

Standard Penalties for Secondary Violations in Division III

(Updated Spring 2017)

This information is meant only to provide guidance to institutions in self-imposing penalties in response to certain secondary violations. It is not exclusive and not representative of all violations that may occur or all penalties that may be imposed. Each secondary case is decided on its own merits, and penalties in a particular case may deviate from those listed below. Inclusion on this list does not establish a particular violation as secondary, as all bylaws listed could be major depending on the circumstances of the violation.

Bylaw 12.6 violations – *Financial Donations from Outside Organizations* – Any impermissible financial donations received from a professional sports organization should be deposited in the institution's general scholarship fund. Any impermissible financial donations from a nonprofessional sports organization should be returned to the organization.

Bylaw 13.1.1.1 violations – *Recruiting Contacts* – Most Bylaw 13 recruiting violations result in a 2-for-1 penalty. For example, if a coach has an impermissible in-person, off-campus contact with a prospect before completion of the prospect's sophomore year in high school, the institution should preclude the involved coaching staff from conducting any recruiting activities (i.e., phone calls, correspondence, in-person contact) with that prospect for a period of two weeks for each impermissible contact, once it is otherwise permissible to have such contact.

Bylaws 13.5, 13.6.5, 13.6.6, 13.7.2, and 13.8 violations – For any of these identified violations for which the value of the impermissible benefit is \$200 or less, the involved prospective student-athlete(s) must repay the value of the impermissible benefit to a charity of the prospective student-athlete's choice to be eligible for intercollegiate competition at that specific institution. Documentation of such repayment should be kept on file by the institution. Any Bylaws 13.5, 13.6.5, 13.6.6, 13.7.2 and 13.8 violations for which the institution would like to request eligibility be reinstated without repayment also should be sent to SAR through RSRO.

Bylaw 14 violations – *Eligibility Requirements* – For violations of Bylaw 14 in which a student-athlete competes prior to being certified by the institution (Bylaw 14.01.1), or in which a student-athlete competes while ineligible (no eligibility remaining, doesn't meet progress toward degree requirements, doesn't meet transfer requirements, etc.), the institution should pay a fine in the amount of \$250 for each contest in which the noncertified or ineligible student-athlete competed, and \$250 for each noncertified or ineligible student-athlete who competed, up to a maximum amount of \$2,500 per incident. Please note that other penalties may apply as well. The fine may be paid by credit card within RSRO or by check payable to the NCAA and include the RSRO Secondary Case Number. Checks should be submitted to:

NCAA
P.O. Box 540014
Atlanta, GA 30353-0014

If the institution is requesting an invoice, please contact NCAA Accounts Receivable directly at 317/917-6481 and have the RSRO Secondary Case Number available.

Bylaw 16 violations – *Awards, Benefits and Expenses for Enrolled Student-Athletes* – For Bylaw 16 violations in which the value of the impermissible benefit is \$200 or less, the involved student-athlete(s) must repay the value of the impermissible benefit to a charity prior to competing in the next contest (except for Bylaw 16.8.1.1 and 16.8.1.2 violations, which are de minimis and do not require repayment). Documentation of such repayment should be kept on file by the institution. Any Bylaw 16 violations for which the institution would like to request eligibility be reinstated without repayment also should be sent to SAR through RSRO.

Bylaw 17 violations – *Playing and Practice Seasons* – All Bylaw 17 violations should result in a 2-for-1 reduction in countable athletically related activities, except (1) Bylaw 17.1.6.4 (Mandatory Medical Examination), and (2) Bylaw 17.__.3 (First Contest/Date of Competition), which should result in a letter of admonishment to the involved individual(s).