NCAA Enforcement Fundamentals and Hot Topics
Infractions Program – Bylaw 19.01.1

• Uphold integrity and fair play among NCAA members.
• Prescribe appropriate and fair penalties if violations occur.
• Ensure that institutions and student-athletes abiding by NCAA rules are not disadvantaged by their commitment to compliance.

“The ability to investigate allegations and penalize infractions is critical to the common interests of the membership and the preservation of its enduring values.”
PROTECT THE GAME
Enforcement Staff

- 58% have a law degree.
- 46% were student-athletes.
- 25% were college coaches.
- 46% have worked in the membership.
- 30% have worked at the NCAA more than 10 years.
Lifecycle of a Typical Case

- Development and intake
- Assessment
- Assignment and investigation
- Allegations
- Briefing, adjudication and appeal
- Feedback
MEMBERSHIP

1 CONFERENCE COMMISSIONER
2 FORMER NCAA COACHES
5 PUBLIC INDIVIDUALS WITH FORMAL LEGAL TRAINING
3 UNIVERSITY PRESIDENTS
4 SENIOR ATHLETICS ADMINISTRATORS
1 PROFESSOR AND/OR FAR*
3 UNIVERSITY ADMINISTRATORS

* Faculty Athletics Representatives
“Although we disagreed (and still disagree) with the staff's determination of proposed level of violation, we felt they were always professional and sincere.”

“The NCAA was fair, even kind, to us considering the magnitude of our violations.”

“The investigators were very professional and attentive to details. They were always very prompt in replying to emails and phone calls.”

“(The investigator) was able to grasp the operations on our campus with ease.”
Survey Feedback 2015-16 (cont’d)

Survey Results by Phase

- **Investigation**
  - COI: 1.85
  - Institution: 1.57
  - Enforcement: 1.62

- **Processing**
  - COI: 1.82
  - Institution: 1.69
  - Enforcement: 1.68

- **Hearing**
  - COI: 1.74
  - Institution: 1.82
  - Enforcement: 1.82
2015-16 Case Report
# Enforcement Data

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<th>Case Type</th>
<th>Number of Cases Processed</th>
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<td>Secondary (III)</td>
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*Projected for 2016
Level I/II Cases Submitted (Division I)

- Recruiting: 26%
- Recruiting / CARA: 3%
- Recruiting / Drug Testing: 6%
- Recruiting / Extra Benefits: 3%
- Academic Misconduct: 17%
- Academic Misconduct / Recruiting: 6%
- CARA: 3%
- Certification: 15%
- Coating Restrictions: 3%
- Extra Benefits: 9%
- Financial Aid: 6%
- Ineligible Competition: 3%
Major Cases Submitted (Division II)

- Certification: 37%
- Recruiting: 25%
- Financial Aid: 25%
- Extra Benefits: 13%
Major Cases Submitted (Division III)

- Financial Aid: 67%
- Extra Benefits: 17%
- Academic Misconduct: 16%
Level III and Secondary Violations
Submitted v. Closed

Submitted

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Closed

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Level III and Secondary Violations
Top 10 Bylaws

2014-15 | 2015-16
---|---
12.1 - Amateurism General Regulations | 138 | 184
12.5 - Promotional Activities | 205 | 204
13.02 - Recruiting Definitions and Applications | 189 | 189
13.1 - Recruiting Contacts and Evaluations | 644 | 589
13.10 - Publicity | 589 | 439
13.4 - Recruiting Materials | 546 | 404
13.6 - Official Visits | 278 | 247
14.1 - Admission, Enrollment and Academic Credentials | 188 | 131
16.11 - Extra Benefits | 223 | 210
16.8 - Expenses for Practice and Competition | 259 | 241
17.1 - Playing Seasons | 467 | 425

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Looking Forward

• Substantive areas of focus
  ➢ Recruiting
  ➢ Academic misconduct

• Process enhancements
  ➢ Ad hoc review group
  ➢ COI and OCOI collaboration

• Staff performance and deliverables
  ➢ Overall effectiveness
  ➢ Timeliness
  ➢ Transparency
THANK YOU

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