheran University</td>
<td>Sharon Hirsh, Rosemont College</td>
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<td>Dennis Leighton, University of New England, Frank Millerick, Becker College</td>
<td>Rob Huntington, Heidelberg University</td>
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<td>Chris Ragsdale, Heartland Collegiate Athletic Conference</td>
<td>Brit Katz, Millsaps College, MC vice chair</td>
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<tr>
<td>Tracey Ranieri, State University College at Oneonta, chair</td>
<td>L. Jay Lemons, Susquehanna University, vice chair</td>
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<tr>
<td>Kate Roy, Lyndon State College</td>
<td>Lex McMillan, Albright College</td>
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<tr>
<td>Terry Small, New Jersey Athletic Conference</td>
<td>Tori Murden McClure, Spalding University</td>
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<tr>
<td>Julie Soriero, Massachusetts Institute of Technology</td>
<td>Elsa Nunez, Eastern Connecticut State University</td>
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<tr>
<td>Karen Tompson-Wolfe, Westminster College (Missouri)</td>
<td>Zorica Pantic, Wentworth Institute of Technology</td>
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<td>Troy Van Aken, Thiel College</td>
<td>Tracey Ranieri, State University of New York at Oneonta, MC chair</td>
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<td>Terry Wansart, Hunter College</td>
<td>Matthew Shank, Marymount University</td>
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<tr>
<td>Greg Woods, Springfield College</td>
<td>Dennis Shields, University of Wisconsin, Platteville</td>
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<td>Gerald Young, Carleton College</td>
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<td><strong>ABSENTEES</strong></td>
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<tr>
<td>Taryn Stromback, Ohio Northern University</td>
<td>Tori Haring-Smith, Washington and Jefferson College</td>
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<td>Dave Wolk, Castleton University</td>
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<tr>
<td><strong>OTHER PARTICIPANTS</strong></td>
<td><strong>OTHER PARTICIPANTS</strong></td>
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<tr>
<td>Brian Burns, NCAA</td>
<td>Jackie Campbell, NCAA</td>
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<tr>
<td>Azure Davey, NCAA</td>
<td>Catherine Corcoran, Cabrini College, Pathway</td>
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<td>Dan Dutcher, NCAA</td>
<td>Dan Dutcher, NCAA</td>
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<td>Mark Emmert, NCAA</td>
<td>Mark Emmert, NCAA</td>
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<td>Reed Fogle, NCAA</td>
<td>Reed Fogle, NCAA</td>
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<td>Brian Hainline, NCAA</td>
<td>Eric Hartung, NCAA</td>
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<td>Ty Halpin, NCAA</td>
<td>Jay Jones, NCAA</td>
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<td>Eric Hartung, NCAA</td>
<td>Debbie Kresge, NCAA, recording secretary</td>
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<tr>
<td>Jay Jones, NCAA</td>
<td>Michelle Manning, Ithaca College, Pathway</td>
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<td>Debbie Kresge, NCAA, recording secretary</td>
<td>Louise McCleary, NCAA</td>
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<td>Louise McCleary, NCAA</td>
<td>Jeff Myers, NCAA</td>
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<td>Jeff Myers, NCAA</td>
<td>Jeff O’Barr, NCAA</td>
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<td>Jeff O’Barr, NCAA</td>
<td>Sarah Otey, NCAA</td>
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<td>Sarah Otey, NCAA</td>
<td>John Parsons, NCAA</td>
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<tr>
<td>Dave Schnase, NCAA</td>
<td>Bud Peterson, Board of Governors chair</td>
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<td>Adam Skaggs, NCAA</td>
<td>Donald Remy, NCAA</td>
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<td>Naima Stevenson, NCAA</td>
<td>Amy Schwarb, NCAA</td>
</tr>
<tr>
<td>Cari Van Senus, NCAA</td>
<td>Adam Skaggs, NCAA</td>
</tr>
</tbody>
</table>
1. **WELCOME AND ANNOUNCEMENTS.**

   October 17-18 Management Council. The meeting was called to order at 8:03 a.m. October 17 and 8 a.m. October 18, by the chair, Tracey Ranieri. The chair welcomed the Council and reviewed the agenda.

   October 26-27 Presidents Council. The meeting was called to order at 6:23 p.m., October 26 and 9:14 a.m., October 27. by the chair, President Alan Cureton. The chair welcomed the Council and the Pathway participants.

2. **REVIEW OF RECORDS OF PREVIOUS MEETINGS.**

   a. **Management Council Meetings – July 18-19, 2016.**


      Presidents Council. No action necessary.

   b. **Presidents Council Meeting – August 3-4, 2016.**

      Management Council. No action necessary.

      Presidents Council. The Presidents Council approved the summary of its August 3-4, 2016, meetings.

3. **COMMITTEE RECOMMENDATIONS AFFECTING DIVISION III.**

   a. **Division III Joint Presidents Council/Management Council Committees or Subcommittees.**

      (1) **Convention-Planning Subcommittee.**

         (a) **2017 NCAA Convention - Educational Session Topics.**

            Management Council. The Council approved the following Division III educational session topics for the 2017 Convention:
i. The Role of the Senior Woman Administrator.
iii. Developing Departmental Handbooks that Integrate Policy and Philosophy and Establish Expectations Around Program Culture and Success.

**Presidents Council.** No action was necessary.

(b) **Division III Governance Related Sessions.**

**Management Council.** The Council reviewed the subcommittee’s report noting the following governance-related sessions for the 2017 Convention:

i. **Athletics Direct Report Institute.** This session is closed (by nomination only). The selection committee chose 42 athletics direct reports. The Institute will take place Wednesday, January 18, from 2 to 5:30 p.m. and Thursday, January 19, from 8 a.m. to 4 p.m.

ii. **Division III Student Immersion Program.** Forty-four applicants were selected to attend by the Diversity and Inclusion Working Group. This program will begin Wednesday, January 18, from 3 to 5 p.m. and conclude with a debrief session Saturday, January 21, from 11 a.m. to noon.

iii. **Chancellors/Presidents Programming.**
   - Presidents and Chancellors Engagement Programming. This session is open to all chancellors and presidents in Divisions I, II and III and will take place Thursday, January 19, from 8 to 9:30 a.m. All presidents and chancellors within their first 12 months of their presidency will receive a $200 honorarium.
   - Presidents and Chancellors Forum and Luncheon. This session is open to all Division III presidents and chancellors and will take place Thursday, January 19, from 11:30 a.m. to 1 p.m.

iv. **Student-Athlete Advisory Committee (SAAC) Programming.**
   - Special Olympics Unified Sports Activity. This activity is scheduled for Thursday, January 19, from 1 to 2:15 p.m. and will be open to all Convention attendees. Depending on the room size, the activity will be either basketball, soccer or flag football.
   - Programming for Student-Athletes. SAAC has identified several sessions that will be beneficial to Division III student-attendees.

v. **Issues Forum.**
   - Discussion and Q & A session: The subcommittee reviewed and confirmed the discussion topics below:
vi. Business Session.
The subcommittee endorsed the following format:
8 to 8:15 a.m. Welcome.
8:15 to 8:30 a.m. Diversity and Inclusion Working Group update (hiring best practices resource and presidential diversity pledge.)
8:30 to 11 a.m. Voting on 2017 Legislative Proposals.

Presidents Council. No action was necessary.

(2) Strategic Planning and Finance Committee.

Management Council. The Council reviewed the division’s budget report as of September 30, 2016. The Council noted a $4 million surplus due to higher than budgeted revenue from the Final Four and College World Series, as well as lower fuel costs. No action was necessary.

Presidents Council. No action was necessary.

(3) Joint Legislative Steering Subcommittee.

Management Council. The Council reviewed the subcommittee’s report from its July 26 teleconference. No action was necessary,

Presidents Council. No action was necessary.

Management Council Subcommittees.

(1) Subcommittee for Legislative Relief.

- Approval of NCAA Division III Management Council Subcommittee for Legislative Relief Policies and Procedures.

Management Council. The Council approved an amendment to subcommittee’s policies and procedures. Specifically, the subcommittee added a provision allowing the chair to vote when the chair’s vote is necessary to take formal action.

Presidents Council. No action was necessary.
(2) **Playing and Practice Seasons Subcommittee.**

- **Baseball Survey Summary.**

  Management Council. The subcommittee shared feedback from a survey distributed by the Division III Baseball Committee regarding the concept of an optional two period model for baseball. The baseball committee reviewed the survey results and elected to solicit additional feedback from the baseball coaches association at its Convention in January. The baseball committee agreed that such an approach would be appropriate based on the fact that the membership-sponsored proposal to establish an optional two period model did not receive appropriate co-sponsorship and will not be voted on at the 2017 NCAA Convention. The subcommittee agreed to delay additional conversation on this topic, pending feedback from the baseball committee following the coaches association convention.

  Presidents Council. No action was necessary.

c. **Division III Committees.**

(1) **Championships Committee.**

(a) **Committee Chair.**

  Management Council. The Council approved the committee’s recommendation that Corey Borchardt, commissioner of the Upper Midwest Athletic Conference, serve as chair of the Division III Championships Committee.

  Presidents Council. No action was necessary.

(b) **Charter Flight Travel.**

  Management Council. The Council approved the committee’s recommendation that the cost for charter flight transportation be fixed at a maximum of $350 per one-way ticket for all team sports.

  The committee evaluated the favorable results of the 2015-16 pilot program (i.e., no budget impact) and agreed to implement the program on a more permanent basis. During the pilot program, participating institutions purchased more seats at the fixed rate than they otherwise would, thereby limiting any concern about a potential budget impact. The committee supports the program for all team sports and will continue to evaluate the program on an annual basis.

  Presidents Council. No action was necessary.
(c) Recap of the Meeting with Sport Committee Chairs.

Management Council. The Council noted the sport chairs discussed the strength of victory as a possible addition to selection criteria and agreed to explore it further. NCAA staff will compile data to demonstrate strength of victory as part of the total selection data. The committee noted several questions for consideration as the concept is evaluated:

- How does the criterion impact the evaluation of strength of schedule?
- Should there be consideration of a comparable criterion for degree of loss?
- Will the criterion impact scheduling in a negative way (i.e., encourage more out of region play)?
- To what extent will the swing in an opponent’s results throughout the season impact the data (e.g., a team that starts out strong, but finishes with a significant losing streak)?

Presidents Council. No action was necessary.

(d) Championships Committee Budget Recap and Future Planning.

Management Council. The Council received an update on the committee’s review of a budget-to-actuals championships report by sport (committee expense, game expense, team per diem, and team travel); a summary of projected revenue and expenses for Division III; and the results of the 2015-16 pilot program to establish a fixed cost for charter seat air travel for additional members of team travel parties.

In addition, the sport chairs discussed areas for future budget support and emphasized priority for: (1) continued bracket expansion based on the legislated access ratio; (2) increased travel party size for team sports; (3) reimbursement for local ground transportation for schools that travel by air; a day of rest in between rounds at the finals site for team sports; (4) increased officials’ fees; and (5) sport-specific requests.

Presidents Council. No action was necessary.

(e) Sports Committee Recommendations.

i. Regional start date.

Management Council. The sport chairs approved regional tournaments to begin Thursday and end Sunday (Monday, if necessary), effective with the 2017 championship. Currently, there is limited turnaround time between the team selection announcement at midnight on Monday to the start of team practices on Tuesday. This change allows for more time to secure travel and remain on campus. Six-team regionals will end Sunday and eight-team regionals will end no later than Monday (dependent on if necessary game; impact limited to two teams).

Presidents Council. No action was necessary.
ii. Baseball Committee’s report.

Management Council. The Council noted the committee’s concerns in the baseball committee’s report: (1) if the baseball committee pursues the application of a strength-of-schedule multiplier, such a multiplier must be submitted to the committee for approval prior to implementation; (2) the super-regional championship format will be implemented for the 2019 championship (versus the 2018 championship) due to the scheduling limitations of the 2018 championship venue; and (3) the committee reiterated that only those student-athletes eligible to compete as part of the squad size (25 individuals) may be dressed in uniform in the dugout.

Presidents Council. No action was necessary.

(2) Committee on Infractions.

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

(3) Financial Aid Committee.

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

(4) Infractions Appeals Committee.

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

(5) Interpretations and Legislative Committee (ILC).

(a) Incorporation of Official Interpretation – Reservation of Facility During Summer by Certified Strength and Conditioning Coach (III) – Bylaw 17.02.1.1.1.

Management Council. The Council approved the incorporation of the following official interpretation:

Reservation of Facility During Summer by Certified Strength and Conditioning Coach (III). The Interpretations and Legislation Committee confirmed that, outside the playing and practice season, an institution’s certified strength and conditional coach may only reserve an athletics
facility for voluntary workouts that he or she will conduct. Because a certified strength and conditioning coach is only permitted to conduct voluntary workouts during the academic year, the certified strength and conditioning coach may not reserve an athletics facility during the summer. A student-athlete, however, may access a weight room designated exclusively for student-athletes at any time, including during the summer.

[References: NCAA Division III Bylaw 17.02.1.1.1 (exceptions)]

The committee issued the interpretation to provide guidance clarifying the distinction between out-of-season use of a student-athlete only weight room and out-of-season facility reservation by a certified strength and conditioning coach. Incorporating this interpretation serves to further reduce confusion by clearly setting forth in the manual how strength and conditioning facilities may be reserved.

Presidents Council. No action was necessary.


Management Council. The Council forwarded to the Presidents Council a recommendation to designate that votes for all proposals at the 2017 Convention be taken using the roll-call method, regardless of the proposal’s grouping (President Council or general) and approve the voting order and groupings. [See Page No. 20; Item b]

While the Presidents Council is responsible for establishing the order of proposal voting as well as the method for conducting those votes, the Interpretations and Legislation Committee conducts an initial review and makes a recommendation on both issues. Since the electronic voting units and technology permit the recording of all votes in an expeditious manner, the committee agreed that votes for all proposals (presidential and general groupings) should be taken using the roll-call method, as it provides transparency for the membership.

Presidents Council. The Presidents Council approved the Management Council’s recommendation.

(c) Approval of Official Interpretation – Seasons of Participation – Transfer from a non-Division III Institution (III).

Management Council. The Council approved the following official interpretation:

Seasons of Participation – Transfer from a non-Division III Institution (III). The Interpretations and Legislation Committee confirmed that the Division III seasons of participation standard does not apply to a transfer student-athlete’s previous participation at a non-Division III institution. The transfer student-athlete is subject to the legislation that applied to the previous institution during the term of participation.
During its September 2016 meeting, the Interpretations and Legislation Committee reviewed a staff interpretation [Reference: 3/8/2016, Item No. a] that clarifies that a student-athlete is subject to the season of participation legislation at the institution the student-athlete attended during a given term of participation. Thus, a student-athlete who practiced but did not compete at a Division I or II institution would not be retroactively charged with a season of participation upon transfer to a Division III institution. The committee agreed that elevating the existing staff interpretation to an official interpretation would help ensure that the membership understands the application of this interpretation.

(d) **Review Authority to Sponsor Amendments to Dominant Provisions.**

Management Council. The Council noted the committee reviewed the issue of whether a Division III membership entity (conference or institution) has the authority to sponsor an amendment to a dominant provision. Following examination of constitutional provisions, legislative history and convention proceedings, the committee agreed that an amendment to a dominant provision may only be sponsored by the NCAA Board of Governors.

Presidents Council. No action was necessary.

(e) **Academic Misconduct Update.**

Management Council. The Council received an update about the recently adopted academic misconduct legislation in Division I. The Council requested ILC seek input on this topic from the Student-Athlete Advisory Committee (SAAC), the Committee on Infractions and chancellors and presidents of Division III institutions. This information should be presented to the Faculty Athletics Representatives Association (FARA). After a review by ILC, a possible 2018 legislative proposal should be brought back to Management Council at its April 2017, meeting.

Presidents Council. No action was necessary.

(f) **Celebratory Signing.**

Management Council. The Council noted the committee discussed whether the limitations that apply to signing events for the standard, non-binding celebratory signing form should also apply if the prospective student-athlete is signing a general pre-enrollment form. Prospective student-athletes may not sign the celebratory signing form on the institution’s campus or in the presence of institutional staff members. There are
no such limitations if the prospective student-athlete is signing the institution’s pre-enrollment forms used for prospective students in general. The only limitation is that a staff member may not be present if media is present. The committee agreed that recommending additional restrictions to apply to signings of general pre-enrollment forms is not necessary, but acknowledged the potential for confusion and recommended additional education be provided.

Presidents Council. No action was necessary.

(6) Membership Committee.

(a) Optional Provisional/Reclassification Campus Visit Timelines.

Management Council. The Council noted the committee’s discussion of the appropriate timeline for provisional/reclassifying institution campus visits. Staff provided three timeline options developed by the Membership Process Working Group. The working group explained that transitioning from an education-based timeline to an evaluative timeline provides the committee with flexibility to assist potential participants in assessing their readiness to participate in the process.

After deliberating, the committee voted that option three, a hybrid timeline, is the best option for future institutions that progress through the process. In the hybrid timeline, each institution is evaluated on an individual basis to determine whether its campus visit should be conducted during provisional year one or the exploratory year. All other elements of the timeline, including the program application date, remain the same. NCAA staff noted that the committee’s decision does not require a legislative change and will be reflected in the committee policies and procedures.

Presidents Council. No action was necessary.

(b) Trinity Washington University.

Management Council. The Council noted that for 2016-17, Trinity Washington University was placed on restricted membership status for failure to meet sports-sponsorship requirements. Trinity Washington joins Rust College as restricted members for the 2016-17 academic year.

Presidents Council. No action was necessary.

(c) NCAA International Pilot Program.

Management Council. The Council heard an update related to the NCAA International Pilot Program for NCAA membership. The history of the pilot, which began in 2007, permits each division to autonomously allow membership from institutions in Canada and Mexico. It is incumbent on each division to first adopt enabling legislation if there is interest in admitting international members. Division II is currently the only division that
has legislation permitting international members, and is the only division with an international member.

The Council affirmed that each division should maintain its autonomy to govern international membership in the NCAA. Further, it noted that if an international school was interested in Division III membership, there is an established two-step process. This process first requires the Division III membership, in its entirety, to adopt enabling legislation to permit applications from international institutions. If approved by the membership, international institutions then would be required to participate in the division’s provisional membership process.

**Presidents Council.** No action was necessary.

(7) **Nominating Committee.**

(a) **Governance Committee Reappointments.**

**Management Council.** The Council approved the following committee reappointments:

- Division III Financial Aid Committee – Richard Dunsworth, president, University of the Ozarks (Arkansas), two-year term. Ronald Noborikawa, senior associate director of financial aid, Pacific Lutheran University (four-year term).
- Division III Student-Athlete Reinstatement Committee – Brian Williams, associate director of athletics, State University of New York at New Paltz (four-year term).

**Presidents Council.** No action was necessary.

(b) **Governance Committee Appointments.**

**Management Council.** The Council approved the following committee appointments:

- Division III Financial Aid Committee – Steve Taylor, vice president of Student Life, Concordia University, Wisconsin.
- Division III Committee on Infractions – Christopher Bledsoe, assistant vice president of student affairs/director of athletics, New York University.
- Division III Interpretations and Legislation Committee – Alexandra Fox, assistant director of athletics, Mills College.
Division III Management Council (six vacancies):
- Heather Benning, executive director, Midwest Conference.
- Laura Mooney, director of athletics, Massachusetts College of Liberal Arts.
- Kandis Schram, director of athletics/head volleyball coach, Maryville College (Tennessee).
- Michael Vienna, director of athletics, Emory University.
- Denise Udelhofen, director of athletics, Loras College.
- Joseph Walsh, commissioner, Great Northeast Athletic Conference.

Division III Membership Committee:
- Jessica Huntly, assistant executive director, Centennial Conference.
- Kristyn King, director of athletics, Rockford University.

Division III Nominating Committee – Keri Luchowski, executive director, North Coast Athletic Conference.

Division III Student-Athlete Advisory Committee (seven vacancies):
- Great Northeast Athletic Conference – Ryan Paul Booth, Norwich University.
- Independents (immediate vacancy) – Kelsey Morrison, University of Valley Forge.
- Iowa Intercollegiate Athletic Conference – Parker Hammel, Wartburg College.
- Liberty League (immediate vacancy) – Matthew Knigge, Vassar College.
- Old Dominion Athletic Conference – Madison Brewer Burns, Randolph-Macon College.
- St. Louis Intercollegiate Athletic Conference – Christopher Pakeltis, MacMurray College.

Division III Student-Athlete Reinstatement Committee – Angela Marin, assistant director of athletics, University of Texas at Dallas.

All committee appointments are effective at the close of the January 2017 NCAA Convention, unless otherwise noted.

The Council discussed ways of diversifying committee appointments, including a possible partnership with the Pathway Program and NACWAA to build the database.

Presidents Council. No action was necessary.
(8) **Student-Athlete Advisory Committee (SAAC).**

Management Council. The Council reviewed the committee’s report. No action was necessary.

Presidents Council. No action was necessary.

(9) **Student-Athlete Reinstatement Committee.**

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

d. **Association-Wide Committees.**

(1) **Competitive Safeguards and Medical Aspects of Sports (CSMAS).**

Management Council. The Council reviewed the committee’s report. No action was necessary.

Presidents Council. No action was necessary.

(2) **Committee on Sportsmanship and Ethical Conduct.**

- **RESPECT Campaign.**

  Management Council. Staff provided an update regarding the launch of the RESPECT campaign and the distribution of the RESPECT booklet. The booklet, video and audio public service announcements, templates for print and digital program ads and templates for in-venue signage is available for free download on the NCAA sportsmanship website.

  Presidents Council. No action was necessary.

(3) **Committee on Women’s Athletics (CWA).**

- **Transgender Student-Athlete/Season of Participation.**

  Management Council. The Council approved a recommendation from the Committee on Women’s Athletics (CWA) for NCAA Division III staff to establish waiver guidelines that would allow Division III transgender female student-athletes (transitioning from male to female) to practice during their first year of testosterone suppression without using a season of participation. The NCAA’s transgender student-athlete participation policy requires that a student-athlete who is transitioning from male to
female undergo a minimum one year of testosterone suppression before she is eligible to compete on a women’s team. Because Division III legislation requires that a student-athlete use a season of participation for practice following the first date of competition, a transgender female student-athlete does not have the opportunity to practice with the women’s team without using a season of participation. The Council recognizes that “no redshirting” is a core tenet for Division III, but determined that a student-athlete should not lose a season of participation because she is fulfilling NCAA policy requirements for transgender student-athlete participation. After staff develops the waiver guidelines, they will be presented to the Council.

Presidents Council. No action was necessary.

(4) **Honors Committee**

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

(5) **Minority Opportunities and Interests Committee (MOIC).**

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

(6) **Olympic Sports Liaison Committee (OSLC).**

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

(7) **Playing Rules Oversight Panel.**

- **Preparation for Fall Team Sport Seasons in Field Hockey, Football, Soccer, Volleyball and Men’s Water Polo.**

Management Council. The Council reviewed a Memorandum that was sent to conference commissioners on August 29, 2016, regarding preparation for fall team sport seasons in field hockey, football, soccer, volleyball and men’s water polo. The memo encouraged each commissioner to review with their institutions the application of NCAA playing rules related to disruptions and/or inappropriate actions of the participants that might occur prior to or during athletics contests.

Presidents Council. No action was necessary.
Postgraduate Scholarship Committee.

Management Council. The Council reviewed the committee’s report. No action was necessary.

Presidents Council. No action was necessary.

Research Committee.

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

Walter Byers Scholarship Committee.

Management Council. The committee had no formal report. No action was necessary.

Presidents Council. No action was necessary.

4. PROPOSED LEGISLATION FOR THE 2017 CONVENTION.


2017 Division III Convention Proposal Positions. The Councils reviewed responsibilities associated with Convention proposal speaking assignments and took formal positions on membership-sponsored proposals as presented below.


Presidents Council. The Presidents Council opposes this proposal. The Council had the following concerns: (1) Division III Philosophy Statement that focuses on intercollegiate athletics as a four-year undergraduate experience; and (2) the effects on recruiting if a Division I student-athletes transfers to a Division III institution with a year of eligibility remaining. The underlying concern is with permitting coaches to seek out students who would spend one year of their eligibility at a Division III school rather than remaining focused on recruiting students who would get the complete four-year Division III experience. The Council expressed support for the existing waiver process and a willingness to consider revised waiver criteria if necessary.

Management Council. The Council recommended that Presidents Council support this proposal. While the Council noted that the proposal may preferentially impact Division III institutions with the most robust graduate programs, it emphasized the importance of reviewing the proposal with primary consideration for enhanced academics and athletics opportunities for high achieving student-athletes. The Council agreed that postgraduate education is increasingly necessary in today’s job
market and that allowing student-athletes with eligibility remaining to continue participation at any institution following completion of a baccalaureate degree allows those student-athletes to make academics and athletics choices that are in their best interests. Lastly, the Council noted that allowing postbaccalaureate participation does not conflict with the Division III philosophical tenet that promotes athletics participation as primarily a four-year, undergraduate experience.

Subcommittee for Legislative Relief. The subcommittee did not recommend a position for this proposal. Rather, the subcommittee recognized arguments both in support of and in opposition to the proposal, which included the following: (1) the proposed change would provide student-athletes an opportunity to continue their education while simultaneously pursuing athletic opportunities at other institutions; (2) the Division III Philosophy Statement focuses on intercollegiate athletics as a four-year undergraduate experience; and (3) the proposal could potentially create a competitive advantage for institutions with graduate programs.

Interpretations and Legislative Committee (ILC). The committee recommended that Management and Presidents Councils support this proposal. The committee agreed this proposal would allow student-athletes to make academics and athletics choices that are in their best interests. The committee also recognized that a student-athlete who has already earned his or her degree should not be restricted to stay at the same institution to further his or her education if they want to compete. Although recommending a position of support, the committee expressed the following concerns with the proposal: (1) lack of accountability to ensure student-athletes complete a second baccalaureate or graduate degree; (2) institutions without graduate programs may be at a disadvantage compared to institution with graduate programs; and (3) teams may use the rule as a loophole to stack rosters with talented graduate transfers.

Proposal No. 2017-5 – Playing and Practice Seasons – Field Hockey and Lacrosse – Preseason Joint Practice, Scrimmage or Exhibition – Exemption from Maximum Contest and Date of Competition Limitations.

Presidents Council. No action was necessary.

Management Council. The Council supports this proposal. The Council agreed that field hockey and lacrosse are similar, in nature, to soccer and women’s volleyball and should be treated accordingly. Because this proposal would create consistency between similar sports, the proposal would ease administrative and tracking burden on campuses.

Championships Committee. The committee recommended that Management Council support this proposal based on the rationale for support from the Field Hockey, Men’s Lacrosse and Women’s Lacrosse Committees.

Field Hockey. The committee recommended that Management Council support this proposal. Having more opportunities for practice competition provides a greater opportunity for success and a more positive experience for student-athletes. Fall sports have fewer opportunities to prepare than winter and spring sports. Further, field hockey is similar to both soccer and lacrosse, and consistency amongst the three sports would ease compliance burden on campus.
Men’s Lacrosse. The committee recommended that Management Council support this proposal. Men’s lacrosse is similar to soccer and should be treated in the same fashion. This proposal creates consistency between similar sports which would ease compliance burden on campus.

Women’s Lacrosse. The committee recommended that Management Council support this proposal. This proposal creates consistency between similar sports and creates opportunities for student-athletes.

Playing and Practice Seasons Subcommittee. The subcommittee recommended that Management Council support this proposal. The subcommittee agreed that field hockey and lacrosse are similar, in nature, to soccer and should be treated in the same fashion. Because this proposal would create consistency between similar sports, the proposal would ease the administrative and tracking burden on campuses.


Presidents Council. No action was necessary.

Management Council. The Council supports this proposal. The Council noted that the sports of track and field and swimming and diving are unique in that they are both individual sports with multiple disciplines and that student-athletes competing in one discipline may require a training/rest cycle that is entirely distinct from student-athletes within another discipline of the same sport. The flexibility allowed by this proposal would undoubtedly help student-athletes in these sports receive better training and attention from their coaches. The Council also noted that the proposal would establish permissive legislation; thus, any institution concerned about the monitoring burden and/or the work-life balance impact on coaches could elect to require that its track and field and swimming and diving teams apply the “day off” legislation as a team.

Championships Committee. The committee recommended that Management Council support this proposal based on the rationale for support from the Track & Field and Swimming & Diving Committees.

Track & Field. The committee recommended that Management Council support this proposal. While institutions could benefit from additional information regarding how administrators and coaches will track days off for each student-athlete, the flexibility afforded by this proposal would undoubtedly help student-athletes receive better training and attention from coaches.

Swimming & Diving. The committee recommended that Management Council support this proposal. While institutions could benefit from additional information regarding how administrators and coaches will ensure compliance with the day off legislation, this proposal would undoubtedly help student-athletes receive better training and attention from coaches.
Playing and Practice Seasons Subcommittee. The subcommittee recommended that Management Council oppose this proposal. The subcommittee noted concerns with monitoring days off for each individual student-athlete as well as concerns for the work-life balance of coaches and athletic trainers. Additionally, the subcommittee agreed that the proposal could impose additional facility and scheduling concerns at institutions where facility usage is already at a premium. Lastly, while the subcommittee acknowledged the potential physiological and training benefits associated with allowing the day off to apply to each individual student-athlete, the subcommittee agreed that those benefits would apply across all sports and should not be isolated to the two sports identified in the proposal.


Presidents Council. No action was necessary.

Management Council. The Council supports this proposal. The Council agreed that the current legislation is difficult to interpret and oftentimes draws arbitrary distinctions between two similar events involving prospective student-athletes. Current legislation also burdens institutions to vet outside organizations prior to renting out institutional facilities. The Council also recognized the reality that prospective student-athletes and their parents are often seeking opportunities to participate on institutional campuses for the sole purpose of establishing a recruiting relationship with that institution; the requirement in current legislation that coaches avoid recruiting conversations during these events necessitates that the conversations occur during another time, which is unnecessarily burdensome on both the coaches and the prospective student-athletes.

ILC. The committee recommended that Management Council support this proposal. The committee agreed that the window of reconsideration is unnecessary given the amount of time institutions have to prepare for the initial vote and that reconsideration votes may not accurately represent the division’s interests because some delegates depart before those votes are cast.


Presidents Council. No action was necessary.

Management Council. The Council supports this proposal. The Council agreed that the window of reconsideration is unnecessary given the amount of time institutions have to prepare for the initial vote and that reconsideration votes may not accurately represent the division’s interests because some delegates depart before those votes are cast.

ILC. The committee recommended that Management Council support this proposal. The committee agreed that the window of reconsideration is unnecessary.

given the amount of time institutions have to prepare for the initial vote, and that reconsideration votes may not accurately represent the division’s interests because some delegates depart before those votes are cast. However, the committee recommended that the proposals be discussed in more depth during the Issues Forum at Convention to allow institutions additional time to research and ask questions regarding the proposals prior to the Business Session.

b. **Proposal Groupings.** [See Page No. 9; Item (5) (b)]

**Presidents Council.** The Presidents Council approved the recommendation of Management Council regarding the 2017 Convention proposal groupings and voting order. The Council also approved roll call voting for all proposals.

**Management Council.** The Council recommended the following proposal grouping and voting order for the 2017 Convention as well as designating roll call voting for all proposals.

**Presidents Council Grouping:**

2017-1 – NCAA Membership – Conditions and Obligations of Membership – Independent Medical Care.

2017-2 – Eligibility – Graduate and Postbaccalaureate Transfers.

2017-3 – Financial Aid from Outside Sources that Consider Athletics Leadership, Ability, Participation or Performance – Restriction on Recipient’s Choice of Institutions.

**General Grouping:**

2017-4 – Playing and Practice Seasons – General Playing Season Regulations – Standardization of Annual Contest and Date of Competition Exemptions.

2017-5 – Playing and Practice Seasons – Field Hockey and Lacrosse – Preseason Joint Practice, Scrimmage or Exhibition – Exemption from Maximum Contest and Date of Competition Limitations.

2017-6 – Playing and Practice Seasons – General Playing Season Regulations – Required Day Off for Track and Field Indoor/Outdoor and Swimming and Diving.


2017-8 – Membership – Provisional or Reclassifying Membership – Class Size and Assignment – Provisional Program Size Limit.


c. **Review Administrative Regulations Approved by Management Council.**

(1) **ADM – 2017-1 – Executive Regulations – Selection of Teams and Individuals for Championships Participation – Addition of Nonconference Strength of Schedule to Secondary Selection Criteria.**

*Management Council.* The Council approved in legislative format a proposal to add nonconference strength of schedule as a secondary selection criterion when determining the championship field.

*Presidents Council.* No action was necessary.

(2) **ADM – 2017-2 – Executive Regulations – Selection of Teams and Individuals for Championships Participation – Results versus Ranked Opponents May be Considered from Both Final Ranking and Preceding Ranking.**

*Management Council.* The Council approved in legislative format a proposal to specify that, as part of the primary selection criteria, all teams established as ranked from the final ranking and the preceding ranking may be considered when determining results versus ranked opponents.

*Presidents Council.* No action was necessary.


*Management Council.* The Council approved in legislative format a proposal to establish the deadline for receipt of written material to be considered by the NCAA Committee on Infractions as 30 days prior to the date of a hearing.

*Presidents Council.* No action was necessary.

d. **Review Noncontroversial Legislation Approved by the Management Council.**

(1) **NC – 2017-7 – Membership – Provisional or Reclassifying Membership – Class Size and Assignment – Amending Assignment Criteria.**

*Management Council.* The Council approved in legislative format a proposal to clarify that items for consideration during an institution’s evaluation for acceptance and placement in the provisional/reclassifying membership program are not in priority order. Additionally, to add the provision of athletically related financial aid as an element to be considered during the evaluation process.

*Presidents Council.* No action was necessary.

(2) **NC – 2017-8 – Committees – Committee Membership – Increase the Wrestling Committee Composition.**

*Management Council.* The Council approved in legislative format a proposal to
increase the representation on the Division III Wrestling Committee from four to six members. The NCAA travel and insurance department calculated the estimated annual costs of adding two additional committee members at $6,320.

Presidents Council. No action was necessary.

e. Review Modifications of Wording Approved by the Management Council.


Management Council. The Council approved in legislative format a proposal to clarify that in order to qualify for a hardship waiver or a season of participation – participation while eligible waiver, a student-athlete may not have participated in more contests or dates of competition that a number equivalent to one-third of the standard denominator, where the standard denominator is determined by the maximum number of contests or dates of competition plus one contest or date of competition.

Presidents Council. No action was necessary.

5. DIVISION III INITIATIVES AND UPDATES.

a. Diversity Initiatives.


Management Council. The Council heard an update on the Diversity and Inclusion Working Group’s efforts to develop and write a diverse hiring best practices resource. The Council noted no concerns with the resource and endorsed it.

Presidents Council. After review, the Presidents Council also endorsed the resource.

(2) Division III Senior Woman Administrators (SWA).

Management Council. The Council noted that in October, the governance staff, at the request of the Diversity and Inclusion Working Group, presented a new professional development program for 30 Division III SWAs. Offered at the beginning of the NACWAA National Convention, this one and half-day workshop was specifically designed for senior women administrators, and in particular those interested in becoming Division III athletics directors.

Presidents Council. No action was necessary.
(3) Student Immersion Program.

Management Council. The Council noted that for the second straight year, Division III will support 44 ethnic minority students to attend the 2017 NCAA Convention. At the Convention, the students will be exposed to Division III, its members and the governance process. In addition to the scheduled Division III programming, there will be welcome and debrief meetings, and each student will be partnered with a mentor administrator. The goal is to build a pipeline of talented ethnic minority candidates, with an interest in Division III coaching and/or administration, in an effort to ultimately diversify the division’s athletic administration.

Presidents Council. No action was necessary.

b. Athletics Direct Report Institute.

Management Council. The Council noted the Athletics Direct Report (ADR) Institute will be held in conjunction with the 2017 NCAA Convention. Schedule times are 2 to 6 p.m. Wednesday, January 18 and 8 a.m. to 4 p.m. Thursday, January 19. There are 42 participants in the 2017 class.

Presidents Council. No action was necessary.

c. LGBTQ Initiative.

Management Council. The Council endorsed a proposal to establish a working group to examine the current and potential programming, resources, and recognition of the LGBTQ community and its allies in Division III; to increase engagement and collaboration at the institutional, conference and national levels. Possible outcomes include the examination of the current involvement and role of athletics departments related to LGBTQ resources and programming; creating a best practices resource; and collaboration with the Office of Inclusion to develop programming, as needed.

Presidents Council. No action was necessary.

d. 360 Proof and NASPA Collaborative.

Management Council. The Council received an update noting there are 193 institutions currently registered for 360 Proof, an increase of 26 over last year. A demonstration booth and one-on-one technical assistance will be offered during the 2017 NCAA Convention, as well as the 2017 NASPA Annual Convention in March.

The NCAA communications staff is assisting in the process to draft a preliminary communications and marketing plan and related request for proposal (RFP) for an outside agency to implement that plan. The steering committee will receive an update on its November teleconference.

Presidents Council. No action was necessary.
e. **Institutional Performance Program.**

**Management Council.** The Council noted that the Institutional Performance Program (IPP) is currently available for Division III. This IPP data management system consolidates data currently being submitted by Division III institutions into a more user-friendly format with reporting functionality in hopes of facilitating better informed decisions and strategic planning on campuses.

**Presidents Council.** No action was necessary.

f. **Faculty Athletics Representatives Working Group.**

**Management Council.** At the request of the Council, staff created a Faculty Athletics Representative (FAR) Working Group. The working group’s objective is to increase the engagement of Division III FARs utilizing data (both historical and newly collected) and the expertise of the working group and others to:

- Better define the components of engagement.
- Determine the metrics to measure engagement.
- Establish appropriate benchmarks to assess engagement.
- Develop best practices resources to enhance and sustain engagement.
- Collaborate with key groups to ensure consistency, effectiveness and accountability.

The working group roster includes FARs, athletics directors, commissioners and student-athletes from Division III.

**Presidents Council.** No action was necessary.

g. **Division III Identity Initiative.**

**Management Council.** The Council received a status report on the Division III Identity Initiative including an update on the purchasing website, new championship enhancements, Division III/D3SIDA Recognition Award, social media and Special Olympics.

**Presidents Council.** No action was necessary.

h. **Feedback from Conference Meetings.**

**Management Council.** The Council reviewed reports from conference visits. No action was necessary.

**Presidents Council.** No action was necessary.

i. **Academic Misconduct.**

**Management Council.** [See ILC Report, Page No. 10, item (e)]

**Presidents Council.** No action was necessary.
6. ASSOCIATION-WIDE UPDATES AND ISSUES.

a. Board of Governors Update.

(1) Board of Governors (BOG) Composition.

Management Council and Presidents Councils. The Councils received a report from the NCAA Board of Governors about the next steps in the ongoing discussion of the composition role and responsibilities of the BOG. The Board’s Ad Hoc Committee on Structure and Governance will craft a recommendation and deliver it to the BOG no later than April 2017.

(2) Diversity Pledge.

Management Council and Presidents Councils. The Council leadership encouraged presidents and chancellors to sign the Board of Governor’s diversity and inclusion pledge. The pledge states a school’s commitment to achieving ethnic/racial diversity and gender equity in college sports hiring practices. To date, over half of all NCAA institutions have signed the pledge. Council leadership clarified that the “regular diversity, inclusion and equity review” referenced in the pledge is an internal, institutional review, not an NCAA review.

(3) Pilot Program at the 2016 Division III Men’s Lacrosse Championship.

Presidents Council. The Council voted to support a pilot program that will allow the sale of beer and wine at the 2017 Division III Men’s Lacrosse Championship, which will be held in conjunction with the Divisions I and II men’s championships and Division I women’s championships at Gillette Stadium in Foxboro, Massachusetts. The pilot program began last year at the College World Series (baseball) and the Women’s College World Series (softball), both Division I championships. The Council had a robust discussion about the impact of allowing alcohol sales at its championships and voted to move forward with the proposal because it is a pilot project and involves other divisions. Data will be collected at the lacrosse championships to determine whether allowing alcohol sale and consumption inside the venue is safer and less troublesome than forbidding it.

b. Sport Science Institute (SSI) updates.

Management Council and Presidents Council. The Councils received a white paper on the proposed Independent Medical Care legislation. The white paper explains the origins, rationale and key components of the legislation. It discusses the potential impact of the legislation on athletics health care delivery at Division II and III member schools.

SSI also highlighted its nine strategic priorities including concussion, cardiovascular care and sexual assault prevention.

c. Litigation Update.

Management Council. The Councils received a litigation update. No action was necessary.
d. Governmental Relations Report.

Management and Presidents Councils. The Councils accepted the Governmental Relations Report. No action was necessary.

7. 2017 COMMITTEE AND SUBCOMMITTEE ASSIGNMENTS.

Management Council. The Council reviewed the current 2016 Committee/Subcommittee assignments. If Council members want a new assignment for 2017, they are to notify the governance staff. Council leadership will then review the proposed assignments and make final decisions.

8. DIVISION III PRESIDENTS COUNCIL NOMINATIONS SUBCOMMITTEE.

Presidents Council. The Presidents Council reviewed the report from its September 29 teleconference and approved the following:

- Approved the reappointments of Matthew Shank and Tori Murden McClure for an additional four-year term (January 2017 – January 2021).
- Appoint Jay Lemons to serve as chair of the Presidents Council effective January to June 2017.
- Appoint Al Cureton to serve as vice chair of the Presidents Council effective January to June 2017.
- Appoint Jeff Docking as vice chair elect of the Presidents Council and extend his term for an additional year.

9. ADJOURNAMENT.

Management Council. The Council meeting adjourned at 4:52 p.m. Monday, October 17 and 10:46 a.m. Tuesday, October 18.

Presidents Council. The Presidents Council meeting adjourned at 8:55 p.m. Wednesday, October 26 and 12:05 p.m. Thursday, October 27.
REPORT OF THE NCAA DIVISION III ADMINISTRATIVE COMMITTEE
OCTOBER 24, 2016, ELECTRONIC MAIL

ACTION ITEM.

- None.

INFORMATIONAL ITEMS.

- Postgraduate Scholarship Committee (Public member vacancy). The Administrative Committee approved the appointment of Aaron Braun, past postgraduate scholarship recipient from Adams State University, to fill its public member vacancy on the Postgraduate Scholarship Committee.

Committee Chair: Alan Cureton, University of Northwestern
Staff Liaisons: Dan Dutcher, Division III Governance
              Jay Jones, Division III Governance
              Debbie Kresge, Division III Governance
              Louise McCleary, Division III Governance

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<th>Attendees:</th>
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<tr>
<td>Alan Cureton, University of Northwestern, chair.</td>
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<td>Brit Katz, Millsaps College.</td>
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<td>Tracey Ranieri, State University of New York at Oneonta.</td>
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<td>Troy VanAken, Thiel College.</td>
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<td>Jay Lemons, Susquehanna University.</td>
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<th>NCAA Staff Support:</th>
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<td>Dan Dutcher, Jay Jones, Debbie Kresge and Louise McCleary</td>
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ACTION ITEM.

- None.

INFORMATIONAL ITEMS.

1. Governance Committee Appointment. The Administrative Committee approved the following committee appointment, effective at the close of the January 2017 NCAA Convention:

   - Division III Student-Athlete Advisory Committee – College Conference of Illinois and Wisconsin – Cedrick Fry, Carthage College.

2. Sportsmanship and Game Environment Initiative. The Administrative Committee approved the use of $500,000, including $450,000 in new dollars, during the 2016-17 year to develop a sportsmanship and game environment training program in conjunction with the Disney Institute.

   The working group’s primary goal is to create and sustain championship-level decorum and game environments at all Division III institutions. The training program’s three primary benefits for the Division III membership are: (1) a five-module online training program designed for each institution to evaluate, learn and improve on its game environment and customer service standards; (2) game service standards that will define the proper environment at Division III for all regular season and championships athletics contests; and (3) toolkits and training through the Disney Institute that will ensure that the game standards are understood and able to be carried out for institutions at all resource levels.

   In addition to an introductory section, the primary learning modules of the online program will be: an institutional self-assessment tool; Division III game service standards; tools for assistance with conflict resolution and bystander intervention; and a template to create an institutional action plan to institute positive changes where needed. The online program will also integrate input and tools created by the Division III Student-Athlete Advisory Committee.

   The estimated budget impact is $500,000 in 2016-17 and $250,000 annually in 2017-18 and 2018-19.
Committee Chair:  Alan Cureton, University of Northwestern
Staff Liaisons:  Dan Dutcher, Division III Governance  
Jay Jones, Division III Governance  
Debbie Kresge, Division III Governance  
Louise McCleary, Division III Governance

| NCAA Division III Administrative Committee         |
| November 15, 2016                                   |

| Attendees:                                          |
| Alan Cureton, University of Northwestern, chair     |
| Brit Katz, Millsaps College                          |
| Jay Lemons, Susquehanna University                   |
| Tracey Ranieri, State University of New York at Oneonta |
| Troy VanAken, Thiel College                          |

| NCAA Staff Support:                                  |
| Dan Dutcher, Jay Jones, Debbie Kresge and Louise McCleary |
AGENDA

National Collegiate Athletic Association
Board of Governors

Governors Ballroom A, E
Gaylord Opryland
Nashville, Tennessee

January 18, 2017
1:30 to 5 p.m.

1. Welcome and announcements. (President Bud Peterson)

2. Reports of the Board of Governors October 26, 2016, meeting and November 22, 2016, teleconference. [Supplement Nos. 1a and 1b]

3. NCAA President’s Report. (Information) (NCAA President Mark Emmert)

4. NCAA Board of Governors Ad Hoc Committees’ reports.
   a. Ad Hoc Committee to Promote Cultural Diversity and Gender Equity in Intercollegiate Athletics. (Information) (Bernard Franklin) [Supplement No. 2]
   b. Ad Hoc Committee on Structure and Composition. (Information) (President Alan Cureton) [Supplement No. 3]
   c. Commission to Combat Campus Sexual Violence. (Information) (Lieutenant General Robert Caslen) [Supplement No. 4]

5. NCAA Board of Governors Finance and Audit Committee report. (Chancellor Philip DiStefano)
   a. First quarter fiscal year 2016-17 budget-to-actual. (Information) [Supplement No. 5]
   b. Approval of new committee member, John Huesing for NCAA Board of Governors Finance and Audit Committee Investment Subcommittee. (Action) [Supplement No. 6]
   c. Approval of Restricted Reserve Investment Policy. (Action) [Supplement No. 7]

6. Creation of Association-wide Student-Athlete Engagement Committee. (Action) (Jackie Campbell) [Supplement No. 8]

7. Law, Policy and Governance Strategic Discussion.
a. Government relations. (Abe Frank) *(Information)* [Supplement No. 9]

b. Legal and litigation. (Scott Bearby) *(Information)* [Supplement No. 10]


8. NCAA Board of Governors’ Executive Committee report. (President Bud Peterson)

9. Executive Session.

10. Adjournment.
DIVISION III PHILOSOPHY STATEMENT

Colleges and universities in Division III place the highest priority on the overall quality of the educational experience and on the successful completion of all students’ academic programs. They seek to establish and maintain an environment in which a student-athlete's athletics activities are conducted as an integral part of the student-athlete's educational experience, and an environment that values cultural diversity and gender equity among their student-athletes and athletics staff.

(a) Expect that institutional presidents and chancellors have the ultimate responsibility and final authority for the conduct of the intercollegiate athletics program at the institutional, conference and national governance levels;

(b) Place special importance on the impact of athletics on the participants rather than on the spectators and place greater emphasis on the internal constituency (e.g., students, alumni, institutional personnel) than on the general public and its entertainment needs;

(c) Shall not award financial aid to any student on the basis of athletics leadership, ability, participation or performance;

(d) Primarily focus on intercollegiate athletics as a four-year, undergraduate experience;

(e) Encourage the development of sportsmanship and positive societal attitudes in all constituents, including student-athletes, coaches, administrative personnel and spectators;

(f) Encourage participation by maximizing the number and variety of sport offerings for their students through broad-based athletics programs;

(g) Assure that the actions of coaches and administrators exhibit fairness, openness and honesty in their relationships with student-athletes;

(h) Assure that athletics participants are not treated differently from other members of the student body;

(i) Assure that student-athletes are supported in their efforts to meaningfully participate in nonathletic pursuits to enhance their overall educational experience;

(j) Assure that athletics programs support the institution’s educational mission by financing, staffing and controlling the programs through the same general procedures as other departments of the institution. Further, the administration of an institution’s athletics program (e.g., hiring, compensation, professional development, certification of coaches) should be integrated into the campus culture and educational mission;
(k) Assure that athletics recruitment compiles with established institutional policies and procedures applicable to the admission process;

(l) Exercise institutional and/or conference autonomy in the establishment of initial and continuing eligibility standards for student-athletes;

(m) Assure that academic performance of student-athletes is, at a minimum, consistent with that of the general student body;

(n) Assure that admission policies for student-athletes comply with policies and procedures applicable to the general student body.

(o) Provide equitable athletics opportunities for males and females and give equal emphasis to men’s and women’s sports;

(p) Support ethnic and gender diversity for all constituents;

(q) Give primary emphasis to regional in-season competition and conference championships; and

(r) Support student-athletes in their efforts to reach high levels of athletics performance, which may include opportunities for participation in national championships, by providing all teams with adequate facilities, competent coaching and appropriate competitive opportunities.

The purpose of the NCAA is to assist its members in developing the basis for consistent, equitable competition while minimizing infringement on the freedom of individual institutions to determine their own special objectives and programs. The above statement articulates principles that represent a commitment to Division III membership and shall serve as a guide for the preparation of legislation by the division and for planning and implementation of programs by institutions and conferences.
DIVISION III STRATEGIC POSITIONING PLATFORM

NCAA Mission
What the brand wants to accomplish
To govern competition in a fair, safe, equitable and sportsmanlike manner, and to integrate intercollegiate athletics into higher education so that the educational experience of the student-athlete is paramount.

DIII Positioning Statement
Follow your passions and discover your potential. The college experience is a time of learning and growth – a chance to follow passions and develop potential. For student-athletes in Division III, this happens most importantly in the classroom and through earning an academic degree. The Division III experience provides for passionate participation in a competitive athletics environment, where student-athletes push themselves to excellence and build upon their academic success with new challenges and life skills. And student-athletes are encouraged to pursue the full spectrum of opportunities available during their time in college. In this way, Division III provides an integrated environment for student-athletes to take responsibility for their own paths, follow their passions and find their potential through a comprehensive educational experience.

DIII Attributes
What we stand for

| Proportion: | appropriate relation of academics with opportunities to pursue academics and other passions. |
|            | Comprehensive Learning: opportunity for broad-based education and success. |
|            | Passion: playing for the love of the game, competition, fun and self-improvement. |
|            | Responsibility: development of accountability through personal commitment and choices. |
|            | Sportsmanship: fair and respectful conduct toward all participants and supporters. |
|            | Citizenship: dedication to developing responsible leaders and citizens in our communities. |

NCAA Brand Attributes
Balance Learning Spirit Character Fair Play Community

Audiences
Who we are addressing
Student-Athletes / Parents
DIII Internal Constituencies
General Public / Media

Audience Benefits
Key benefits of the DIII experience

- Continue to compete in a highly competitive athletics program and retain the full spectrum of college life. - Focus on academic achievement while graduating with a comprehensive education that builds skills beyond the classroom. - Access financial aid for college without the obligations of an athletics scholarship. - Opportunities to play more than one sport. - Be responsible for your own path, discover potential through opportunities to pursue many interests.

- Academics are the primary focus for student-athletes. Shorter practice and playing seasons, no red-shirting and regional competition minimize time away from their academic studies and keep student-athletes on a path to graduation. - Student-athletes are integrated on campus and treated like all other members of the general student-body, keeping them focused on being a student first. - Participation in athletics provides valuable "life lessons" for student-athletes (teammwork, discipline, perseverance, leadership, etc.), which often translate into them becoming better students and more responsible citizens.

- Division III institutions develop student-athlete potential through a comprehensive educational approach. - Division III institutions offer athletics for the educational value and benefit to the student-athlete, not for the purposes of revenue generation or entertainment. - Participation in athletics provides valuable "life lessons" for student-athletes (teammwork, discipline, perseverance, leadership, etc.), which often translate into them becoming better students and more responsible citizens. - Student-athletes compete in a highly competitive athletics program and retain the full spectrum of college life. - Student-athletes do not receive monetary incentive to play sports but rather participate for the love of the game.

Reasons to Believe
Supporting features of DIII

1. Comprehensive educational experience. Division III institutions develop student-athlete potential through a holistic educational approach that includes rigorous academics, competitive athletics and opportunity to pursue other interests and passions.
2. Integrated campus environment. About one-quarter of all students at Division III institutions participate in athletics. Those participating in athletics are integrated into the campus culture and educational missions of their colleges or universities:
   - Student-athletes are subject to admission and academic performance standards consistent with the general student body;
   - Student-athletes are not provided any special housing, services or support from their institution different from other students or student groups;
   - Athletics departments are regulated and managed through the same general procedures and practices as other departments of the institution.
   This integration of athletics allows the student-athletes to take full advantage of the many opportunities of campus life and their entire collegiate experience.
3. Academic focus. Student-athletes most often attend a college or university in Division III because of the excellent academic programs, creating a primary focus on learning and achievement of their degree. The division minimizes the conflicts between athletics and academics through shorter playing and practice seasons, the number of contests, no red-shirting or out-of-season organized activities, and a focus on regional in-season and conference play.
4. Available financial aid. Three-quarters of all student-athletes in Division III receive some form of grant or non-athletics scholarship. Student-athletes have equal opportunity and access to financial aid as the general student body – but are not awarded aid based on athletics leadership, ability, performance or participation. - Division III does not award athletics scholarships. Without the obligation of an athletics scholarship, student-athletes can emphasize academics, athletics and other opportunities of college life appropriate to the necessary commitment and their own passions.
5. Competitive athletics programs. Student-athletes do not receive any monetary incentive (athletics scholarship) to play sports in college. They play for the love and passion of the game and to push themselves to be their best, creating an intense, competitive athletics environment for all who participate.
6. National championship opportunities. Division III has more than 170,000 student-athletes competing annually, with access to 38 different national championships. These competitions provide an opportunity for student-athletes to compete at the highest level and fulfill their athletics potential.
7. Commitment to athletics participation. Division III institutions are committed to a broad-based program of athletics because of the educational value of participation for the student-athlete. The division has a higher number and wider variety of athletics opportunities on average than any other division in the NCAA, emphasizing both competitive men's and women's sports.
ACTION ITEMS.

1. Legislative Items.
   - None.

2. Nonlegislative.
   - Sportsmanship and Game Environment Initiative.
     
(1) **Recommendation.** Approve the use of $500,000, including $450,000 in new dollars, during the 2016-17 budget year to develop a sportsmanship and game environment training program in conjunction with the Disney Institute. [Attachments A and B] [NOTE: The Administrative Committee approved this recommendation on behalf of the Presidents and Management Councils on November 15, 2016. See Supplement No. 04b.]

(2) **Effective date.** Immediately.

(3) **Rationale.** The working group’s primary goal is to create and sustain championship-level decorum and game environments at all Division III institutions. The training program’s three primary benefits for the Division III membership will be: (1) a five-module online training program designed for each institution to evaluate, learn and improve on its game environment and customer service standards; (2) game service standards that will define the proper environment at Division III for all regular season and championships athletics contests; and (3) toolkits and training through the Disney Institute that will ensure that the game standards are understood and able to be carried out for institutions at all resource levels.

In addition to an introductory section, the primary learning modules of the online program will be: an institutional self-assessment tool; Division III game service standards; tools for assistance with conflict resolution and bystander intervention; and a template to create an institutional action plan to affect positive changes where needed. The online program will also integrate input and tools created by the Division III Student-Athlete Advisory Committee.

The committee also emphasized the need to identify and incorporate evaluation criteria with the implementation of the initiative.

(4) **Estimated budget impact.** $500,000 in 2016-17 and $250,000 annually in 2017-18 and 2018-19.

(5) **Estimated student-athlete impact.** An improved game environment that will positively impact the student-athlete experience.
INFORMATIONAL ITEMS.

1. **Report from the June 27, 2016, teleconference.** The committee approved the report from its June 27, 2016, teleconference.

2. **Budget.** The committee reviewed the 2015-16 final budget, the 2016-17 budget-to-actual report as of September 30, 2016, and the future budget model. The budget-to-actual report reflects a three-year comparison with an additional column for charter expenses. The 2015-16 final budget reflected a $4 million surplus due to a higher than budgeted revenue and lower fuel costs for championship travel. The higher surplus has positively impacted the long-term future budget model. The final year, 2023-24, is the only year currently reflecting a deficit.

3. **Discuss Potential 2017-19 Budget Initiatives.**
   a. **Championships.** The committee reviewed an excerpt from the Championships Committee’s September in-person meeting report and requested NCAA staff provide a financial analysis on the following championship priorities, to be evaluated during Strategic Planning and Finance Committee’s March 2017 in-person meeting as part of the 2017-19 budget planning process:
      - **Expand bracket/field sizes** – 2017-18 bracket expansion for team sports based on legislated access ratio and analysis of field size expansion for individual sports where merited.
      - **Increase team travel party size** – increase by 10% across all sports or evaluate select sports based on average squad size among sponsoring institutions and those participating in the championship.
      - **Reimburse teams flying to a championship site for local ground transportation** – various ways to implement: (1) full expense; (2) fixed amount for duration of travel; and (3) fixed amount by travel day.
      - **Add day off** – implement a day of rest between semifinal and final rounds of team sports (if appropriate); requires an additional day of per diem.
      - **Increase officials’ fees** – institute increases gradually (e.g., two to three percent in a given year).
      - **Sport committee initiatives** – support various sport-specific operations or championship format requests.
   b. **Nonchampionships.**
      (1) **Senior Woman Administrator Program.** The committee received an update on the Division III senior woman administrator (SWA) program held October 8-9, 2016, in conjunction with the NACWAA Rally, noting 28 participants. The primary expectations met included networking and learning from others; education on expectations in the SWA role; and a focus on career advancement. During its March in-person meeting, the committee will evaluate funding this program during the next budget biennium.
(2) Faculty Athletics Representative (FAR) Institute. The committee received an update on the FAR Institute noting that $80,000 is currently budgeted for this program.

(3) Identity Initiative. The committee noted that the division recently signed a three-year contract extension (2016-19) with Source One Digital, which manages the Division III Purchasing Website. In September, a new $500 credit was offered to institutions and conferences for purchases during the 2016-17 year.

(4) Diversity and Inclusion. The committee reviewed a draft Division III hiring resource guide, noting the working group’s goal to have it available for the entire membership at the 2017 Convention.

4. Division III Conference Grant Program. During 2015-16, Division III distributed a total of $2,521,326 Strategic Initiative Grant dollars to 43 conferences plus the Association of Division III Independents. The amount each conference received ranged from $44,889 to $88,419 with an average distribution of $57,750. No unused funds were returned to the NCAA from conference offices this year.

During the review of the impact forms, the staff found that seven conferences misused grant funds, based on the established Conference Grant Policies and Procedures. The subcommittee reviewed these findings and issued a warning letter regarding the following concerns:

a. Capital Athletic Conference (CAC).

Issue: Tier One – Compliance. There is a Tier One annual requirement to spend some dollars in the area of “compliance and rules seminar education.” For 2015-16, there was no spending identified in this area.

b. Division III Association of Independents (Independents).

Issue No. 1: Tier One – sports information director (SID). Within Tier One, there is a requirement to spend at least $1,000 per year for member institutions’ SIDs. The Independents did not spend any money on SIDs during 2015-16.

Issues No. 2: Tier One – senior woman administrator (SWA). There is a Tier One annual requirement to spend some dollars in the area of “campus senior woman administrators.” The Independents did not spend any money on SWAs during 2015-16.

c. Great Northeast Athletic Conference (GNAC).

Issue: Tier One – faculty athletics representative (FAR). The GNAC did not report any money spent for an FAR, which is an annual spending requirement within the tier.
d. Heartland Collegiate Athletic Conference (Heartland).

Issue: Tier One – Ethnic Minority. Within Tier One, there is a biennial requirement to spend some dollars in the area of “ethnic minority professional development”. The Heartland did not spend any money in this area during 2014-15 or 2015-16.

e. New England Collegiate Conference (NECC).

Issue: Tier One – FAR. The NECC did not report any money spent for an FAR, which is an annual spending requirement within the tier.

f. Northern Athletic Conference.

Issue: Tier One – FAR. The Northern Athletics Conference did not report any money spent for an FAR, which is an annual spending requirement within the tier.

g. Upper Midwest Athletic Conference (UMAC).

Issue: Tier One – Ethnic Minority. Within Tier One, there is a biennial requirement to spend some dollars in the area of “ethnic minority professional development”. The UMAC did not spend any money in this area during 2014-15 or 2015-16.

5. 2016 Women’s Basketball Joint Championship. The committee reviewed a financial summary from the 2016 combined women’s basketball championship, noting it was underbudget by 51.7% primarily due to favorable team travel charges.

6. Hot Topics.

a. NCAA Board of Governors updates. The committee received an update on recent Board of Governors actions, primarily: (1) Presidents Diversity Pledge; (2) championships and event policy (i.e., North Carolina); (3) alcohol pilot program at Division III men’s lacrosse; and (4) resolution on roles, responsibilities and composition.

b. Institutional Performance Program (IPP). The committee received an update on the IPP noting it officially launched in October.

c. 2017 Legislative Proposals. Staff noted the Division III legislative proposals for the 2017 NCAA Convention.

7. Future Meetings. The Strategic Planning and Finance Committee will hold its in-person meeting Tuesday, March 21 from 8 a.m. to 2 p.m. at the NCAA national office.
8. **Adjournment.** The meeting adjourned at 11:30 a.m.

Committee Chair: Jay Lemons, Susquehanna University, Landmark Conference  
Staff Liaisons: Louise McCleary, Division III Governance  
               Dan Dutcher, Division III Governance  
               Eric Hartung, Research  
               Jay Jones, Division III Governance  
               Jeff Myers, Academic and Membership Affairs  
               Jeff O’Barr, Administrative

<p>| NCAA Division III Strategic Planning and Finance Committee |</p>
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<td><strong>Attendees:</strong></td>
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<td>Gail Cummings-Danson, Skidmore College</td>
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<td>Jeff Docking, Adrian College</td>
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<td>Candice Poiss Murray, North Eastern Athletic Conference</td>
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<td>Brian Wigley, Shenandoah University</td>
</tr>
<tr>
<td>Dave Wolk, Castleton University</td>
</tr>
<tr>
<td>Greg Woods, Springfield College</td>
</tr>
<tr>
<td>Gerald Young, Carleton College</td>
</tr>
<tr>
<td><strong>Absentees:</strong></td>
</tr>
<tr>
<td>Troy VanAken, Elmhurst College</td>
</tr>
<tr>
<td><strong>NCAA Staff Support in Attendance:</strong></td>
</tr>
<tr>
<td>Dan Dutcher, Reed Fogle, Jay Jones, Debbie Kresge and Louise McCleary.</td>
</tr>
<tr>
<td><strong>Other NCAA Staff Members in Attendance:</strong></td>
</tr>
<tr>
<td>Brian Burnsed, Eric Hartung, Jeff Myers, Jeff O’Barr, Adam Skaggs and Liz Suscha.</td>
</tr>
</tbody>
</table>
CREATING AND SUSTAINING A CHAMPIONSHIP CULTURE

A GAME PLAN DOCUMENT FOR

NCAA DIVISION III
THE FAN EXPERIENCE

The secret to exceeding your fans’ expectations every time is not about doing any one thing 1,000% better; it's doing many things throughout the fan experience just a little bit better. When combined, these details in your customer touchpoints create magic.

Walt Disney understood this and had an eye for detail, a deep understanding of what his Guests (customers) wanted, the know-how to execute his vision, and the ability to engage employees to perform their best toward that vision.

Walt created an effective corporate culture founded in values-based leadership, where employees are recognized for their achievements and encouraged to work as a team to exceed the expectations in our customer experiences around the world.

As one of the most recognized names in the industry, Disney Institute empowers organizations to create lasting change by bridging insight into action through Disney Best Practices.

Disney Institute would be honored to help NCAA Division III (“NCAA DIII”) achieve your goals. We invite you to experience the business behind the magic and “D”Think” your way to success.

TOUCHPOINTS DURING A SINGLE CUSTOMER EXPERIENCE

Positive Experience
Neutral Experience
Negative Experience

Quality service is the cumulative result of things going right at every possible touchpoint.
## The Business Case

Based on leading research, there are three areas that are among the most pressing business challenges today:

<table>
<thead>
<tr>
<th>Quality Service</th>
<th>Employee Engagement</th>
<th>Leadership Excellence</th>
</tr>
</thead>
<tbody>
<tr>
<td>What is the Priority Level?</td>
<td>Why is it a Critical Success Factor?</td>
<td>How Far is the Gap?</td>
</tr>
<tr>
<td>Creating a strong, customer-centric culture is the #1 strategy favored by CEOs globally.</td>
<td>Front-line staff is critical to solving this challenge because they represent your brand. Enabling them to exceed customer expectations consistently is key.</td>
<td>“...[The vast majority of companies struggle to tie customer experience investments to business outcomes.”</td>
</tr>
<tr>
<td>Employee Engagement is one of the top two issues for HR.</td>
<td>A good company culture is at the center of solving many issues. Without an engaged workforce, improving customer experience and other goals are practically impossible.</td>
<td>Companies that have good cultures consistently outperformed the S&amp;P 500, while those known for poor cultures underperformed.</td>
</tr>
<tr>
<td>86% rated leadership as “urgent” or “important.”</td>
<td>Only 13% say they do an “excellent” job in providing leadership development at all levels.</td>
<td>Only 15% believe they are ready to address it.</td>
</tr>
</tbody>
</table>

### Sources:

THE DISNEY INSTITUTE DIFFERENCE

PRACTICAL
We shift perspectives by showcasing key business insights and real-world illustrations from our operations that showcase what success looks like.

ACTIONABLE
We drive results and sustainability by facilitating dialogue, acting as coaches, and developing action plans to implement new ideas, so approaches do not fade over time.

INSPIRATIONAL
We leverage storytelling to inspire action and involve all levels to empower and align the team. This process enables maximum collaboration so everyone has ownership and connection throughout the journey.

AUTHENTIC
Our team members are true “insiders.” Well-versed in business and passionate about Disney, they have worked in a variety of fields from marketing, sports, sales, retail, resorts, and guest relations, to HR, training, and entertainment.
**OUR COMPREHENSIVE APPROACH**

As part of our comprehensive approach, we include all levels of NCAA DIII, giving each group the information they need to solve their problems to take your organization to the next level.

- At the **executive level**, we work to transform your senior leaders’ thinking by helping them understand our strategies.
- We work with your **mid-level managers** to benchmark our best practices and help them inspire and engage your front-line leaders and staff.
- With your company’s **front-line leaders and staff**, we train them to develop the skills and behaviors necessary to bring NCAA DIII’s vision to life.

<table>
<thead>
<tr>
<th>AUDIENCE</th>
<th>GAP/ NEED</th>
<th>SOLUTION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Executives</td>
<td>Transformational “Thinking”</td>
<td>Understand Strategies</td>
</tr>
<tr>
<td>Mid-Level Managers</td>
<td>Organizational “Enhancements”</td>
<td>Benchmark Best Practices</td>
</tr>
<tr>
<td>Front-Line Leaders &amp; Staff</td>
<td>Performance “Upskill”</td>
<td>Train Skills &amp; Behaviors</td>
</tr>
</tbody>
</table>
INSIGHTS-BASED APPROACH

Disney Institute uses a unique insights-based approach. Insights are designed to be thought provoking and challenge you to think differently to create solutions to your specific business challenges.

Our Approach Focuses on:
- **Prioritizing key themes** that emerge as we discover your company’s key goals and objectives
- **Identifying the frustration or problems** your company may be facing that are barriers to those goals and objectives
- **Showcasing a key insight, or belief**, on how businesses tackle that problem
- **Discovering a Disney Best Practice** through illustrations that may include:
  - Field Experiences
  - Video Case Studies
  - Experiential Activities
  - Interactive Storytelling
- **Adapting and applying those insights and illustrations** in a way that is relevant to your company

**DISNEY INSTITUTE’S DEFINITION OF “OVERMANAGE”**

Overmanage is not the same as micromanage.

**DISNEY INSTITUTE THESIS**

“Disney’s consistent business results are driven by overmanaging certain things that most companies under manage or ignore—and that is a key source of what differentiates us. We have learned to be intentional where others are unintentional.”

**SAMPLE INSIGHT**

“Recognition is more powerful than most organizations can imagine.”
HOW WE THINK

The premise for how we can work together begins with understanding Disney’s “Chain of Excellence.” Each link of the chain is interdependent, and together the links drive business results and forge an enduring bond between inspired leaders, motivated employees, and satisfied customers. This drives financial results and sustains years of repeat business.

DISNEY’S CHAIN OF EXCELLENCE

Leadership Excellence
Effective Disney leaders create an environment in which both internal and customer-facing employees can thrive and deliver superior performance.

Cast Excellence
Disney leaders create superior employee performance through a culture where Disney Cast Members receive effective feedback, as well as have the authority to give input, and make and implement decisions.

Guest Satisfaction
When the Disney Cast is coached and empowered to make memories that last a lifetime through our Service Framework, Guests rate their experience with us higher.

Business Results
Tested data has shown that all of these elements are inextricably linked and drive results.
HOW WE WORK

As Disney's insights and illustrations are what we teach, how we work comes together in our time-tested Engagement Model. This model bridges insights into sustained action and empowers organizations to create a lasting initiative.

OUR ENGAGEMENT MODEL

UNDERSTAND & PRIORITIZE
Your Needs
We go beyond the typical advisory assessment to gain an understanding of your organization so that we can make a real impact.

OPERATIONALIZE & SUSTAIN for Ongoing Success
To sustain your desired initiative for the long term, Disney Institute provides sustainment strategies, ongoing coaching, and skills-based training.

ADAPT & APPLY Disney Best Practices
This is when the real magic happens, where your organization begins to determine for itself which Disney approaches/benchmarks make sense for NCAA DIII.

REORIENT & UPSKILL Your Teams
The key to rolling out the initiative is an inspiring and motivational launch. This is when Disney Institute engages your leaders, managers, and front-line staff to embrace the initiative and focus on the goals and objectives established.

Your Disney Institute Engagement Team supports and energizes your organization by:
- Acting as coaches
- Enabling the creation of unique content
- Advising you through the Disney perspective
Disney Institute has developed the following timeline as an engagement resource for NCAA DIII.

**The Game Plan**

**Continuous Communication & Leader Alignment (Throughout Journey)**

**Understand & Prioritize**
- **Discovery Visit**
  - 2 Athletic Departments, TBD
  - 3 Days
- **Common Purpose & Service Standards Creation**
  - Walt Disney World® Resort
  - 2 Days

Nov. 2016 → Late Jan. 2017

**Adapt & Apply**
- **Service Standards & Behaviors Creation**
  - Indianapolis, IN
  - 2 Days
- **Pilot Service Framework #1**
  - Athletic Department, TBD
  - 2 Days

Feb. 2017 → Late Feb. 2017

**Re-Orient & Upskill**
- **Pilot Service Framework #2**
  - Athletic Department, TBD
  - 2 Days
- **Storytelling and Support of Service Framework**
  - Indianapolis, IN
  - 2 Days

Early Mar. 2017 → Late Mar. 2017

**Ambassador Engagement Session**
- Walt Disney World® Resort
  - 2 Days
- **E-Learning Rollout**
  - Virtual

Jul. 2017 → Aug. 2017

**Continuous Communication & Leader Alignment (Throughout Journey)**
THE GAME PLAN (CONTINUED)

Disney Institute has developed the following timeline as an engagement resource for NCAA DIII.

Continuous Communication & Leader Alignment (Throughout Journey)

DIII Game Environment Summit
- Walt Disney World® Resort
- 2 Days
- Jul, 2018

Regional Game Environment Summit
- TBD
- 1.5 Days
- Jul, 2018

Regional Game Environment Summit
- TBD
- 1.5 Days
- Jul, 2018

DIII Game Environment Summit
- Walt Disney World® Resort
- 2 Days
- Jul, 2019

Regional Game Environment Summit
- TBD
- 1.5 Days
- Jul, 2019

Regional Game Environment Summit
- TBD
- 1.5 Days
- Jul, 2019

Continuous Communication & Leader Alignment (Throughout Journey)
### Proposed Engagement Details

<table>
<thead>
<tr>
<th>Timeline</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Discovery Visit</strong>&lt;br&gt;Nov. 2016&lt;br&gt;2 Athletic Departments, TBD (2 Days)</td>
<td>During your Discovery Visit, Disney Institute will:&lt;br&gt;• Observe the game day experiences at these schools and conduct interviews (private and group) with Athletics department staff members. These interviews will:&lt;br&gt;  o Offer opportunities to delve deeper into common themes and opportunities.&lt;br&gt;  o Entertain nuances of opinion and perception of the current NCAA DIII organizational culture from individual points of view.&lt;br&gt;• Review existing customer and employee satisfaction metrics, as well as leadership behavioral expectations, current customer service models, and existing customer service training.</td>
</tr>
<tr>
<td><strong>Common Purpose &amp; Service Standards Creation</strong>&lt;br&gt;Late Jan. 2017&lt;br&gt;Walt Disney World Resort (2 Days)</td>
<td>In your Common Purpose &amp; Service Standards Creation session, Disney Institute will help your leaders create important components of a Service Framework for the DIII Fan Experience, which include a Common Purpose and Service Standards. During the session, your Disney Institute team will:&lt;br&gt;• Explain our journey together and how these deliverables fit into a larger picture.&lt;br&gt;• Present a brief overview of how Disney approaches these deliverables.&lt;br&gt;• Work on the cornerstone of a Service Framework for NCAA DIII (A Common Purpose and Service Standards).&lt;br&gt;For more detailed information regarding your Service Framework, see page 13 of this document.</td>
</tr>
<tr>
<td><strong>Service Standards &amp; Behavioral Guidelines Creation</strong>&lt;br&gt;Feb. 2017&lt;br&gt;Indianapolis, IN (2 Days)</td>
<td>In your Service Standards &amp; Behavioral Guidelines Creation session, Disney Institute will help your leaders complete the remaining components of a Service Framework for NCAA DIII, which include prioritizing Service Standards and creating Behavioral Guidelines. During the session, your Disney Institute team will:&lt;br&gt;• Explain our journey together and how these deliverables fit into a larger picture.&lt;br&gt;• Present a brief overview of how Disney approaches these deliverables.&lt;br&gt;• Finalize the previously drafted Service Standards for NCAA DIII.&lt;br&gt;• Help your leaders in developing defined Behavioral Guidelines for each Service Standard that support a consistent, service-centric customer experience.&lt;br&gt;For more detailed information regarding your Service Framework, see page 13 of this document.</td>
</tr>
<tr>
<td><strong>Service Framework Pilot #1</strong>&lt;br&gt;Late Feb. 2017&lt;br&gt;TBD (2 Days)</td>
<td>Once we have finalized the Service Framework together, the next step is to work with different DIII Athletic Departments to put the Service Framework into action. Through this process your Disney Institute team will:&lt;br&gt;• Gather best practices&lt;br&gt;• Gain illustrations for practical use of the Service Framework&lt;br&gt;• Uncover obstacles to uptake so we can adjust messaging for when it is communicated out to the rest of the DIII Athletic Departments&lt;br&gt;• Create advocates for the Service Framework with the Pilot Athletic Departments</td>
</tr>
<tr>
<td>Event</td>
<td>Date</td>
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<td>----------------------------------------------------------------------</td>
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</tbody>
</table>
| **Service Framework Pilot #2**                                        | Early Mar. 2017| TBD               | (2 Days)   | Once we have finalized the Service Framework together, the next step is to work with different DIII Athletic Departments to put the Service Framework into action. Through this process your Disney Institute team will:  
  • Gather best practices  
  • Gain illustrations for practical use of the Service Framework  
  • Uncover obstacles to uptake so we can adjust messaging for when it is communicated out to the rest of the DIII Athletic Departments  
  • Create advocates for the Service Framework with the Pilot Athletic Departments |
| **Storytelling and Support of Service Framework**                      | Early April 2017| Indianapolis      | (2 Days)   | In your Compilation & Storytelling of Service Framework session, your Disney Institute team will:  
  • Work with the NCAA DIII Fan Experience team to adapt and apply DIII illustrations to the DIII Service Framework.  
  • Incorporate lessons learned from Service Framework Pilot #1 and Service Framework Pilot #2 into content for DIII Service Framework.  
  • Facilitate conversation around storytelling components to help NCAA DIII communicate their Service Framework in a vivid and imaginative way.  
  • Discuss accountability for schools to use the Service Framework.  
  • Discuss recognition to recognize athletic departments excelling in their use of the Service Framework.  
  • Discuss strategies to incentivize athletic departments to use the Service Framework  
  • Discuss additional support offerings for schools expressing deeper support |
| **Ambassador Engagement Session**                                      | July 2017     | Walt Disney World® Resort | (2 Days)   | The mission of the Ambassador Engagement Session is to develop culture advocates who can serve as trainers and “super users” to lead and role model the NCAA DIII’s enhanced service culture. Disney Institute will facilitate a deep dive immersion in your Service Framework at a local level and Disney’s approach to the customer experience and world-class service.  
  • Training will include content from Disney’s Approach to Quality Service and the NCAA DIII Service Framework.  
  • Training will also focus on how to become a better trainer and facilitator from Disney’s Facilitation Skills program.  
  • The result will be a group of skilled and motivated trainers who will serve as coaches and motivate their colleagues to embrace their roles in the service initiative. |
| **DIII Fan Experience Summit**                                         | July 2018     | Walt Disney World® Resort | (2 Days)   | It is critical for both leaders and staff to understand that a service-focused culture is not just about an event, but about excellence in service every day and in every interaction, driving consistency and accountability. In your DIII Fan Experience Summit, your Disney Institute engagement team will:  
  • Conduct high-energy rollout sessions for all employees and staff, introducing and reinforcing the newly designed NCAA DIII Service Framework.  
  • Explain how to apply your Service Framework to all roles and responsibilities.  
  • Provide Disney-proven insights on how to integrate the appropriate behaviors in your employees’ daily roles to drive increased employee engagement and consistent, exceptional service delivery. |
<p>| <strong>Regional Game Environment Summit #1</strong>                                | July 2018     | Walt Disney World® Resort | (1.5 Days) | A condensed, regionally located version of the DIII Fan Experience Summit. This version of the Summit will be created to offer an additional date for those schools interested in creating a service mindset, but who may have had a conflict in attending the DIII Fan Experience Summit at the Walt Disney World® Resort. |</p>
<table>
<thead>
<tr>
<th>Event Name</th>
<th>Date</th>
<th>Location</th>
<th>Duration</th>
<th>Description</th>
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<tr>
<td>Regional Game Environment Summit #2</td>
<td>July 2018</td>
<td>Walt Disney World® Resort</td>
<td>1.5 Days</td>
<td>A condensed, regionally located version of the DIII Fan Experience Summit. This version of the Summit will be created to offer an additional date for those schools interested in creating a service mindset, but who may have had a conflict in attending the DIII Fan Experience Summit at the Walt Disney World® Resort.</td>
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</tbody>
</table>
**Engagement Outcomes**

**The Service Framework**

A **Service Framework** is the foundation of building a service-focused culture. A Service Framework consists of a Common Purpose, Service Standards, and Behavioral Guidelines.

A **Common Purpose** defines an organization’s purpose, aligns that purpose with customer expectations, and communicates that alignment to employees. A Common Purpose is the essential foundation on which all other service decisions can be developed.

**Service Standards** are those operating priorities that ensure a consistent and high-quality customer service experience.

Each of these Service Standards has **Behavioral Guidelines** that are clearly defined and measurable, and are aligned to create a world-class customer service experience.
HELPING COMPANIES AROUND THE WORLD

Since the founding of Disney Institute in 1986, millions of professionals from 45 countries have experienced the time-tested best practices, sound methodologies, and real-life business lessons that have sustained Disney’s global success.

BROOKLYN NETS/BARCLAYS CENTER CASE STUDY

Situation:
Barclays Center is a multi-purpose indoor arena in Brooklyn, N.Y., that is home to the Brooklyn Nets and host to concerts, conventions, and sporting events. The Brooklyn Nets’ leadership saw an opportunity to differentiate the arena by providing outstanding customer service from the moment the doors opened. With help from Disney Institute, Barclays Center established a customer service leadership model designed to make each guest’s visit to Barclays Center memorable.

Disney Institute Solution:
Senior leadership from the Brooklyn Nets, AEG, and Levy Restaurants began work with Disney Institute to develop a methodology for delivering top-notch service to Barclays Center guests by uniting the different business units around a common service framework. They created the “Brooklyn’s Best” service strategy that unites expectations, language, symbols, stories, and values for all employees. This in turn creates a seamless, well-organized experience for guests.

Key Results:
The finishing touches were put on the arena, and it opened to the public in September 2012. The arena itself has received accolades for its architecture, but as one visitor noted, the thing that truly sets the Barclays Center apart is the staff: “It’s unlike anything we’ve experienced. Helpful isn’t the word. Gracious is. So is proud... We had one staffer walk us the entire length of the arena to help us get where we’re going.”

Brooklyn Nets owner Bruce Ratner said that of all the things at the Barclays Center, he is most proud of the arena staff, stating: “The No. 1 compliment I get about the arena is about the people who work there. They’re friendly, helpful, and so on. I’m probably proudest of that among anything else.”

ORLANDO MAGIC/AMWAY CENTER CASE STUDY

Situation:
Faced with mediocre customer service results and a scheduled relocation to the newly built Amway Center, the Orlando Magic basketball organization turned to Disney Institute to help introduce a new service-oriented culture to its nearly 1,000 employees.

Disney Institute Solution:
After meeting with the Orlando Magic’s senior management, Disney Institute recommended a series of sessions about leadership excellence, all designed to improve the fan experience and positively impact the bottom line. In all, nearly 1,000 employees attended the Disney Institute sessions.

Key Results:
✓ By implementing a wide range of Disney Best Practices, the Orlando Magic saw employee morale soar and customer service satisfaction results jump to 95%
✓ Amway was honored with the 2013 Customer Experience Award during the Stadium Business Awards presentation in Manchester, England. It was the largest dedicated meeting in the world of the owners, operators, and developers of the world’s leading stadiums, arenas, and major sports venues.
✓ Amway Center was named “Sports Facility of the Year” in 2012 by the Sports Business Journal.
YOUR INVESTMENT

Below is the investment for our potential engagement, based on our recent conversations.

<table>
<thead>
<tr>
<th>ROADMAP</th>
<th>TOTAL COST</th>
</tr>
</thead>
<tbody>
<tr>
<td>Discovery Visit <em>(2 Athletic Departments, TBD)</em></td>
<td></td>
</tr>
<tr>
<td>Common Purpose &amp; Service Standards Creation <em>(Walt Disney World® Resort)</em></td>
<td></td>
</tr>
<tr>
<td>Service Standards &amp; Behaviors Creation <em>(Indianapolis, IN)</em></td>
<td>$380,800</td>
</tr>
<tr>
<td>Pilot Service Framework #1 <em>(Athletic Department, TBD)</em></td>
<td></td>
</tr>
<tr>
<td>Pilot Service Framework #2 <em>(Athletic Department, TBD)</em></td>
<td></td>
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<td>$119,600</td>
</tr>
</tbody>
</table>

Changes to the scope and/or length will affect pricing. Pricing subject to change until signed contract is received. All pricing is in USD.

PRICING DETAILS

**Pricing Includes:**
- Design and development time
- Delivery of advisory and training services by Disney Institute team member(s)
- Materials (as needed)
- Coordination of logistics for services

**Pricing Does Not Include:**
- Audio/visual requirements
- Food and beverage
- Venue rental fees
- Reimbursable travel expenses for each Disney Institute Engagement team member:
  - Coach class airfare to and from your site(s)
  - Accommodations
  - $125 per day for ground transportation, meals, and incidentals
- Applicable taxes
“You can design and create, and build the most wonderful place in the world. But it takes people to make the dream a reality.”

—Walt Disney

We are honored to have the potential opportunity to further work with your team. Please take a moment to review the ground rules on the next page that lay the foundation as we continue our journey. We look forward to working with NCAA Division III on this initiative to create and sustain a championship culture!

Thank You!

Jeff B. Leiken
Account Director
407.566.6506 office
407.765.0876 mobile
jeff.b.leiken@disney.com

David Millay
Account Manager
407.566.6407 office
321.217.3009 mobile
david.millay@disney.com
GROUND RULES

As a necessary formality, we would like to confirm the basis on which we are proceeding with our discussions. Accordingly, by continuing our discussions, each party agrees to the following ground rules:

1) During our discussions, neither party will be exchanging any confidential or proprietary information, and any ideas or information shared between the parties may be used or disclosed by either party without liability or compensation.

2) Each party will keep confidential the fact that the parties are engaged in these discussions.

3) Our discussions are non-binding in nature, and unless and until a mutually acceptable written agreement is signed by both parties, either party will have the right to discontinue these discussions at any time without liability or obligation.

All of us at Disney Institute are extremely excited about the opportunity to have these continued discussions. Thank you!
Disney Institute, NBA align

by John Lombardo, Staff Writer  •  Nov. 4, 2013  •  2 min read  •  original

SBJ/Nov. 4-10, 2013/Leagues and Governing Bodies

The NBA is partnering with the Disney Institute to create a leaguewide customer service program to improve the fan experience at NBA arenas.

A steering committee of about nine NBA teams and key concessionaires Levy Restaurants and Aramark met recently with Disney Institute executives in Orlando to begin the creation of a standardized arena customer service program.

SBJ Podcast:
John Lombardo & Abraham Madkour discuss the NBA’s effort with the Disney Institute.

Participation in the program, which is still being developed, will be voluntary, with a near six-figure annual cost to be paid by each participating team. The program will include strategies for teams to improve customer service during game nights along with employee training, and the development of specific customer service standards that will be put into place over time.

Sources said that the Indiana Pacers, Miami Heat, Brooklyn Nets, Orlando Magic and San Antonio Spurs are part of the league’s steering committee, but league officials would not disclose the teams. The Nets and the Magic have used Disney training in the past.

Disney Institute executives will travel to participating NBA teams to customize the program. The number and names of participating teams have not yet been made final, but the program will begin this season and was largely driven by the NBA’s team
marketing and business operations department.

“We are working with Disney to set our own service standards,” said Amy Brooks, senior vice president of the NBA’s team marketing and business operations department. “It will be position specific. There will be behavior guidance for what an usher will do, what a concessionaire will do, or what a ticket taker will do.”

The Disney Institute for two decades has been working with companies to improve customer service and employee training. In recent years, it has worked with a variety of sports clients, including the NFL and major college sports programs such as Michigan State, Tennessee and Arizona State.

While other leagues have used outside services, this marks a deeper alignment where a league has partnered with a group to formulate a specific set of industry standards on the fan experience. It comes at a time when all teams are focused on improving the door-to-door and especially in-arena experience. Disney has forged a solid reputation since making sports a key growth area of its business, and teams that have worked with it praised the approach and training resulting in stronger customer service.

“The focus on the fan experience was a guiding principle into every piece of the development of the Amway Center and it manifested itself through our relationship with the Disney Institute,” said Alex Martins, chief executive officer of the Orlando Magic, who is helping lead the NBA’s expanded involvement with the Disney Institute. “At this point, there is a pilot program with a handful of teams as the first step. Beyond that, there is a league intent to provide it in every one of its buildings.”

The Magic has spent roughly $500,000 with the Disney Institute since the Amway Center opened in 2010, which includes the first-time training and subsequent follow-up. Disney Institute staffers are not embedded within a team organization but visit frequently.

The Nets last year become a Disney client and have extended their agreement to continue to train Barclays Center employees.
“We have aligned with them in every way we can,” said Brett Yormark, chief executive officer of the Brooklyn Nets and Barclays Center. “Other NBA teams are now giving it a shot. The league wants to get the consistency across all NBA venues.”

“Our goal is to create the best game experience in sports,” Brooks said. “Disney is a brand that is known for exceptional service.”

Original URL:
<table>
<thead>
<tr>
<th>Location</th>
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<th># of traveling people</th>
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<td>$500 / person</td>
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<td></td>
<td></td>
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<th>Location</th>
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<td>A/V costs</td>
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**ATTACHMENT B**

**Supplement No. 07a**
## Revenue:

### Division III 3.18% Revenue Allocation

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<th>Budget</th>
<th>Actual</th>
<th>Difference</th>
<th>Charter</th>
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<td>27,117</td>
<td>29,379</td>
<td>2,262,760</td>
<td>3,655,655</td>
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### Division III Other Revenue

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<th>Charter</th>
<th>Budget</th>
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<th>Difference</th>
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### Total Revenue

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<th>Charter</th>
<th>Budget</th>
<th>Actual</th>
<th>Difference</th>
<th>Charter</th>
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<tr>
<td>2016-17</td>
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<td>27,117</td>
<td>29,379</td>
<td>2,262,760</td>
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## Expenses:

### Championship Expenses

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<tr>
<td>973 6,971 284,643</td>
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<td>944,431 15,469 2,509</td>
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<td>Cross Country</td>
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<td>519,000</td>
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<td>525,965 6,965 129,342</td>
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<td>547,712 24,712 6,741</td>
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<td>320,834 17,834 224</td>
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<td>3,214 3,214</td>
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<td>1,005,000</td>
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<td>599,056 53,056</td>
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<td>141,279 22,921</td>
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<td>340,176 26,344</td>
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<td>530,545 7,457 150,837</td>
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<td>429,348 12,848</td>
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<td>311,000</td>
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<td>312,670 1,667</td>
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<td>194,987 78,013</td>
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</tr>
<tr>
<td>592,000</td>
</tr>
<tr>
<td>593,021 1,011 (790)</td>
</tr>
<tr>
<td>-</td>
</tr>
<tr>
<td>Track Outdoor</td>
</tr>
<tr>
<td>778,000</td>
</tr>
<tr>
<td>866,324 (88,324) 449</td>
</tr>
<tr>
<td>37,196 -</td>
</tr>
<tr>
<td>Volleyball</td>
</tr>
<tr>
<td>963,000</td>
</tr>
<tr>
<td>963,713 713 375,069 30,256</td>
</tr>
<tr>
<td>Championship Other</td>
</tr>
<tr>
<td>-</td>
</tr>
<tr>
<td>3,214 (3,214)</td>
</tr>
<tr>
<td>-</td>
</tr>
<tr>
<td>Total Women's Championships</td>
</tr>
<tr>
<td>9,785,400</td>
</tr>
<tr>
<td>9,367,083 418,317 1,053,990 410,160</td>
</tr>
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</table>

### Preliminary

<table>
<thead>
<tr>
<th>Year-to-date</th>
<th>Actual</th>
<th>Charter</th>
<th>Budget</th>
<th>Actual</th>
<th>Difference</th>
<th>Charter</th>
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</thead>
<tbody>
<tr>
<td>2014-15</td>
<td></td>
<td></td>
<td>27,117</td>
<td>29,379</td>
<td>2,262,760</td>
<td>3,655,655</td>
</tr>
<tr>
<td>2015-16</td>
<td></td>
<td></td>
<td>28,543</td>
<td>30,480</td>
<td>1,596,966</td>
<td>3,746,472</td>
</tr>
<tr>
<td>2016-17</td>
<td></td>
<td></td>
<td>29,695</td>
<td>3,889</td>
<td>1,703,681</td>
<td>3,746,472</td>
</tr>
</tbody>
</table>

### Updated: 1/3/2017 9:47 AM

W:\02 Management Council\2017 Meetings\January\sup_03b_2016-17 Budget-to-Actual (1) at "DIII B to A (Champ)" tab

Updated: 1/3/2017 9:47 AM
<table>
<thead>
<tr>
<th>Expenses (continued):</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Non-Championship Expenses</strong></td>
</tr>
<tr>
<td>Strategic Initiative Conference Grants</td>
</tr>
<tr>
<td>Other Division III Strategic Initiatives</td>
</tr>
<tr>
<td>Women &amp; Minority Intern Program</td>
</tr>
<tr>
<td>Strategic Alliance Matching Grant</td>
</tr>
<tr>
<td>Student-Athlete Leadership Conference</td>
</tr>
<tr>
<td>Division III Identity Program</td>
</tr>
<tr>
<td>DIll Diversity Initiatives</td>
</tr>
<tr>
<td>360 Proof (formerly Drug Education and Research)</td>
</tr>
<tr>
<td>ADR Institute</td>
</tr>
<tr>
<td>FAS Institute</td>
</tr>
<tr>
<td>Campus-based Student-Athlete Leadership Programs</td>
</tr>
<tr>
<td>Annual Convention</td>
</tr>
<tr>
<td>New AD and Commissioner Orientation</td>
</tr>
<tr>
<td>NADSAA Partnership</td>
</tr>
<tr>
<td>Division-wide Sportmanship Initiative</td>
</tr>
<tr>
<td>Co-SIDA Partnership</td>
</tr>
<tr>
<td>Division III Event Cancellation Insurance</td>
</tr>
<tr>
<td>Special Olympics Partnership</td>
</tr>
<tr>
<td>SWA Enhancement Grant Program (NACWAA/HERS)</td>
</tr>
<tr>
<td>Academic Reporting Honorarium</td>
</tr>
<tr>
<td>Conference Commissioners Meeting</td>
</tr>
<tr>
<td>Other Working Groups</td>
</tr>
<tr>
<td>Additional Spring In-Person SAAC Meeting</td>
</tr>
<tr>
<td>DIll Administrator and Commissioner Meeting</td>
</tr>
<tr>
<td>Miscellaneous Division III Initiatives</td>
</tr>
<tr>
<td>Exploratory/Provisional Membership</td>
</tr>
<tr>
<td>Non-Championships Expense</td>
</tr>
<tr>
<td>Overhead Allocation</td>
</tr>
<tr>
<td>Total Non-Championship Expenses</td>
</tr>
</tbody>
</table>

| Total Division III Expenses | 27,459,400 | 26,293,076 | 1,166,324 | 6,188,544 |

| Excess Revenue over Expense | (342,400) | 3,446,694 | - | - |
| Add: Previous Year's Fund Balance (Unallocated/Unused Funds) | 18,310,554 | 18,310,554 | - | - |
| Total Fund Balance (Funds Available for Reserve/Future Use) | 17,968,154 | 21,757,248 | - | - |
| Add: Event Cancellation Insurance Policy | 5,000,000 | 5,000,000 | - | - |
| Less: Mandated Reserve Funds Needed (Note 1) | (13,558,500) | (13,558,500) | - | - |
| Total Division III Projected Unallocated Funds | 9,409,654 | 13,198,748 | - | - |
### The National Collegiate Athletic Association

#### Division III Budget Projections

<table>
<thead>
<tr>
<th></th>
<th></th>
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<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Division III 3.18% Revenue Allocation</td>
<td>31,565,829</td>
<td>32,112,829</td>
<td>33,676,829</td>
<td>34,230,829</td>
<td>34,793,829</td>
<td>35,356,829</td>
<td>35,919,829</td>
<td>36,482,829</td>
<td>37,045,829</td>
<td>37,608,829</td>
</tr>
<tr>
<td>Draw from DIII Reserve</td>
<td>225,000</td>
<td>450,000</td>
<td>850,000</td>
<td>1,100,000</td>
<td>1,350,000</td>
<td>1,550,000</td>
<td>1,750,000</td>
<td>1,950,000</td>
<td>2,150,000</td>
<td>2,350,000</td>
</tr>
<tr>
<td>Additional Revenue from Membership Dues Increase</td>
<td>519,000</td>
<td>519,000</td>
<td>519,000</td>
<td>519,000</td>
<td>519,000</td>
<td>519,000</td>
<td>519,000</td>
<td>519,000</td>
<td>519,000</td>
<td>519,000</td>
</tr>
<tr>
<td>Division III Other Revenue</td>
<td>46,250</td>
<td>106,715</td>
<td>74,112</td>
<td>46,250</td>
<td>46,250</td>
<td>46,250</td>
<td>46,250</td>
<td>46,250</td>
<td>46,250</td>
<td>46,250</td>
</tr>
<tr>
<td>Total Revenue</td>
<td>32,112,829</td>
<td>33,676,829</td>
<td>34,230,829</td>
<td>34,793,829</td>
<td>35,356,829</td>
<td>35,919,829</td>
<td>36,482,829</td>
<td>37,045,829</td>
<td>37,608,829</td>
<td>38,171,829</td>
</tr>
</tbody>
</table>

#### Expenses:

<table>
<thead>
<tr>
<th>Championships Game Operations</th>
<th>4,134,762</th>
<th>4,280,748</th>
<th>4,325,196</th>
<th>4,360,646</th>
<th>4,396,096</th>
<th>4,431,546</th>
<th>4,467,996</th>
<th>4,503,446</th>
<th>4,538,896</th>
<th>4,574,346</th>
</tr>
</thead>
<tbody>
<tr>
<td>Championships Committee</td>
<td>306,635</td>
<td>334,676</td>
<td>361,055</td>
<td>381,055</td>
<td>381,055</td>
<td>381,055</td>
<td>381,055</td>
<td>381,055</td>
<td>381,055</td>
<td>381,055</td>
</tr>
<tr>
<td>Championships Team Transportation</td>
<td>9,107,272</td>
<td>8,745,005</td>
<td>10,580,469</td>
<td>11,074,974</td>
<td>11,640,277</td>
<td>12,105,580</td>
<td>12,560,883</td>
<td>13,016,186</td>
<td>13,471,489</td>
<td>13,926,792</td>
</tr>
<tr>
<td>Championships Per Diem</td>
<td>6,117,380</td>
<td>6,134,000</td>
<td>6,292,400</td>
<td>7,031,400</td>
<td>7,031,400</td>
<td>7,031,400</td>
<td>7,031,400</td>
<td>7,031,400</td>
<td>7,031,400</td>
<td>7,031,400</td>
</tr>
<tr>
<td>Total Non-Championships Expenses</td>
<td>23,391,350</td>
<td>24,054,153</td>
<td>24,699,056</td>
<td>25,373,857</td>
<td>26,048,658</td>
<td>26,723,460</td>
<td>27,398,261</td>
<td>28,073,062</td>
<td>28,747,863</td>
<td>29,422,664</td>
</tr>
<tr>
<td>Non-Championships Base Budget</td>
<td>6,589,000</td>
<td>6,589,000</td>
<td>6,589,000</td>
<td>6,589,000</td>
<td>6,589,000</td>
<td>6,589,000</td>
<td>6,589,000</td>
<td>6,589,000</td>
<td>6,589,000</td>
<td>6,589,000</td>
</tr>
<tr>
<td>Non-Championships Overhead Allocation</td>
<td>1,101,000</td>
<td>1,134,000</td>
<td>1,168,000</td>
<td>1,203,000</td>
<td>1,239,000</td>
<td>1,276,000</td>
<td>1,313,000</td>
<td>1,350,000</td>
<td>1,387,000</td>
<td>1,424,000</td>
</tr>
<tr>
<td>Total Non-Championships Expenses</td>
<td>7,787,000</td>
<td>7,725,000</td>
<td>7,752,000</td>
<td>7,792,000</td>
<td>7,820,000</td>
<td>7,858,000</td>
<td>7,880,000</td>
<td>7,906,000</td>
<td>7,933,000</td>
<td>7,960,000</td>
</tr>
<tr>
<td>Supplemental Non-Championships Spending from reserve</td>
<td>225,000</td>
<td>450,000</td>
<td>825,000</td>
<td>1,100,000</td>
<td>1,375,000</td>
<td>1,650,000</td>
<td>1,925,000</td>
<td>2,200,000</td>
<td>2,475,000</td>
<td>2,750,000</td>
</tr>
<tr>
<td>Total Supplemental Spending</td>
<td>7,812,000</td>
<td>7,745,000</td>
<td>7,777,000</td>
<td>7,812,000</td>
<td>7,835,000</td>
<td>7,855,000</td>
<td>7,865,000</td>
<td>7,875,000</td>
<td>7,883,000</td>
<td>7,890,000</td>
</tr>
<tr>
<td>Total Division III Expenses</td>
<td>36,982,715</td>
<td>37,513,505</td>
<td>38,104,862</td>
<td>38,696,987</td>
<td>39,289,112</td>
<td>39,881,872</td>
<td>40,474,632</td>
<td>41,067,392</td>
<td>41,659,152</td>
<td>42,250,912</td>
</tr>
</tbody>
</table>

#### Net Change in Fund Balance

<table>
<thead>
<tr>
<th>Percentage DIII Spend - Championships</th>
<th>76%</th>
<th>76%</th>
<th>75%</th>
<th>75%</th>
<th>75%</th>
<th>75%</th>
<th>75%</th>
<th>75%</th>
<th>75%</th>
<th>75%</th>
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</thead>
<tbody>
<tr>
<td>Percentage DIII Spend - Non-Championships</td>
<td>24%</td>
<td>24%</td>
<td>25%</td>
<td>25%</td>
<td>25%</td>
<td>25%</td>
<td>25%</td>
<td>25%</td>
<td>25%</td>
<td>25%</td>
</tr>
<tr>
<td>ADJUSTED Percentage DIII Spend - Non-Championships</td>
<td>24%</td>
<td>24%</td>
<td>25%</td>
<td>25%</td>
<td>25%</td>
<td>25%</td>
<td>25%</td>
<td>25%</td>
<td>25%</td>
<td>25%</td>
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</tbody>
</table>

#### Notes:

- In process of closing 2015-16 fiscal year. Amounts are not finalized (particularly revenue and overhead allocation).
- 1 Mandatory reserve is 50% of the annual DIII revenue allocation, including $5M insurance policy coverage beginning in fiscal year 2014-15.

---

DIII Champs Assumption Analysis 16-17
0.0%
DIII Champs Assumption Analysis 16-17
4.0%
DIII Champs Assumption Analysis 16-17
3.0%
REPORT OF THE
NCAA DIVISION III MANAGEMENT COUNCIL

The Division III Management Council conducted its January 18 meeting in Nashville, Tennessee. Listed below are specific recommendations for review and consideration by the Presidents Council.

ACTION ITEMS

- None.

NO ACTION REQUIRED

The following Management Council actions do not require formal action and are being reported to the Presidents Council for informational purposes only.

1. **Sportsmanship and Game Environment Working Group.** The Management Council received an update on the recent partnership with the Disney Institute. The Council supported the use of $500,000, including $450,000 in new dollars, during the 2016-17 budget year to develop a sportsmanship and game environment training program with the Disney Institute. The allocation was endorsed by the Strategic Planning and Finance Committee (SPFC) as well as the Division III Administrative Committee.

   The working group’s primary goal is to create and sustain championship-level decorum and game environments at all Division III institutions. The training program’s three primary benefits for the Division III membership will be: (1) a five-module online training program designed for each institution to evaluate, learn and improve on its game environment and customer service standards; (2) game service standards that will define the proper environment at Division III for all regular season and championships athletics contests; and (3) toolkits and training through the Disney Institute that will ensure that the game standards are understood and able to be carried out for institutions at all resource levels.

   In addition to an introductory section, the primary learning modules of the online program will be: an institutional self-assessment tool; Division III game service standards; tools for assistance with conflict resolution and bystander intervention; a template to create an institutional action plan to affect positive changes where needed; and an evaluation tool. The online program will also integrate input and tools created by the Division III Student-Athlete Advisory Committee.

2. **Budget Planning 2017-19.** The Council discussed SPFC’s initial review of championship and nonchampionship budget requests for the new 2017-19 budget biennium. Potential championship priorities include: (1) bracket expansion for team sports based on legislated access ratio and automatic qualification; (2) increase team travel party size for select sports; (3) reimbursement for local ground transportation for teams that flight to the championship site; (4) a day off between semifinal and championship games; (5) increase officials’ fees; and (6) various sport committee initiatives. Key nonchampionship priorities may include: (1) diversity initiatives; and (2) working groups – Sportsmanship and Game Environment, LGBTQ and Faculty Athletics Representative (FAR) - initiatives.
3. **Waiver Guidelines for Transgender Females.** The Council approved, as recommended by the Subcommittee for Legislative Relief (SLR), guidelines related to determining a season of eligibility for transgender female student-athletes. SLR agreed that NCAA staff may provide relief to a transgender female (male to female) student-athlete to allow her to practice with a women’s team during the year of ineligibility without using a season of participation, provided specific criteria are met.

4. **Sport Science Institute (SSI) Updates.** Dr. Brian Hainline provided an update on SSI’s nine strategic priorities. He also discussed the recently released Year-Round Football Practice Contact Recommendations-Interassociation Guidelines.

5. **Student-Athlete Reinstatement (SAR) Committee – 2018 Proposed Legislation.** The committee recommended the Council sponsor two 2018 legislative proposals. The Council endorsed the first proposal, which is noncontroversial, to increase from $100 to $200 the value of improper benefits for which the eligibility of an individual student-athlete shall not be affected conditioned upon restitution. The membership has not reviewed the restitution value for approximately 10 years. Review of case data indicates an increase to $200 will decrease bureaucracy and increase efficiency for the benefit of the Division III membership and its student-athletes. This change will not eliminate the need to report an institutional violation to the NCAA enforcement staff or to request reinstatement if relief from repayment or an alternative reinstatement condition to repayment is requested (e.g., community service).

The Council referred back to SAR a proposal that would have eliminated the mandatory legislated penalty for sports wagering. Currently, for violations that trigger the legislated sports wagering sanctions, the minimum penalty is automatic and cannot be appealed. To promote increased fairness, SAR believes it is important to conduct a case-by-case review of these sports wagering violations. The Council felt uncomfortable with the complete elimination of the legislated penalty. It recommended the committee revise the proposal to maintain the penalty but provide SAR oversight of a more flexible appeals process.

6. **Financial Aid Reporting Process - Level I and II Reviews.** The Management Council received the Financial Aid Committee’s report that identified 57 Level I reviews this fall, 24 more than last year and noted the continued use of the review criteria (i.e., sport outliers) introduced last year. The committee took the following actions: (1) voted to take no action on 24 cases; and (2) voted to forward 33 Level I cases to a Level II review, 17 more than last year.

The Council also discussed Proposal No. 2017-3 and the related need to further educate the membership and the Presidents Council regarding the current burden on financial aid directors to monitor sources for all outside financial aid awards received by student-athletes. Next steps will include examining the outcome of Proposal No. 3; discussion at the February in-person Financial Aid Committee meeting and a possible presentation to the Presidents Council at its April meeting.
7. **Student-Athlete Advisory Committee (SAAC) Elections.** The Division III SAAC elected its new officers – Kayla Porter, Frostburg State University, will be the chair and Alaina Woo, Pomona-Pitzer Colleges, will be the vice-chair. The new Management Council liaisons are Sean Cain, Adrian College, and Megan Warren, Defiance College.

8. **Student-Athlete Research Panel.** The Council approved the Research Committee’s recommendation to discuss with the Student-Athlete Advisory Committees in each division the committee’s recommendation to create student-athlete research panels. The panels would enable more “real-time” access to student-athlete opinions on important issues related to the student-athlete experience.

9. **Diversity Initiatives.** Staff provided an update on the second annual Student Immersion Program that has brought to the NCAA Convention 42 students of color, interested in a career in Division III athletics. Staff also noted the release of the Diversity and Inclusion Working Group’s Diverse Hiring Guide.

10. **Graduation Rate Aggregate Report.** Division III student-athletes continue to graduate at higher rates than their peers in the student-body, according to the most recent NCAA Academic Success Rate data. Based on a representative sample of 193 schools participating in the voluntary reporting program in the 2015-16 academic year, the Division III national four-year average Academic Success Rate (ASR) continues to hold steady at 87 percent. Even when utilizing the less-inclusive federal rate, Division III student-athletes again perform better than the general student body. The four-year, federal rate for student-athletes was 69 percent and the federal rate for the overall student body was 62 percent, a difference of seven percentage points.
### Intent
To specify that an active member institution shall: (1) establish an administrative structure that provides independent medical care and affirms the unchallengeable autonomous authority of primary athletics health care providers (team physicians and athletic trainers) to determine medical management and return-to-play decisions related to student-athletes; and (2) designate an athletics healthcare administrator to oversee the institution’s athletic health care administration and delivery.

### Source
NCAA Division III Presidents Council [Management Council (Committee on Competitive Safeguards and Medical Aspects of Sports)].

### Effective Date
August 1, 2017

### Rationale
The NCAA Principle of Health and Safety makes it the responsibility of institutions to protect the health of, and provide a safe environment for student-athletes. As a continuum of Inter-Association Consensus: Independent Medical Care for College Student-Athlete Guidelines, this proposal supports this principle and requires further administrative controls in the delivery of integrated sports medicine and athletic training services. Specifically, this proposal addresses the issue of medical providers at institutions having unchallengeable autonomous authority to determine medical management and return-to-play decisions of student-athletes. Further, the administrative structure should ensure that no coach serve as the sole supervisor for any medical provider, nor have sole hiring, retention, and dismissal authority over that provider. This is an issue facing institutions that directly impacts the health and well-being of student-athletes and this proposal will help ensure that appropriate medical care controls and authority exist.

### Proposed Speakers:
- PC (move and support): Sue Henderson
- PC (support): Tori Murden McClure
- MC (support): Stevie Baker-Watson

### NCAA MEMBERSHIP – CONDITIONS AND OBLIGATIONS OF MEMBERSHIP – INDEPENDENT MEDICAL CARE

<table>
<thead>
<tr>
<th>Proposed Speakers:</th>
</tr>
</thead>
<tbody>
<tr>
<td>PC (move and support): Sue Henderson</td>
</tr>
<tr>
<td>PC (support): Tori Murden McClure</td>
</tr>
<tr>
<td>MC (support): Stevie Baker-Watson</td>
</tr>
</tbody>
</table>
**ELIGIBILITY -- GRADUATE AND POSTBACCALAUREATE TRANSFERS**

<table>
<thead>
<tr>
<th><strong>Intent.</strong></th>
<th>To permit a graduate student to participate in intercollegiate athletics at the institution of his or her choice.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Source.</strong></td>
<td>Wisconsin Intercollegiate Athletic Conference and Little East Conference.</td>
</tr>
<tr>
<td><strong>Effective Date.</strong></td>
<td>August 1, 2017</td>
</tr>
<tr>
<td><strong>Rationale.</strong></td>
<td>Current legislation permits a graduate or postbaccalaureate student to participate only at the institution from which the student-athlete received his or her undergraduate degree. This legislation is overly restrictive in that it prohibits student-athletes who have already achieved the primary goal of collegiate enrollment (i.e., the attainment of an undergraduate degree) from continuing to pursue athletics endeavors while simultaneously progressing toward a postgraduate degree and the ultimate fulfillment of their academic and career goals. Currently, student-athletes on the verge of earning a baccalaureate degree with both seasons of participation and terms of attendance remaining must either delay graduation or potentially enroll in a graduate program that is not consistent with their career aspirations in order to continue their athletics participation.</td>
</tr>
</tbody>
</table>

**Proposed Speakers:**
- PC (oppose): Jeffrey Docking
- PC (oppose): Rob Huntington
- PC (oppose): Jay Lemons

**Governance Position:**
- **Presidents Council.** The Presidents Council opposes this proposal. The Division III philosophy emphasizes intercollegiate athletics as primarily a four-year, undergraduate experience. This proposal directly undermines that principle. It would create an undesirable recruiting dynamic focusing on graduate students, including student-athletes who "redshirted" in Divisions I and II and provides a recruiting advantage for Division III institutions with more robust graduate programs. It also will further divert the attention of coaches from their current student-athletes. Existing legislation allows a graduate or postbaccalaureate student to continue participation at their undergraduate institution. Current waiver guidelines permit graduate/postbaccalaureate participation at other institutions for students who meet specific academic benchmarks. These remain the appropriate avenues for allowing transfer eligibility beyond receipt of a baccalaureate degree. If necessary, it may make sense to review and potentially adjust those waiver criteria, but the existing proposal is a step too far and threatens the unique identity of Division III.

- **Management Council.** The Council recommends a position of support for this proposal. While the Council noted that the proposal may preferentially impact Division III institutions with the most robust graduate programs, it emphasized the importance of reviewing the proposal with primary consideration for enhanced academic and athletic opportunities for high achieving student-athletes. The Council agreed that postgraduate education is increasingly necessary in today's job market and that allowing student-athletes with eligibility remaining to continue participation at any
institution following completion of a baccalaureate degree allows those student-athletes to make academic and athletic choices that are in their best interests. Lastly, the Council noted that allowing postbaccalaureate participation does not conflict with the Division III philosophical tenet that promotes athletic participation as primarily a four-year, undergraduate experience.

**Subcommittee for Legislative Relief.** The subcommittee did not recommend a position for this proposal. Rather, the subcommittee recognized arguments both in support of and in opposition to the proposal, which included the following: (1) The proposed change would provide student-athletes an opportunity to continue their education while simultaneously pursuing athletic opportunities at other institutions; (2) The Division III philosophy statement focuses on intercollegiate athletics as a four-year undergraduate experience; and (3) The proposal could potentially create a competitive advantage for institutions with graduate programs.

**Interpretations and Legislation Committee.** The committee recommends a position of support for this proposal. The committee agreed this proposal would allow student-athletes to make academic and athletic choices that are in their best interests. The committee also recognized that a student-athlete who has already earned his or her degree should not be restricted to stay at the same institution to further his or her education if they want to compete. Although recommending a position of support, the committee expressed the following concerns with the proposal: (1) lack of accountability to ensure student-athletes complete a second baccalaureate or graduate degree; (2) institutions without graduate programs may be at a disadvantage compared to institution with graduate programs; and (3) teams may use the rule as a loophole to stack rosters with talented graduate transfers.
### FINANCIAL AID FROM OUTSIDE SOURCES THAT CONSIDER ATHLETICS LEADERSHIP, ABILITY, PARTICIPATION OR PERFORMANCE -- RESTRICTION ON RECIPIENT'S CHOICE OF INSTITUTIONS

| **Intent.** To amend the limitations of outside financial aid awards to preclude the donor of an outside aid award that considers athletics leadership, ability, participation or performance from restricting the recipient to attend a specific institution. |
| Proposed Speakers: |
| PC (move and support): Tori Haring-Smith |
| MC (support): Terry Wansart |

**Source.** NCAA Division III Presidents Council [Management Council (Financial Aid Committee)].

**Effective Date.** August 1, 2017

**Rationale.** Current legislation allows Division III student-athletes to receive a financial aid award from an outside source that considers athletics leadership, ability, participation or performance, provided a variety of conditions are met. One of those conditions precludes the student-athlete's choice of institutions from being restricted, in any way, by the donor of the aid. This requirement precludes a student-athlete from receiving such an award that must be used within the student-athlete's home state or even within Division III. Amending that requirement to allow these outside awards, as long as the student-athlete is not restricted to attend a single institution allows student-athletes additional flexibility to receive outside awards without subverting the integrity of the outside aid legislation.
**PLAYING AND PRACTICE SEASONS -- GENERAL PLAYING SEASON REGULATIONS -- STANDARDIZATION OF ANNUAL CONTEST AND DATE OF COMPETITION EXEMPTIONS**

**Intent.** To standardize annual contest and date of competition exemptions. Specifically, to allow each sport to exempt participation in the following: (1) conference championship; (2) season-ending tournament; and (3) two scrimmages, exhibitions or joint practices. Additionally, to allow the two scrimmages, exhibitions or joint practices to occur prior to the first permissible contest date in all sports other than wrestling.

**Source.** NCAA Division III Management Council.

**Effective Date.** August 1, 2017

**Rationale.** Current annual contest and date of competition exemptions vary dramatically from one sport to the next, which creates an administrative burden for institutional staff charged with overseeing compliance with playing seasons legislation. Allowing each sport to continue to exempt conference and season-ending championship (e.g., NCAA championship) participation would maintain the most commonly used annual exemptions. Eliminating all other annual and sport-specific exemptions while permitting each sport to exempt two scrimmages, exhibitions or joint practices would standardize contest and date of competition exemptions across sports, without negatively impacting the sports that use those current exemptions. Sports with a nontraditional segment would continue to be permitted to exempt an alumni contest occurring during the nontraditional segment; the alumni contest would be the only exemption permitted during the nontraditional segment and those institutions would be required to count the alumni contest as one of their two scrimmages, exhibitions or joint practices. Lastly, allowing the scrimmages, exhibitions or joint practices to occur prior to the first contest date allows institutions to use those competitions as preparation for the regular season. Wrestling would continue to be precluded from competing prior to its first permissible contest date based on the rationale for Proposal 2011-12.

**Proposed Speakers:**

MC (move and support): Chris Ragsdale
MC (support): Dennis Leighton
### PLAYING AND PRACTICE SEASONS -- FIELD HOCKEY AND LACROSSE -- PRESEASON JOINT PRACTICE, SCRMMAGE OR EXHIBITION -- EXEMPTION FROM MAXIMUM CONTEST AND DATE OF COMPETITION LIMITATIONS

<table>
<thead>
<tr>
<th><strong>Intent.</strong></th>
<th>To allow field hockey and lacrosse teams to conduct an exempted scrimmage, exhibition or joint practice with outside competition prior to the first permissible contest or date of competition.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Source.</strong></td>
<td>Middle Atlantic Conferences, Empire 8 and Great Northeast Athletic Conference.</td>
</tr>
<tr>
<td><strong>Effective Date.</strong></td>
<td>August 1, 2017</td>
</tr>
<tr>
<td><strong>Rationale.</strong></td>
<td>At the 2015 Convention, the membership adopted Proposal 2015-13, which established an exempted preseason scrimmage, exhibition or joint practice in the sports of soccer and women's volleyball. Because the conference sponsor of Proposal 2015-13 does not sponsor lacrosse or field hockey, neither of those two sports were included in the proposal. Field hockey and lacrosse are very similar to soccer and should be treated in the same fashion. Thus, field hockey and lacrosse should be afforded the opportunity for a preseason exemption that is already available to soccer. This proposal creates consistency between similar sports, which will ease administrative and tracking burden on institutional campuses.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Proposed Speakers:</strong></th>
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<tbody>
<tr>
<td>MC (support): Terry Small</td>
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</tbody>
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<table>
<thead>
<tr>
<th><strong>Governance Position:</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Management Council.</strong></td>
</tr>
<tr>
<td><strong>Championships Committee.</strong></td>
</tr>
<tr>
<td><strong>Field Hockey Committee.</strong></td>
</tr>
<tr>
<td><strong>Men's Lacrosse Committee.</strong></td>
</tr>
<tr>
<td>Women's Lacrosse Committee. The committee recommends a position of support for this proposal. This proposal creates consistency between similar sports and creates opportunities for student-athletes.</td>
</tr>
<tr>
<td>---</td>
</tr>
<tr>
<td>Playing and Practice Seasons Subcommittee. The subcommittee recommends a position of support for this proposal. The subcommittee agreed that field hockey and lacrosse are similar, in nature, to soccer and should be treated in the same fashion. Because this proposal would create consistency between similar sports, the proposal would ease the administrative and tracking burden on campuses.</td>
</tr>
</tbody>
</table>
PLAYING AND PRACTICE SEASONS -- GENERAL PLAYING SEASON REGULATIONS -- REQUIRED DAY OFF FOR TRACK AND FIELD INDOOR/OUTDOOR AND SWIMMING AND DIVING

<table>
<thead>
<tr>
<th><strong>Intent.</strong></th>
<th>To eliminate the requirement that the mandatory day off for track and field and swimming and diving programs be the same day for every student-athlete.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Source.</strong></td>
<td>Minnesota Intercollegiate Athletic Conference and Heartland Collegiate Athletic Conference.</td>
</tr>
<tr>
<td><strong>Effective Date.</strong></td>
<td>Immediate.</td>
</tr>
<tr>
<td><strong>Rationale.</strong></td>
<td>Currently, the required day off must apply to each team as a whole. Division III indoor and outdoor track and field and swimming and diving teams have difficulty accommodating the number of different disciplines within the sports and the distinct training regimens amongst those disciplines. A number of variables go into planning practices and athletically related activities for these two sports. Allowing institutions the flexibility to schedule athletically related activities independently for each student-athlete will minimize challenges such as limited facility availability, staffing concerns, and student-athlete class schedule conflicts without increasing the time demands on individual student-athletes. Additional flexibility to accommodate each student-athlete's individual schedule and training requirements will also prevent injuries. An immediate effective date will allow institutions to take advantage of more appropriate scheduling practices during the spring of 2017.</td>
</tr>
</tbody>
</table>

**Proposed Speakers:**

- **MC (support):** Brad Bankston

**Governance Position:**

- **Management Council.** The Management Council supports this proposal. The sports of track and field and swimming and diving are unique in that they are individual sports with multiple disciplines. Student-athletes competing in one discipline may require a training/rest cycle that is entirely distinct from student-athletes within another discipline of the same sport. The flexibility allowed by this proposal would help student-athletes in these sports receive better training and attention from their coaches. Finally, this is permissive legislation; thus, any institution concerned about the monitoring burden and/or the work-life balance impact on coaches could elect to apply the current "day off" legislation.

- **Championships Committee.** The committee recommends a position of support for this proposal based on the rationale for support from the Track & Field and Swimming & Diving Committees.

- **Men's and Women's Track and Field and Cross County Committee.** The committee recommends a position of support for this proposal. While institutions could benefit from additional information regarding how administrators and coaches will track days off for each student-athlete, the flexibility afforded by this proposal would undoubtedly help student-athletes receive better training and attention from coaches.
| Men's and Women's Swimming and Diving Committee. | The committee recommends a position of support for this proposal. While institutions could benefit from additional information regarding how administrators and coaches will ensure compliance with the day off legislation, this proposal would undoubtedly help student-athletes receive better training and attention from coaches. |
| Playing and Practice Seasons Subcommittee. | The subcommittee recommends a position of opposition to this proposal. The subcommittee noted concerns with monitoring days off for each individual student-athlete as well as concerns for the work-life balance of coaches and athletic trainers. Additionally, the subcommittee agreed that the proposal could impose additional facility and scheduling concerns at institutions where facility usage is already at a premium. Lastly, while the subcommittee acknowledged the potential physiological and training benefits associated with allowing the day off to apply to each individual student-athlete, the subcommittee agreed that those benefits would apply across all sports and should not be isolated to the two sports identified in the proposal. |
**RECRUITING -- TRYOUTS AND CAMPS AND CLINICS -- DEREGULATING CAMPS AND CLINICS**

**Intent.** To deregulate the tryout events and camps and clinics legislation to allow institutions to host or conduct events involving prospective student-athletes, provided those events are: (1) open to the general public; and (2) do not offer free or reduced admission to prospective student-athletes.

**Source.** North Coast Athletic Conference, Landmark Conference and Middle Atlantic Conferences.

**Effective Date.** Immediate.

**Rationale.** Camps and clinics provide benefits to institutions and conveniences to prospective student-athletes; they are one of the most effective recruiting tools for any institution. Additionally, athletic departments and athletics staff can realize revenue and income from these events. Much confusion exists surrounding the application of the existing legislation and the nuances in the legislation do little to minimize recruiting advantages or demand on prospective student-athletes. This proposal would allow for instruction, practice-type activities and competition amongst prospective student-athletes without the unnecessary restrictions that exist in the current legislation. This proposal would continue to require that events involving prospective student-athletes are open to the general public and could not offer free or reduced admission to prospective student-athletes. Additionally, institution-hosted events would continue to be precluded from offering recruiting or scouting services. Maintaining those limited restrictions while eliminating all other existing criteria related to camps and clinics will make it easier for institutions to productively host events involving prospective student-athletes without opening the door for abuse. An immediate effective date will allow institutions to take advantage of the more reasonable restrictions beginning in 2017.

**Proposed Speakers:**

- MC (support): Shantey Hill
- MC (support): Bobby Davis

**Governance Position:**

**Management Council.** The Management Council supports this proposal. The current legislation is difficult to interpret, resulting in increased monitoring and oftentimes draws arbitrary distinctions between two similar events involving prospective student-athletes. This proposal simplifies the current legislation without compromising philosophical principles. Further, this proposal reduces unnecessary limitations to the recruiting process. Often prospective student-athletes and their parents are seeking opportunities to participate on institutional campuses for the purpose of establishing a recruiting relationship with that institution. The proposal would help facilitate those relationships by eliminating the current requirement that coaches avoid recruiting conversations during these events.

**Interpretations and Legislation Committee.** The committee recommends a position of support for this proposal. The committee agreed that the current legislation burdens institutions to vet outside organizations prior to renting out institutional facilities and that many prospective student-athletes attend camps for recruiting purposes. The committee also recognized that this proposal provides more flexibility for coaches and institutions to conduct events involving prospective student-athletes.
MEMBERSHIP -- PROVISIONAL OR RECLASSIFYING MEMBERSHIP -- CLASS SIZE AND ASSIGNMENT -- PROVISIONAL PROGRAM SIZE LIMIT

**Intent.** To limit the total number of participants in the provisional or reclassifying membership program to not more than 12 institutions.

**Source.** NCAA Division III Management Council (Membership Committee).

**Effective Date.** August 1, 2017

**Rationale.** Setting a maximum limit on the number of institutions in the provisional or reclassifying membership process will improve the quality of attention and service provided to each participating institution. Limiting the number of institutions in the process to 12 will allow each institution to have an experienced mentor by allowing new membership committee members the opportunity to spend one year on the committee before being assigned to mentor an institution in the provisional program. The change also eliminates the need to average class sizes at four per year if, at any time, a waiver for more than four institutions is granted. Participant institutions in the exploratory year would not be included in calculations of the limit. A waiver of the class or program limits would still be available in special circumstances.

<table>
<thead>
<tr>
<th><strong>Proposed Speakers:</strong></th>
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</thead>
<tbody>
<tr>
<td>MC (move and support): Chris Kimball</td>
</tr>
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</table>
### LEGISLATIVE AUTHORITY AND PROCESS -- AMENDMENT PROCESS -- RECONSIDERATION -- ELIMINATE WINDOW OF RECONSIDERATION AND PROHIBIT ADDITIONAL RECONSIDERATION

**Intent.** To eliminate the opportunity to reconsider an amendment following confirmation of an affirmative or negative vote on that amendment by the presiding officer.

**Source.** Minnesota Intercollegiate Athletic Conference and Southern California Intercollegiate Athletic Conference.

**Effective Date.** August 1, 2017

**Rationale.** The window of reconsideration for items just debated and voted on is unnecessary. Voting delegates have ample time to research and determine their institutional opinion, debate the merits, and cast informed final votes on proposals. Allowing any additional opportunity to discuss and revote on a previously decided proposal is superfluous. The window of reconsideration provides opportunities for unethical voting. Many delegates may depart after an initial vote on Convention proposals; as a result, a delegate could strategically vote on the prevailing side of a proposal with the intent to use the window of reconsideration to take advantage of the change in the composition of the room and reverse the outcome on the proposal. Removing the window of reconsideration and any additional opportunity to request a revote will be a positive change and will streamline the business session at Convention.

**Proposed Speakers:**
- MC (support): Brit Katz
- MC (support): Troy VanAken

**Governance Position:**

**Management Council.** The Management Council supports this proposal. The window of reconsideration is unnecessary given the amount of time institutions have to prepare for the initial vote and the risk that reconsideration votes may not accurately represent the division's interests because some delegates depart before those votes are cast.

**Interpretations and Legislation Committee.** The committee recommends a position of support for this proposal. The committee agreed that the window of reconsideration is unnecessary given the amount of time institutions have to prepare for the initial vote, and that reconsideration votes may not accurately represent the division's interests because some delegates depart before those votes are cast. However, the committee recommended that the proposals be discussed in more depth during the issues forum at Convention prior to the business session taking place to allow institutions additional time to research and ask questions regarding the proposals.
2017 NCAA Convention Division III Business Session
Mootnicity and Related Parliamentary Issues

**NCAA Division III Presidents Council Grouping – Proposal Nos. 1-3.**

**General Notes.** The NCAA Division III Presidents Council has identified three proposals of particular interest to Division III chancellors and presidents. These proposals are included in the Presidents Council grouping and will be considered by roll call vote. All motions and votes related to these proposals also must be considered by roll call. Because the Presidents Council designated the proposals for roll call vote, only the Presidents Council may waive this designation and all other motions to change the voting method would be out of order.

<table>
<thead>
<tr>
<th>Proposal Number</th>
<th>Procedural Issues</th>
<th>Mootnicity Issues</th>
<th>Miscellaneous Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>2017 - 1</td>
<td>Roll call vote</td>
<td>None</td>
<td>A motion to divide the proposal for separate votes on the independent medical care provision (NCAA Constitution 3.2.4.19 as drafted in Proposal No. 2017-1) and the athletics healthcare administrator provision (NCAA Constitution 3.2.4.20 as drafted in Proposal No. 2017-1) would be contrary to the sponsor’s intent and would be ruled out of order.</td>
</tr>
<tr>
<td>2017 - 2</td>
<td>Roll call vote</td>
<td>None</td>
<td>None.</td>
</tr>
<tr>
<td>2017 - 3</td>
<td>Roll call vote</td>
<td>None</td>
<td>None.</td>
</tr>
</tbody>
</table>

**NCAA Division III General Grouping – Proposal Nos. 4-9.**

**General Notes.** This grouping contains six proposals. Each proposal will be considered by roll call vote. All motions and votes related to these proposals also must be considered by roll call. Because the Presidents Council designated the proposals for roll call vote, only the Presidents Council may waive this designation and all other motions to change the voting method would be out of order.
### 2017 NCAA Convention Division III Business Session

#### Mootnicity and Related Parliamentary Issues

<table>
<thead>
<tr>
<th>Proposal Number</th>
<th>Procedural Issues</th>
<th>Mootnicity Issues</th>
<th>Miscellaneous Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>2017 - 4</td>
<td>Roll call vote</td>
<td>Yes</td>
<td>Passage of Proposal No. 4 renders Proposal No. 5 moot. A motion to divide the proposal for separate votes on certain sports would be contrary to the sponsor’s intent and would be ruled out of order.</td>
</tr>
<tr>
<td>2017 - 5</td>
<td>Roll call vote</td>
<td>Yes</td>
<td>There will not be a vote on Proposal No. 5 if Proposal No. 4 passes. Proposal No. 4 would establish two exempted scrimmages, exhibitions or joint practices for all sports including both field hockey and lacrosse (NCAA Bylaw 17.1.4.5.1). Thus, if adopted, a vote would be unnecessary on Proposal No. 5, which would establish an exempted exhibition, scrimmage or joint practice in the sports of field hockey and lacrosse. If Proposal No. 4 is not adopted, a motion to divide Proposal No. 5 for separate votes on field hockey (section A of the proposal) and lacrosse (section B of the proposal) would be in order. Any motion to divide must be specific and clearly state how the division is to be made. The motion to divide is undebatable and requires a simple majority for adoption. If the motion to divide passes, each section of the proposal would be voted on in order (i.e., Section A would be voted on first, followed by Section B).</td>
</tr>
<tr>
<td>2017 - 6</td>
<td>Roll call vote</td>
<td>None</td>
<td>A motion to divide the proposal in order to separate the vote on swimming and diving from the vote on track and field would be in order. Any motion to divide must be specific and clearly state how the division is to be made. The motion to divide is undebatable and requires a simple majority for adoption. If the motion to divide passes, each section of the proposal would be voted on in order (i.e., swimming and diving would be voted on first, followed by track and field, indoor/outdoor).</td>
</tr>
<tr>
<td>2017 - 7</td>
<td>Roll call vote</td>
<td>None</td>
<td>None.</td>
</tr>
<tr>
<td>2017 - 8</td>
<td>Roll call vote</td>
<td>None</td>
<td>None.</td>
</tr>
<tr>
<td>2017 - 9</td>
<td>Roll call vote</td>
<td>None</td>
<td>Proposal No. 9, if adopted, would not impact the window of reconsideration for the 2017 Convention. The Division III business session at the 2018 NCAA Convention would be the first business session wherein there would be no window of reconsideration.</td>
</tr>
</tbody>
</table>
Additional Notes.

Effective date: A motion for an alternative effective date on the convention floor would violate the principle of "prior notice" and therefore would be out of order. Alternative effective dates must be noted with the submission of the proposal or offered as an amendment-to-amendment.

Motion to divide: Any delegate intending to make a motion to divide one of the nine proposals is encouraged to contact a member of the NCAA academic and membership affairs staff for assistance with drafting of the motion before the Division III business session.
2017 NCAA Convention Division III Legislative Proposals
Question and Answer Guide

Approved November 17, 2016, by the
NCAA Division III Interpretations and Legislation Committee

Please note this is the first edition of the 2017 NCAA Convention Division III Legislative Proposals Question and Answer Guide. Future editions may be developed as questions are presented to the NCAA staff or the NCAA Division III Interpretations and Legislation Committee. On release of subsequent editions of this guide, newly approved questions and answers will be shaded in gray.
DIVISION III LEGISLATIVE PROPOSALS

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Understanding How to Read the 2017 NCAA Convention Division III Official Notice.

1. **How to read the NCAA Division III legislative proposals.** When reviewing legislative proposals, it is important to note that:
   
a. The letters and words that appear in *italics and strikethrough* are letters and words in the current NCAA Division III rule that would be deleted with the adoption of the proposal;

b. The letters and words that appear in **bold face and underlined** are letters and words that would be added with the adoption of the proposal; and

c. The letters and words that appear in normal text are letters and words in the current Division III rule that would remain unchanged with the adoption of the proposal.

2. **What appears in the white pages of the NCAA Division III Official Notice?**

The white pages of the NCAA Division III Official Notice contain the legislative proposals that will be voted on individually at the NCAA Division III business session. Anticipated questions and answers related to each of the proposals appearing in the white pages are contained in this question and answer guide.

3. **What is the difference between the Presidents Council grouping and the general grouping of proposals?**

The NCAA Division III Presidents Council has determined that it will focus primarily on those national issues in Division III athletics that prompt widespread concern among Division III chancellors or presidents.

The Presidents Council has identified three proposals that it believes are of particular interest to Division III chancellors or presidents and has included them in the Presidents Council grouping. The remaining proposals are included in the general grouping. All proposals have been identified by the Presidents Council for a roll-call vote.

4. **What appears in the blue pages of the Official Notice?**

- The blue pages of the Official Notice contain three types of legislative proposals. The proposals appearing in the blue pages have already been adopted by the authority of the NCAA Division III Management Council. These proposals have an immediate effective date from the time of adoption. These groups of proposals will be ratified by the NCAA Division III membership during the Division III business session. If a delegate objects to the incorporation of any one of these
legislative proposals, that objection should be raised prior to the ratification of the package of proposals. (It is preferred that any delegate intending to raise an objection also inform a member of the NCAA academic and membership affairs staff of that intent before the Division III business session.) The Division III membership would then vote on the proposal in question via a separate action.

The question and answer document does not address proposals that are included in the blue pages. The blue pages, however, include an "additional information" section with each proposal that provides additional clarification regarding the proposal.

The three types of legislation contained within the blue pages are listed below.

1. **Interpretations to be incorporated in the 2017-18 NCAA Division III Manual.** These interpretations have already been accepted by the membership and the only issue that is before the membership is whether they should be set forth in the Division III Manual.

2. **Noncontroversial legislation adopted by the Management Council.** These proposals constitute all of the noncontroversial legislative changes the Management Council has adopted during the past year. The Management Council is permitted to adopt such legislation, if it is necessary, to promote the normal and orderly administration of the Association's legislation.

3. **Modifications of wording.** These proposals are modifications to current legislation that have been shown to be consistent with the intent of the membership in adopting the current legislation. To approve such a change, the Management Council has determined that sufficient documentation and testimony exists to establish clearly that the original wording of the legislation requires modification to better reflect the original intent.
Questions and Answers
2017 NCAA Convention Division III Legislative Proposals

NCAA Division III Proposal Number 2017-1 (2-1).

Title: NCAA MEMBERSHIP -- CONDITIONS AND OBLIGATIONS OF MEMBERSHIP -- INDEPENDENT MEDICAL CARE.

Effective Date: August 1, 2017.

Source: NCAA Division III Presidents Council [Management Council (Committee on Competitive Safeguards and Medical Aspects of Sports)].

Intent: To specify that an active member institution shall: (1) establish an administrative structure that provides independent medical care and affirms the unchallengeable autonomous authority of primary athletics health care providers (team physicians and athletic trainers) to determine medical management and return-to-play decisions related to student-athletes; and (2) designate an athletics healthcare administrator to oversee the institution's athletics health care administration and delivery.

Question No. 1: If adopted, how will this proposal impact institutions?

Answer: This proposal would require institutions to do two things: (1) an institution must establish an administrative structure that permits primary athletics health care providers to determine medical management and return-to-play decisions related to student-athletes. The decisions made may not be challenged. Within this structure, a coach may not serve as the sole supervisor nor have sole hiring/firing authority over primary athletics health care providers; and (2) an institution will need to designate an athletics healthcare administrator. This administrator will oversee the institution’s athletics health care administration and delivery.

Question No. 2: What if the director of athletics is also a coach of a sports team?

Answer: A director of athletics who is also a coach may not serve as the sole supervisor nor have sole hiring/firing authority over primary athletics health care providers. Effective solutions to this situation may focus on the development of shared supervisory relationships for athletics health care providers, or on the creation of appeal or oversight mechanisms, external to the athletics department, for the evaluation of the merits of negative employment decisions against athletics health care providers. Another example may be an administrative ‘firewall’ so that decision-making by
primary athletics health care providers is always autonomous and unchallengeable.

**Question No. 3:** What is a "primary athletics health care provider"?

**Answer:** A primary athletics health care provider is defined as an institution’s team physician and/or athletic trainer. This designation reflects the central role the physician and athletic trainer play, by virtue of their training, qualifications, and credentials, in the day-to-day management of student-athlete health and safety. This designation is also consistent with guidelines and recommendations established by sports medicine and athletic training professional organizations.

**Question No. 4:** How is "administrative structure" defined?

**Answer:** In the context of this proposal, the administrative structure is the organizational makeup, policy and process through which the institutional medical line of authority operates. Within the administrative structure, primary athletics health care providers should have authority and reporting lines that ensure their complete autonomy to determine medical management and return-to-play decisions for student-athletes.

**Question No. 5:** Who may be designated as an athletics health care administrator and what functions should the individual perform?

**Answer:** An institution should designate an individual who has administrative and clinical knowledge, but there is otherwise no specific credentialing required. The athletics health care administrator is not required to supervise or oversee the primary athletics health care providers and other members of the medical team, but rather should be focused on compliance with the broad structure and administrative environment in which student-athlete medical care is delivered. The proposal does not require that the individual have specific qualifications [e.g., doctor of medicine (MD), doctor of osteopathic medicine (DO)]. An athletics health care administrator is not required to be an institutional employee.

The position is administrative in nature and does not necessarily reflect the normal medical-legal hierarchy that would be required for the delivery of athletics health care. For example, athletic trainers deliver health care under the direction of a licensed physician. However, an athletic trainer could serve as the athletics health care administrator. While primary athletics health care providers will retain unchallengeable autonomous authority to
determine medical management and return-to-play decisions, the athletics health care administrator will play an administrative role in the delivery of athletics health care. This administrative role may include assuring that schools are compliant with all pertinent NCAA health and safety legislation and with interassociation consensus statements that impact student-athlete health and safety.

**Question No. 6:** Is there specific training required (e.g., certification, license) of the athletics health care administrator?

**Answer:** No, but the individual should assure institutional compliance with existing, pertinent legislation and interassociation recommendations.

**Question No. 7:** Must the independent medical care be provided separate from and independent of the institution?

**Answer:** No. The phrase "independent medical care" refers to an environment in which primary athletics health care providers may make medical decisions for student-athletes free of pressure or influence from non-medical factors. It depends on establishing an institutional medical line of authority independent of coaches and sport-specific staff members in an effort to afford sports medicine providers unchallengeable, autonomous authority to determine medical management and return-to-play decisions of student-athletes without outside influence.

**Question No. 8:** How does an athletics health care administrator differ from having a team physician?

**Answer:** The athletics health care administrator position lies outside of the normal medical hierarchy required for the lawful delivery of medical care. Physicians sit atop of that hierarchy, and a team physician/medical director is ultimately responsible for the care being delivered at all member institutions. Existing legislation in all three divisions requires the designation of a team physician who “shall be authorized to oversee the medical services for injuries and illnesses incidental to a student-athlete’s participation in intercollegiate athletics” (NCAA Division I Constitution 3.2.4.16, Division II Constitution 3.3.4.17, Division III Constitution 3.2.4.18). Team physician authority is the linchpin for independent medical care of student-athletes.

An analogy for an athletics health care administrator is that of a medical office manager who works in a physician’s office. The typical medical
office manager has administrative and clinical knowledge, skills in business and administration and clinical management. The medical office manager is also responsible for the operations of the medical practice. Importantly, medical office managers are not dictating the care delivered by the physician. Instead, they are ensuring that the care is being delivered in an organizational environment that reflects relevant laws, rules and regulations.

**Question No. 9:** Will institutions be required to report to the NCAA the name of the individual appointed as the athletics health care administrator?

**Answer:** The membership database will be updated to allow designation of the institution’s athletics health care administrator, but the proposal does not require reporting to the national office.

**Question No. 10:** Does this proposal require institutions to have medical staff present at all practices and competitions?

**Answer:** No.

**Question No. 11:** What if a coach is the only individual present from the institution at a practice or competition when an injury occurs?

**Answer:** The coach must follow protocols established by the primary athletics health care provider(s). The protocols should be consistent with existing health and safety legislation and relevant interassociation recommendations.

**Question No. 12:** Does the “unchallengeable autonomous authority” requirement preclude a student-athlete from getting a second opinion from a medical practitioner outside of the institution?

**Answer:** No. A student-athlete is permitted to seek an outside medical opinion. However, an outside medical opinion cannot override the decision of the primary athletics health care provider(s) regarding return-to-play. Such an opinion becomes part of the data that must be analyzed by the primary athletics health care provider(s) in making unchallengeable, autonomous medical decisions.
**NCAA Division III Proposal Number: 2017-2 (2-4).**

**Title:** ELIGIBILITY -- GRADUATE AND POSTBACCALAUREATE TRANSFERS

**Effective Date:** August 1, 2017.

**Source:** Wisconsin Intercollegiate Athletic Conference and Little East Conference.

**Intent:** To permit a graduate student to participate in intercollegiate athletics at the institution of his or her choice.

**Question No. 1:** Under the current rule, how may a graduate or postbaccalaureate student participate in intercollegiate athletics?

**Answer:** Currently, a Division III student-athlete who has completed a baccalaureate degree may only participate in Division III intercollegiate athletics at the institution he or she most recently attended as an undergraduate and may only do so if he or she has eligibility remaining and all participation occurs within the applicable 10-semester/15-quarter period.

An institution may submit a legislative relief waiver on behalf of a student-athlete pursuing a graduate or postbaccalaureate degree at an institution other than the one he or she most recently attended as an undergraduate. To qualify for this waiver a student-athlete must have received his/her undergraduate degree in less than four academic years with no breaks in full-time enrollment and a minimum cumulative grade-point average of 3.000. For student-athletes who do not meet these criteria, an institution may submit a waiver for students who demonstrate extenuating or extraordinary circumstances.

**Question No. 2:** If this proposal is adopted, how will it impact the current rule?

**Answer:** A student-athlete who has completed a baccalaureate degree would be permitted to continue to participate in intercollegiate athletics at an institution he or she did not attend as an undergraduate provided the student: (1) is enrolled and seeking a second baccalaureate or graduate degree; (2) has eligibility remaining; and (3) participation occurs within the applicable 10-semester/15-quarter period. Thus, a legislative relief waiver would no longer be necessary.

**Question No. 3:** Does Division I legislation allow a graduate transfer student-athlete to participate in intercollegiate athletics?
Answer: Yes. A graduate transfer student-athlete from any division may participate at a Division I institution provided the student meets the Division I one-time transfer exception and has eligibility remaining.

If a graduate transfer student does not meet the Division I one-time transfer exception because of participation in Division I baseball, basketball, bowl subdivision football or men’s ice hockey the student shall still qualify and be eligible if: (1) the student fulfills the remaining conditions of the Division I one time transfer exception; (2) the student has at least one season of competition remaining; and (3) the student’s previous institution did not renew his or her athletically related financial aid for the following academic year.

Question No. 4: Does Division II legislation allow a graduate transfer student-athlete to participate in intercollegiate athletics?

Answer: Yes. A graduate transfer student-athlete from any division may participate at a Division II institution provided the student has eligibility remaining.

Question No. 5: If this proposal is adopted, how would it impact the requirement that a Division III institution receive permission to contact?

Answer: An athletics staff member may not make contact in any manner with a student-athlete of another NCAA or NAIA four-year collegiate institution, without first obtaining written permission to do so, regardless of who makes the initial contact. If a student-athlete is still enrolled at another institution permission to contact or a self-release for a Division III transfer is required. However, if a student has officially withdrawn from the previous institution permission to contact is not required.

Question No. 6: How many Division III institutions have graduate programs?

Answer: Based on U.S. Department of Education classifications of institutions, the NCAA staff estimates approximately half of Division III institutions offer at least one graduate level academic program (e.g. M.A., M.S., Ph.D.). There is significant diversity in these offering across institutions in terms of the number and type of programs. For example, an institution may offer one Masters level program in nursing while another offers 20 graduate programs in areas including business, education and the sciences.
Question No. 7: How many Division III student-athletes are participating in intercollegiate athletics while enrolled in a graduate level program?

Answer: Based on the 2016 NCAA Growth, Opportunities, Aspirations and Learning of Students Study, the NCAA staff estimates that currently less than one percent of Division III student-athletes are enrolled in a graduate level program.

Question No. 8: Would this proposal allow a student to participate if the student is enrolled in a certificate program?

Answer: No. This exception is intended to only apply for student-athletes enrolled in official baccalaureate or graduate degree programs.

Question No. 9: How many credit hours must a student-athlete pursuing a second baccalaureate degree enroll in to be considered full-time and eligible for intercollegiate athletics?

Answer: A student-athlete enrolled in a second baccalaureate degree must be enrolled in 12 semester or quarter hours to be eligible for intercollegiate athletics and considered full-time by the institution.

Question No. 10: How many credit hours must a graduate student-athlete enroll in to be considered full-time and eligible for intercollegiate athletics?

Answer: The number of credit hours for full-time enrollment is determined by each institution for graduate programs.

Question No. 11: How many student-athletes who completed their undergraduate degree from a Division III institution go on to compete as graduate student-athletes at Division I or II institutions?

Answer: The NCAA does not currently track this information.

NCAA Division III Proposal Number 2017-3 (2-5).

Title: FINANCIAL AID FROM OUTSIDE SOURCES THAT CONSIDER ATHLETICS LEADERSHIP, ABILITY, PARTICIPATION OR PERFORMANCE -- RESTRICTION ON RECIPIENT'S CHOICE OF INSTITUTIONS

Effective Date: August 1, 2017.
Source: NCAA Division III Presidents Council [Management Council (Financial Aid Committee)].

Intent: To amend the limitations of outside financial aid awards to preclude the donor of an outside aid award that considers athletics leadership, ability, participation or performance from restricting the recipient to attend a specific institution.

Question No. 1: Under current legislation, may a student-athlete receive a financial aid award that considers athletics?

Answer: Yes, provided the award comes from a source outside the institution and satisfies all the following conditions: (1) the award is part of an established and continuing program for the recognition of outstanding high school graduates (i.e. the award is for a student initially enrolling in a collegiate institution as opposed to a continuing student); (2) the award is made on only one occasion but may be disbursed over multiple years; (3) the recipient’s choice of institutions is not restricted by the donor of the aid; and (4) there is no direct connection between the donor and the student-athlete’s institution.

Question No. 2: How does this proposal change the current legislation?

Answer: This proposal would change the requirement that the recipient’s choice of institutions may not be restricted by the donor of the aid. Rather, restrictions would be allowed, provided those restrictions don’t result in effectively limiting the recipient’s choice of institutions to one institution. For example, under current legislation the award is not permissible if the recipient must use the aid within a specific state because that is restricting the recipient’s choice of institutions. The proposal, however, would allow that limitation provided there is more than one institution within that state.

Question No. 3: Pursuant to this proposal would an award be permissible if it may only be used at institutions within a particular conference?

Answer: No. An award that is limited to the institutions within a particular conference (which is an NCAA defined legislative and competitive body) effectively becomes an award of that conference. A conference is not permitted to award financial aid based on athletics to an incoming student-athlete.
Question No. 4: Could the recipient’s choice of institutions be restricted to the institutions within an athletics consortium?

Answer: No.

Question No. 5: Pursuant to this proposal, would an award be permissible if the choice of institutions included only one four-year institution among multiple other collegiate institutions (e.g., two-year institutions)?

Answer: Yes, provided all other conditions are satisfied and more than one of the institutions offers an intercollegiate athletics program.

Due to potential confusion regarding the existing legislation, the following questions and answers address the remaining conditions of the existing legislation that would not be changed by the proposal.

Question No. 6: Who is permitted to receive a financial aid award from an outside source that considers athletics leadership, ability, participation or performance?

Answer: This award is intended for an outstanding high school graduate. Thus, only student-athletes initially enrolling in a collegiate institution may receive an award from an outside source that considers athletics leadership, ability, participation or performance. Student-athletes may continue to receive this type of award each year provided the award was only made once, but disbursed over multiple years.

Question No. 7: What does the condition “There shall be no direct connection between the donor and the student-athlete’s institution” mean?

Answer: The recipient may not use the outside award if the donor of the award is an athletics representative of the institution that the recipient plans on attending.

Question No. 8: If an individual has previously donated money to a member conference, does that individual have a “direct connection” with all institutions within that conference?

Answer: No. Donating to a conference does not render that individual a representative of the institution’s athletics for all the institutions in that conference.
Question No. 9: If the outside award comes from an organization where its members are representatives of the athletics interests of many member institutions, is the recipient precluded from using the award at all of those institutions?

Answer: No. The donating organization does not become a representative of the athletics interests of all of the institutions of its members. An organization, independent of its individual members, must be reviewed on a case by case basis to determine if the organization is a representative of any particular institution’s athletics interest.

Example: John is a representative of the athletics interest of Institution A. John is also a member of his local Kiwanis club. That local Kiwanis club provides a scholarship every year to a deserving high-school graduate in which athletics is considered. May the recipient use the scholarship at Institution A?

Yes, provided the Kiwanis club, separate from John, has not done anything to become a representative of Institution A’s athletics interest.

NCAA Division III Proposal Number 2017-4 (2-6).

Title: PLAYING AND PRACTICE SEASONS -- GENERAL PLAYING SEASON REGULATIONS -- STANDARDIZATION OF ANNUAL CONTEST AND DATE OF COMPETITION EXEMPTIONS

Effective Date: August 1, 2017.

Source: NCAA Division III Management Council.

Intent: To standardize annual contest and date of competition exemptions. Specifically, to allow each sport to exempt participation in the following: (1) conference championship; (2) season-ending tournament; and (3) two scrimmages, exhibitions or joint practices. Additionally, to allow the two scrimmages, exhibitions or joint practices to occur prior to the first permissible contest date in all sports other than wrestling.

Question No. 1: What is a contest/date of competition exemption?

Answer: A contest or date of competition that does not count towards a team’s maximum allowable number of contests or dates of competition.
Question No. 2: How would this proposal standardize contest/date of competition exemptions?

Answer: Currently there are standard exemptions that apply to all sports, exemptions that only apply to a few sports and exemptions that only apply to a specific sport. This proposal would eliminate the exemptions that only apply to a sport or a few sports and redefine the standard exemptions. Specifically, the standard exemptions would include: (1) conference championship tournament; (2) season ending tournament; and (3) two exhibitions, scrimmages or joint practices (discretionary exemptions).

Question No. 3: Which contests may be exempted as exhibitions, scrimmages or joint practices and when may they occur?

Answer: A team may exempt any contest that occurs within the traditional segment and is not used for championship selection purposes. For sports that have a first permissible contest date different than the first permissible practice date, these contests may occur before the first permissible contest date (exception, wrestling, see Question No. 10). An institution could only use an exemption for a contest during the nontraditional segment if the contest is an alumni contest.

Question No. 4: If this proposal is adopted, what existing annual exemptions would no longer exist?

Answer: The following annual exemptions will no longer exist: (1) fundraising activity; (2) foreign team in the United States; (3) alumni game; and (4) sport specific exemptions. An institution may, however, continue to conduct the contests referenced above. The institution would simply have to count those contests against their maximums or count those contests as one of their two exempted scrimmages, exhibitions or joint practices.

Question No. 5: If this proposal is adopted, what existing annual exemptions would remain?

Answer: Exemptions for the conference championship and a season-ending tournament would remain.

Question No. 6: If this proposal is adopted, does it impact non-annual exemptions?
Answer: No. The once-in-three-years foreign tour and one-in-four-years contests or dates of competition in Hawaii, Alaska or Puerto Rico are not impacted by this proposal. Institutions may still exempt these contests or dates of competition.

Question No. 7: If this proposal is adopted, which sport-specific exemptions would be impacted?

Answer: The following sports currently have a sport-specific exemption that would no longer exist. Participation in these contests would have to count towards the institutions maximum contests and dates of competition or be used as one of the discretionary exemptions.

- Basketball – Up to two exhibitions, scrimmages or joint practices against any opponent.
- Cross Country – an unlimited number of exhibitions or scrimmages on one date during the preseason period.
- Fencing – U.S. National Team, Hawaii, Alaska or Puerto Rico.
- Field Hockey – an unlimited number of exhibitions or scrimmages on one date during the preseason practice period.
- Football – 12-member conference championship and one preseason, joint practice or exhibition.
- Golf – College All-American Golf Classic and College-Am Event.
- Gymnastics – U.S. National Team, Hawaii, Alaska or Puerto Rico, USA Gymnastics and NCGA Championships.
- Women’s Ice Hockey – two contests against the U.S. national women’s ice hockey team during the season leading up to the Winter Olympics.
- Ice Hockey – one scrimmage, exhibition game or joint practice before the first permissible contest date, foreign team in U.S. and U.S. National Development Team.
- Rifle – U.S. National Team and contests against a member institution in Hawaii, Alaska or Puerto Rico.
- Rowing – U.S. Rowing Association Championship and Hawaii, Alaska or Puerto Rico.
- Women’s Rugby – National Governing Body Championship and contests against a member institution in Hawaii, Alaska, or Puerto Rico and an unlimited number of exhibitions or scrimmages on one date during the preseason practice period.
- Skiing – U.S. National Team and contests against a member institution in Hawaii, Alaska or Puerto Rico.
- Soccer – One preseason joint practice, scrimmage or exhibition and an unlimited number of exhibitions or scrimmages on one date during the preseason practice period that counts as only one contest.
- Women’s Volleyball – An unlimited number of preseason joint practices, scrimmages or exhibitions conducted on one date during the preseason practice period.
- Water Polo – U.S. National Team.

**Question No. 8:** If this proposal is adopted, do the two discretionary exemptions apply separately to varsity and sub-varsity (i.e. two for each squad)?

**Answer:** During the traditional segment, varsity and sub-varsity (e.g., junior varsity) teams are considered separate teams and may schedule separate contests and dates of competition. Thus, the varsity and sub-varsity teams would each be permitted two discretionary exemptions during the traditional segment.

**Question No. 9:** If this proposal is adopted, may a student-athlete participate in two exempted scrimmages for the varsity team and two exempted scrimmages, for the junior varsity team?

**Answer:** No. Each student-athlete is still limited to a specific maximum number of contests and dates of competition. A student-athlete would only be permitted to participate in two exempted exhibitions scrimmages or joint practices.

**Question No. 10:** If this proposal is adopted, are any sports precluded from using the two discretionary exempted scrimmages, exhibitions or joint practices prior to its first regular-season contest or date of competition?

**Answer:** Yes, the sport of wrestling. NCAA Proposal No. 2011-12 was adopted for the sport of wrestling to specify that an institution shall not commence practice sessions prior to October 10 and shall not engage in its first date of competition with outside competition prior to November 1. If this proposal is adopted, it does not change this legislation. Therefore, the sport of wrestling may not use its two exempted scrimmages, exhibitions or joint practices prior to November 1 during the playing and practice season. The team may use the two exemptions after the first permissible date of competition.

**Question No. 11:** If this proposal is adopted, would the exempted exhibition, scrimmage or joint practice have to be classified as the same type of contest by each opponent?
Answer: The contest must be classified the same by all participating Division III institutions. However, if an institution uses a discretionary exemption against a non-Division III opponent, the contest may be classified differently. (See official interpretation 2/25/16, Item Ref: 2-a)

Question No. 12: How would this proposal impact the sports of soccer and field hockey which currently may: (1) conduct up to three exhibitions/scrimmages before the first permissible contest date, which must be counted towards the maximum contest limits (but soccer could exempt one of these contests); and (2) conduct an unlimited number of contests on one date prior to the first permissible contest date that only counts as one contest?

Answer: Field hockey and soccer would still be permitted to play up to three scrimmages or exhibitions before the first permissible contest date. The proposal would allow two of these contests to be exempted from the maximum contest limits. Further, these sports would no longer be allowed to play an unlimited number of contests on one date and have it only count as one.

NCAA Division III Proposal Number 2017-5 (2-8).

Title: PLAYING AND PRACTICE SEASONS -- FIELD HOCKEY AND LACROSSE -- PRESEASON JOINT PRACTICE, SCRIMMAGE OR EXHIBITION -- EXEMPTION FROM MAXIMUM CONTEST AND DATE OF COMPETITION LIMITATIONS

Effective Date: August 1, 2017.

Source: Middle Atlantic Conferences, Empire 8 and Great Northeast Athletic Conference.

Intent: To allow field hockey and lacrosse teams to conduct an exempted scrimmage, exhibition or joint practice with outside competition prior to the first permissible contest or date of competition.

Question No. 1: Will this proposal be impacted if Proposal No. 2017-4 (Playing and Practice Season Regulations – Standardization of Annual Contest and Date of Competition Exemptions) is adopted?

Answer: Yes. If Proposal No. 2017-4 is adopted, this proposal will be rendered moot and will not be voted on.
Question No. 2: Under the current rule, what type of competition may occur for field hockey prior to the first permissible contest?

Answer: Field hockey teams may play up to three scrimmages or exhibition games before the first regular scheduled contest, provided the scrimmages or exhibition games are conducted during the institution’s declared playing season and are counted against the maximum number of contests.

Question No. 3: Under the current rule, what type of competition may occur for lacrosse prior to the first permissible date of competition?

Answer: Lacrosse is not permitted to play scrimmages, exhibitions or any competitions prior to the first date of competition.

Question No. 4: If this proposal is adopted, how would this proposal amend the field hockey preseason restrictions?

Answer: This proposal would permit field hockey teams to exempt one preseason joint practice, scrimmage or exhibition conducted during the preseason practice period (Please note that field hockey is a contest sport as opposed to a date of competition sport).

Question No. 5: If this proposal is adopted, how would this proposal amend the lacrosse preseason restrictions?

Answer: This proposal would permit lacrosse teams to hold an unlimited number of preseason joint practices, scrimmages or exhibitions on one date prior to the institution’s first regular season contest. (Please note that lacrosse is a date of competition sport as opposed to a contest sport).

Question No. 6: In the sport of field hockey, could an institution exempt the one date on which an institution may conduct an unlimited number of exhibitions or scrimmages prior to the first permissible contest date?

Answer: This proposal allows an institution to exempt one joint practice, scrimmage or exhibition. As such, if an institution’s field hockey team chooses to conduct an unlimited number of exhibitions or scrimmages on a single date prior to the first permissible contest date, the institution may only exempt one of those scrimmages from the maximum contest limitations.
**NCAA Division III Proposal Number 2017-6 (2-7).**

**Title:** PLAYING AND PRACTICE SEASONS -- GENERAL PLAYING SEASON REGULATIONS -- REQUIRED DAY OFF FOR TRACK AND FIELD INDOOR/OUTDOOR AND SWIMMING AND DIVING

**Effective Date:** Immediate.

**Source:** Minnesota Intercollegiate Athletic Conference and Heartland Collegiate Athletic Conference.

**Intent:** To eliminate the requirement that the mandatory day off for track and field and swimming and diving programs be the same day for every student-athlete.

**Question No. 1:** What is the current rule regarding the required day off?

**Answer:** During the playing season, all athletically related activities shall be prohibited one calendar day per defined week for all sports. The required day off per week must apply to the team as a whole (as opposed to allowing each student-athlete to take a different day off per week). A blanket waiver exists to allow an exception to this rule through the close of the 2017 NCAA Convention (see the 3/3/2016 blanket waiver identified in Question No. 2).

**Question No. 2:** What does the blanket waiver approved by the Management Council Subcommittee for Legislative Relief on March 3, 2016 allow?

**Answer:** The Subcommittee for Legislative Relief provided blanket relief for indoor and outdoor track and field teams from the application of Bylaw 17.1.4.1 and staff interpretation dated November 11, 2015 (Item No. a) through the conclusion of the 2017 NCAA Convention. Specifically, the blanket waiver allows indoor and outdoor track and field student-athletes to take different calendar days off during the playing and practice season until the close of the 2017 NCAA Convention Business Session. If the membership does not pass Proposal No. 2017-6, indoor and outdoor track and field student-athletes would no longer be permitted to take different calendar days off during the playing and practice season.

**Question No. 3:** If this proposal is adopted, are indoor and/or outdoor track and field and swimming and diving teams still permitted to have the mandatory day off be the same for all members of the team?

**Answer:** Yes.
Question No. 4: If this proposal is adopted, how does this proposal affect multisport student-athletes?

Answer: Multisport student-athletes would still be required to take one calendar day off per week regardless of the number of sports in which the student-athlete participates.

Example: A field hockey and lacrosse multisport student-athlete. The field hockey team is in its nontraditional segment and the team’s days off include Tuesday, Thursday and Sunday and the lacrosse team is in its traditional segment and the team’s day off is Monday.

1. On Sunday, the student-athlete does not participate in any athletically related activity for either team. On Monday, the student-athlete practices with the field hockey team, but not the lacrosse team; or

2. On Sunday, the student-athlete practices with the lacrosse team, but not the field hockey team. On Monday, the student-athlete does not participate in any athletically related activity with either team.

NCAA Division III Proposal Number: 2017-7 (2-3).

Title: RECRUITING -- TRYOUTS AND CAMPS AND CLINICS -- DEREGULATING CAMPS AND CLINICS

Effective Date: Immediate.

Source: North Coast Athletic Conference, Landmark Conference and Middle Atlantic Conferences.

Intent: To deregulate the tryout events and camps and clinics legislation to allow institutions to host or conduct events involving prospective student-athletes, provided those events are: (1) open to the general public; and (2) do not offer free or reduced admission to prospective student-athletes.

Question No. 1: If this proposal is adopted, how would this proposal amend the tryouts and camps and clinic legislation?

Answer: Institutions would still be prohibited from conducting a traditional tryout (See Bylaw 13.11.2.1). The proposal would, however permit greater flexibility to conduct events that include activities devoted to agility,
flexibility, and speed and strength tests. Currently, both the tryout legislation in 13.11 and the camps and clinics legislation in 13.12 requires an analysis of the specific activities of the event to determine if it is or is not permissible. This proposal would change that analysis to primarily focus on the access to participate in the event. Specifically, if the event is open to any and all entrants and prospective student-athletes do not receive free or reduced admissions, then it would be permissible for the institution to host the event and for institutional coaches to work the event. Additionally, the proposal would allow coaches to recruit at the events.

Question No. 2: Would institutions still be permitted to host competition-only events wherein they invite specific teams to participate?

Answer: Yes. Institutions would still be permitted to host team competition-only events that are not open to any and all participants.

Question No. 3: If this proposal is adopted, would institutions be permitted to host combines?

Answer: Yes. This proposal would allow an institution to host any type of event involving prospective student-athletes as long as participation in the event is open to all and prospective student-athletes do not receive free or reduced admission.

Questions No. 4: Is an institution permitted to offer free admission to all participants, even if some or all of the participants are prospective student-athletes?

Answer: Yes. An institution may offer an event and provide free admission to prospective student-athletes provided the event is free to all participants.

Question No. 5: If this proposal is adopted, can an event still be limited by objective criteria?

Answer: Yes. An event must be open to any and all entrants; however, it may be limited by age, number, gender and grade level.

Question No. 6: If this proposal is adopted, what type of recruiting contact with prospective student-athletes may occur during a camp, clinic, competition only event or other events?

Answer: While this proposal would remove the prohibition on recruiting activities during a camp and clinic, recruiting contact may not be made with a prospective student-athlete before any event that is strictly competition until
the prospective student-athlete has been released for that day by the appropriate authority. However, athletics staff members are permitted to have on-campus contact with a prospective student-athlete prior to a competition-only event, provided the prospective student-athlete is not scheduled to compete on that day on the institution’s campus.

**Question No. 7:** If this proposal is adopted, may an athletics staff member have recruiting contact with a prospective student-athlete during an event that has a combination of competition and camp, clinic or combine type activities?

**Answer:** Yes. An athletics department staff member may contact a prospective student-athlete during any event that has additional components and is not primarily competition.

**Question No. 8:** If this proposal is adopted, would student-athletes still be permitted to be paid to work events involving prospective student-athletes (e.g., an institutional camp or clinic)?

**Answer:** Yes. This proposal does not change the current regulations regarding student-athlete employment. As currently required, compensation for student-athletes shall be commensurate with the going rate for work performed but a student-athlete who only lectures or demonstrates may not receive compensation for his or her appearance.

**Question No. 9:** How are administrative duties defined for a student-athlete who is employed at an institutional or non-institutional athletics event?

**Answer:** The emphasis on requiring administrative duties is meant to ensure that a student-athlete is not paid solely to lecture or demonstrate. Any supervisory, clerical or site maintenance-type duties would be considered administrative.

**Question No. 10:** If this proposal is adopted, would student-athletes be permitted to assist with recruiting prospective student-athletes during these events?

**Answer:** Student-athletes may assist with recruiting activities if the event takes place on an institution’s campus. Student-athletes are prohibited from participating in recruiting activities off campus.

**Question No. 11:** If an institutional coach, who has a contract for a period of less than a full year, required to abide by NCAA regulations during the months they are not on contract?
Answer: Yes. All NCAA legislation applies to a coach who is employed by a member institution on a regular and continuing basis, even if the individual’s contract is for a period of less than a full year or the individual is absent from the institution for a temporary period.

Question No. 12: If this proposal is adopted, is a coach permitted to work for an event that is owned or operated by a recruiting or scouting service?

Answer: No. (A recruiting or scouting service includes any individual, organization, entity or segment of an entity that is primarily involved in providing information about prospective student-athletes.)

Question No. 13: If this proposal is adopted, can the institution still provide admissions discounts based on objective criteria unrelated to athletics ability?

Answer: Yes, provided such discounts are published and available on an equal basis to all who qualify (See staff interpretation dated 8/27/2009, Item Ref: 1).

Proposal Number 2017-8 (2-9).

Title: MEMBERSHIP -- PROVISIONAL OR RECLASSIFYING MEMBERSHIP -- CLASS SIZE AND ASSIGNMENT -- PROVISIONAL PROGRAM SIZE LIMIT

Effective Date: August 1, 2017.

Source: NCAA Division III Management Council (Membership Committee).

Intent: To limit the total number of participants in the provisional or reclassifying membership program to not more than 12 institutions.

Question No. 1: What is the NCAA Division III membership program process?

Answer: The NCAA Division III membership program is an interactive multi-year progression that prepares candidate institutions for membership as successful Division III athletics programs. The program is comprised of one exploratory year and four years of provisional or reclassifying membership.

Question No. 2: What is an exploratory year?

Answer: The exploratory year represents an opportunity for an institution to: (1) learn about the NCAA and Division III; (2) determine if Division III membership
is appropriate for the institution; and (3) determine if the institution is prepared to begin the provisional or reclassifying membership program.

Question No. 3: What is a Division III provisional member institution?

Answer: A provisional member institution is a four-year college or university or a two-year upper-level collegiate institution accredited by the appropriate regional accrediting agency and that has been accepted for NCAA Division III active membership.

Question No. 4: What is a Division III reclassifying member institution?

Answer: A reclassifying member institution is an active NCAA Division I or Division II member institution that has been accepted for active membership in Division III.

Question No. 5: What is the current size limit for the Division III provisional or reclassifying membership program?

Answer: Currently, a maximum of four institutions may be admitted to the provisional or reclassifying membership program in any one year.

Question No. 6: How would this proposal change the current size limit for Division III provisional or reclassifying membership program?

Answer: This proposal does not change the current annual maximum of four institutions that may be admitted to the membership program; instead, the proposal limits the overall number of institutions that may participate in the four-year provisional or reclassifying program at any one time.

Question No. 7: If this proposal is adopted, is there a maximum number of reclassifying versus provisional institutions that may be included in the total of 12 institutions in the Division III membership program?

Answer: No. The program may include any combination of reclassifying and provisional institutions, not to exceed a total of 12 institutions.

Question No. 8: If a provisional or reclassifying institution is required to repeat a year in the Division III membership program, is there an impact on the maximum of 12 institutions?
Answer: All provisional or reclassifying institutions are included in the maximum of 12 institutions, regardless of whether the institution is required to repeat a year in the membership program. Thus, an institution that is required to repeat a year of the membership program would count towards the overall maximum of 12 institutions, and may impact the number of institutions admitted to the membership programs in a particular year.

Question No. 9: Does an institution in the exploratory year count towards the class size limit?

Answer: No. Institutions in the exploratory year would not be included in the calculations of the limit of 12.

Question No. 10: How many institutions have been in the Division III provisional or reclassifying membership program over the past five academic years?

Answer: 2016-17: 8
2015-16: 11
2014-15: 12
2013-14: 9
2012-13: 8

Question No. 11: How many members are on the Division III Membership Committee?

Answer: The membership committee has 10 members, including one president. As a general practice, the president on the committee does not serve as a mentor to a provisional or reclassifying institution.

NCAA Division III Proposal Number: 2017-9 (2-2).

Title: LEGISLATIVE AUTHORITY AND PROCESS -- AMENDMENT PROCESS -- RECONSIDERATION -- ELIMINATE WINDOW OF RECONSIDERATION AND PROHIBIT ADDITIONAL RECONSIDERATION

Effective Date: August 1, 2017.

Source: Minnesota Intercollegiate Athletic Conference and Southern California Intercollegiate Athletic Conference.

Intent: To eliminate the opportunity to reconsider an amendment following confirmation of an affirmative or negative vote on that amendment by the presiding officer.
Question No. 1: What is the current process for reconsidering a proposal?

Answer: NCAA legislation says that NCAA business will be conducted according to Robert’s Rules of Order unless a specific NCAA bylaw establishes an alternative practice. Robert’s Rules of Order indicates that any action item may be reconsidered until the close of the meeting. There is, however, a specific NCAA bylaw that narrows the opportunity to reconsider an issue to a finite time known as the window of reconsideration. Currently, during the established window of reconsideration, a vote on amendment legislative proposal may be subjected to a motion for reconsideration by any member that voted on the prevailing side in the original consideration.

Question No. 2: How would this proposal change the current process?

Answer: This proposal would eliminate any reconsideration of a vote once it has been confirmed by the presiding officer.

Question No. 3: Is a proposal considered to have been adopted if it has an equal number of affirmative and negative votes?

Answer: No. A proposal must receive a majority of the votes cast to be adopted. Abstentions are not considered “votes cast” and do not impact the count. A tie vote is not a majority of the votes cast and would, therefore, be considered a “negative” vote.
<table>
<thead>
<tr>
<th>Time</th>
<th>Division III</th>
<th>Association-Wide</th>
<th>Room Location</th>
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<tbody>
<tr>
<td>Thursday, January 19</td>
<td>PC/MC/SAAC Breakfast [Must be a member of these committees to attend]</td>
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<td>Lincoln C-E</td>
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<tr>
<td>7:30 to 9 a.m.</td>
<td>8 to 9:30 a.m.</td>
<td>8:30 to 9:30 a.m. [Division III Education Session – Role of the SWA]</td>
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<td></td>
<td>9:15 to 11:15 a.m. Division III Presidents Council Meeting [Must be a member of this committee to attend]</td>
<td>9:45 to 11:15 a.m. [Division III Education Session – Conducting a Successful Search]</td>
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<td>11:30 a.m. to 1 p.m. Division III Chancellors/Presidents Forum and Luncheon</td>
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<td>1 to 2:15 p.m. Special Olympics Unified Sports Activity</td>
<td>1 to 2:15 p.m. Special Olympics Unified Sports Activity</td>
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<td>1:15 to 2:15 p.m. Association-wide Programming - Sexual Assault</td>
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<td></td>
<td>2:30 to 4 p.m. [NADIIIA Division III Education Session]</td>
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<td>4:30 to 6 p.m. State of the Association and Business Session [Doors open at 4:15 p.m.]</td>
<td>4:30 to 6 p.m. State of the Association and Business Session [Doors open at 4:15 p.m.]</td>
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<td>6 to 7:30 p.m. Delegates Reception</td>
<td>6 to 7:30 p.m. Delegates Reception</td>
<td>Atrium</td>
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<tr>
<td>Friday, January 20</td>
<td>7 to 8 a.m. Division III Delegate Breakfast</td>
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<td>Presidential Ballroom Foyer</td>
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<tr>
<td>8 to 11 a.m.</td>
<td>Division III Issues Forum</td>
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<td>Presidential Ballroom</td>
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<tr>
<td>11:30 to 1 p.m.</td>
<td>Association Luncheon</td>
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<td>Delta Ballroom A</td>
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<tr>
<td>1 to 5:30 p.m.</td>
<td>Conference Meetings</td>
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<td>Magnolia Ballroom</td>
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<tr>
<td>6 to 7 p.m.</td>
<td>Presidents &amp; Chancellors Reception</td>
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<tr>
<td>Saturday, January 21</td>
<td>7 to 8 a.m. Division III Delegates Breakfast</td>
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<td>Presidential Ballroom Foyer</td>
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<tr>
<td>8 to 11 a.m.</td>
<td>Division III Business Session</td>
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<td>Presidential Ballroom</td>
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National Collegiate Athletic Association

Joint Meeting of the Division III Presidents Council,
Management Council and Student-Athlete Advisory Committee

Gaylord Opryland Resort & Convention Center
Lincoln C-E

January 19, 2017
7:30 to 9 a.m.

7:45 a.m.  1. Opening remarks. [Al Cureton, Tracey Ranieri and Robert Wingert]

8 a.m.    2. SAAC report on key issues and introduction of new officers for 2017. [Wingert]

8:10 a.m. 3. 2017 legislative proposals. [Sean Cain]
 a. Committee position.
 b. Questions and answers.

8:20 a.m. 4. Round table discussions. [All attendees, led by Kayla Porter]
 a. Graduate student participation and legislative proposal.
 b. Mental Health.

9 a.m.    5. Adjournment. [Wingert]
Management Council/Presidents Council/Student-Athlete Advisory Committee
Seating Chart
Thursday, January 19, 2017
71 Attendees

### Table 1
- Al Cureton
- Tracey Ranieri
- Robert Wingert
- Sean Cain
- Sue Henderson
- Bob Davis
- Dan Dutcher

### Table 2
- Jay Lemons
- Brit Katz
- Kayla Porter
- Ryan Fennelly
- Teresa Amott
- Kate Roy
- Louise McCleary

### Table 3
- Jeff Docking
- Frank Millerick
- Jessica Jean
- Christopher O’Rourke
- Tori Murden McClure
- CJ Pakeltis
- Jay Jones

### Table 4
- Margaret Drugovich
- Gail Cummings-Danson
- Kelsey Morrison
- Greg Woods
- Matt Shank
- Dennis Leighton
- Reed Fogle

### Table 5
- Rob Huntington
- Chris Kimball
- Megan Warren
- Christopher Deddo
- Tori Haring-Smith
- Karen Tompson-Wolfe
- Brynna Barnhart

### Table 6
- Elsa Nunez
- Gerald Young
- Taryn Stromback
- Joseph Weber
- Chris Ragsdale
- Madison Burns
- Eric Hartung
- Adam Skaggs

### Table 7
- Dave Wolk
- Stevie Baker-Watson
- Kyera Bryant
- Cedric Fry
- Sharon Hirsh
- Brad Bankston
- Debbie Kresge

### Table 8
- Lex McMillan
- Julie Soriero
- Parker Hammel
- Zachary Cook
- Terry Small
- Nicole Monick
- Brian Burnsed

### Table 9
- Troy VanAken
- Terry Wansart
- Mikayla Greenwood
- Callie Olson
- Bill Fritz
- Laura Peterson
- Jean Orr

### Table 10
- Dennis Shields
- Shanley Hill
- Mathew Knigge
- Jeff O’Barr
- Zorica Pantic
- Nhenna Akotaobi
- Rosamaria Riccobono

**Not Attending:** PC: Tom Foley; SAAC: Tanner Milliron, Elissa Pheneiger, Michael Rubayo and Alaina Woo; Staff: Jeff Myers and Sarah Otey
AGENDA

The National Collegiate Athletic Association

2017 Division III Issues Forum

Gaylord Opryland Resort & Convention Center
Presidential Ballroom

January 20, 2017
8 to 11:15 a.m.

8 to 8:15 a.m.  1. Welcome and Overview.  [Al Cureton, President, University of Northwestern]

8:15 to 9 a.m.  2. Presidents Council Chair Update and Q&A.  [Cureton]

9 to 10 a.m.  3. Sport Science Institute (SSI) Update and Q&A.  [Dr. Brian Hainline, NCAA Senior Vice President of the Sport Science Institute/Chief Medical Officer]

10 to 11 a.m.  4. 2017 Legislative Proposals and Q&A.  [Jeff Myers, Director of Academic and Membership Affairs for Division III, and Sarah Otey, Associate Director of Academic and Membership Affairs for Division III]

11 to 11:15 a.m.  5. Final Remarks.  [Cureton]

11:15 a.m.  6. Adjournment.
AGENDA

The National Collegiate Athletic Association

Division III Presidents and Chancellors Forum and Luncheon

Gaylord Opryland Resort and Convention Center
Washington B

January 19, 2017
11:30 a.m. to 1 p.m.

11:30 a.m. 1. Lunch served.

11:45 to Noon. 2. Welcome and remarks. [Al Cureton, President, University of Northwestern]

Noon to 12:20 3. Update on Association-wide issues. [Mark Emmert, NCAA president; and Donald Remy, executive vice president for Law, Policy and Governance]

12:20 to 12:40 p.m. 4. Discussion of key topics. [Cureton/Emmert/Remy]
   a. Board of Governors duties and composition.
   b. Other Association-wide issues.

12:40 to 12:50 p.m. 5. 2017 Legislative Proposals. [Jeff Myers, NCAA Academic and Membership Affairs]

12:50 to 12:55 p.m. 6. Open forum.

12:55 to 1 p.m. 7. Closing remarks. [Cureton]

1 p.m. 8. Adjournment.
## Agenda

The National Collegiate Athletic Association  
2017 Division III Business Session  

**Gaylord Opryland Resort & Convention Center**  
**Presidential Ballroom**  
**January 21, 2017**  
8 to 11:15 a.m.

<table>
<thead>
<tr>
<th>Time</th>
<th>Activity</th>
<th>Presenter(s)</th>
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<tbody>
<tr>
<td>8 to 8:10 a.m.</td>
<td>Welcome and Announcements. [Al Cureton, President, University of Northwestern]</td>
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<td>8:10 to 8:30 a.m.</td>
<td>Diversity and Inclusion Update. [Jay Lemons, President, Susquehanna University and Dennis Shields, Chancellor, University of Wisconsin Platteville]</td>
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<td>8:30 to 8:45 a.m.</td>
<td>Acceptance of Convention Notice and Program. [Cureton]</td>
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<td>8:45 to 10 a.m.</td>
<td>Voting on Presidential and General Groupings. [Cureton and Tracey Ranieri, Athletics Director, State University of New York at Oneonta]</td>
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<td>10 to 10:15 a.m.</td>
<td>BREAK.</td>
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<td>10:15 to 10:25 a.m.</td>
<td>Election of New Management Council Members. [Angela Baumann, Commissioner, Massachusetts State Collegiate Athletic Conference]</td>
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<td>10:25 to 10:35 a.m.</td>
<td>Window of Reconsideration. [Ranieri]</td>
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<td>10:35 to 10:55 a.m.</td>
<td>Open Forum.</td>
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<tr>
<td>10:55 a.m.</td>
<td>Closing Remarks. [Cureton]</td>
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<tr>
<td>11 a.m.</td>
<td>Adjournment.</td>
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Election & Congressional Overview

On November 8, 2016, voters elected Donald Trump over Secretary Hillary Clinton and other candidates to become the 45th President-elect of the United States. Beginning January 20, 2017, the new Administration will be working with a Republican-controlled Congress as the party maintained a majority in the House of Representatives and the Senate. The issues that the new Administration will likely address are infrastructure investment, immigration reform, trade, tax reform, health care reform, and the Supreme Court appointment. Prior to taking office, the President-elect is working to form his Cabinet. With the selection and vetting process under way, several notable selections have been made including, Ms. Betsy DeVos for Secretary of Education, Sen. Jeff Sessions (R-AL) for U.S. Attorney General, Mr. Steven Mnuchin for Secretary of the Treasury, Gen. James Mattis for Secretary of Defense, and Gen. John Kelly for Secretary of Homeland Security.

All 435 House seats were up for consideration in the election. Republicans only lost six seats and will hold a 241-194 majority during the first session of the 115th Congress. In the Senate, Republicans will have a 52-48 majority. Although a Republican-controlled Senate will make a conservative agenda easier to facilitate, tough challenges by Senate Democrats are likely as Republicans will lack the 60-seat supermajority needed to prevent a filibuster.

Election results, retirements, and committee term limits will impact changes to leadership on key committees in the House. The Energy and Commerce Committee will now be chaired by Rep. Greg Walden (R-OR). Additionally, Rep. Virginia Foxx (R-NC) will be the new chairwoman of the Education and the Workforce Committee (the sitting chairman, Rep. John Kline (R-MN), will be retiring at the end of the year). In the Senate, Sen. Lamar Alexander (R-TN) is expected to remain the chairman of the Health, Education, Labor, and Pensions Committee, while Sen. John Thune (R-SD) will likely remain in control of the gavel in the Commerce, Science, and Transportation Committee.

With the 2016 elections dictating most of the activity in Washington, congressional action has been at a minimum. Following the annual August recess, Congress was in session for most of September before recessing for all of October and most of November. After agreeing to a continuing resolution to fund the government through April 28, 2017, the 114th Congress adjourned on December 9, 2016.

Federal Issues

Fair Labor Standards Act

In May 2016, the Department of Labor (DOL) issued a final rule to modernize the nation’s overtime regulations. The final rule increased the salary threshold for overtime eligibility from $23,660 ($455/week) to $47,476 ($913/week) and required that it be automatically updated every three years. The final rule did not make any changes to the duties test and was scheduled to become effective on December 1, 2016.

In late November 2016, the U.S. District Court for the Eastern District of Texas issued a preliminary injunction postponing the effective date of December 1 for the DOL’s overtime rule. In addition to uncertainty from ongoing litigation, the FLSA overtime rule also faces a questionable future with the
incoming Administration and the Republican controlled 115th Congress. Reports suggest that next year, Congress is likely to vote to nullify several recently completed regulations. Under the Congressional Review Act, any regulation finalized in the last 60 days of a legislative session can be revisited in the next session. When the House adjourned on December 8, 2016, it was within 60 legislative days since the overtime rule was published in the May 23 Federal Register, and Congress preserved a right to review the overtime rule next year. The NCAA will continue to monitor future developments in this area and will share up to date information with membership.

Sports Betting

In late October 2016, Rep. Frank Pallone Jr. (D-NJ), Ranking Member of the House Energy and Commerce Committee, announced that his committee would conduct a review of federal gambling laws and introduce comprehensive gambling legislation that would include daily fantasy sports and other forms of gaming. The Professional and Amateur Sports Protection Act (PASPA), the Federal Wire Act of 1961, and the Unlawful Internet Gambling Enforcement Act (UIGEA) are the core federal laws that touch sports betting. Rep. Pallone intends to ensure that these laws properly work together to create a fairer playing field for all types of gambling. This announcement followed a House Energy and Commerce Committee hearing in May 2016 that focused on daily fantasy sports. Rep. Pallone has been engaged in this issue throughout the 114th Congress and is the sponsor of H.R. 457, the New Jersey Betting and Equal Treatment Act of 2015. Under this proposal, New Jersey would be excluded from PASPA’s prohibition on professional and amateur sports gambling. The proposal did not receive any legislative attention before Congress adjourned.

Rep. Pallone did not provide a timeline for this effort to update federal gambling laws including those that deal with sports betting. However, he pledged to work with various stakeholders as he works to develop a comprehensive gambling bill. The NCAA will continue to work with the professional sports leagues and others and will closely monitor any future developments in this area.

Better Online Ticket Sales (BOTS) Act of 2016

On July 13, 2016, Sen. Jerry Moran (R-KS) introduced S. 3183, the Better Online Ticket Sales Act of 2016. S. 3183 received bipartisan support and was passed by the Senate on November 30, 2016 and the House of Representatives on December 7, 2016. This proposal prohibits the use of “bots”, software that circumvents online security measures or access control systems to purchase large quantities of event tickets so that they can be resold at a premium. Violators of the law will be deemed to have committed an unfair or deceptive act or practice under the Federal Trade Commission Act. S. 3183 will now be sent to the President for his signature.
State Issues

Revised Uniform Athlete Agents Act

The Revised Uniform Athlete Agents Act (RUAAA) is an update of the Uniform Athlete Agents Act of 2000, which was designed to provide important protections to student-athletes and educational institutions through the regulation of athlete agent activities. The RUAAA expands the definition of athlete agent, requires an agent to notify an institution before communicating with a student-athlete to induce them into signing an agency contract, and creates a registration process that provides reciprocity for agents registered in other states.

The RUAAA was enacted in Alabama, Idaho, and Washington this year. The act was also introduced in Colorado during the 2016 legislative session. It is expected that nearly 20 states will consider adopting the RUAAA in the coming year. NCAA government relations staff will continue to assist the ULC and other stakeholders to prepare for enactment efforts. The involvement of NCAA members in these enactment efforts has historically been very helpful in seeking adoption.

Daily Fantasy Sports

Over the last year, 36 states have considered bills to tax, regulate, or prohibit daily fantasy sports. Due to the potential negative impact on the well-being of student-athletes and the integrity of competition, the NCAA has been working with the membership and others to ensure that any enacted proposal include a carve-out prohibiting contests involving college, high school, or youth athletes. To date, eight states have enacted daily fantasy sports regulatory bills. The NCAA, along with a coalition of other interested parties, were successful in getting the student sports carve-out in all but one of the bills passed in 2016.

The NCAA office of government relations will continue to closely monitor this important issue in states throughout the country during the 2017 legislative session. Emphasis will be placed on working with member institutions and other interested parties to ensure that any future enactment of daily fantasy sports regulations include proper protections for college, high school, and youth athletes.

Higher Education Associations

NCAA government relations staff continues to build strong relationships with various higher education associations. The American Council on Education (ACE), the Association of American Universities (AAU) and the National Association of College and University Business Officers (NACUBO), among others, continue to provide guidance and support on issues of common interest. Also, the NCAA has been working closely with the Association of Public and Land-grant Universities (APLU), which created an advisory group that will focus on policy issues related to collegiate athletics. The NCAA government relations staff looks forward to continuing these mutually beneficial relationships to better formulate and further the NCAA’s legislative goals.
INFORMATIONAL ITEMS.

1. Welcome. The Commission to Combat Campus Sexual Violence (commission) teleconference began with a welcome and a preview of the agenda.

2. Approval of October 25 Report. The commission reviewed the report from its October 25, 2016 meeting. The report was approved, with the following modifications:

   a. The addition of the charge, which reads as follows:

      To build on the work of the 2012 Think Tank and the Task Force on Sexual Assault, the charge was developed for the NCAA Board of Governor’s Commission to Combat Sexual Violence to examine issues and propose solutions related to what athletics departments, conferences and the national Association could do to address campus sexual violence to achieve positive cultural change.

      There are five primary areas in which the commission will examine this issue:

      (1) Within the context of the overall campus community, define the role of the athletics department, conference and national Association in advocating for victims/survivors in addition to the prevention, education and resolution of sexual violence allegations reflecting the 2014 Executive Committee’s resolution.

      (2) Advance the discussion on how portions of the resolution could be considered for adoption into binding NCAA legislation. At its August 2016 meeting, the NCAA Board of Governors voted “To request that each of the divisions consider passage of consistent legislation that would place into NCAA by-laws expectations from the 2014 Executive Committee resolution.”

      (3) Evaluate existing NCAA resources and come to consensus on the educational path forward, including aspirational behaviors and cultural change, building on current institutional, community and national resources and tool kits to assist campuses, athletics department staff, coaches and student-athletes with aspects of sexual violence from prevention to resolution.

      (4) Identify a common language that may be used across the membership and higher education on sexual violence.
(5) Explore the larger questions around institutional and individual accountability (e.g., eligibility for intercollegiate participation, following established policies and procedures).

b. The commission altered language to a consensus priority area within the department of athletics. The item will now read, “Integrate development of department procedures, policies and/or education consistent with and supportive of policy and federal law for staff and student-athletes.”

3. **Strategy development to combat campus sexual violence.** The commission engaged in a discussion of various vehicles to promote cultural change in combating campus sexual violence, which included a review of a working model. In addition, the commission will continue identifying values that influence the desired culture. Future discussions will include the following:

a. Addressing gender-based identity, masculinity, alcohol and other drugs and how to address the needs of the victim/survivor.

b. Management of interactions between an accused perpetuator and victim/survivor within an athletics department. This is especially prevalent at institutions where teams train together.

c. Institutional procedures regarding the timeframe from when a student-athlete is accused, but not yet convicted. Specifically, the role the athletics department plays in a thorough and unhindered institutional investigation. The commission suggested expanding the 2016 Sexual Violence Prevention: An Athletics Tool Kit for Healthy and Safe Culture to include examples and models of institutional processes for when a sexual misconduct report or investigation is ongoing, processes for assisting student-athlete victims/survivors and how campuses are implementing best practices to positively effect culture.

d. The commission suggested revisions to the 2014 Addressing Sexual Assault and Interpersonal Violence: Athletics’ Role in Support of Healthy and Safe Campuses resource to make it more user-friendly, develop education modules and socialize best practices.

e. The commission recommended exploring the role of the FAR, or similar position that is independent from athletics, which can serve as a liaison between athletics and the Title IX coordinator. This role is separate from, but parallel to, the role of the director of medical services/athletics health care administrator, whose primary purpose is to assure that all athletics personnel are following interassociation recommendations and legislation that pertain to health and safety.

4. **Defining the Desired Culture.** The commission had an initial discussion about what the desired culture might look like and what components make up that culture. Commission members identified several key points, including the importance of reaching students when they first step on campus and the role the athletics department has in setting standards and
During the meeting, the commission proposed the desired end state as a positive and thriving athletic team culture that revolves around respect and empathy for all, fostering a climate in which all feel that they are respected, valued, and contributing members of their teams, athletic programs, and institutions; and creating an environment in which students (athletes and non-athletes alike) feel safe and secure, both emotionally and physically, and are free of fears of retaliation or reprisal. The positive culture exuded by the universities’ NCAA teams is the catalyst for a positive culture across the entire campus.

Also, the commission emphasized the role of coaches, the developmental vulnerability of student-athletes and the importance of humanizing and personalizing the topic so student-athletes understand the gravity of the topic. The commission discussed using the influence athletics has on campuses to serve as agents of change.

The commission reviewed the West Point cultural vision model, which encourages the breakdown of silo walls. Following discussion, the commission recommended providing the model to the Sexual Assault Prevention Task Force, which might modify the model for broader application.

5. **Divisional Review of the NCAA Board of Governors’ Resolution.** This item was tabled until the next meeting in late January or early February 2017 to allow the NCAA Board of Governors to meet at the NCAA Convention. The commission will meet following Convention and a report of that meeting will be shared with the commission. Additionally, in April 2017, both the commission teleconference and the three divisional presidential meetings will occur.

6. **Commission’s Subcommittee on Language.** The subcommittee on language will meet on January 5, 2017, in Indianapolis. The group will begin the development of a commonality of language, using the glossary found in the Sexual Violence Prevention Tool Kit. The report from the subcommittee will be shared with the full commission after the meeting.

7. **Future meetings.** Future meetings will alternate between in person and teleconference through October 2017.

*Commission Chairs:  LTG Robert L. Caslen Jr., United States Military Academy, Patriot League  Carol Quillen, Davidson College, Atlantic 10 Conference

*Staff Liaisons:  Brian Hainline, NCAA  Cari Van Senus, NCAA*
### Attendees:

<table>
<thead>
<tr>
<th>Name</th>
<th>Affiliation</th>
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<tbody>
<tr>
<td>Teresa Amott</td>
<td>Knox College; Midwest Conference.</td>
</tr>
<tr>
<td>Cindy Aron</td>
<td>Clinical Licensed Social Worker.</td>
</tr>
<tr>
<td>Malia Arrington</td>
<td>U.S. Olympic Committee.</td>
</tr>
<tr>
<td>Ashley Beaton</td>
<td>University of Illinois at Springfield; GLVC.</td>
</tr>
<tr>
<td>Joe Castiglione</td>
<td>University of Oklahoma; Big 12 Conference.</td>
</tr>
<tr>
<td>LaGwyn Durden</td>
<td>University of Texas at Austin; Big 12 Conference.</td>
</tr>
<tr>
<td>Gary Gray</td>
<td>University of Alaska Fairbanks; GNAC.</td>
</tr>
<tr>
<td>Dianne Harrison</td>
<td>California State University, Northridge; BWCC.</td>
</tr>
<tr>
<td>Shantey Hill</td>
<td>St. Joseph’s College (Long Island); Skyline.</td>
</tr>
<tr>
<td>Janet Judge</td>
<td>Sports Law Associates, LLC.</td>
</tr>
<tr>
<td>Connie Kirkland</td>
<td>Northern Virginia Community College.</td>
</tr>
<tr>
<td>Cody McDavis</td>
<td>University of California, Los Angeles; Pac-12.</td>
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<tr>
<td>Don McPherson</td>
<td>Activist/Educator.</td>
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<tr>
<td>Cindy Miller Aron</td>
<td>National Alliance of Social Workers in Sports.</td>
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<tr>
<td>Steve Perez</td>
<td>California State University, Sacramento; Big Sky</td>
</tr>
<tr>
<td>David Shaw</td>
<td>Stanford University; Pac-12 Conference.</td>
</tr>
<tr>
<td>Dawn Staley</td>
<td>University of South Carolina, Columbia; SEC.</td>
</tr>
<tr>
<td>Eileen Sullivan</td>
<td>Grand Valley State University; Great Lakes ICAC</td>
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<tr>
<td>Brenda Tracy</td>
<td>Activist.</td>
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<tr>
<td>Andrea Williams</td>
<td>Big Sky Conference.</td>
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<tr>
<td>George C. Wright</td>
<td>Prairie View A&amp;M University; Southwestern.</td>
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### Absentees:

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<tr>
<th>Name</th>
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<tr>
<td>Michael Drake</td>
<td>The Ohio State University; Big Ten Conference.</td>
</tr>
<tr>
<td>Sandra Jordan</td>
<td>University of South Carolina Aiken; PBCC.</td>
</tr>
<tr>
<td>Deborah Wilson</td>
<td>Psychologist.</td>
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<tr>
<td>Alaina Woo</td>
<td>Pomona-Pitzer Colleges; Southern California ICAC</td>
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### Guests in Attendance:

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<tr>
<th>Name</th>
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<tr>
<td>Angie Osborn</td>
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### NCAA Staff Support in Attendance:

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<thead>
<tr>
<th>Name</th>
<th>Office</th>
<th>Position</th>
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<tbody>
<tr>
<td>Jackie Campbell</td>
<td>NCAA Staff</td>
<td>Activist/Educator</td>
</tr>
<tr>
<td>Amanda Conklin</td>
<td>NCAA Staff</td>
<td>Activist/Educator</td>
</tr>
<tr>
<td>Faith English</td>
<td>NCAA Staff</td>
<td>Activist/Educator</td>
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<tr>
<td>Kimberly Fort</td>
<td>NCAA Staff</td>
<td>Activist/Educator</td>
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<tr>
<td>Jennifer Fraser</td>
<td>NCAA Staff</td>
<td>Activist/Educator</td>
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<tr>
<td>Cassie Langdon</td>
<td>NCAA Staff</td>
<td>Activist/Educator</td>
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<tr>
<td>Amy Wimmer Schwab</td>
<td>NCAA Staff</td>
<td>Activist/Educator</td>
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<tr>
<td>Naima Stevenson</td>
<td>NCAA Staff</td>
<td>Activist/Educator</td>
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<tr>
<td>Mary Wilfert</td>
<td>NCAA Staff</td>
<td>Activist/Educator</td>
</tr>
<tr>
<td>Amy Wilson</td>
<td>NCAA Staff</td>
<td>Activist/Educator</td>
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### Other NCAA Staff Members in Attendance:

None.
NCAA Board of Governors Roles and Responsibilities
-Discussion Document-

Discussion and Affirmation of Concepts: The NCAA Division I Board of Directors is asked to discuss and potentially affirm some of the concepts under consideration by the NCAA Division I Board of Directors Administrative Committee regarding the NCAA Board of Governor’s roles and responsibilities.

Initial Administrative Committee Suggested Outcomes – For Board Discussion and Potential Affirmation.

The Administrative Committee offers the following initial recommendations/statements for full Board consideration and potential affirmation.

1. **Board of Governors Divisional Diversity.** The Board of Directors reaffirms its support for the divisionally diverse Board of Governors to identify and act on behalf of the Association by adopting and implementing policies to resolve core issues.

2. **Fiscal Responsibilities.** The Board of Directors reaffirms the Board of Governor’s fiscal responsibilities which include final approval and oversight of budgetary matters of Association-wide issues (e.g., tax returns, regulatory requirements, investments, Association-wide budgets). In addition, the Board of Directors shall assume oversight and approval for Division I specific expenses and revenue distribution (e.g., Division I revenue distribution, Division I championships, Division I grants and scholarships).

3. **Litigation Responsibilities.** The Board of Directors reaffirms the Board of Governors’ role to initiate and settle litigation. In addition, a formal process of seeking Board of Directors feedback shall be developed for instances in which litigation significantly impacts Division I.

4. **Amateurism.** The Division I Board of Directors reaffirms the Governors role in overseeing core amateurism issues (e.g. monitoring and providing direction for the collegiate model of amateurism). The Board of Directors also affirms that amateurism issues that are not considered core should be addressed by each division consistent with the divisional philosophy.

5. **Health and Safety.** The Board of Directors recommends clarification be provided to clearly indicate the Governors role in identifying, monitoring, and providing direction in health and safety matters that require a unified standard of care across all divisions, pose legal or reputational risk to the Association, and/or impact a core Association-wide value.

6. **Governors’ Legislative Authority.** The Board of Directors supports a review of the potential benefits to be derived by providing the Board of Governors with limited legislative authority (e.g., instances in which uniform health and safety standards need to be implemented across all divisions), noting that significantly more discussion with the
Division II and III presidential bodies is needed before Association-wide legislation would be introduced.

**Action:** Does the Board support these six concepts/reaffirmations?

**Background.**

- During its August meeting, the Board of Governors approved a resolution reaffirming that the Association is best served when all divisions provide meaningful input and perspectives to address and resolve the core issues impacting intercollegiate athletics.

- The resolution set in motion a commitment by all three divisions and the Governors to a more thorough review of the Governors’ roles and composition.

- The Administrative Committee has conducted several teleconferences and developed concepts for full Board discussion and potential affirmation.

- This review of the roles and responsibilities of the Board of Governors has focused on four areas: (1) Fiscal; (2) Litigation; (3) Health and Safety; and (4) Amateurism.

- The discussion of each area is divided into two categories: (1) Areas that could be considered as “core issues” to the Association and appropriately addressed via a divisionally-diverse Board of Governors; and (2) Others that may be better addressed by the specific divisional structure (either as a divisional subgroup of the Governors or placed with the divisional presidential body).
Role, Responsibilities and Composition of the NCAA Board of Governors

A Division III Perspective

This document is intended to reflect the latest discussions by the leadership of the Division III governance structure (Presidents Council, Management Council, Ad Hoc Committee on Structure and Composition) to fulfill the August 2016 charge by the Board of Governors (BOG) to identify the ongoing work of the BOG’s Ad Hoc Committee on Structure and Composition. This is intended to be a working document, subject to evolution and frequent revision.

Background

At the time of the renaming the Executive Committee to the Board of Governors (January 2015), there was a sense and acknowledgement that the BOG, in its current makeup, was a quasi-Division I board. It was the foundational belief that the BOG’s charge and representative membership should be differentiated from the Division I Board of Directors to better reflect the BOG’s Association-wide responsibilities and the Association’s membership composition. Lou Anna Simon, president of Michigan State, was then the chair of the Executive Committee/Board of Governors. She believed that the BOG’s composition should more reasonably reflect the Board’s membership-wide responsibilities and the overall membership of the Association. Chair Simon charged Tom Haas, president of Grand Valley State and chair of DII President’s Council, with engaging members of the Board of Governors in this discussion and to bring forth a recommendation. Chair Simon’s successor, Kirk Schulz, then president of Kansas State University, charged Judy Bense, president of the University of West Florida and Dr. Haas’ successor as DII President’s Council chair, with continuing the work begun by President Haas. This charge was formalized by the BOG and a task force was formed with two representatives from each division.

For the past two years, the task force has been examining these issues, in fulfillment of its charge. The task force has surveyed the membership (fall 2015), sought and received feedback and perspectives from members of the Division I Board, DII President’s Council, DIII President’s Council, worked with the communications staff to develop a feature article in Champions magazine in the fall of 2015 and hosted an educational session at the 2016 Convention.

In April of 2016, President Bense requested that members of the BOG discuss in their upcoming divisional meetings whether a proposal to increase the number of voting members representing Divisions II and III (i.e., from 12–2–2 to 12–4–4) would receive support. The BOG noted that a decision regarding a related legislative proposal, if any, could be made at its August meeting.

At its August 2016 meeting, the BOG adopted a resolution that charged each division with:

- Reviewing the integrity model (i.e., responsibilities) of the BOG, and identifying any areas better addressed through a BOG substructure and/or the divisional structures.
- Providing recommendations to the ad hoc committee to better reinforce Association-wide commitments to resolving core issues, while providing for delegated divisional decision-making when appropriate.
- Recommending to the ad hoc committee changes to the BOG’s compositional structure.
- Recommending whether the BOG would be strengthened if some or all of its members were “untethered” from membership in the divisional presidential bodies.
Recommendations

The following are the current recommendations under active discussion by the Division III governance structure leadership in response to the charge identified by the BOG and its task force. They reflect discussions by the Division III Presidents Council and Presidents Advisory Group (August 3–4), Administrative Committee (October 6), and Presidents Council (October 26–27).

1. **Fiscal Responsibilities.**
   - Constitution 4.01.2.1 guarantees the division at least 3.18% of the Association's annual general operating revenue from sources that existed in January 1996, as well as modified, extended or successor contracts from such sources.
   - Constitution 4.01.2.3 and 4.01.2.4 guarantee national office programs and services at least at the level provided in January, 1996.
   - Funding allocations to Divisions II and III, and to Association-wide/national office programs and services described immediately above, essentially constitute three separate, “off the top” funding commitments. It is the responsibility of the BOG to determine what further revenue, if any, should be allocated to those three areas.
   - The allocation of revenue beyond the three destinations described above is the purview of Division I. The relevant governance structure and related administrative process to fulfill that responsibility is a matter of Division I autonomy.
   - The responsibility of the BOG to give final approval and oversight of the Association’s budget includes the opportunity to consider and ratify each divisional budget.

2. **Health and Safety.**
   - Student-Athlete well-being is a fundamental principle of the Association, including the related concept of student-athlete health and safety (Constitution 2.2.3). In addition, the Committee on Competitive Safeguards and Medical Aspects of Sports (CSMAS), as an Association-wide committee, ultimately reports to the BOG.
   - The BOG has a responsibility to oversee health and safety issues at a strategic level, including CSMAS and the related activities of the Association’s Sports Science Institute (SSI).

3. **Amateurism.**
   - Amateurism also is a fundamental principle of the Association (see Constitution 2.9). The BOG is responsible to ensure that the exercise of legislative autonomy in each division is consistent with the traditional “collegiate model” of intercollegiate athletics and does not cross the fundamental line into “pay for play.”

4. **Litigation.**
   - Legal support (including legal fees and settlements) was a national office program and service that existed in January 1996. This principle was reinforced with the settlement of the Law (restricted earnings coach) anti-trust case in the late 1990s. Accordingly, the ultimate resolution of legal cases should remain with the BOG.
5. **Composition.**

- Increase the proportion of Divisions II and III voting members on the BOG (currently 12-2-2). Increased representation would better reflect the true size and diversity of the Association’s membership. It would enhance Association’s unity and identity, and better position the Association to address challenges to the NCAA’s status as a higher education association focused on the amateur model of intercollegiate athletics.

- Include on the BOG presidents who do not already serve on the divisional presidential bodies (i.e., “untethered”), while retaining some presidential representation from the governance structure leadership. The addition of “untethered” presidents will greatly enhance the BOG’s continuity and “institutional memory.”

6. **Legislative Role and Timetable.**

- As dominant provisions, any changes to the role, responsibilities or composition of the BOG require legislative approval by two-thirds of the Association’s membership. Division III leadership prefers a timetable to address these current issues no later than the January 2018 NCAA Convention, consistent with the schedule described in the August BOG resolution.